

STRASBURG STOCK & BOND AUCTION No. 235

FRIDAY & SATURDAY, FEBRUARY 6-7, 2004 • 8:00 PM

THE NETHERLANDS INN AND SPA

(FORMERLY THE HISTORIC STRASBURG INN) • LANCASTER, PA



We buy, sell, and auction the very best in Antique Stocks and Bonds,
Autographs, Banknotes, Coins, Historic Americana, and Vintage Photography

TERMS OF SALE

1. A 15% buyers charge will be added to the hammer price of each lot purchased.

2. All bids are per lot as numbered in this catalogue.

3. Bids less than 60% of the estimate will not be accepted.

4. We reserve the right to withdraw any lot prior to sale.

5. All successful bidders shall be billed for postage, handling and insurance.

6. We cannot be responsible for the loss of any merchandise shipped outside the United States.

7. All framed items are shipped at the purchaser's risk.

8. SMYTHE reserves the right to reject any bids not made in good faith and further reserves the right to ban any bidder from participation in its sales for any reason deemed appropriate at our sole discretion.

9. A SMYTHE auction bidder expressly waives any claim or right to any accounting, damages or compensation arising out of SMYTHE'S failure to receive or execute any bid due to exclusion from our sales, clerical errors or other causes. No bids will be accepted from any person who has not signed a registration form or a bidder sheet.

10. United States coins and currency will not, in conformity with law, be opened at less than face value.

11. No "buy" or "unlimited" bids will be accepted. We will act as agents for all mail bidders in the sale. Mail bids below the low estimate will not be reduced. Mail bids will be opened at the next interval over the second highest bid except where the intrinsic or regular market worth of the item dictates an opening at its fair market value. Floor bidding intervals will have an average increment of about 5% at the discretion of the auctioneer. "Cut" bids (bids at less than the regular bidding intervals) will be accepted, but they must be no less than half of the regular interval over the previous bid. Each bidder may make only one "cut" bid per lot, and if they are out bid, they may make no further bids on that lot.

12. In the case of a tie between a mail bidder on the book and a bidder on the floor, the mail bidder shall take precedence.

13. All bids must be in whole dollar amounts. Percentage increases will be rounded up to the nearest dollar.

14. Check your bid sheets carefully. We can not assume any responsibility for errors made in the amount bid or lot numbers listed. Make sure your sheet is signed.

15. No minors may participate in our sales. Agents bidding on behalf of others will be held liable if their principals fail to make payment.

16. Payment for purchases is to be made by cash, check, money order or bank wire upon receipt of invoice. No lots may be taken away unless paid for in full. A late charge of 1-1/2% per month will be charged on the invoice total if payment is not received within 10 days of mailing the invoice. Bidders not known to us are required to deposit 25% of their total bids in advance. Credit cards will not be accepted for auction purchases.

17. Title does not pass until payment in full is received. SMYTHE reserves the right to await clearance of any check before making delivery.

18. If a collection agency or attorney is required to collect outstanding accounts, all agency and court costs, witness and attorney fees and all other costs of any kind incurred by SMYTHE in procuring judgement shall be borne by the defendant. The signer of a SMYTHE bid sheet or participant in the auction concedes the jurisdiction of the courts of the U.S. in New York City or the New York State courts as SMYTHE alone shall elect. The participant in a SMYTHE auction also assumes both corporate and personal liability for unpaid auction bills.

19. SMYTHE may reopen the bidding on a lot under the following circumstances: 1) SMYTHE has failed to execute correctly a mail bid. 2) A party purchasing the lot on the floor has done so in error. 3) Where a

protest is made after the hammer has fallen but before bidding has commenced on the next lot. 4) Where the auctioneer has determined that he has overlooked a party still bidding on the lot but before the calling of the next lot. 5) At the discretion of the auctioneer.

20. In the event of dispute, the auctioneer's decision is final.

21. New York State & Pennsylvania State sales taxes or other state sales or use taxes shall be levied, as required by law. Dealers and other non residents are reminded that sales tax must be collected unless Form ST-128.1 has been filed properly, under regulations effective 1 December 1982.

22. No price adjustments will be made on the hammer price of a lot whether there is a complaint about the whole lot or only one item in it. The entire lot must be returned if a refund is to be made.

23. In the event of unsuccessful litigation the plaintiff shall pay SMYTHE'S legal costs, counsel and witness fees and all other costs incurred by it in defense against such suit together with interest on any funds expended at the New York prime rate from the date of actual disbursement.

24. The same protections applicable to SMYTHE apply to each and every one of their officers and employees unless they shall be convicted of knowingly selling false or stolen goods.

25. SMYTHE assumes no liability for merchandise lost, stolen or damaged while in the possession of a party to whom merchandise has been shipped. The purchaser is solely responsible for the safe keeping and insurance of any items in their possession.

26. All accounts are payable to SMYTHE in U.S. funds and remittances must cover the service charges for foreign drafts which shall be added to each invoice.

27. All material in this catalogue is unconditionally guaranteed to be genuine. We will not knowingly sell any item that has been "repaired", "restored", "processed", "cleaned", "pressed", or "conserved" in any way without disclosing those facts to the potential buyer.

28. Agents who bid from the floor for clients should examine the lots carefully, and are reminded that no lots may be returned by floor bidders except for non-authenticity. Lots described as "repaired", "corroded", "holed", "whizzed", or like damage may not be returned for any reason except non-authenticity.

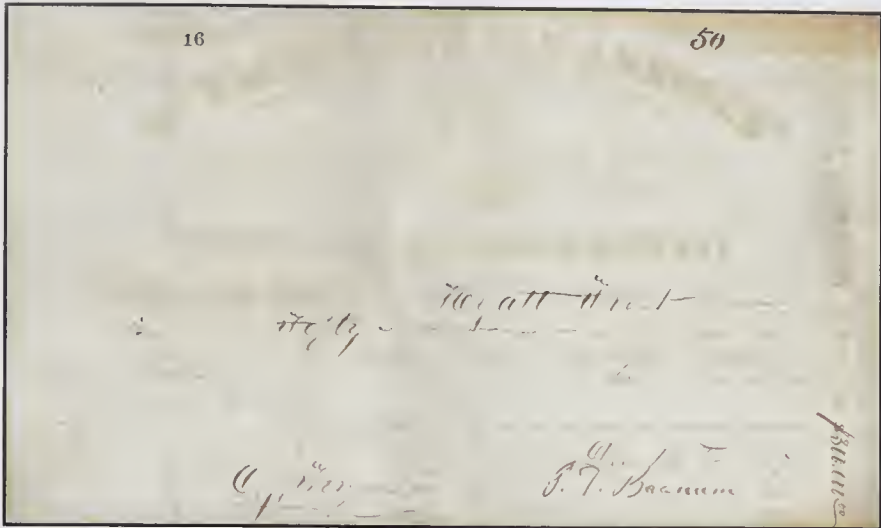
29. Mail bidders may return lots for reasons of non-authenticity or improper description within 5 days of receipt or thirty days from the auction's last day, whichever comes first. Third-party graded coins (i.e. CGA) are sold as is, no returns. Failure to execute this privilege in a timely manner either from a failure to pay promptly or from any other cause shall void it. Lots sold with the proviso 'sold as is' cannot be returned. Lots valued primarily for their bullion content may not be returned.

30. In cases where a question has arisen as to the authenticity of an item, the purchaser must, before settlement day (30 days after the sale,) notify SMYTHE of his intention to return the item, confirmed in writing. The item will be examined by a recognized expert; if the item proves authentic, the sale will be consummated. If the item is not authentic, the sale price will be refunded.

31. The auctioneer reserves the right to postpone the sale by auction for a reasonable period of time as a result of any significant event which, in the sole discretion of the auctioneer, makes it advisable to postpone the event. No prospective bidder or prospective buyer shall have recourse as a result of any postponement.

32. The placing of a bid shall constitute full acceptance of the foregoing terms and conditions of sale; all bid sheets must be signed by the responsible party.

33. A \$100 charge will be applied for any check that fails to clear.



Lot 1093



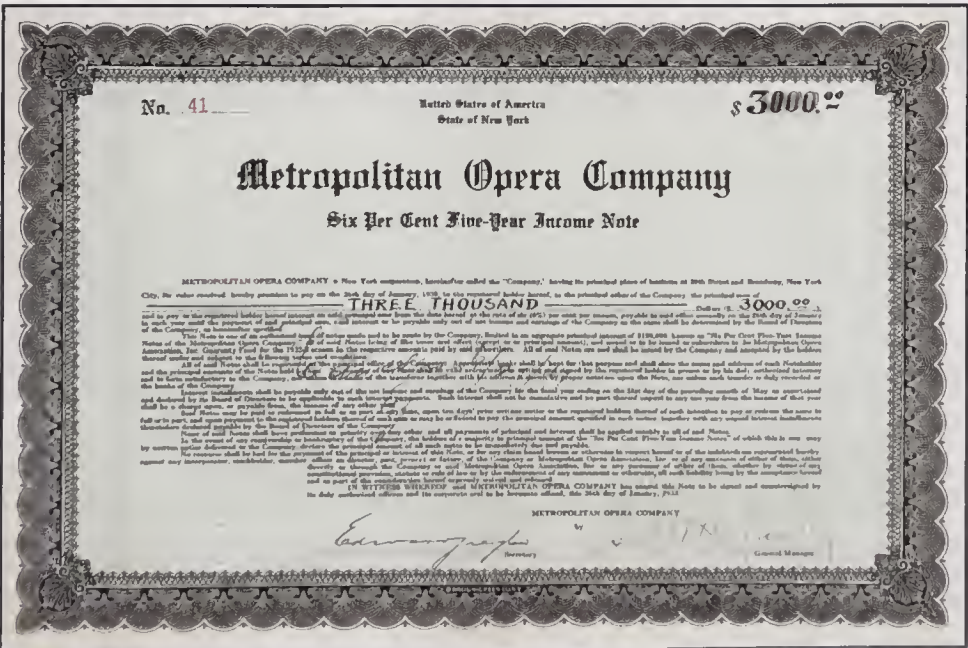
Lot 1141



Lot 1134



Lot 1143



Lot 1138



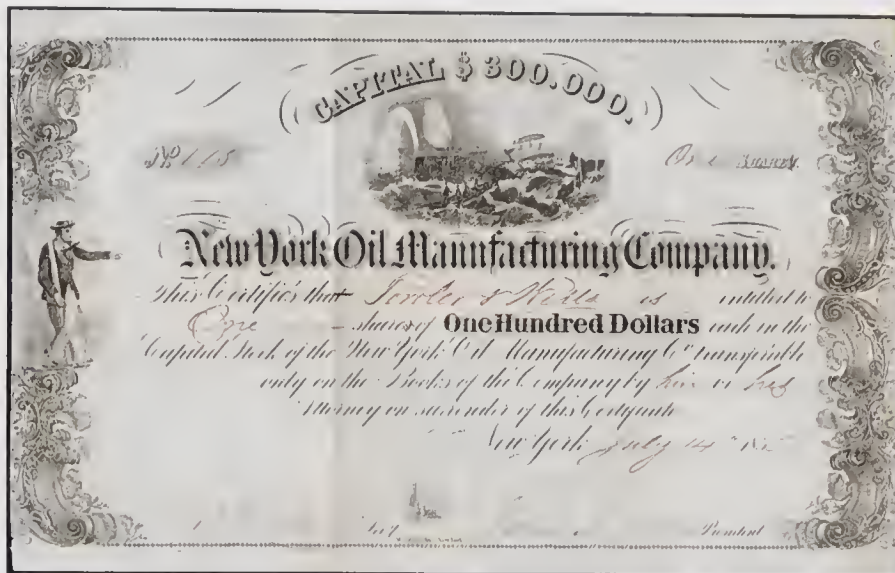
Lot 1147



Lot 1150



Lot 1330



Lot 1373



Lot 1383



Lot 2110

17th Annual Strasburg Stock and Bond Public Sale No. 235

Session A: Friday, February 6, 2004

Session B: Saturday, February 7, 2004

Starting at 8:00 PM Sharp!

Auction will take place

at

Netherlands Inn and Spa

formerly the Historic Strasburg Inn

One Historic Drive / Route 896

Strasburg, PA 17579

Hotel Contact Information:

Toll-Free: 800-872-0201 – Local: 717-687-7691

Auctioneer:

Elvin Siegrist - PA License #AU-0017-23L

R.M. Smythe & Co. Pennsylvania Firm License #AY-000186-L

Auction No. 235

Session A: Friday, February 6, 2004 - 8:00 PM

Automobile Certificates	1001-1024
Shipping Certificates	1025-1043
Aviation Certificates	1044-1060
Banking & Insurance Certificates	1061-1078
General Certificates I	1079-1140
U.S. Treasury Certificates	1141-1163
U.S. Postage Stamps	1164-1167
Railroad Certificates I	1168-1240
Mining Certificates I	1241-1343
Oil Certificates	1344-1392
Multiple Item Group Lots and Books	1393-1421
Financial Autographs	1422-1439

Session B: Saturday, February 7, 2004 - 8:00 PM

Historic and Colonial Certificates	2001-2036
Railroad Certificates II	2037-2110
Railroad Pass Collection	2111-2136
General Certificates II	2137-2199
Edison Home Phonograph	2200
Mining Certificates II	2201-2303
State, City & County Bonds	2304-2328
Final Selections from the Douglas Ball Collection of State Bonds	2329-2389
Confederate Bonds	2390-2414
International Certificates	2415-2430

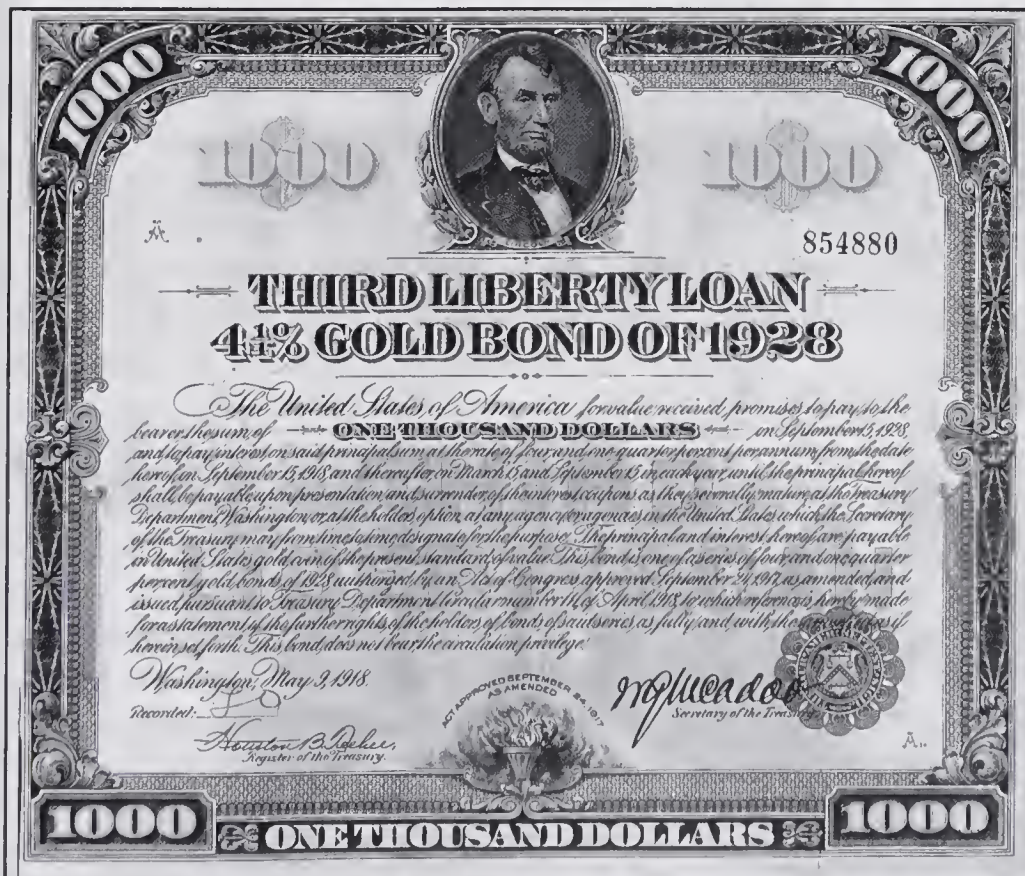


Illustration of Lot 1147 – Third Liberty Loan 4-1/4% Gold Bond of 1928



2 Rector Street, 12th Floor

New York, NY 10006

Telephone: 212-943-1880

Toll Free: 800-622-1880

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We buy, sell, and auction the very best in Antique Stocks and Bonds,
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The 17th Annual Strasburg Stock & Bond

Public Auction No. 235

Session A: Friday, February 6, 2004 - Lots 1001-1439

Session B: Saturday, February 7, 2004 - Lots 2001-2430

Exhibition of Lots in Our New York Offices

Lots will be available for viewing in our offices **by appointment only** on the following days during our regular business hours, 10:00 am - 4:00 pm:

Monday, January 26, 2004 - Friday, January 30, 2004

[Extended evening viewing hours may be available; please call us to arrange.]

Exhibition of Lots at the Netherlands Inn and Spa (formerly the Historic Strasburg Inn):

Most lots (with the exception of some bulky or framed items) will be available for viewing in Neesly Hall on the following days:

Friday February 6, 2004 10:00 am - 6:00 pm

Saturday February 7, 2004 10:00 am - 6:00 pm

Mail Bid Only:

Sunday February 8, 2004 10:00 am - Noon

How to Bid

You may bid by EMAIL, MAIL, PHONE or FAX on any lot in this sale. All bids, received by email, mail, phone or fax, **must** be in our hands no later than **4:00 pm on Thursday, February 5, 2004, for Session A, and 4:00 pm on Friday, February 6, for Session B.** Please confirm all bids called in to our office in writing within 24 hours. Please indicate a daytime telephone number on your bid sheet in case we need to verify any information with you. We strongly suggest that a telephone call be made to confirm receipt of mailed or faxed bids. **FAXED BID SHEETS SHOULD BE TYPED.**

R.M. Smythe stresses competitive bidding, and represents all bidders fairly.

Estimates & Reserves

Prices realized may be higher or lower depending on wholesale and retail market conditions at the time.

Where non-published reserves exist, the auctioneer may make bids in response to others. Consignors are not

permitted to bid on their own lots from the floor.

R.M. Smythe & Co. reserves the right to include our own material in this auction, as well as to bid on any item in the sale. R.M. Smythe & Co. has advanced money (where requested) to consignors and in addition has agreed to extend credit for purchasers who have established credentials prior to the sale.

Telephone Bidding During the Sale

If you wish to bid by telephone during the auction, you **MUST** make arrangements by calling our New York office and asking for Marie Alberti on or before **MONDAY, FEBRUARY 2, 2004.** Reservations for bidding by telephone are granted on a first come, first served basis. Fees may be applied at the discretion of R.M. Smythe.

Delivery of Lots

All lots purchased at **Session A**, on Friday, February 6, will be available for pick up in Neesly Hall after 10:00 am on Saturday, February 7. Lots purchased at **Session B** can be picked up after 10:00 am on Sunday, February 8, in Neesly Hall. Lot pick up at our office in New York will be available starting Tuesday, February 10, at 11 am.

In order that we might have your items ready, please call us a day before you plan to pick up your items in our office. All lots picked up in Pennsylvania and/or New York are subject to Sales Tax unless a valid Resale Certificate is provided or is on file.

Prices Realized

Prices realized will be available at **smytheononline.com** on Tuesday, February 10, in the afternoon. If a printed copy is preferred, please contact our office at **800-622-1880.**

Bidding Intervals

Bids	Increments
100-200	\$10
200-500	\$25
500-2,000	\$50
2,000-5,000	\$100
5,000-10,000	\$250
10,000-15,000	\$500
15,000-25,000	\$1,000

R.M. Smythe & Co.

2 Rector Street, 12th Floor, New York, NY 10006-1844

Telephone: 212-943-1880 – **Toll Free:** 800-622-1880 – **Bid Fax:** 212-312-6300

E-mail: info@smytheononline.com – **Website:** smytheononline.com

ABBREVIATIONS USED

Printer Abbreviations

ABN	American Bank Note
BA	Bald, Adams
BC	Bald, Cousland
BWA	Baldwin, Adams
BBC	Baldwin, Bald & Cousland
BW	Bradbury, Wilkinson
BABN	British American Bank Note
CBN	Columbia Bank Note
DB	Danforth, Bald
DH	Danforth & Hufty
DP	Danforth, Perkins
DSH	Danforth, Spencer & Hufty
DU	Danforth, Underwood
DUBS	Danforth, Underwood, Bald & Hufty
DW	Danforth, Wright
DC	Draper
DT	Draper, Toppan
DTL	Draper, Toppan, Longacre
DWC	Draper, Welsh
ABD	A.B. & C. Durand
ADW	A.B. & C. Durand, Wright
EAW	E.A. Wright
FDU	Fairman, Draper & Underwood
FBN	Franklin Bank Note
FLB	Franklin Lee Bank Note
HBN	Hamilton Bank Note
HLB	Homer Lee Bank Note
HL	Hoyer & Ludwig
HD	Hufty & Danforth
IBC	International Bank Note
JDW	Jocelyn, Draper, Welsh
KB	Keating & Ball
LR	Lenny & Rollinson
JAL	John A. Lowell Bank Note
PM	Peter Maverick
MDF	Murray, Draper, Fairman
NBN	National Bank Note
NEB	New England Bank Note
NYB	New York Bank Note
WLO	W.L. Ormsby
TPC	Terry Pelton
RB	Rawdon, Balch
RC	Rawdon, Clark
RW	Rawdon, Wright
RWH	Rawdon, Wright & Hatch
RWHE	Rawdon, Wright, Hatch & Edson
AR	Abner Reed
RBN	Republic Bank Note
SBN	Security Bank Note
SCB	Security Columbian Bank Note
TDL	Thomas de la Rue
CT	Charles Toppan
TP	Toppan
TC	Toppan, Carpenter
TCC	Toppan, Carpenter, Casilear
TL	Toppan, Longacre
UBS	Underwood, Bald & Spencer
UBSH	Underwood, Bald, Spencer & Hufty
UBN	Union Bank Note
WECO	Walker, Evans & Cogswell
WS	Waterlow & Sons
WBH	Wellstood, Benson & Hawks
WHHW	Wellstood, Hanks, Hay, & Whiting
WHW	Wellstood, Hay & Whiting
WBN	Western Bank Note
WH	Woodruff & Hamond

Bibliography - Scripophily References

1. Anderson – **The Price of Liberty.**
2. Castenholz & Sons – **Field Guide to Revenue Stamp Paper.**
3. Cox – **Stocks and Bonds of North American Railroads.**
4. Criswell – **Confederate and Southern State Bonds.**
5. Drumm/Henseler – **Russian Railway Bonds; Chinese Bonds; Russian City Bonds; Ottoman Empire & Turkey, etc.**
6. Edson – **Railroad Names.**
7. Falater – **American Automotive Stock Certificates.**
8. Garbani – **Arizona Mines and Mining Companies.**
9. Hessler – **The Engraver's Line.**
10. Hessler – **An Illustrated History of U.S. Loans 1775-1898.**
11. Kimes & Clark – **Standard Catalog of American Cars 1805-1942.**
11. Kuhlmann – **China's Foreign Debt 1865-1982.**
12. McDonald & Holabird – **Nevada Mining Stock Certificates and Bonds.**
13. **Poor's Manuals of Railroads.**
14. Scott's – **Specialized Catalogue of U.S. Stamps & Covers.**

Scripophily Terminology

Unlike coins and paper money, the grading of stocks and bonds has not developed to the point where everyone agrees on or uses similar standards. The very nature of stocks and bonds defies a grading system based, for example, on wear, or folds.

Instead of trying to develop a strict grading system, we have used terminology designed to convey a strictly, subjective impression of the items we endeavor to describe.

Fair – A certificate with extreme handling, fold splitting, stains, small pieces or tears.

Fine – Slightly below average. Folds may be heavy with splits slightly into the design elements, but with no pieces missing. More severe flaws will be mentioned.

Very Fine (VF) – The average grade of most of the stocks and bonds we encounter. A Very Fine share certificate will have several light to moderate folds, and the paper will show obvious wear or softness. Bonds that were originally folded by the printer may have several additional folds. There may be minor edge roughness, but any splits that extend into the designs will be described. Very light soiling is typical, but heavier stains or foxing will be mentioned.

Very Fine+ (VF+) – Obviously used or worn, but brighter or cleaner than the average example.

Extremely Fine (EF) – A well above average example. Stocks may show a few light to moderate folds. Bonds that were originally folded may have a few light to moderate extra folds. The paper will retain most of the original crispness and brightness. The margins will be free from noticeable edge splits, stains or other problems.

Extremely Fine+ (EF+) – As made, or nearly so.

“★” after a grade denotes an uncanceled certificate.

All certificates are **Very Fine** unless otherwise mentioned.

17th Annual Strasburg Stock and Bond Public Auction No. 235 Session A – Lots 1001 – 1439 Friday, February 6, 2004 – 8:00 PM



Automobile Certificates



1001 Anglo-American Rapid Vehicle 1899. #57. 1000 shs. Train crosses a stone arch bridge, capitol in distance. **VF+.*** **(\$300-500)**

The company was organized in New York City by Edward Joel Pennington, with the declared intention of manufacturing cars in the Barnes bicycle plant in Syracuse. Pennington was one of the greatest con artists in American automobile history, and the only surviving Pennington vehicle features a form of fuel injection which was certainly a progressive idea from a turn of the century con man. A similar example realized \$350 in Sale No.172.



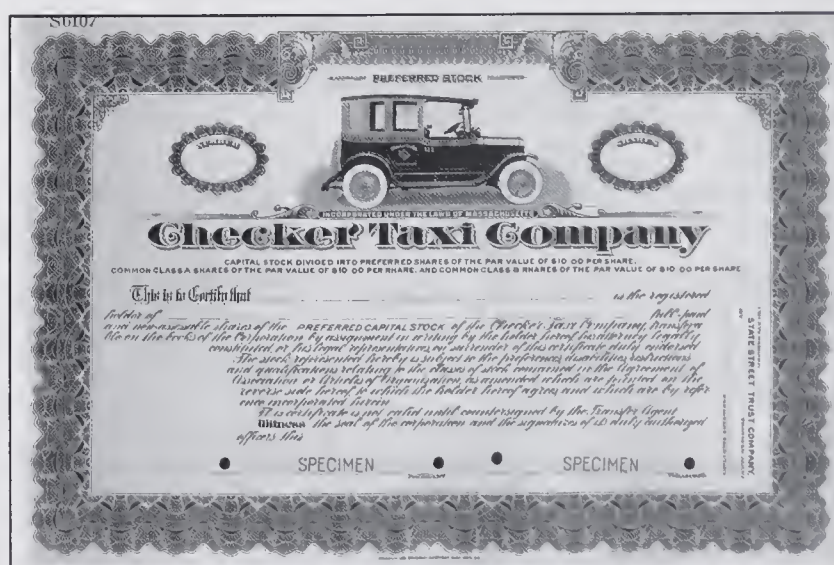
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1002 Checker Motors (NJ) Specimen. Shares. Brown. Automobile flanked by allegorical figures. **EF.**

(\$500-750)

An advertisement regarding taxis is also included.

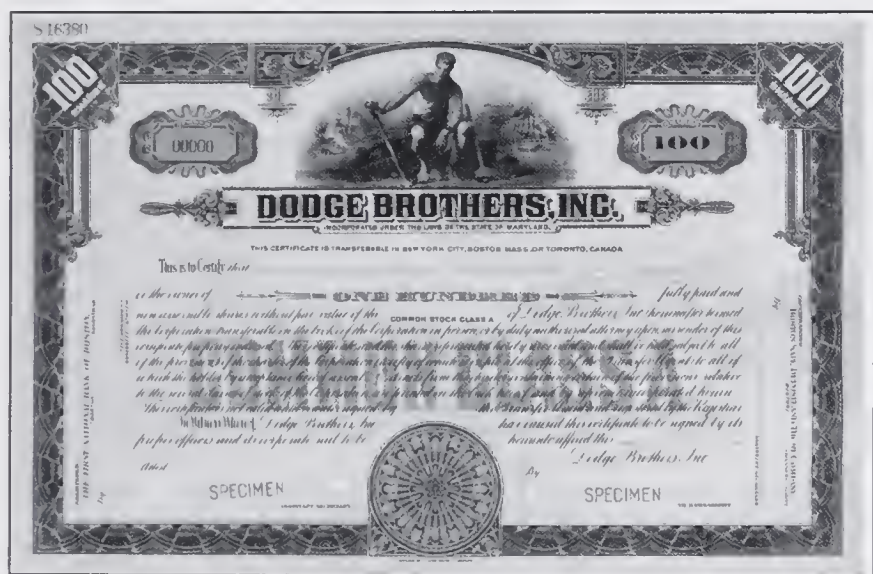


1003 Checker Taxi (MA) Specimen. Shares. Green. Profile of Checker Taxi with gold highlights. **EF.**

(\$600-900)



1004 Chicago Yellow Cab (NY) Specimen. Shares. Blue. Detailed view of a vintage cab. EF. (\$350-450)

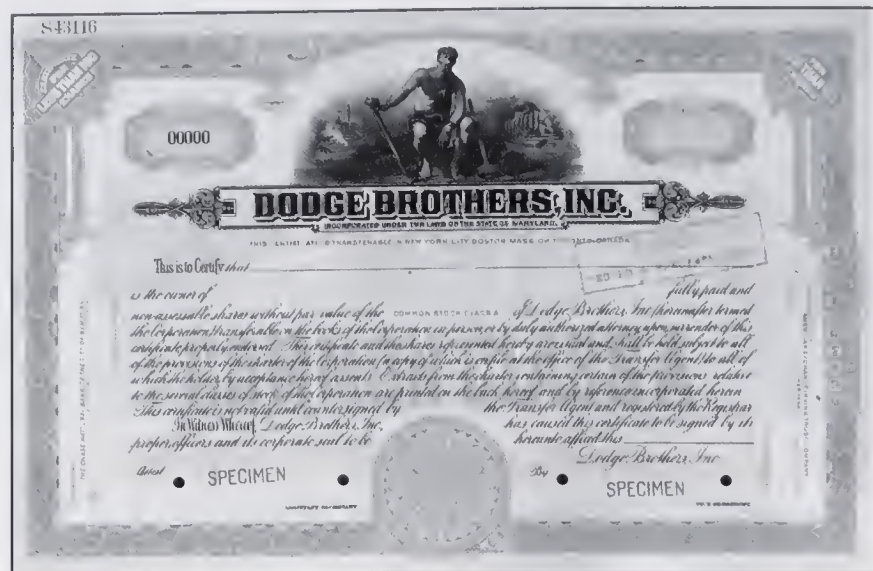


1005 Dodge Brothers (MD) Specimen. 100 shs. Blue. Seated allegorical man with factories in the distance. ABN. EF. (\$500-750)



Need a Place to Stay for the Night?

Netherlands Inn and Spa
formerly The Historic Strasburg Inn
One Historic Drive
Route 896
Strasburg, PA, 17579
Toll-Free: 800-872-0201
The Official Show Hotel



1006 Dodge Brothers (MD) Specimen. Shares. Orange. Allegorical man with factories in the distance. ABN. EF. (\$500-750)

At the turn of the century, John and Horace Dodge supplied engines, transmissions, and axles to automobile assemblers such as Ransom Eli Olds and Henry Ford. In 1914, John and Horace Dodge decided to manufacture their own cars. Their early models were well-received, and decades of automotive success followed. By 1925 the Dodge Brothers were dead, and their widows were ready to sell their shares in the business to outsiders. The buyer was Dillon, Read and Company, and the price was \$146 million dollars, the largest cash transaction in the history of industrial finance up to that point. The importance of this example cannot be exaggerated.



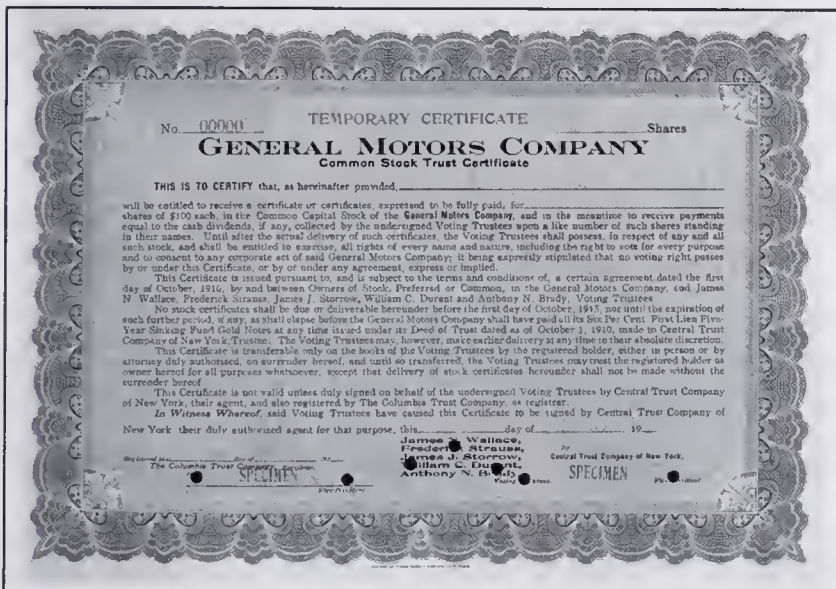
1007 Duesenberg Automobile & Motors (DE) 1924. #3516. 1/2 Share. Brown. Seated women between globes. VF+.* (\$300-500)

If one automobile manufacturer could be singled out as the most glorious of all, it would have to be the Duesenberg. The car was legendary, and literally became a metaphor for greatness. "It's a Duesy" was a popular catch phrase synonymous with status, and the cars were extremely popular with movie stars, robber barons, gangsters and politicians. One of the most eagerly collected automobile stocks.



1008 Electric Vehicle (NJ) 1899. #1574. 100 shs. Green. Woman with lightning stands on North America. ABN. VF+.* **(\$200-300)**

This company was founded by Isaac Rice and produced hansom cabs. When the Blizzard of 1899 hit Manhattan, the only vehicles able to negotiate the snowbound streets were electric hansoms. This so impressed William C. Whitney that he bought the company and later named the cars Columbia.



1009 General Motors 1910. Specimen. All brown. Temporary Certificate. EF. **(\$250-350)**

On September 16, 1908, William Crapo Durant incorporated the General Motors Company as a merger of Buick, Oakland and Oldsmobile. During the next few years he brought Cadillac, Welch, Cartercar, Scripps-Booth and Sheridan into the family. General Motors sales flourished, but Durant was not satisfied with just one enterprise. Wall Street held a certain fascination for Durant and he felt he could reap untold millions by speculating in the market. He leveraged his GM holdings to buy volatile shares.

By 1910 moneylenders had dumped Durant's shares and Durant found himself shut out of General Motors. On October 13, 1916, the General Motors Corporation was organized to acquire all of the stock of the General Motors Company. This is a **Rare Opportunity** to acquire a certificate from the original General Motors Company.



1010 General Motors 1916. Bonus Certificate. Three vignettes – Samson Iron Tractor, General Pershing's Cadillac and Dayton Wright Airplane. VF+. **(\$250-350)**



1011 Hendee Manufacturing (MA) Specimen. 100 shs. Green. Native American with two feathers in his hair. "Indian Motocycle" logo. ABN. EF. **(\$300-400)**

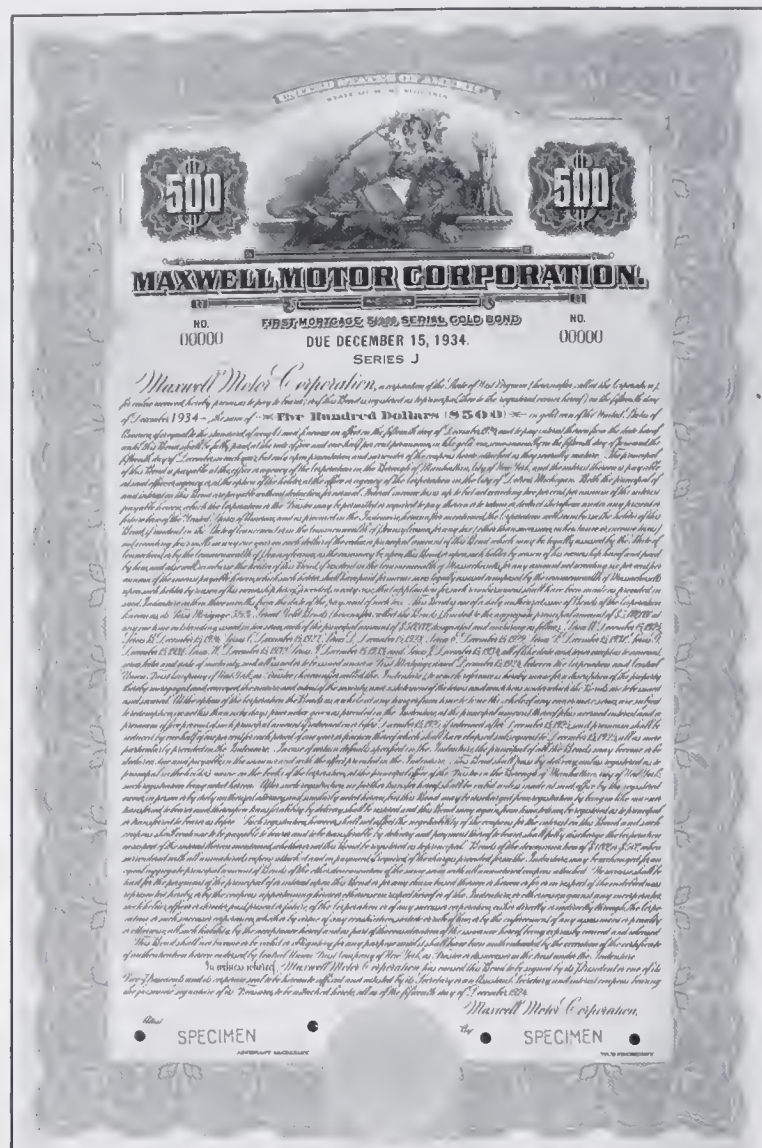
George M. Hendee and C. Oscar Hedstrom, both former racers, pooled their resources and formed the Hendee Manufacturing Company in 1901. The name of the company was later changed to the Indian Motorcycle Company in Springfield, Massachusetts. The Indian was the first commercially marketed gasoline powered motorcycle manufacturing company in the U.S. The company also manufactured airplane engines and experimented with automobiles.

Please note that a 15% buyer's premium will be added to each lot purchased.



1012 Keely Motor of New England (NY) 1887. 100 shs.
 Green with red seal. Semi-nude woman seated with engine. Portrait of Keely, bottom. NBN. VF+.
 (\$250-350)

It was the oldest trick in the book, and reminiscent of something more appropriate to a circus sideshow. Keely claimed to have created an engine that ran on water, but the real power source was a colleague hidden in the cellar, turning a crank that made the demonstration model move. No one was allowed to inspect the model closely, for fear that the secret of Keely's great invention might be stolen. The investors swallowed the idea hook, line, and sinker, but like all frauds of this nature, Keely was eventually exposed. While not strictly related to automotive endeavors, we speculate that somewhere in his outrageous claims Keely may have suggested that his motor might move a self-propelled vehicle of some sort.

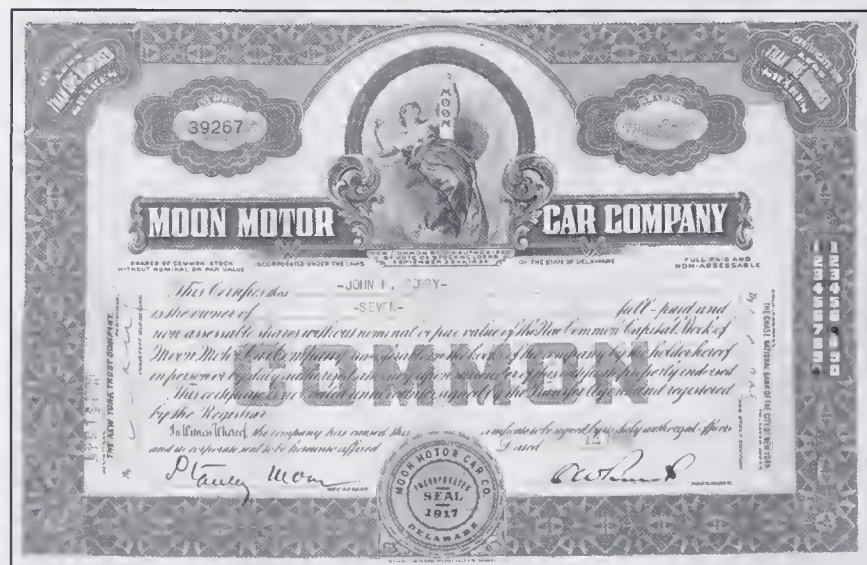


1014 Maxwell Motor (WV) 1924. Specimen. \$500. First Mortgage 5 1/2% Serial Gold Bond. Cs. Orange. Woman reclines with lion. VF+.
 (\$175-250)



1013 Maibohm Motors (OH) 1920. #717. 3 shs. Green.
 Seated woman with young boy at feet. Signed by H.C. Maibohm as president. CBN. VF+.*
 (\$200-300)

In 1916 H.C. Maibohm reorganized the family business, the Maibohm Wagon Company, which had been operating since 1886. The ads called the car "An All-American Sports Car". By 1920 production was about 30 cars per day. In May 1922 the company's creditors bought the firm for \$110,000 and turned it over to Arrow Motors.



1015 Moon Motor Car (DE) 1930. 7 shs. Green.
 Allegorical woman winds around MOON signpost. HBN. VF+.*
 (\$175-250)

By 1925 Joseph W. Moon was producing 13,000 cars a year, powered by Continental straight eight engines. Moon attempted to produce a high quality European-type automobile, but reliability problems with his earlier model, the Diana, hurt sales, and production was halted in 1930.



1016 New York Cab (NY) 1886. \$500. First Mortgage Gold Bond. Cs. Green. Wild horses. Fleur-de-lys logo, bottom. VF+.

(\$250-350)



1017 New York Transportation (NJ) Specimen. Shares. Brown. Sightseeing bus and automobile pass Grant's tomb. ABN. EF.

(\$200-300)



1018 Premier Taxi (IL) 1925. #186. 100 shs. Orange. Fancy taxi waits at gated entrance. CBN. VF+.*

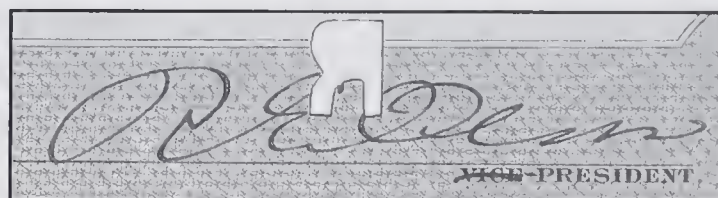
(\$300-500)

First of only two found.



1019 REO Motor Car (MI) 1916. #109. 25 shs. Olive. Winged REO logo flanked by semi-nude women. Signed by R.E. Olds as president. One punch affects the signature. RBN. VF.

(\$200-300)



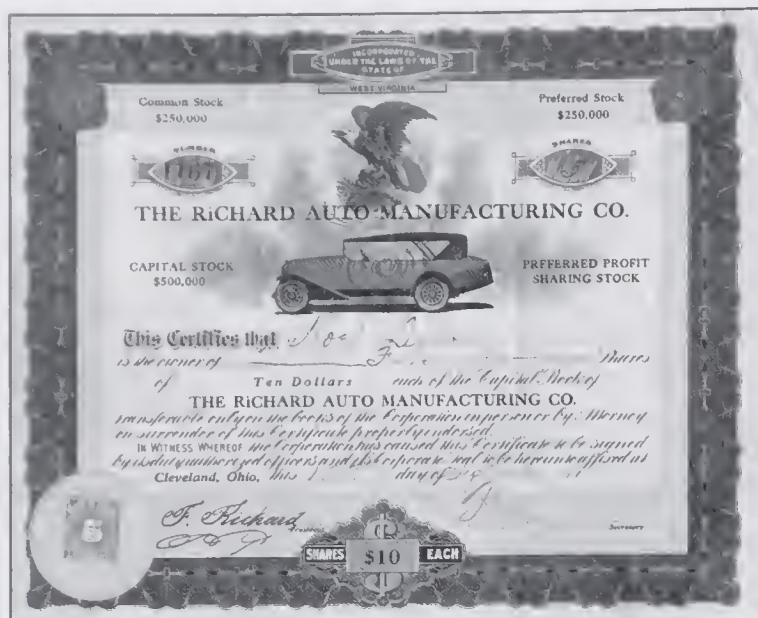
Ransom E. Olds (1864-1950), was the automobile inventor and manufacturer who founded the Olds Motor Works. The Oldsmobile, the first successful American car, was produced at Olds Motor Works.

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- 1020 Richard Auto Manufacturing (WV) 1916. 5 shs. Brown and orange borders. Eagle with spread wings. Touring sedan. VF.* (\$175-250)

Active between 1914-1919, this company built almost a hundred cars. When it failed in 1919, Francois Richard found further financial backing to build an altogether different car, La Marne, in the same factory.



- 1021 Rickenbacker Motor (MI) 1926. #5457. 100 shs. Green. Winged wheel leads three figures into the future. VF. (\$200-300)

The Rickenbacker was America's fastest stock car, and over 34,500 cars may have been built. Eddie Rickenbacker, World War I Ace fighter pilot, lent his name, prestige and reputation to the company, but had little to do with the actual management.



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- 1022 Stutz Motor Car of America (NY) Specimen. 100 shs. Red. Winged STUTZ logo flanked by allegorical figures. ABN. EF. (\$200-300)

No car is more closely identified with the era of the Charleston and bathtub gin. The Stutz Bearcat of the 1920's was a far cry from the one-cylinder auto that Harry C. Stutz created from pieces of farm machinery in 1898.



- 1023 Wyckoff Anderson Auto & Wyckoff Piano 1908. Sioux City, Iowa. \$85. Gold Bond Prize Certificate. Orange with gold seal and green ribbon. Convertible touring car and piano. VF. (\$250-350)



- 1024 Yellow Cab (NV) Specimen. 100 shs. Sedan taxi cab with passengers. SBN. EF. (\$250-350)

Shipping Certificates



- 1025 Accessory Transit of Nicaragua 1855. #102. \$1000.**
7% Bond. Side wheel steamship. Signed by **George Hoyt and Charles Morgan. VF+.*** **(\$500-750)**

Accessory Transit was organized by Cornelius "Commodore" Vanderbilt to move passengers and freight through Nicaragua. He hired C.K. Garrison as his agent in San Francisco, and Charles Morgan as his agent in New York. Morgan, who signed this certificate, was an American shipping and railroad magnate. He became the largest ship owner in the U.S., and held a virtual monopoly over transportation from New York to Texas. Morgan ran a line of sailing vessels to the West Indies, and had a regular line of mail steamers between New Orleans and Galveston.

Shortly after this bond was issued, a "war of the three commodores," involving Charles Morgan, Cornelius Vanderbilt and George Law, occurred. Accessory Transit competed openly with the Law-Aspinwall Lines, which were subsidized by mail contracts; Morgan and Garrison, on the other hand, manipulated the Transit's stock in such a way that they profited while Vanderbilt lost heavily. Vanderbilt was said to have stormed at them, "I will not sue you because the laws takes too long. I will ruin you." Vanderbilt did manage to unseat Morgan and Hoyt from the Board of Directors, but another headache immediately developed in the form of William Walker, who invaded Nicaragua with the support of Morgan and his associates! Vanderbilt sent his own mercenaries to Costa Rica, where they obtained a small force of native troops. Walker was defeated, and Vanderbilt was back in business in Nicaragua.



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- 1026 Aguan Navigation & Improvement (NY) 1887. #434.**
10 shs. Green. Sidewheel steamship. **VF+.***
(\$200-300)

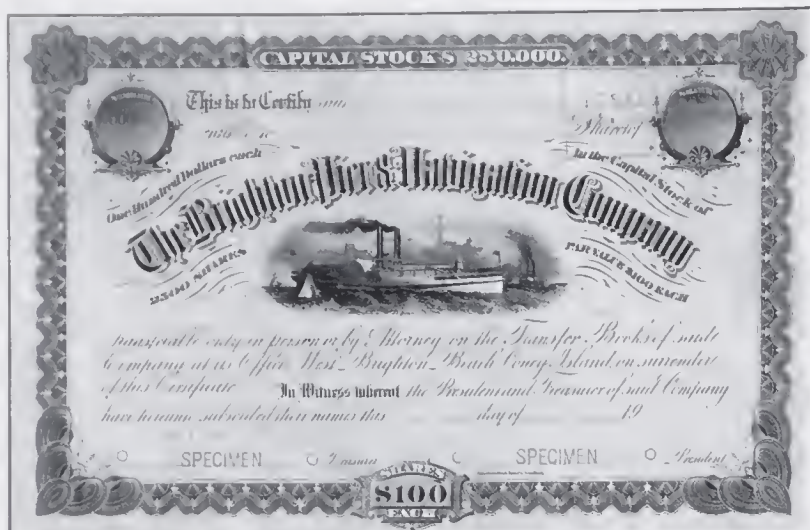
Wonderful Graphics!



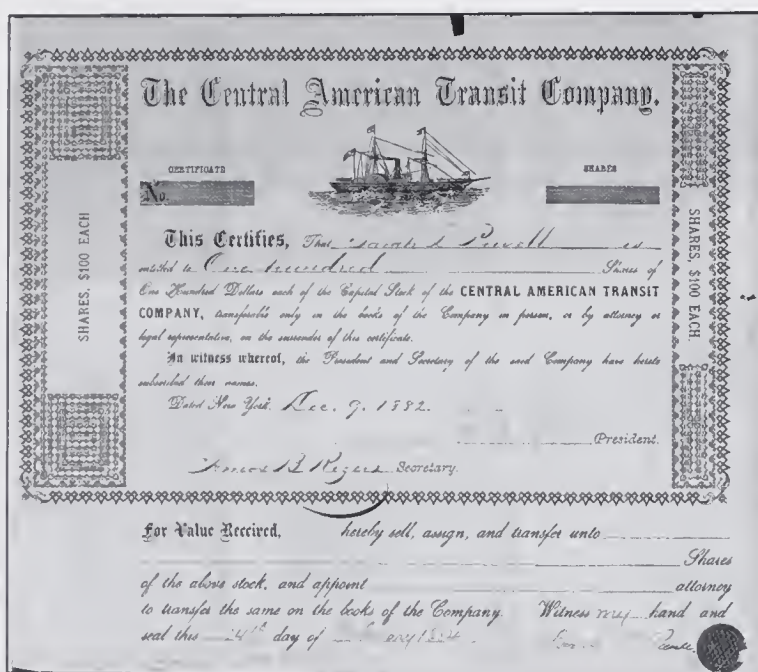
- 1027 American Nautilus Submarine (NY) 1856.**
#149. 10 shs. Diving bell anchored to the
ocean bottom recovers treasure, various ships
on the surface. Small diving bell, bottom. State
arms, left side. **VF.*** **(\$1,500-2,500)**
First we have seen and possibly unique.



Vignette Illustration



- 1028 **Brighton Piers & Navigation (NY) 1907.** Coney Island. Specimen. Shares. Green. Sidewheel steamship. ABN. EF. (\$200-300)



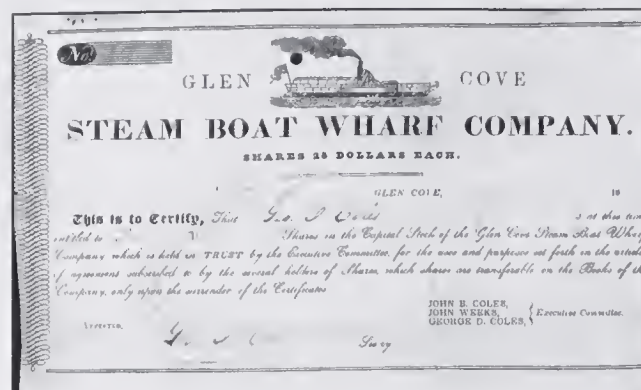
- 1029 **Central American Transit (NY) 1882.** 100 shs. Ocean going auxiliary steamer. Toned upper half, F.* (\$250-350)

The discovery of gold in California in 1849 had a dramatic impact on America's maritime industry. For a short time, any hull that would float was pressed into action, but as time went on, passengers, and merchants with high-priority freight shipments, offered to pay enormous premiums for fast reliable transportation between New York and San Francisco. The owners of the most successful packet lines were centered in New York, and they turned to New York shipbuilders for new and faster ships. We know of only one other example, not as nice.

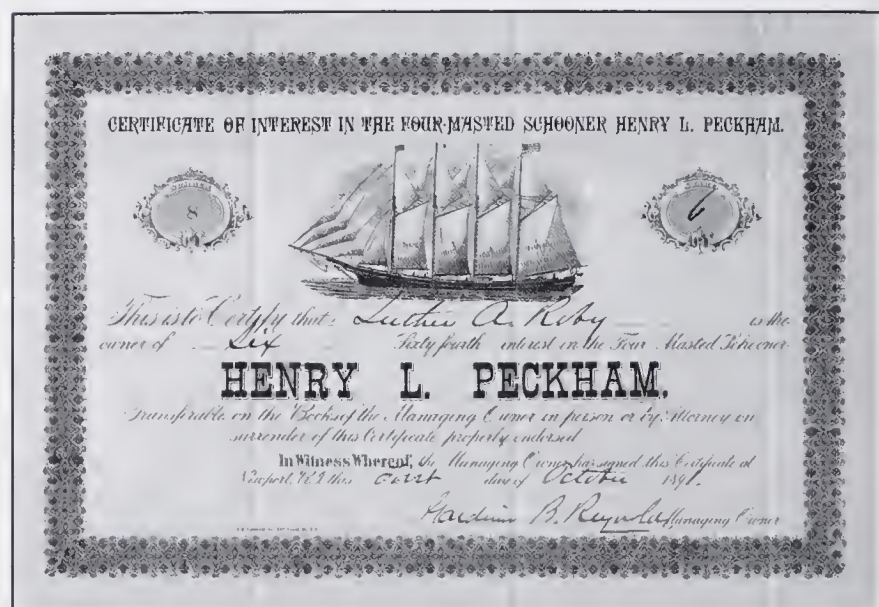


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Long Island Sound



- 1030 **Glen Cove Steam Boat Wharf (NY) 1835.** #4. 2 shs. Early sidewheel steamer. Ornate left border. Stains in the top margin only, punch hole above the steamer. VF+. (\$300-500)



- 1031 **Henry L. Peckham 1891.** Newport, Rhode Island. #8. 6/64 Interest. Four masted schooner. VF+.* (\$200-300)



- 1032 **Lake Navigation (NY) 1856.** #714. 10 shs. Sailing ship. Woman with trident, left. Sailor and ship both right. Sloop, bottom. Hatch. Minor fold split, VF. (\$250-350)

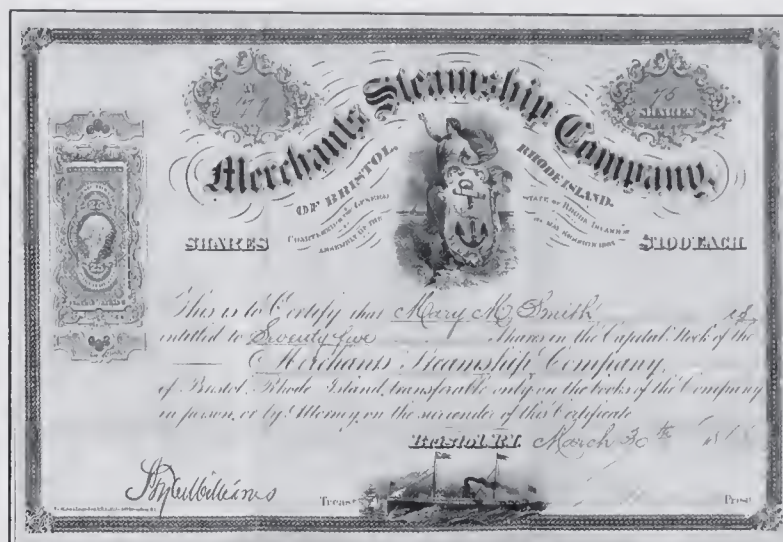
First we have seen.



- 1033 Leavitt Lusitania Salvage (DE) 1922. #138. 5 shs.**
Gold seal and underprint. Bald eagle. VF+.*

(\$175-250)

The Cunard liner Lusitania was torpedoed by a German submarine off the coast of Ireland on May 15, 1915, with 114 Americans on board. The ship still lies on the ocean bottom and exactly what her cargo consisted of remains a question today despite the fact that there have been numerous attempts to salvage her.



- 1036 Merchants Steamship (RI) 1866. Bristol. #177. 75 shs.**
Hope. Steamship, bottom. R48. VF+.*

(\$250-350)



- 1034 Marine Signal (CT) 1865. #58. 50 shs.**
Sailing ship. R44. VF.

(\$300-500)



- 1037 Morrisania Steamboat (NY) 1872. #255. 2 shs.**
Side wheel steamship. R112. VF.

(\$300-500)

Long Island Sound!



- 1035 Maritime Canal of Nicaragua (United States) 1892. #93. 8 shs.**
Eagle with outstretched wings. ABN. Trimmed closely, left. VF+.*

(\$250-350)

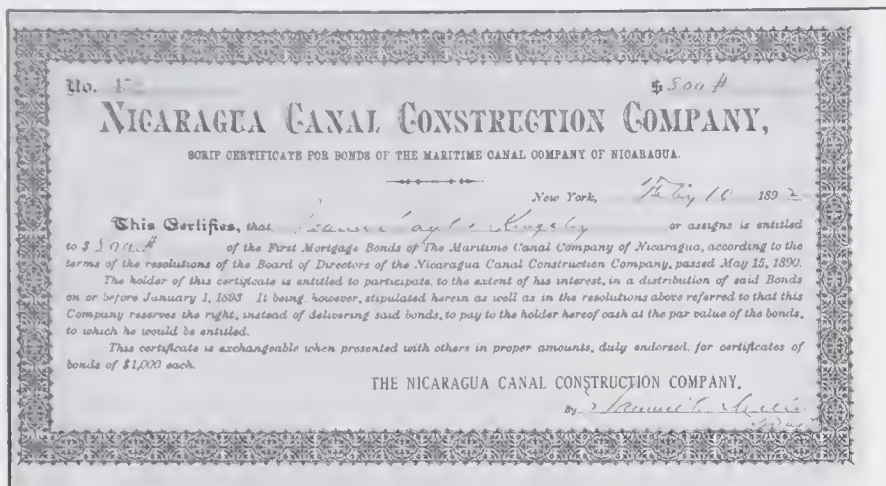
Only the second we have seen. The last realized \$350 in Sale No. 205.



- 1038 Musquito Cove Steam Boat Wharf. 1829. #21. One share.**
Early sidewheel steamer. Stains in top margin only, punch hole above steamer. VF+.

(\$300-500)

At the time this certificate was issued, transportation to the North Shore of Long Island from Manhattan was limited largely to shipping. The construction and operation of a wharf would have had the same impact then as the opening of an airport has today.



- 1039 Nicaragua Canal Construction (CO) 1892. #453. \$800. Scrip Certificate For Bonds of the Maritime Canal Company of Nicaragua. Ornate border. VF+.* (\$250-350)**

The Nicaragua Canal Construction Company was formed in Colorado at the turn of the century expressly for the purpose of surveying and building a canal across the Central American isthmus. The decision to select the Nicaraguan route over the Panamanian or Mexican routes was apparently made by President Theodore Roosevelt, who had been in office only a few weeks. Nicaragua looked like a good bet because the engineering paths to Lakes Managua and Nicaragua along the San Juan River seemed straightforward, as did the sixteen mile route down the mountain to the Pacific Coast. The alternative offered by the New French Panama Canal Company, which demanded \$109 million for its interests, was generally viewed as being too high a price for what the United States would be getting in exchange.

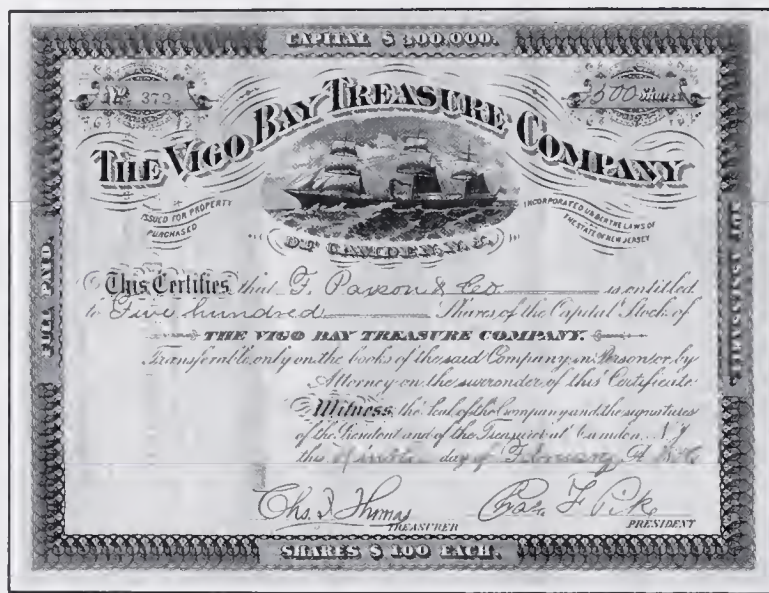
In 1901-2, however, a series of events transpired which resulted in the ultimate construction of the Panama Canal. Nicaragua unwittingly put out an issue of postage stamps showing smoking volcanoes, some of which were in the vicinity of the proposed canal route. France, anxious to salvage something from the ruins of their hopes, lost no time in exposing the risks posed by the volcanoes, and cut their bid to \$40 million. President Roosevelt wanted a canal to be finished as soon as possible, and since the Nicaraguan route would take more time than the Panamanian route, the Panamanian route was finally decided upon. The Nicaragua Canal Construction Company was wound up and apparently most of its bonds were left unpaid. Prior to this offering, we have handled only two other pieces from this company – a bond and an unissued stock.



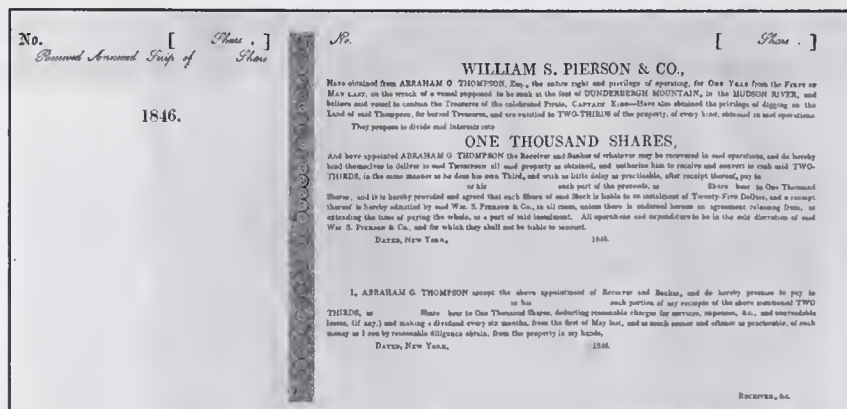
- 1040 Philadelphia & Atlantic Steam Navigation (PA) 1851. #325. 5 shs. Steamship. Fulton, Hope and Franklin, all left. TC. VF+.* (\$250-350)**



- 1041 Pocomoke Bay Oyster (NJ) 1886. #92. 100 shs. Brown "10" underprint. Fully rigged ship. Scarce and unusual subject. EF.* (\$250-350)**



- 1042 Vigo Bay Treasure (NJ) 1886. #372. 500 shs. Three masted steamship. VF+.* (\$600-900)**



- 1043 William S. Pierson (NY) 1846. Share certificate. Unissued. Black on blue. An extremely unusual piece of history. EF. (\$250-350)**

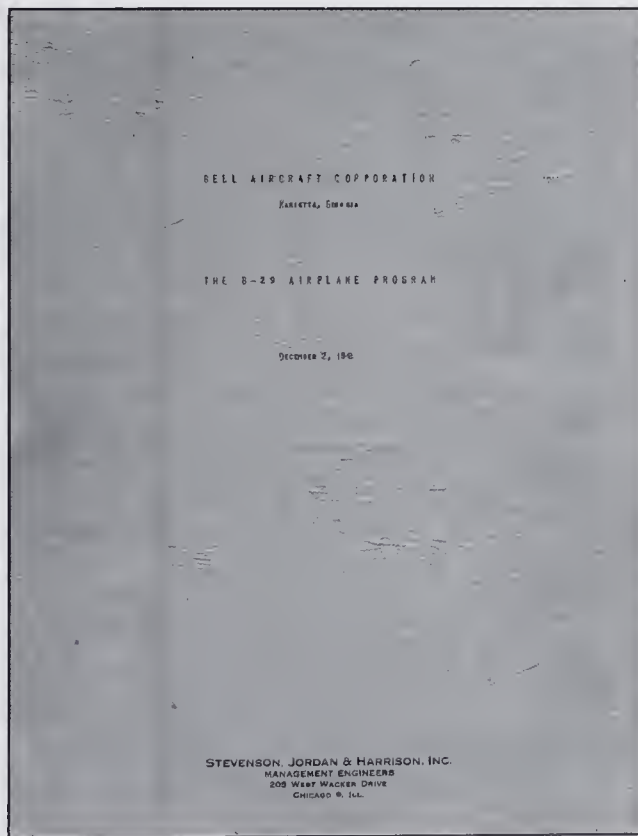
This company was a classic scam, in an era marked by some ingenious examples of the art form. Abraham G. Thompson claimed to have a map marking the location where Captain Kidd had sunk a vessel containing his loot. Since Kidd did his looting in the Indian Ocean, and such money as he got (he was a notoriously incompetent pirate) was spent there, this was pure fiction that could only fool the ignorant. To give credit to this otherwise bald and unconvincing narrative, the site in the Hudson River near Dunderberg Mountain was salted with some treasure pieces which the promoter claimed to have fished up. The scheme gave total discretion to the promoters as to how the funds were to be spent, thus giving the shareholders no recourse to recover their subscription. The chief purpose of this scheme was to pocket the down payment of \$25 per share.

Aviation Certificates



1044 Bell Aircraft (NY) Specimen. 100 shs. Orange. Mercury in front of globe as three early jets soar by. E.A.Wright. **EF.** (\$200-300)

An important manufacturer of military and commercial use helicopters, aircraft and rockets. Bell manufactured aircraft during World War II, such as the B-29 superfortress. In 1946, the Bell 47 was the first helicopter certified for commercial use.



1045 B-29 Airplane Program December 2, 1943. An interesting legal folder regarding the development of the B-29 bomber. The report profiles delays and difficulties experienced by the Bell Aircraft Corporation generally as a result of non-cooperation by Boeing. The folder contains a history of the B-29 Program, and information on the B-29 Committee, Engineering Division and Manufacturing Division. Also contains various related fold-outs. An unusual and historic archive. **VF+.** (\$200-300)



1046 Berliner-Joyce Aircraft (MD) 1929. #64. 100 shs. Green. Unusual two-seat bi-plane. EAW. **VF+.*** (\$500-750)

First we have seen. The company was organized in 1929 as the successor to Berliner Aircraft organized in 1926. The company manufactured a two-seat tandem cabin monoplane. In 1930 North American Aviation acquired the company.



1047 Flamingo Air Service (DE) 1946. #1611. 100 shs. Brown. Twin engine airplane. **VF.*** (\$200-300)

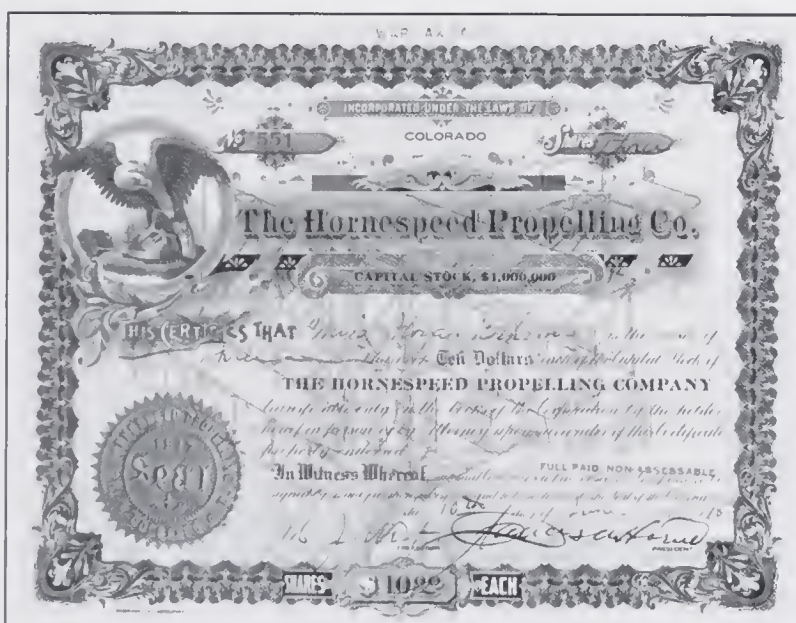


1048 Golden Eagle Aircraft (NV) 1929. #251. 10 shs. Green. Eagle perched on rock. **VF+.*** (\$200-300)
First we have seen.

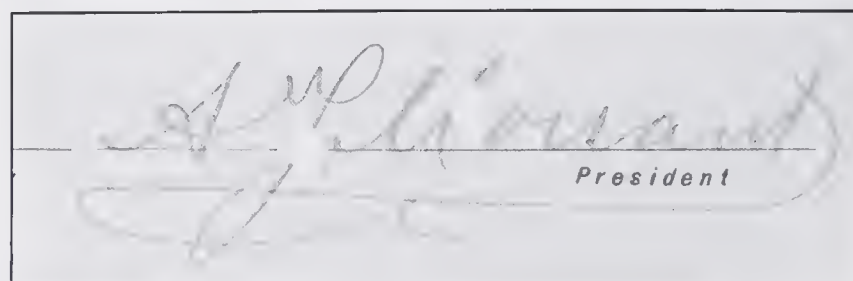
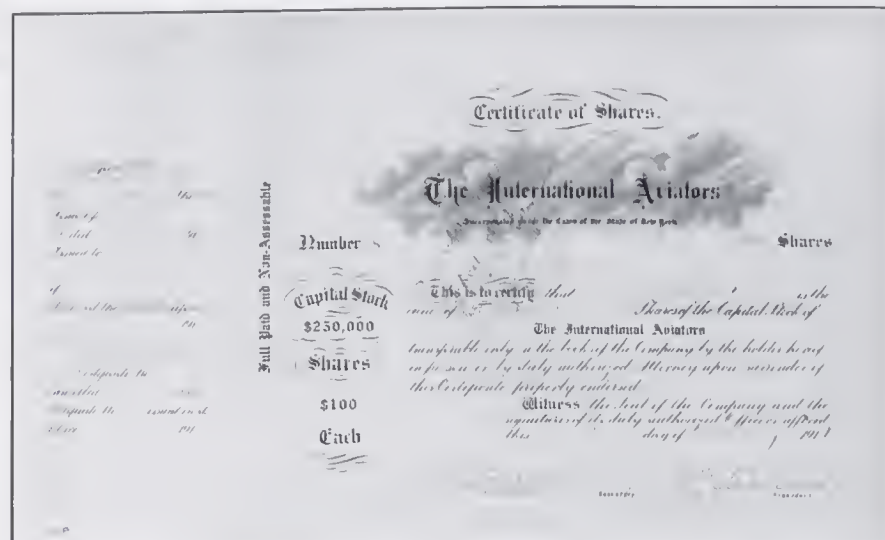


- 1049 **Gray Goose Airways (NV) 1932. #15727. 50 shs.**
Gold seal and underprint. Flying goose, left. **VF+.**
(\$200-300)

This company sold a number of its shares but built only two aircraft on its Denver site. The first fell to pieces on the runway, and the second flew only once. The company closed after charges of stock fraud, leaving the shareholders with nothing. The body of Jonah Caldwell, the secretary who signed the certificates, was found on his Maryland farm. His murder was never solved.



- 1050 **Hornespeed Propelling (CO) 1918. #551. 3 shs.**
Gold embossed seal and underprint. Large red overprint featuring an early aircraft with a unique propelling system. Eagle on dome. Handsome and unusual. **VF+.***
(\$1,000-1,500)



- 1051 **International Aviators (NY) 1911. #8. 300 shs.** Title in clouds. Signed by **Alfred Moisant** as president. Two punches affect his signature. Also signed again on the stub. **VF+.**
(\$500-750)

This company was formed by Alfred Moisant, brother of the early famous aviators John B. Moisant and Matilde Moisant. While aviation inundated the European countryside, flying in the United States was all but confined to a few hardy demonstration performers who carried it to the people at county fairs or carnivals. The first serious attempt to promote such local exhibitions was a direct outgrowth of the Belmont Park Tournament. A group of contestants were recruited by John B. Moisant.

This enterprise was to signal the birth of barnstorming and the "Flying Circus". Traveling by train with a built-in repair shop, the entourage included a tent and a dozen roustabouts, a dozen ticket sellers and press agents, a dozen aeroplanes and their mechanics, and eight death defying aviators. Under the name Moisants International Aviators, the troupe started out from New York, opening in Richmond. It then went on to Chattanooga, Memphis, Tupelo, New Orleans, Dallas, Fort Worth, Oklahoma City; back to Texas, down into Mexico, and finally to Havana, Cuba.

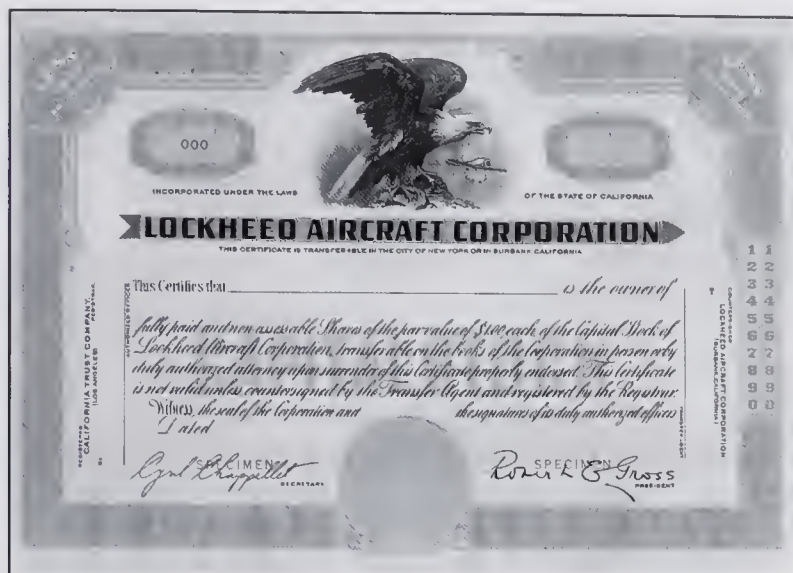
The odyssey was fraught with countless adventures, including a crash that killed the leader of the expedition. When the tour was over and the troupers disbanded in early 1911, Alfred Moisant returned to New York and opened an aviation school at Hempstead Plains, near Garden City, Long Island.

According to the consignor, only nine stocks were issued and six are known.

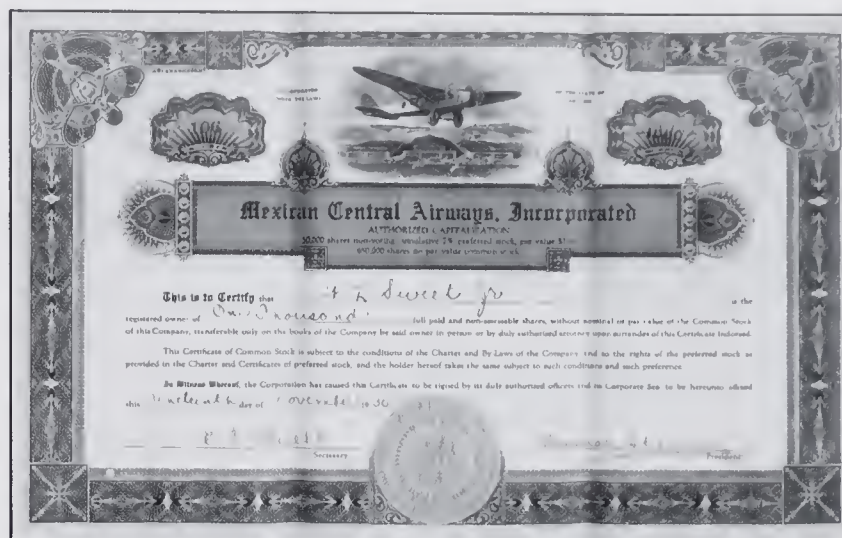


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If you are thinking of selling your stocks & bonds, please remember that we are active buyers. We will gladly make serious offers on single items, estates, collections, and corporate or institutional archives.



1052 Lockheed Aircraft (CA) Specimen. Shares. Orange. Eagle perches atop rock, jet in distance. Jeffries Banknote. EF. (\$250-350)



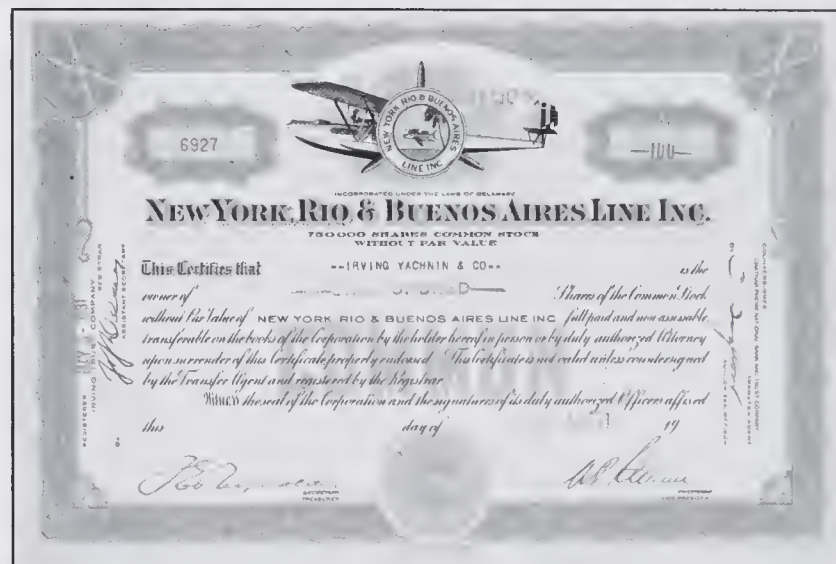
1054 Mexican Central Airways (AZ) 1930. #106. 1000 shs. Green. Tri-engine monoplane, hangars and planes in distance. VF+. (\$300-500)

Very Early Aviation



1053 Marriott's Aero-Plane (For Navigating the Air) 1881. San Francisco. #146. 11 shs. Ornate black border. Issued to and signed as president by Frederick Marriott. Junction hole, VF. (\$1,000-1,500)

In 1842 Frederick Marriott, John Stringfellow and D.E. Columbine joined with William Henson and the Aerial Transit Company to raise funds for the construction of a full scale model of the "Ariel". The airship was to have a web shaped tail and a vertical rudder with landing wheels beneath the car. Despite the fact that no accurate aerodynamical data was available and that the "Ariel" never flew, the airship possessed many features of modern airplanes. Marriott was eventually bought out of this venture by his partners, but he never gave up on the idea of flight.

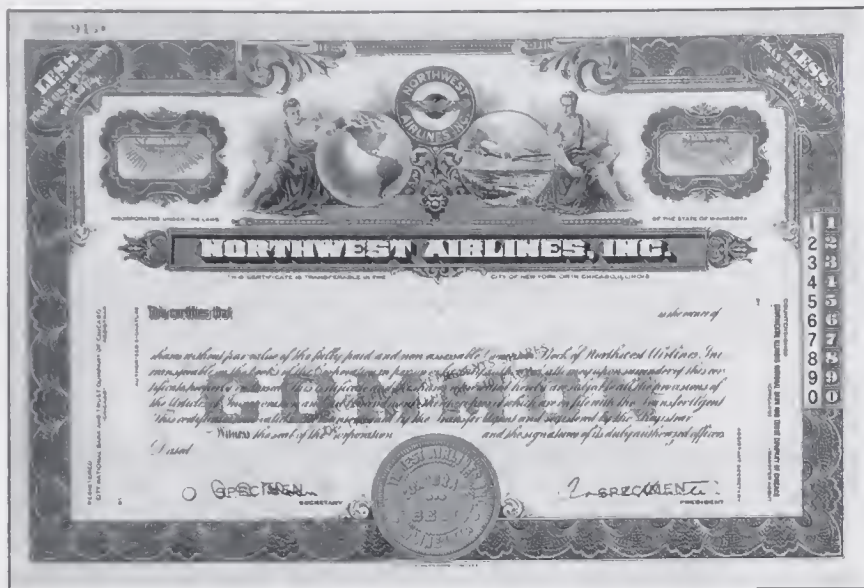


1055 New York, Rio & Buenos Aires Line (DE) 1931. #6927. 100 shs. Orange. Early hydroplane with flying fish logo in forefront. EAW. VF+. (\$300-500)

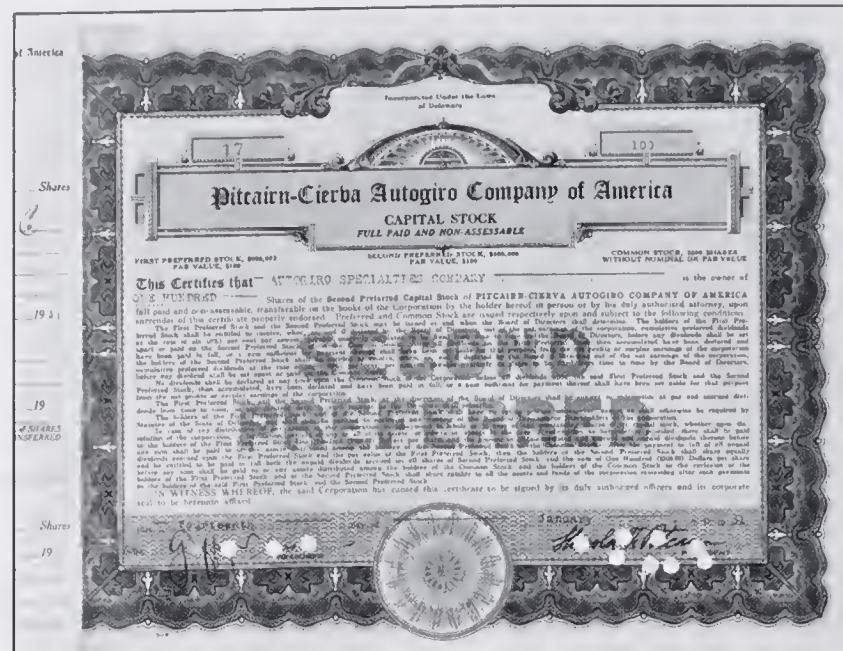
This company was nicknamed "Nybra" and was organized in 1929. The company operated 10,000 miles of air routes between the U.S., Cuba, Haiti, Virgin Islands, Trinidad, Venezuela, Brazil, Uruguay, Argentina, Chile and other locales. In 1931 Aviation Corporation of the Americas merged with the company, and the line became part of the Juan Terry Trippe empire.



1056 North American Lloyd Aircraft (AZ) 1929. #45. 1000 shs. Orange. Eagle atop rock. VF+. (\$175-250)
First we have seen.



1057 Northwest Airlines (MN) Specimen. Shares. Green.
Logo flanked by jet and allegorical males. SBN. EF.
(\$200-300)



1059 Pitcairn-Cierva Autogiro of America (DE) 1931.
100 shs. Brown. Ornate border. VF+. (\$350-500)
Also included is a brochure detailing this unusual aircraft that also has a rotary propeller.



1058 Piasecki Aircraft (PA) Ca. 1955. Specimen. Shares.
Green. Eagle with outstretched wings. EF. (\$250-350)
Frank Nicholas Piasecki was an aeronautical engineer and pioneer for the development of transport helicopters and vertical lift. In 1940 Piasecki founded and headed a research group, the PV-Engineering Forum. Piasecki flew their first helicopter, the PV-2, on April 11, 1943; this was only the second successful helicopter to fly in the U.S. This technical achievement attracted the attention of the U.S. Navy, who awarded the young designer a contract for the construction of a large tandem rotor helicopter capable of carrying heavy payloads in a large cabin.

Thirteen months later, in March 1945, Piasecki flew the world's first successful tandem rotor helicopter, which was also the first helicopter designed for the U.S. Navy. Popularly called the "Flying Banana", it was the forerunner of modern tandem rotor transport and was capable of carrying as many passengers as comparable fixed wing airplanes.



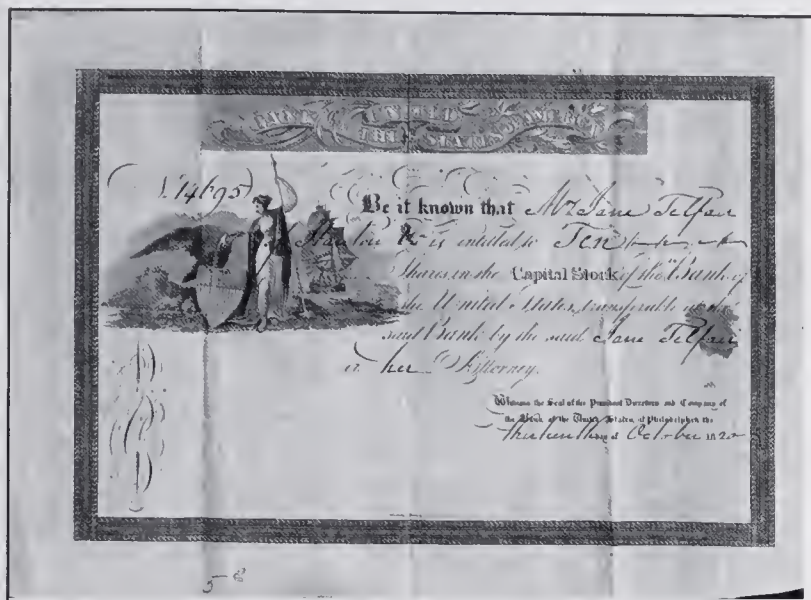
1060 Universal Aerial Navigation (AZ) 1911. #283. 909 shs.
Gold seal. Captivating vignette that resembles something out of Star Wars! Two futuristic and highly improbable aircraft are captured in the searchlights of a third while they all float effortlessly in the moonlit sky rimmed with mountains. VF+. (\$800-1,200)



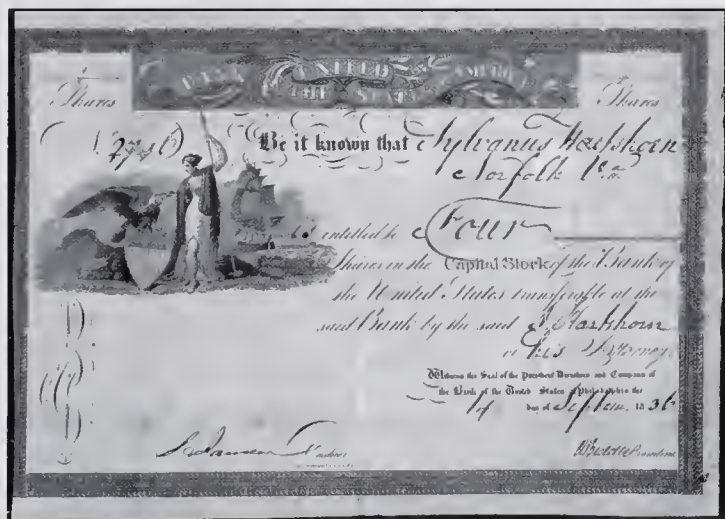
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Banking & Insurance Certificates



- 1061 Bank of the United States 1820. Philadelphia. #14695. 10 shs.** Liberty with eagle and shield, ship in distance. Certificate bears the official bank seal but it is not signed by a bank official and there is no such place designated for such a signature. Minor ink stain, right. **VF.*** (\$300-500)

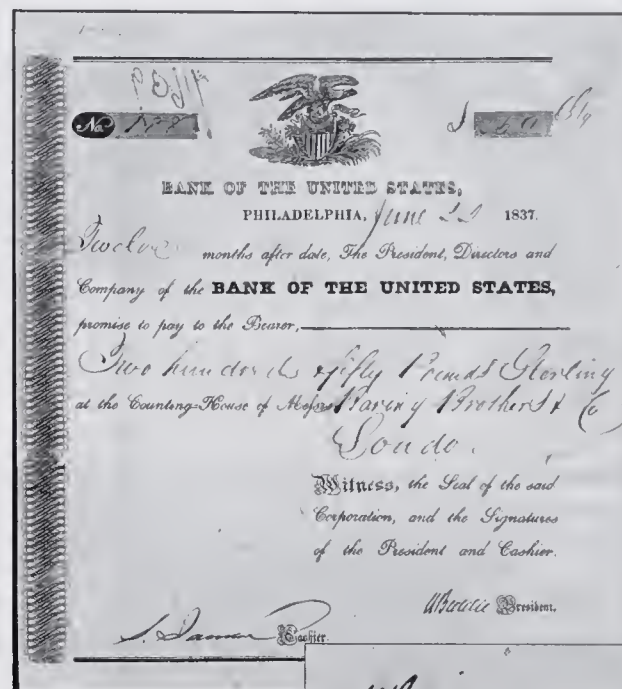


- 1062 Bank of the United States (PA) 1836. #2796. 4 shs.** Eagle with shield. Signed by **Nicholas Biddle** as president. Light ink corrosion with reinforcement on the reverse, **VF.*** (\$300-500)

The Bank of the United States was a quasi-public institution partially owned by the Federal government. The shareholders eventually became disenchanted with the bank, blaming it for every downturn in the economy. President Andrew Jackson was so vigorously opposed to the bank that he led a fight against the renewal of its charter which expired in 1836.

**We welcome visitors to our
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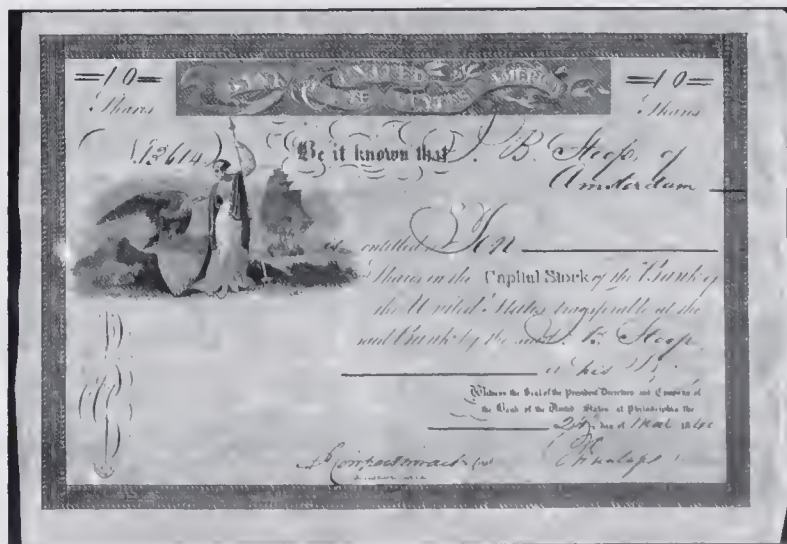
If you plan to be in New York,
Call or drop by!



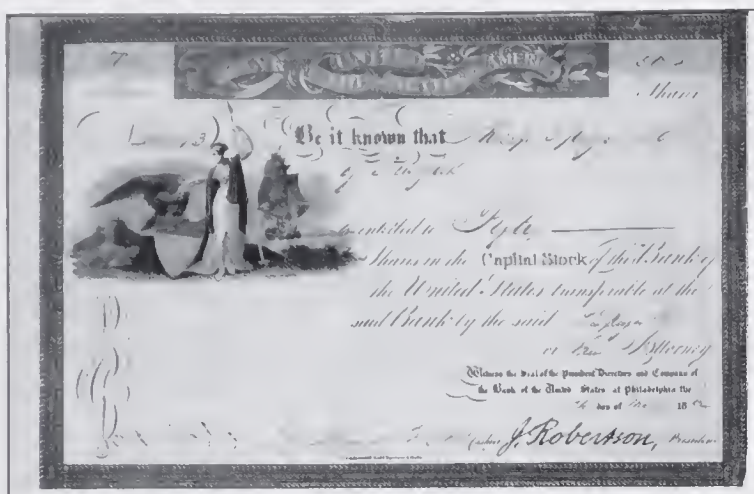
- 1063 Bank of the United States 1837. Philadelphia. #188. £250.** Promissory Note. Eagle with shield. Signed by **Nicholas Biddle** as president. **VF+.** (\$500-750)

Nicholas Biddle (1786-1844) was an important American financier. In 1819, President Monroe appointed him a government director of the Bank of the United States. He became its president in 1823. Biddle believed in the necessity of a central banking institution to stabilize the currency and curb the inflationary tendencies of the times. He became the leading target of the Jacksonians in their war against the bank. After the bank failed to recharter, Biddle operated it as a private bank until it collapsed in 1841.

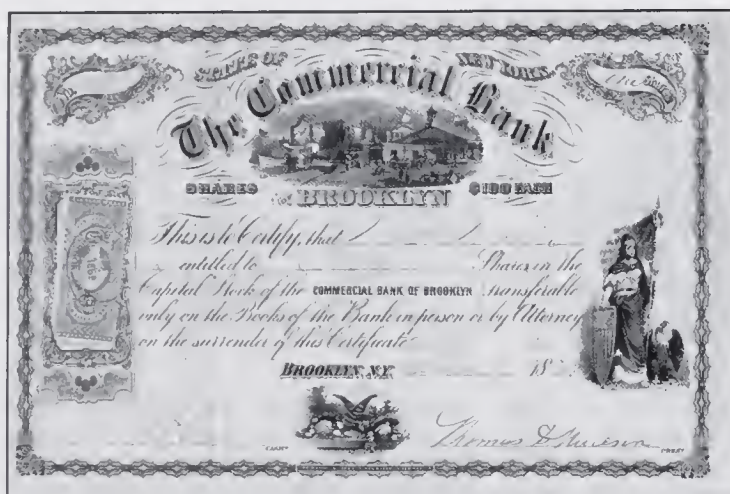
- 1064 Bank of the United States (PA) 1837. #4669. 35 shs.** Eagle with shield. Signed by **Nicholas Biddle** as president. Biddle's signature is affected by a large punch cancel. Framed behind glass. Not examined out of frame. Minor ink corrosion. **VF. (np)** (\$250-350)



- 1065 Bank of the United States 1840. Philadelphia. #13614. 10 shs.** Liberty, eagle on shield, ship. UBSH. Light staining on centerfold, **VF.*** (\$300-500)

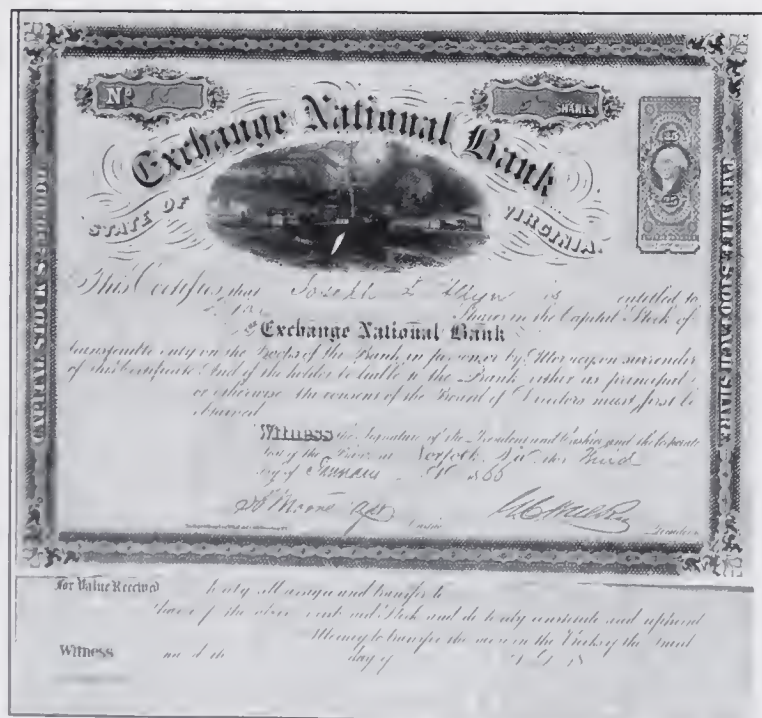


- 1066 Bank of the United States 1852. Philadelphia. #26443. 50 shs. Liberty, eagle with shield, ship. UBSH. Transfer attached, VF. (\$300-500)

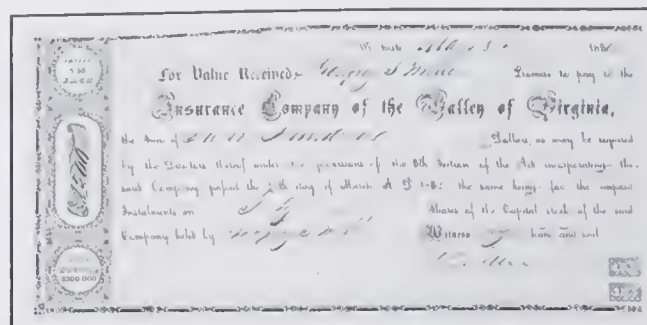


- 1067 Commercial Bank of Brooklyn (NY) 1869. #65. One share. Busy rail terminal by dock with ships. Columbia, right. Cornucopia, bottom. R44. VF.* (\$175-250)

First we have seen.



- 1068 Exchange National Bank (VA) 1866. #85. 5 shs. Steamships. VF.* (\$250-350)



- 1069 Insurance Co. of the Valley of Virginia (VA) 1856. Winchester. 40 shs. Ornate border. First certificate we have seen relating to this early Virginia company. VF+.* (\$200-300)



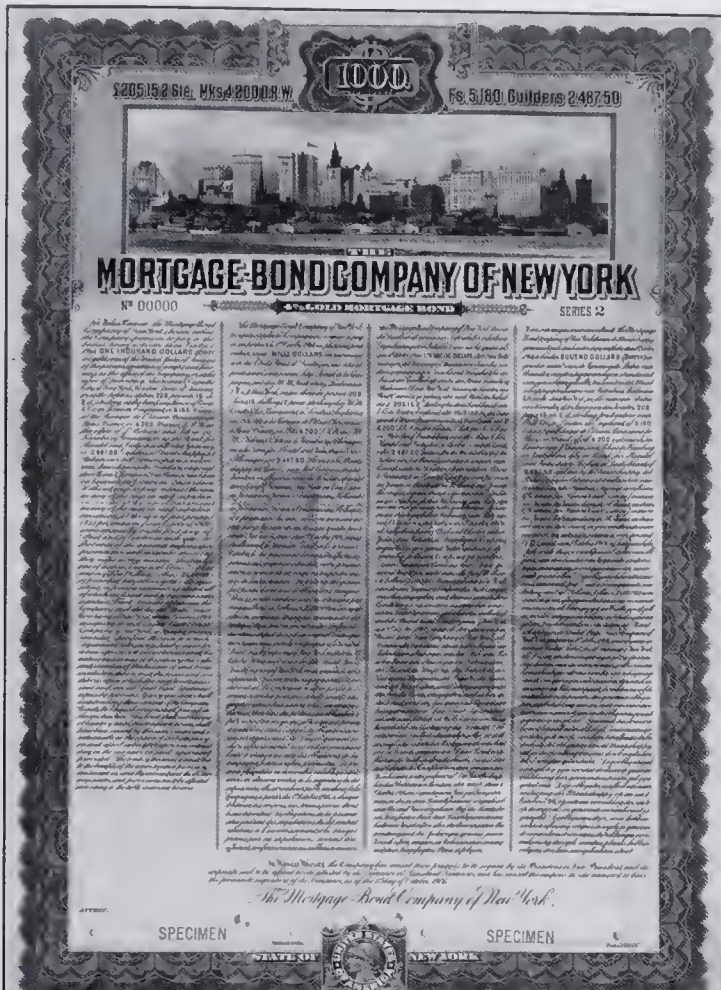
- 1070 Lancaster County Loan (PA) 18_. Proof on card. Shares. Farming couple. Allegorical woman with portrait of Washington and classical busts, left. Trimmed top border, EF. (\$200-300)



- 1071 Merchants Insurance of the City of Mobile 1855. #742. 6 shs. Full view of the Riverboat "Alabama & Tombighee", behind is a city view. Sailor with sextant, capstan and ships, below. Somewhat aged, but this is probably the only example available. F. (\$200-300)



Please note that a 15% buyer's premium will be added to each lot purchased.

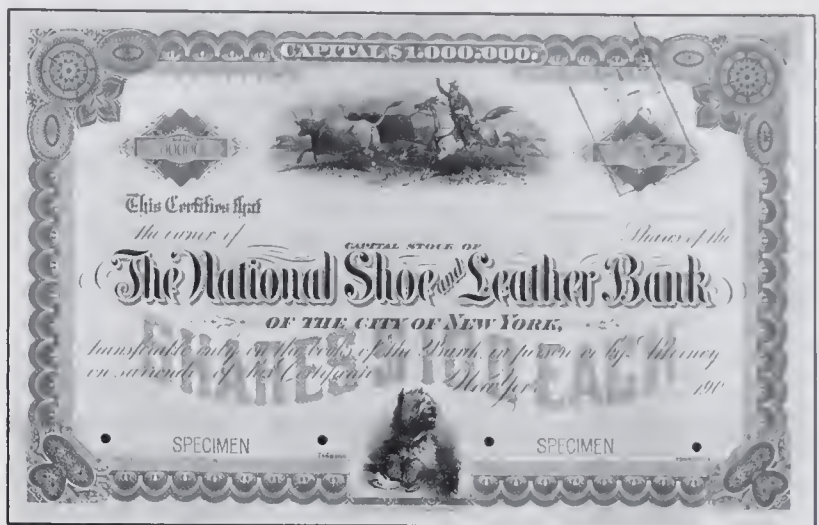


Vignette Inset



- 1072 Mortgage-Bond of New York (NY) 1906. Specimen. \$1000. Gold Mortgage Bond. Cb. Green. View of Manhattan. ABN. VF+. (\$250-350)

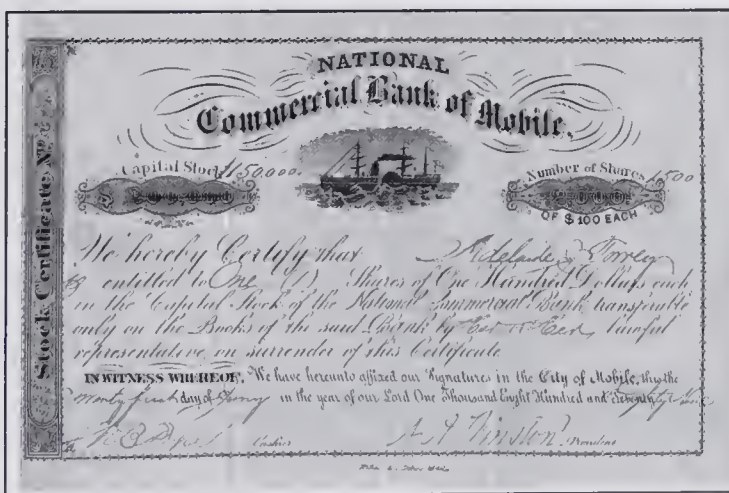
Also included is a proof on card of the vignette.



- 1074 National Shoe & Leather Bank (NY) 190_. Specimen. Shares. Brown. Cowboy ropes cattle. Terrier guards safe and key, bottom. ABN. EF. (\$200-300)



- 1075 North Carolina State Life Insurance (NC) 1874. #15. 50 shs. Green. Woman with shield and cornucopia "Provision and Protection for All". Woman leans on shield, left. VF.* (\$200-300)



- 1073 National Commercial Bank of Mobile (AL) 1889. One Share. Side wheel steamship. VF.* (\$175-250)



The Art of the Market: Two Centuries of American Business As Seen Through Its Stock Certificates

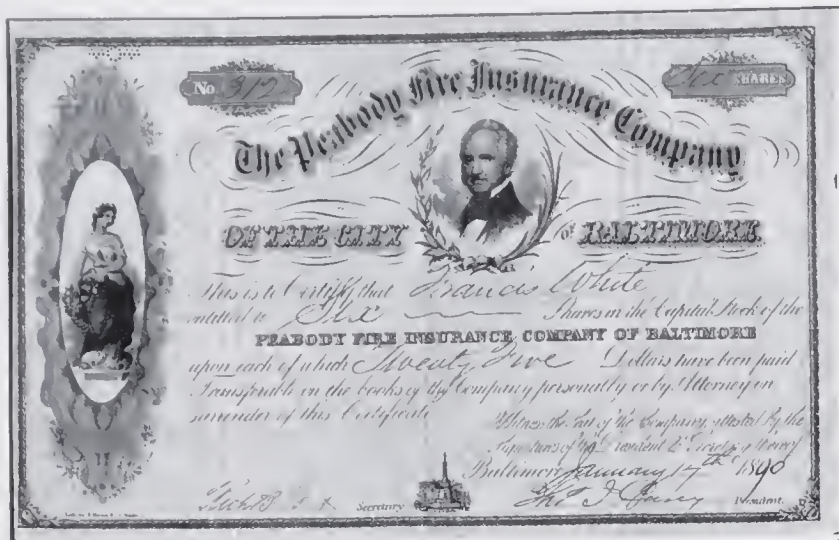
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by Bob Tamarkin & Les Krantz

1999. 176pp, hard cover, illustrations.

A handsome coffee table type book suitable for a gift, Art of the Market chronicles the evolution of stock certificates, with much emphasis placed on their design and artistry.

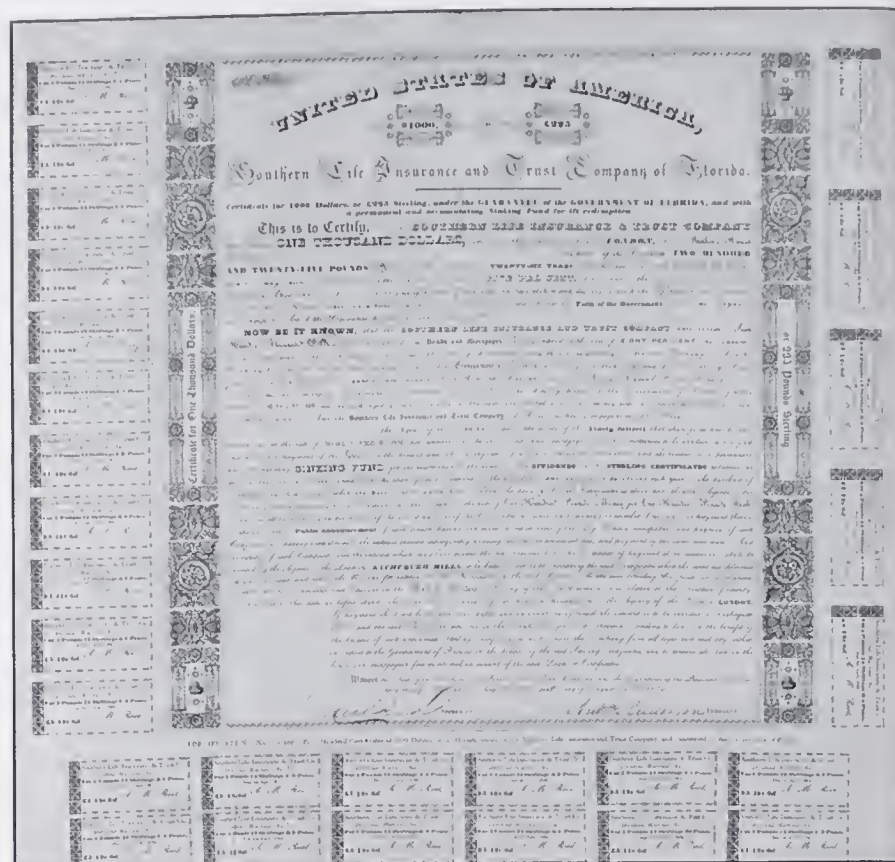
To order, call 800-622-1880.



1076 Peabody Fire Insurance (MD) 1890. Baltimore City. #312. 6 shs. Portrait of Peabody. Ceres, left. Monument, bottom. VF. (\$200-300)



1077 Rutland Trust (VT) 1883. #45. 115 shs. Title flanked by St. Bernard's head and state arms. VF+. (\$200-300)



1078 Southern Life Insurance & Trust of Florida (Territory of Florida) 1839. #30. \$1000. 5% Bond. Ornate border. Coupons underneath and at the sides. Handsome and in excellent condition. One of only 400 bonds authorized issued, guaranteed by the Government of Florida. VF+. (\$1,000-1,500)

This bank and insurance company was established in 1839. Again to help this firm raise its capital, the Territory offered its credit to make the company bonds saleable. In accordance with the Act of February 14, 1835, the bank issued 400, \$1000 bonds payable in sterling in London, each with the Territorial guarantee printed on the back and signed on the back in 1839 by Governor Call.

Financing The American Revolution

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In Financing the American Revolution, Udo Hielscher accomplishes something that has eluded almost every other author has written about American economic history. He somehow finds a way to make the subject interesting, accessible and visible. This handsome 95-page volume, published by the Museum of American Financial History, features 43 premium quality, full color plates of rare original documents, presented as a truly cohesive collection. Both the text and captions are well organized, and they are written in an informative and entertaining style.

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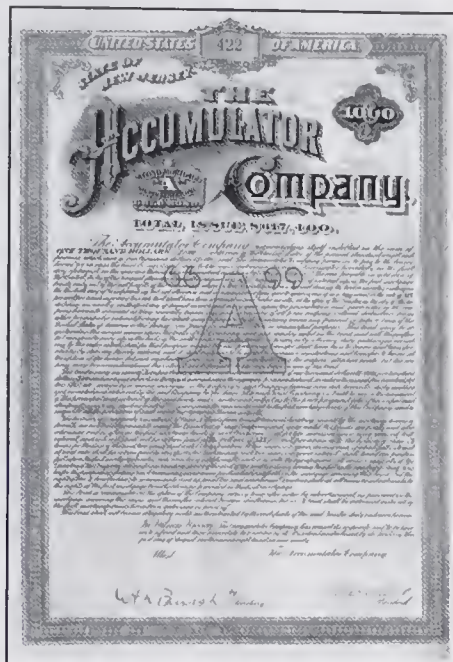
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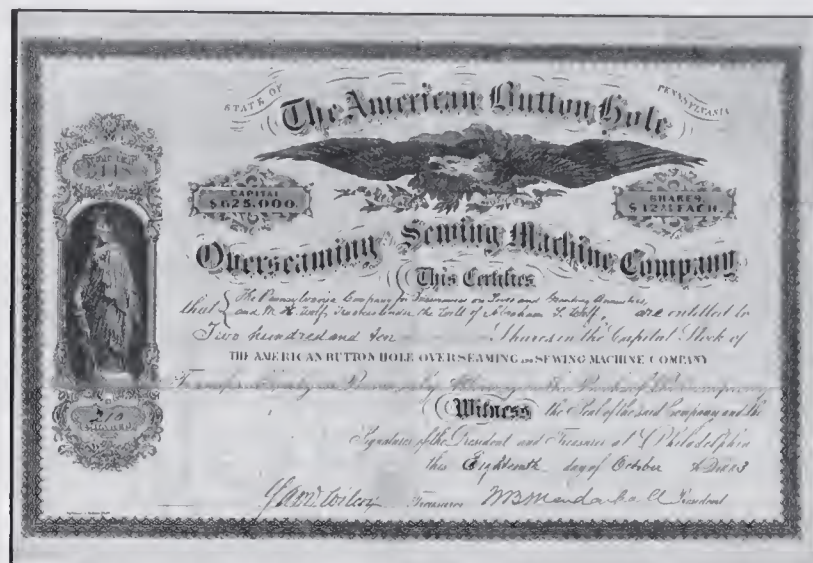
General Certificates I

- 1079 Accumulator Company (NJ) 1890.** #422. \$1000. Second Mortgage 6% Income Gold Bond. Cs. Green. Signed by **Theodore Vail** as president. VF+.*
(\$500-750)
Theodore Newton Vail (1845-1920) was an

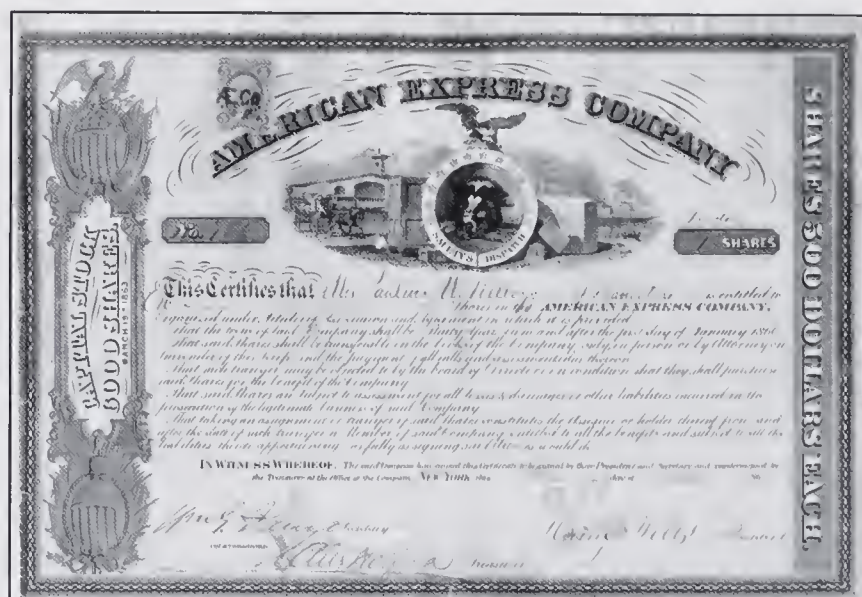
Theodore Vail
President



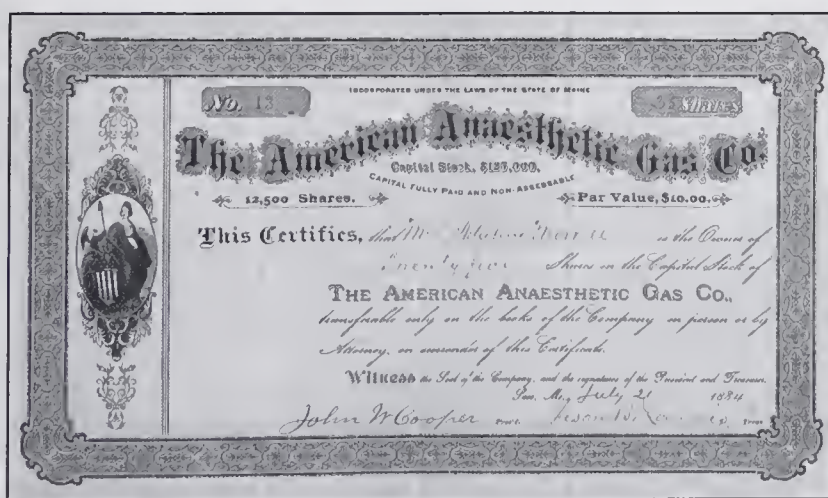
American capitalist. Vail began his career with the railway mail service. Impressing his supervisor with his remarkable efficiency, he rose to the position of general superintendent. Thomas A. Watson, Professor Bell's earliest associate, described Vail as "a thousand-horse-power steam engine wasting his time at the U.S. Railway mail service." In 1878 Watson offered Vail the position of general manager of American Bell Telephone Company. Most believed the new device could only be used for local communication. Vail was a visionary who believed in the wonderful possibilities of the telephone. Upon Vail's initiative every possible improvement was employed. In 1885 he became the first president of American Telephone & Telegraph, which was, at first, a specialty manufacturer of long distance communication equipment. Vail is best remembered as the chief organizer of the telephone business. In a little more than 40 years he "made neighbors of a hundred million people." The last example we offered realized \$1100 in sale 194.



- 1081 American Button Hole Overseaming & Sewing Machine (PA) 1883.** #3148. 210 shs. Eagle with spread wings. Columbia, left. VF.*
(\$175-250)



- 1082 American Express (NY) 1863. Type IV. #752. One Share.** 6000 Shares Capital Stock. Dog faces left in circle flanked by depot and harbor scene. Boldly signed by **William G. Fargo**, secretary, **Alexander Holland**, treasurer and **Henry Wells**, president. A couple of small pieces of tape on the reverse, VF.
(\$400-600)



- 1080 American Anaesthetic Gas (ME) 1884.** #13. 25 shs. Liberty with eagle and shield, left. VF+.*
(\$200-300)

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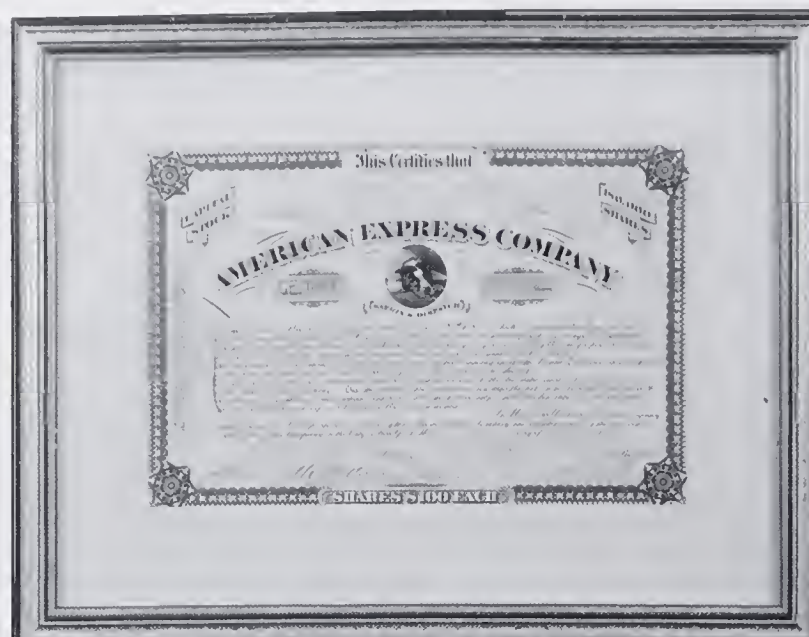
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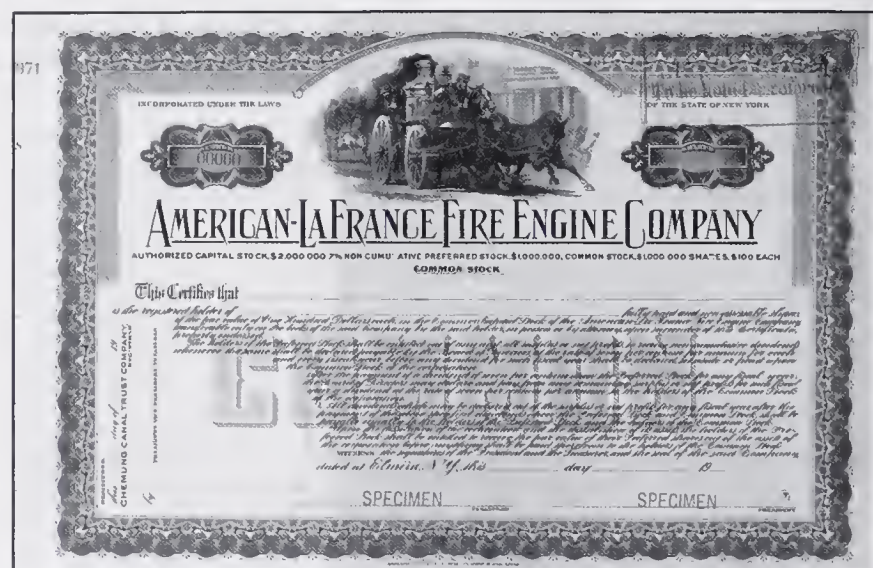
- 1083 American Express (NY) 1863. Type IV. #1010. 6 shs. 6000 Shares Capital Stock. Dog faces left in circle flanked by depot and harbor scene. Signed by **William G. Fargo**, secretary, **Alexander Holland**, treasurer and **Henry Wells**, president. Lightly waterstained as typically found, VF. (\$500-750)



- 1085 American Express (NY) 1873. Type VI. #13694. 5 shs. Type VI. Orange underprint. Dog in circle faces right. Signed by **William Fargo**, president and **Alexander Holland**, treasurer. Clean and uncanceled signatures. Tastefully framed. Overall dimensions 13" x 16 1/2". EF. (\$700-900)



- 1084 American Express (NY) 1896. Type VI. #38792. One Share. Orange underprint. 180,000 shares. Dog faces right. Clear and uncanceled signature of **James Fargo** as president. VF+. (\$500-750)



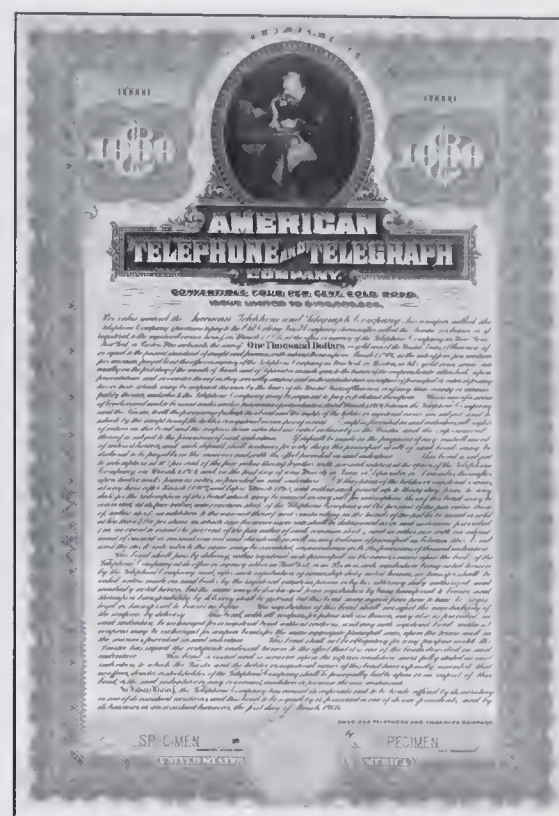
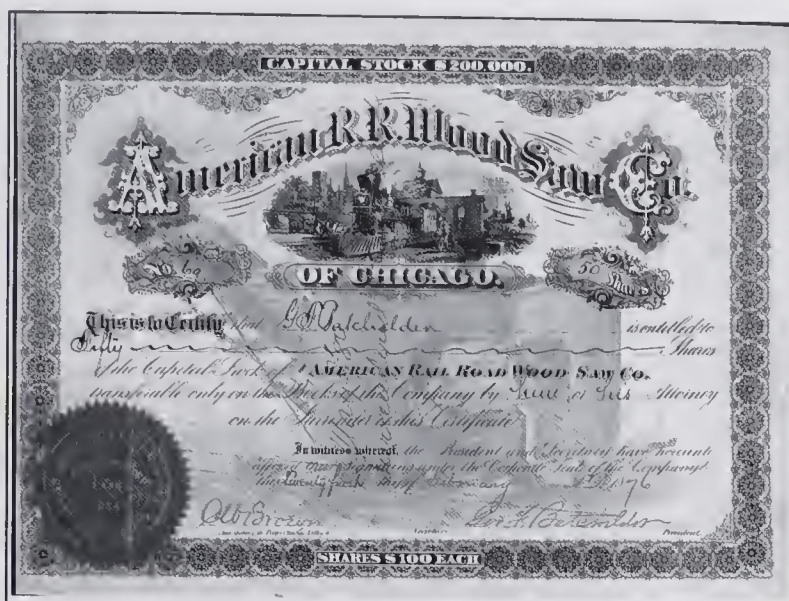
- 1086 American-La France Fire Engine (NY) 1900. Specimen. Shares. Green. Smoke pours out of the boiler on a steam powered pumper pulled by two galloping horses and driven by a heroic fireman. ABN. EF. (\$200-300)



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- 1087 American Plate Glass 1857. Brooklyn. #314. 10 shs. Eagle. Woman with bales, upper left. State arms, upper right. Phoenix, bottom. George Washington, lower right. VF.* (\$200-300)



- 1088 American RR Wood Saw of Chicago 1876. 50 shs.**
Red corporate seal. Train at depot. Huge green underprint of a railroad woodsaw with a detailed cut-away showing the internal mechanism. VF+.
(\$200-300)

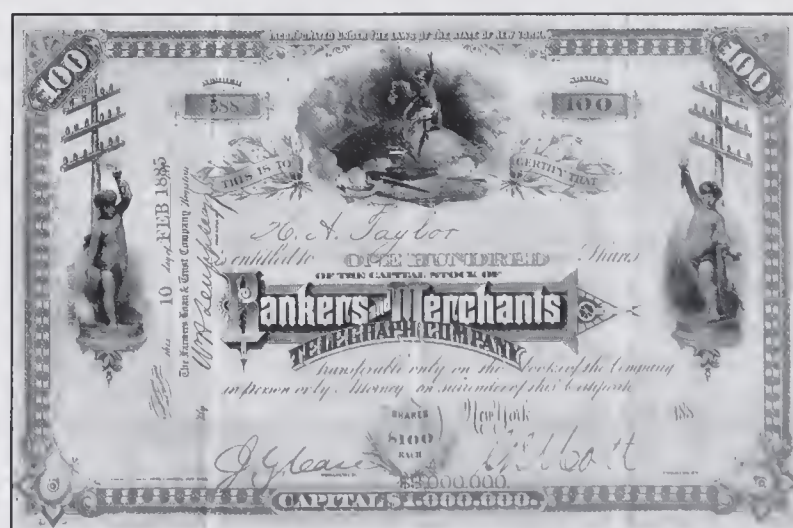


- 1090 American Telephone & Telegraph 1906. Specimen.**
\$1000. Convertible 4% Gold Bond. Cb. Orange. Alexander Graham Bell at table with first telephone. ABN. EF.
(\$400-600)



- 1089 American Sterling (CT) 1873. Naubuc. #146. 1000 shs.**
All purple. View of town in distance. Seated woman with "Union" column, right. Eagle, left. State arms, bottom. VF+.
(\$175-250)

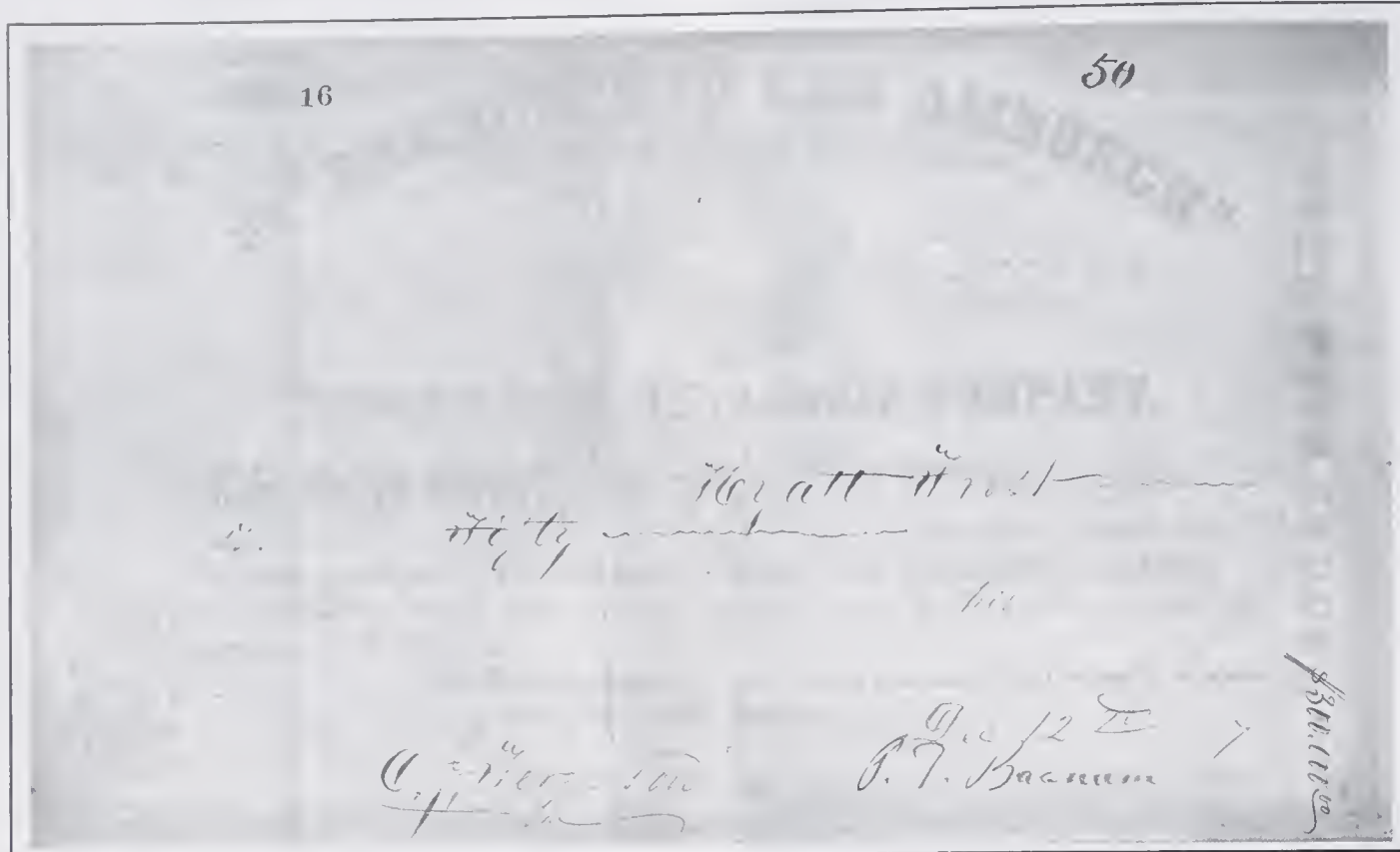
- 1091 Astor House Hotel (NJ) 1869. Long Branch. #81. 10 shs.**
State arms. Beehive, bottom. R44. Light toning, VF.*
(\$200-300)



- 1092 Bankers & Merchants Telegraph (NY) 1885. #588. 100 shs.**
Green. Mercury sends telegraphic message around the world. Cherubs hold torches. ABN. VF.*
(\$300-500)

Only the second issued example we have seen in a decade.

Lot 1090 - Vignette Illustration



- 1093 Barnum & Van Amburgh Museum & Menagerie (CT) 1867. #16. 50 shs.** State arms. Printed in a light gold which has blended in with the aging of the paper. Boldly signed by **P.T. Barnum** as president. A **rare** and **perhaps unique**, historic circus certificate. Minor edge split, **VF.***

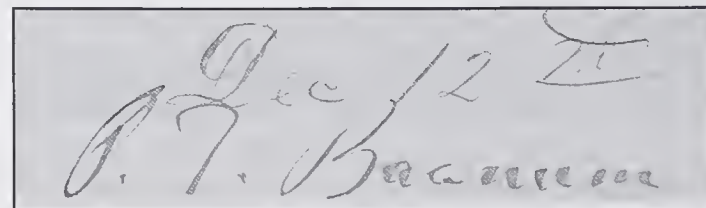
(\$7,500-12,500)

At first, entrepreneurs put individual wild animals on display and charged admission, much like a traveling zoo. As time went by, exhibitors began adding more animals to their shows, but it wasn't until the late 1830s that promoters figured out a way to combine the menagerie with the circus. The credit for originally combining the zoo and the circus belongs to Isaac Van Amburgh.

Isaac Van Amburgh pioneered the first cat act in 1833 when he stepped into a cage occupied by a lion, a tiger, a leopard and a panther. Dressed like a Roman gladiator in toga and sandals, Van Amburgh emphasized his domination of the animals by beating them into compliance with a crowbar and thrusting his arm into their mouths, daring them to attack. Eventually, menageries began using equestrians and clowns to present performances in circus rings, so the distinction between circus and menagerie gradually faded. By 1852, about 30 circuses were touring the US. and most had menageries.

The circus was the country's most popular form of entertainment because its traveling shows went to the people and often gave them the only entertainment they

had all year. Competition among the various circuses created this golden age, as each circus tried to outdo the others with a show more spectacular than circus goers had ever seen. When the Civil War ended in 1865, P.T. Barnum was the biggest promoter of individual attractions the world had ever known, but he was primarily an exhibitor of curiosities, human and otherwise, not a circus man. It is not surprising that Barnum teamed up with Isaac Van Amburgh to present a show which we would recognize as "The Greatest Show on Earth".



Phineas T. Barnum was without question the most famous showman of his time, and co-founder of Ringling Brothers and Barnum and Bailey Circus. Barnum captured the imagination of the public with stellar attractions such as Jumbo the Elephant, Jenny Lind, and General Tom Thumb, the famous midget, and a few attractions of a less authentic nature. The public accepted it all as great entertainment and Barnum prospered greatly. He was elected Mayor of Bridgeport, served in the Connecticut legislature, and is immortalized on a U.S. commemorative half dollar.

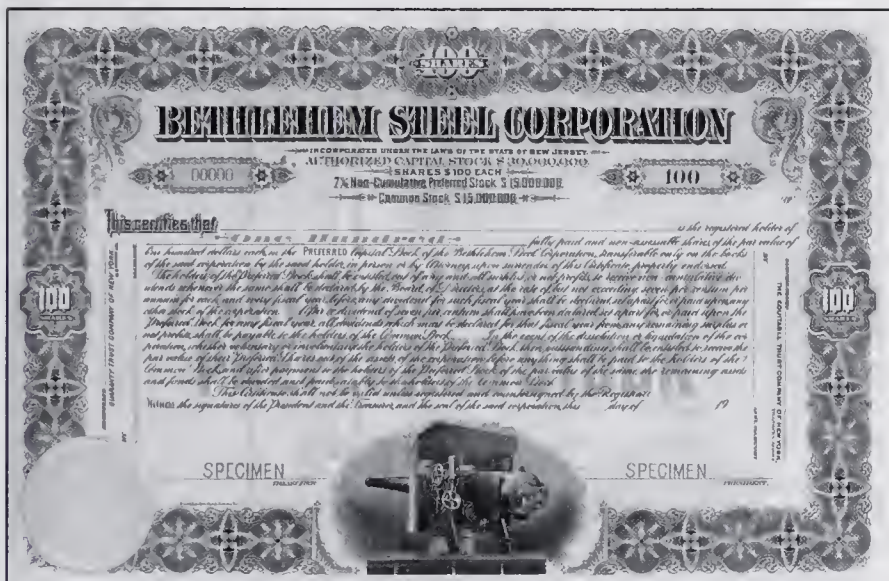


- 1094 Berkeley Athletic Association (NY) Specimen. Shares. Green. Sports equipment surrounds title. Underprint of a dragon. Minor ink smear in bottom border, EF. (\$250-350)**



- 1097 Birth of a Race Photoplay (DE) 1917. #3366. 10 shs. Brown with a gold seal. Torch. VF.* (\$200-300)**

This company was organized to produce an educational screen production for the betterment of the colored race.



- 1095 Bethlehem Steel (NJ) Specimen. 100 shs. Brown. Detailed artillery piece. FBN. EF. (\$250-350)**

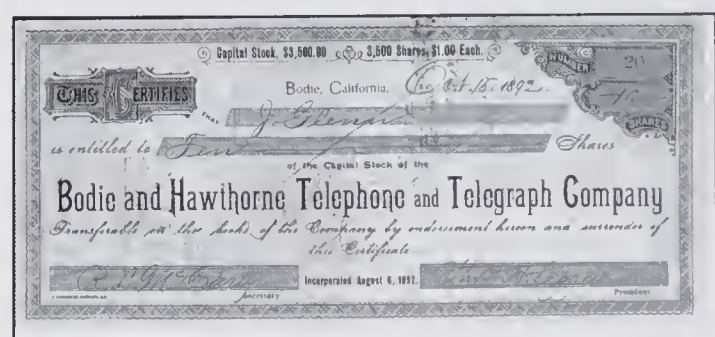


- 1098 Black Hills Mica (IL) 1892. #111. 100 shs. Gold seal. Dragon with wings spread. VF.* (\$200-300)**



- 1096 Beverly & Mount Holly Plank Road or Turnpike (NJ) #7. 80 shs. Pink seal. Stagecoach with passenger pulled by four horses. Light foxing, VF.* (\$300-500)**

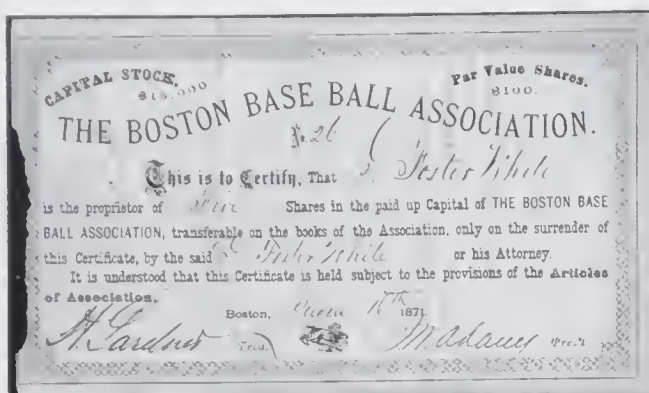
The last we offered realized \$400 in our Sale No.183.



- 1099 Bodie & Hawthorne Telephone & Telegraph (CA) 1892. Bodie. #20. 10 shs. Ornate border. Light bleed from endorsements on reverse, VF. (\$200-300)**



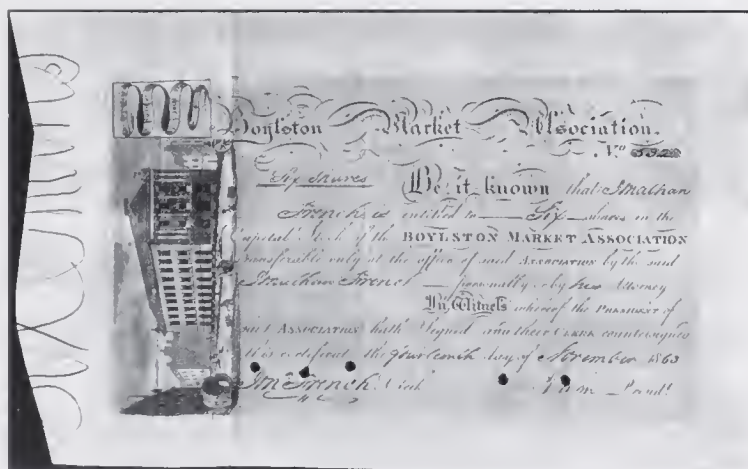
Please note that a 15% buyer's premium will be added to each lot purchased.



- 1100 Boston Base Ball Association (MA) 1871. #26. 5 shs. Ornate border. Missing part of left border, VF.* (\$1,500-2,500)**

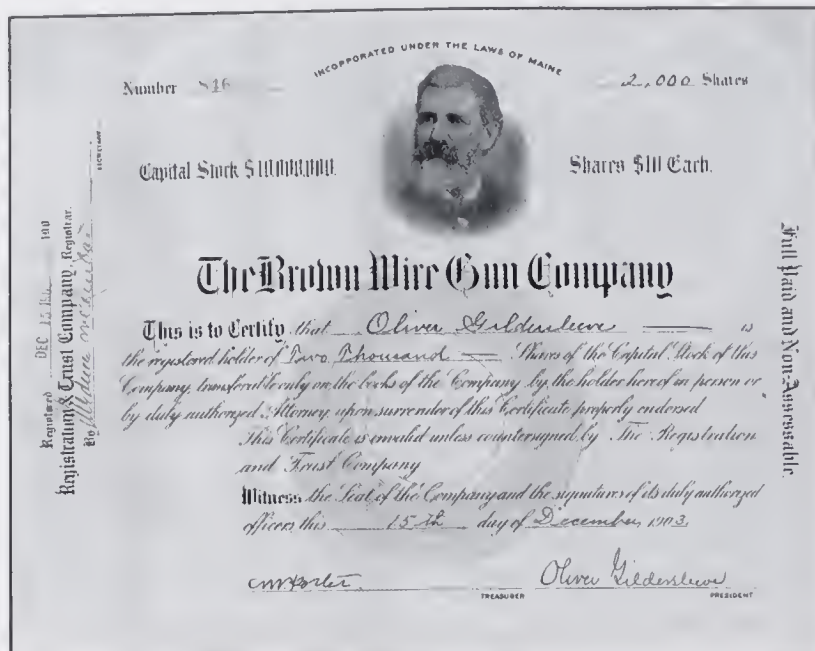
On March 17, 1871 a group of ten professional clubs met to organize the National Association of Base Ball Players. The teams included the Boston Red Stockings, the Chicago White Stockings, the Philadelphia Athletics, the New York Mutuals, the Washington Olympics, the Troy (NY) Haymakers, the Fort Wayne (IN) Kekiongas, the Cleveland Forest City, and the Rockford (IL) Forest Citys. In 1875 Joe Borden of Boston recorded the first no-hitter. In 1876 he did the same in the newly formed National League.

This league was formed by owners, led by William Hulbert of Chicago, who wanted to split from the Association. After winning four consecutive championships in the Association league, Boston's dominance was ended in 1876. Albert Splading and three other of Boston's best players left Boston for more money from the Chicago White Stockings. The next year Chicago won the championship.

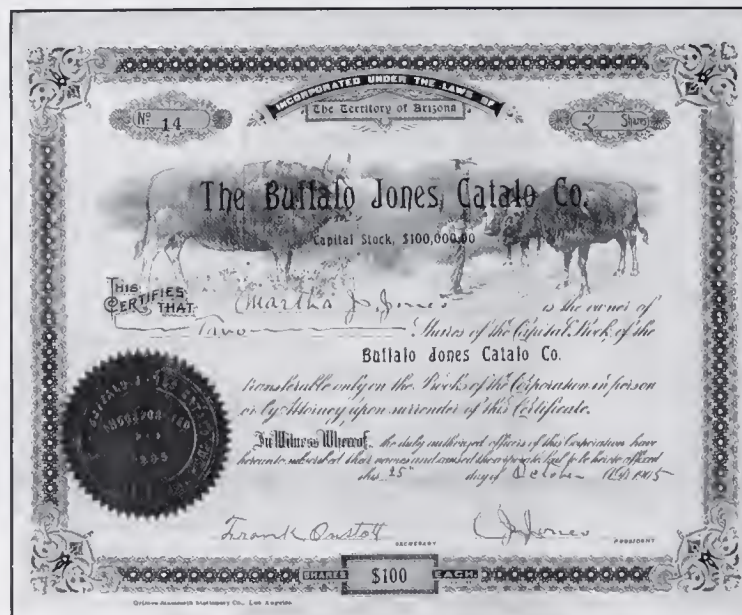


- 1101 Boylston Market Association (MA) 1863. #532. 6 shs. Boylston Market, left. VF+ (\$200-300)**

The three-story brick building that housed the popular Boylston Market was built in 1809 and designed by Charles Bulfinch. The market was located near the narrow 'neck' along Washington Street that linked the original land mass of downtown Boston to its southern neighborhoods. It was built by merchants as an alternative to the Quincy Market further in town. Market stalls were set up on the ground floor, with a large hall on the third floor which functioned as a concert hall for the Handel & Haydn Society for many years.



- 1102 Brown Wire Gun (ME) 1903. #846. 2000 shs. Bust of a man. Cross section of the barrel of the Brown Wire Gun on the verso. VF+.* (\$250-350)**



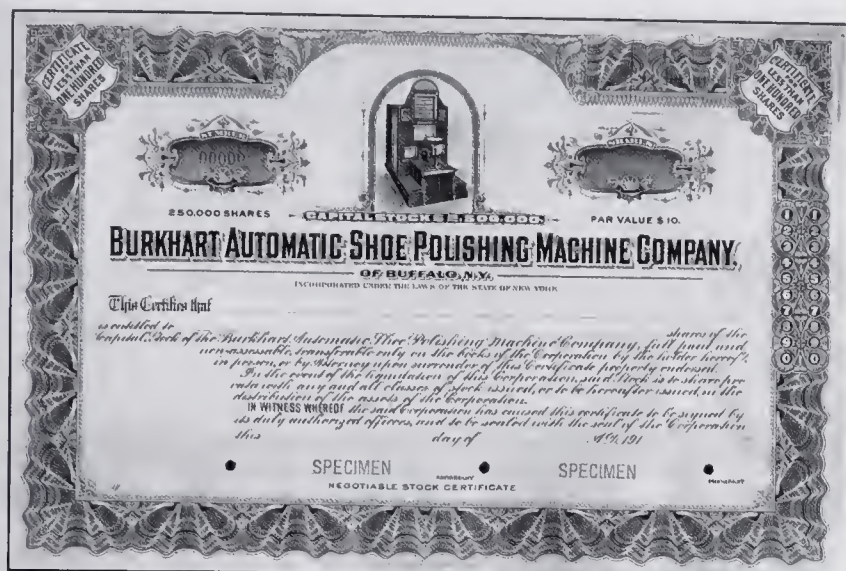
- 1103 Buffalo Jones Catalo (AZ) 1905. #14. 2 shs. "Buffalo" Jones with several of his catalo. Signed by Charles Jones as president. VF.* (\$750-1,250)**

Charles Jones was an adventurer and buffalo hunter who by his own account killed "thousands" of buffalo. Realizing the buffalo were in danger of becoming extinct, Jones spent the rest of his life attempting to preserve these animals. He was responsible for establishing the herd at Yellowstone National Park, and the one at House Rock

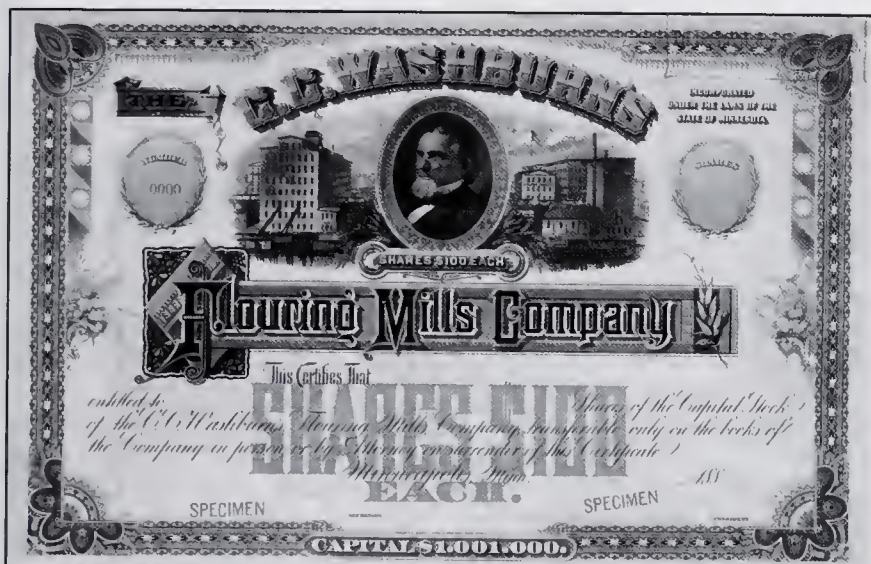


Valley in Arizona. Jones traveled around the world in search of wild animals such as musk-ox in the Canadian Arctic, and zebras and rhinos in Africa.

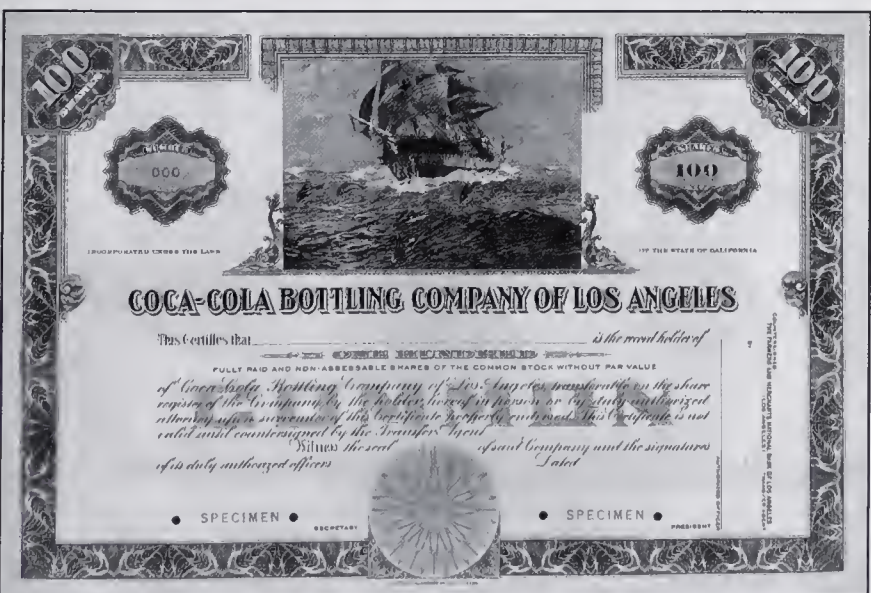
This certificate represents an unusual enterprise where Jones engaged to cross buffalo with cattle, in an attempt to commercially inbreed the best qualities of both. The venture failed because the offspring were often sterile as well as ornery. The last time this certificate was offered it realized \$1200 in our Sale No.194.



- 1104 Burkhart Automatic Shoe Polishing Machine (NY) 191_.** Specimen. Shares. Brown. Unusual detailed shoe polishing machine. For "Black Shoes Only". FLBN. EF. (\$250-350)



- 1105 C.C. Washburn's Flouring Mills (MN) 188_.** Specimen. Shares. Brown. Portrait of C.C. Washburn. ABN. EF. (\$300-500)
Also included is a grouping of five proof vignettes and one piece of letterhead.

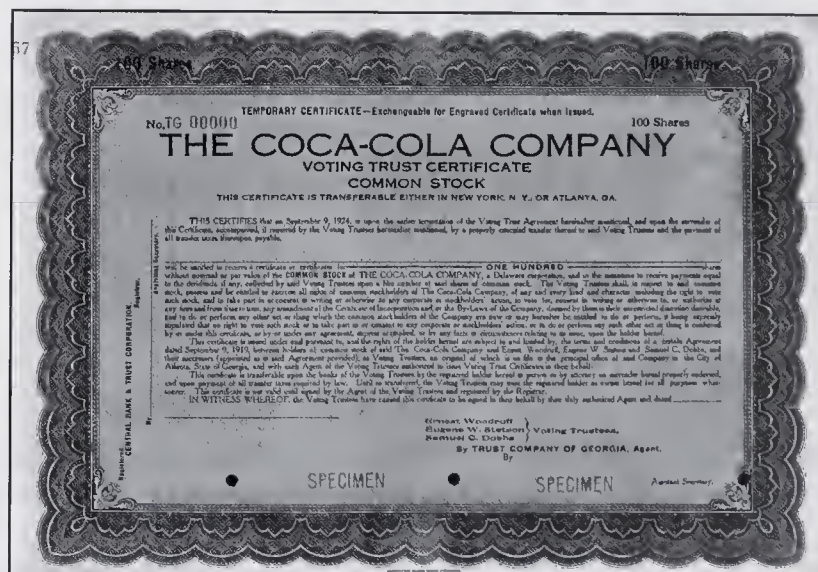


- 1106 Coca-Cola Bottling of Los Angeles (CA) Specimen.** 100 shs. Green. Tall ship on rough seas. Jeffries Banknote. EF. (\$300-500)



- 1107 Coca-Cola (DE) 1929. #5859. 20 shs.** Blue. Certificate of Purchase Class "A" stock. Ornate blue border. World famous script logo. Lightly punch cancelled through the signatures. A few pinholes, left. VF+. (\$1,000-1,500)

Perhaps two dozen of these elusive early Coca-Cola shares are known, all in strong hands, and rarely offered, publicly or privately. This is certainly one of the nicest examples. A rare opportunity for the advanced collector who appreciates the best in scripophily.

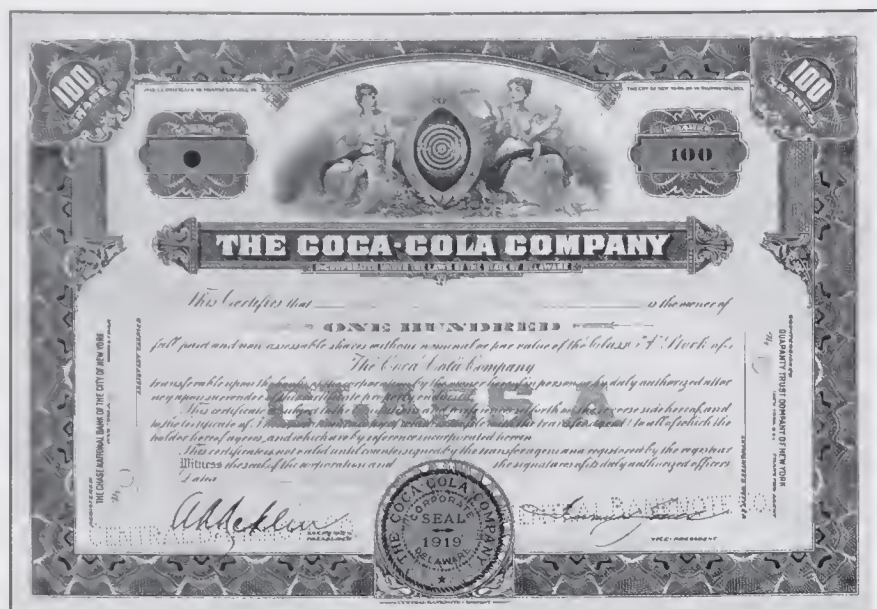


- 1108 Coca-Cola (NY) 1919. Specimen. 100 Shares.** All blue. Voting Trust Certificate Common Stock. ABN. Light stain upper right otherwise, EF. (\$250-350)
This may well be the earliest certificate known relating to Coca-Cola in its present corporate existence.



Did You Know?

If you are unable to view lots prior to the sale, you can call and request a photocopy or we can email a scan of the item.



- 1109 Coca-Cola (NY) Specimen. 100 shs. Blue.** Three concentric c's with an O in the center, flanked by two bare-breasted women. Leaves, fruit and berries in the foreground. CBN. EF. (\$500-750)



- 1110 Coca-Cola Bottling (TX) 1909. San Antonio, Texas. #21. 50 shs. Brown.** Allegorical maiden with sword and shield. Small nick in the right border, VF.* (\$500-750)

This is the second oldest Coca-Cola related certificate we have ever offered. Only three similar examples were found, all issued to L.W. Alexander.



- 1111 Consolidation Company (IA) 1894. #6. 3950 shs.** Gold cartouche. Spectacular view of Sioux City along the Missouri River. Issued to and signed on the reverse by **John S. Pierce**, a prominent Sioux City promoter. His stone mansion at 29th and Jackson now houses the Sioux City Public Museum. VF.* (\$200-300)



- 1112 Cosmopolitan Electric Underground Telegraph, Telephone and Electric Light (NJ) 1886. Camden. #1170. 300 shs. Blue paper.** Woman floating on pillow is surrounded by two cherubs. VF+.* (\$200-300)

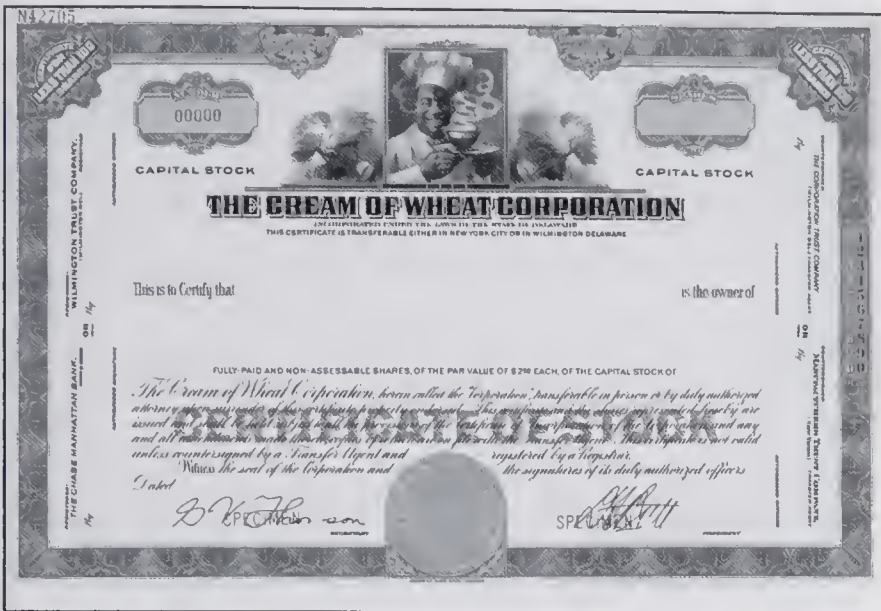
Scripophily: The Art of Finance

By Keith Hollender

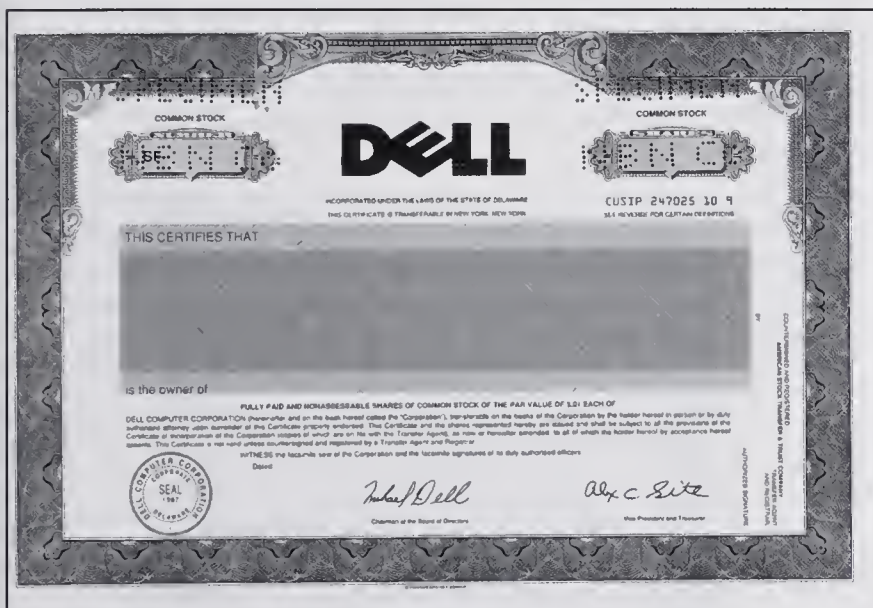
1994. 160pp, hard cover, over 120 illustrations.

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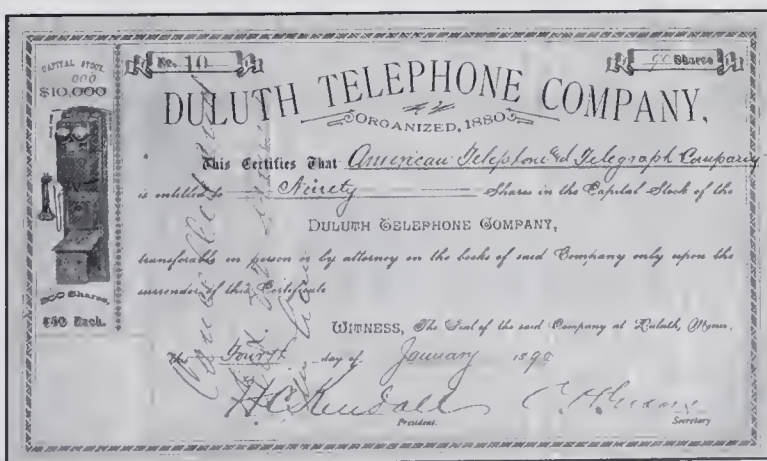
\$29.95



- 1113 Cream of Wheat (DE) Ca. 1929. Specimen. Shares.**
Olive. Smiling Afro-American chef holds a steaming cup of the hot cereal flanked by wheat bushels. ABN. Premium quality and scarce. EF. (\$250-350)

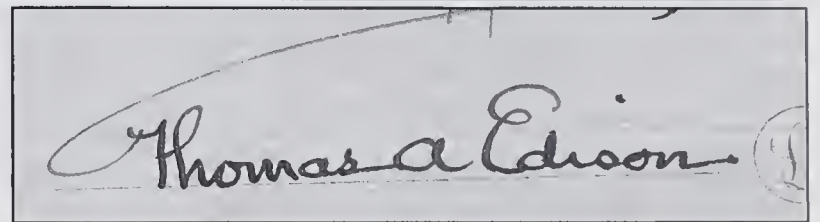


- 1114 Dell (DE) Specimen. Shares. Blue. Corporate logo.**
NBN. EF. (\$200-300)



- 1115 Duluth Telephone 1890. #10. 90 shs.** Detailed telephone, left. Issued to American Telephone and Telegraph and signed on the reverse by **Frederick P. Fish. VF+.*** (\$500-750)

Frederick P. Fish served as president of the American Telephone and Telegraph company and was responsible for its rapid expansion. It has been said that over the course of his career he argued or was directly involved in more patent cases in the U.S. Supreme Court than any other lawyer.



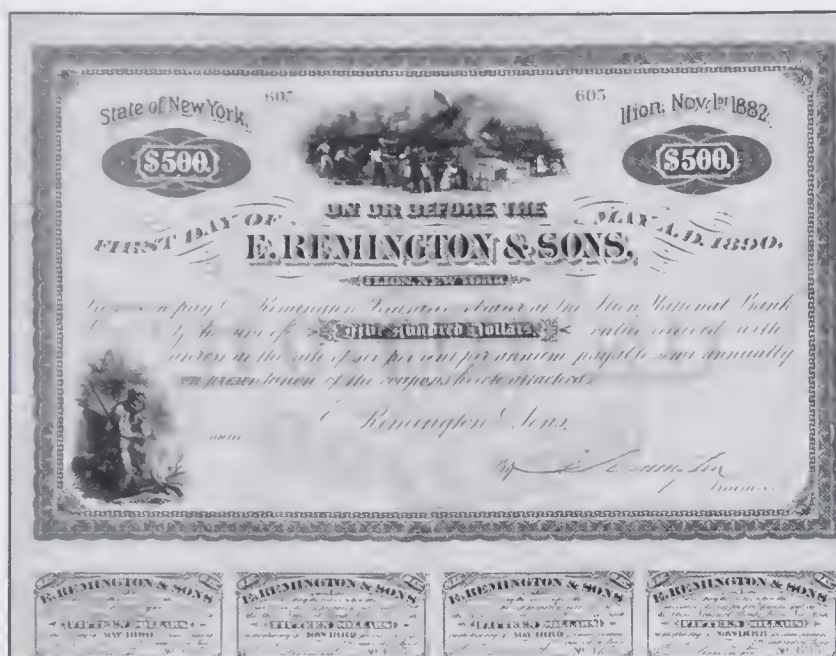
- 1116 Edison Portland Cement (NJ) 1899. 1000 shs.** Brown. Portrait of Thomas Edison. Issued to **Thomas Edison** and signed by him twice, on the stub and the verso. ABN. VF+. (\$1,500-2,500)

In 1899, when this certificate was issued, Thomas Edison was thoroughly dejected, having spent five years in the highlands of Ogdensburg, New Jersey, trying to perfect a new method for processing iron ore. He directed his attention towards a new venture, a company that he hoped to develop for the purpose of producing low-cost fireproof cement buildings. It was an idea that was way ahead of its time, and Edison soon moved on to his next great project, the development of a practical storage battery for automobiles.



- 1117 Emporium Real Estate & Manufacturing (IL) 1857.** Mound City, Pulaski County. #1135. **One Share.** Detailed city scene with steamboats, left. VF.* (\$300-500)

Also included is a certificate of indebtedness.



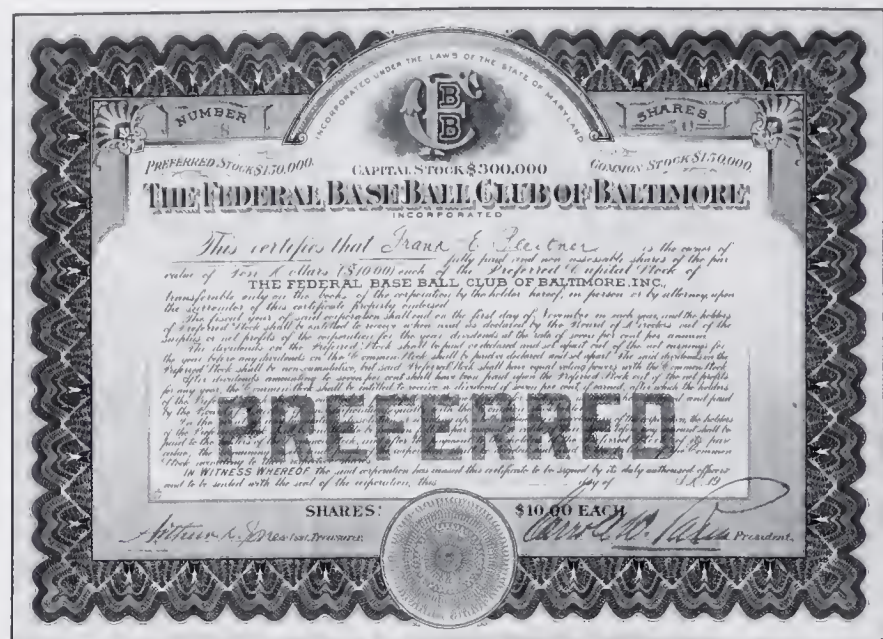
By Eliphalet Remington Jr. Treasurer

- 1118 E. Remington & Sons (NY) 1882. Ilion. #605. \$500. 6% Bond. Cu. Pink underprint. Battle of New Orleans. Frontiersman, lower right. Signed by Eliphalet Remington Jr. as treasurer. VF+.* (\$2,500-3,500)**

Upon the death of Eliphalet (senior, 1793-1861) **Eliphalet Jr. and Philo Remington** took charge of their father's manufacturing empire, splitting it into two major units. Eliphalet Jr. managed the agricultural machine production and Philo served as president of the arms division. In 1865 the company was reorganized as E. Remington & Sons. Under the sons' leadership, it became a world famous arms company, producing universally acclaimed pistols, and eventually developing breech-loading weapons that were ideal for military use.

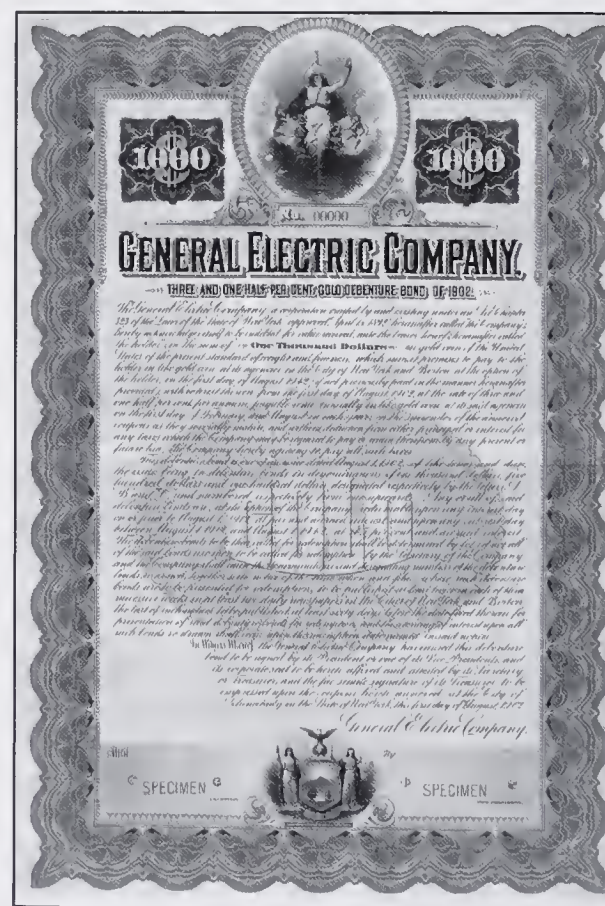
After the Civil War it was apparent that the arms factory would be under utilized and the manufacture of other products was investigated. In 1870 Remington began manufacturing sewing machines, eventually selling 5,000 annually. Three years later Philo saw his first working typewriter; he then committed the company to be the first large scale producers of that product.

In 1875 E. Remington & Sons got the first large scale order of typewriters ever placed. The firm had a difficult time handling both the sales and manufacture of typewriters. In 1882 they sold the typewriter division, and five years later the agricultural division was auctioned. In 1920 the arms division was incorporated as the Remington Arms Company, and came under the control of E.I. du Pont Nemours & Co.



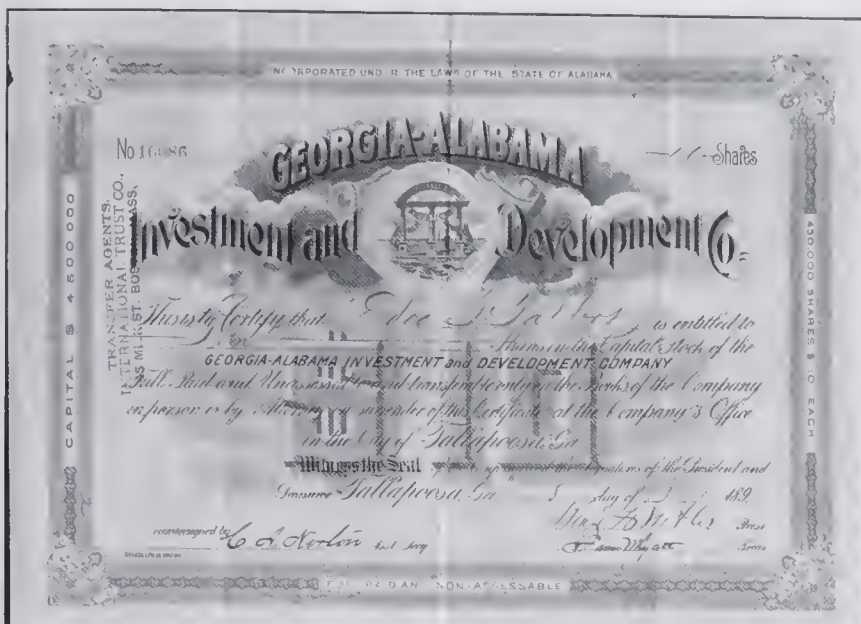
- 1119 Federal Base Ball Club of Baltimore (MD) 1914. #458. 50 shs. Blue. CFBB monogram. VF+.* (\$750-1,250)**

Only the second we have seen. The courts upheld the rights of the National and American League, helping them maintain a virtual monopoly on the nation's favorite pastime when they ordered this corporation to be dissolved. A rare, early professional baseball stock. The first we have offered.



- 1120 General Electric (NY) 1902. Specimen. \$1000. Gold Debenture. Cb. Green. Allegorical female and cherubs hold telephone receivers. State arms, bottom. ABN. VF. (\$300-500)**

Very few bonds from this important company have come up for auction.



Benjamin F. Butler Pres.

- 1121 Georgia-Alabama Investment & Development (AL) 1891. #16086. 10 shs. Brown. State arms. Signed by Bejn. F. Butler as president. VF+. * (\$200-300)**

Benjamin F. Butler (1818-93) was a Civil War general, congressman, governor, and, in 1884, an unsuccessful Greenback Party Presidential Candidate. Butler's financial interests led to the downfall of Jesse James' gang after they tried to ruin a bank in which Butler had an interest. Butler was known as the "Beast" because of the harsh policies he instituted as the Union General in charge of the occupation of New Orleans.



We Can Help You Acquire Those Elusive Items For Your Collection, and Save You Precious Time and Money!

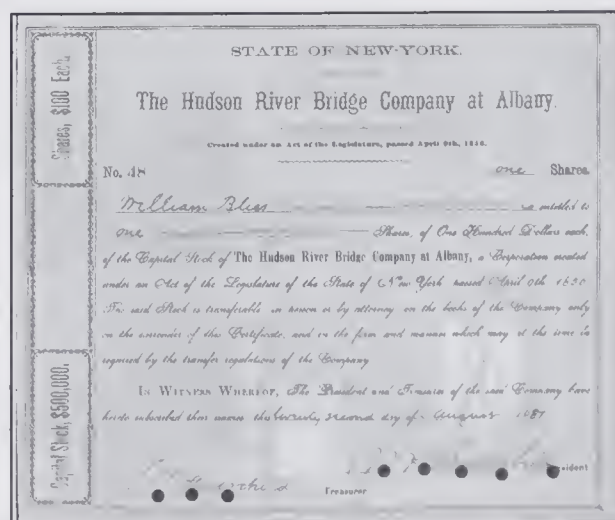
Take advantage of our experience and expertise and let us help you find those rarely offered and seldom seen examples that may take you years to acquire. We may have exactly what you are looking for or we may know where to find it. Let us represent you at auctions or through private treaty acquisitions. You'll save time and money. Please call Stephen Goldsmith or Diana Herzog at 800-622-1880 or 212-943-1880.



Helena J. Titus President
Vice-President

- 1122 Helena Rubenstein, Limited (Canada) 1929. #6. One Share. Green. Eagle. Signed as president by Helena Titus. VF. (\$500-750)**

Helena Titus was the married name of Helena Rubenstein. She was born in Poland in 1870 and studied medicine briefly before emigrating to Australia in 1902, where she opened a shop and dispensed the "Creme Valaze". In 1908 she went to London, where she began what would become an international organization. There she married Edward Titus, an American journalist. When World War I started, the family moved to the United States, where she opened beauty salons all over the country. She pioneered a number of skin care products, developed special beauty diets and trained her sales people to teach womanly skin care routines. She advertised extensively and created great demand for her products. She was a generous contributor to philanthropic causes and founded the Helena Rubenstein Foundation in 1953 to support organizations concerned with health, medical research and rehabilitation. She died in 1965. Today the company she founded, Helena Rubenstein, is a part of L'Oreal. One of only six stocks found. An uncommon stock signed by a female titan of business.



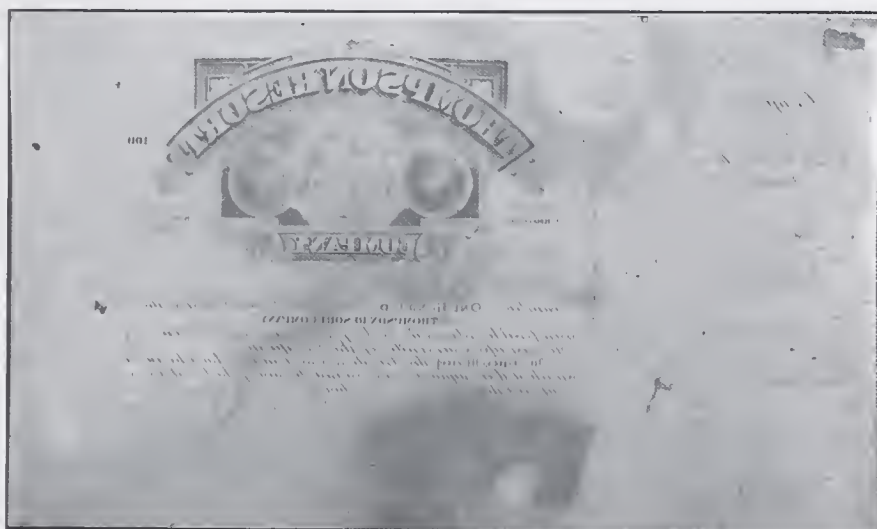
- 1123 Hudson River Bridge (NY) 1881. #48. One Share. Ornate border. Signed by William K. Vanderbilt as president. A couple punches affect the signature. VF. (\$300-500)**



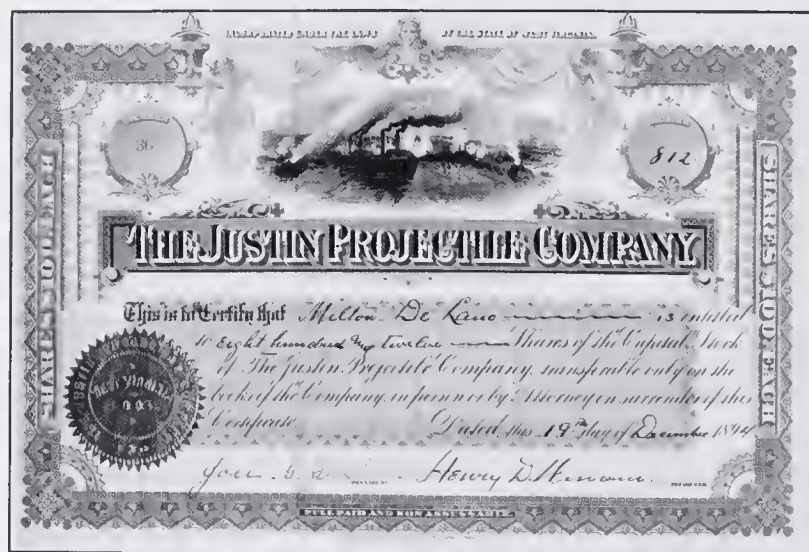
- 1124 Independent Automatic Portable Gas Works (DC) 1887. #113. One Share. Green with a tan underprint. View of a gas storage facility. VF.* (\$200-300)



- 1127 John Hyde Manufacturing (CT) 1865. Mystic. 40 shs. All purple. Woman with shield, train and ship in the distance. R44. VF.* (\$200-300)



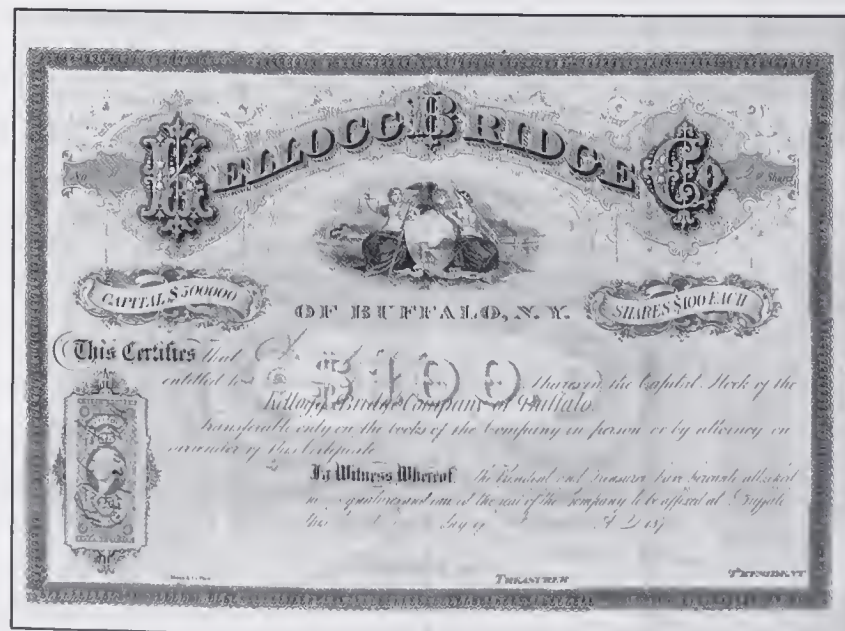
- 1125 Thompson Resort (DE) 19_. Steel Printing Plate. Seated allegorical figure between globes. Heavy steel plate finely engraved. Measures 9 1/2" x 16". For the use in printing stock certificates. Uncancelled. EF.* (\$200-300)



- 1128 Justin Projectile (WV) 1894. #36. 812 shs. Green with gold seal. Gun boats fire mortars and cannons. ABN. VF+.* (\$750-1,250)



- 1126 Iowa Barb Steel Wire (IA) 1881. Marshalltown. #21. 27 shs. Red "100". Barbed wire. VF+.* (\$300-500)



- 1129 Kellogg Bridge (NY) 1871. Buffalo. #94. 20 shs. Green underprint. State arms. R44. Light tinting, VF.* (\$200-300)

The last we offered realized \$200 in our Sale No. 170.



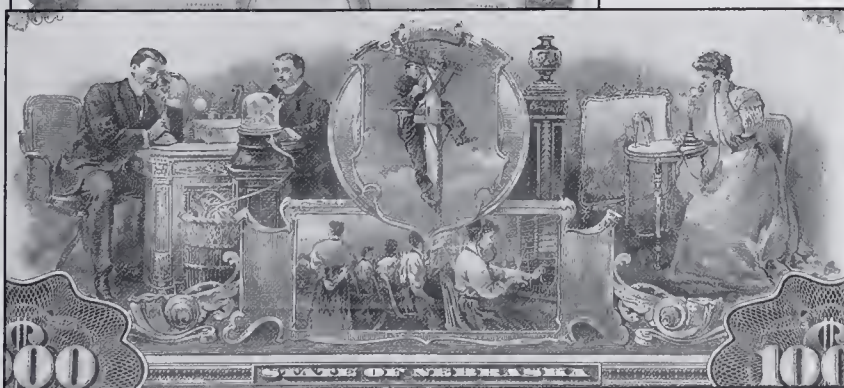
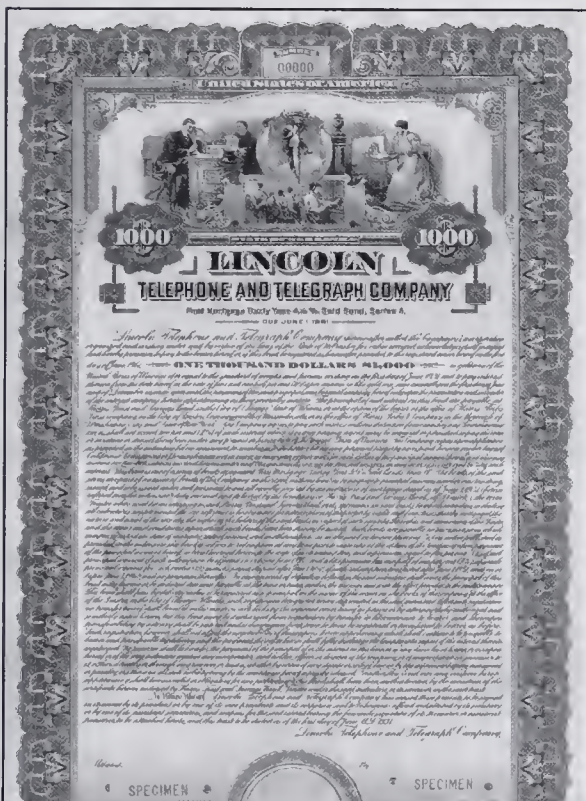
1130 Kentucky Fuel (ME) 1890. #167. 15 shs. Brown. Young semi-clothed child by fire in frigid winter scene, left side. VF+. * (\$200-300)



1132 Los Angeles Improvement (CA) 1885. #4. 1250 shs. Bunch of grapes. Dog's head, bottom. VF. (\$250-350)



1133 Louis Bell Building Association (NH) 1886. #113. 5 shs. Red and blue. Portrait of Colonel Louis Bell, 4th N.H. Vols. Killed at Fort Fisher, Jan. 15, 1865. VF. * (\$200-300)



Inset of Vignette

1131 Lincoln Telephone & Telegraph (NE) 1931. Specimen. \$1000. First Mortgage Gold Bond. Cb. Green. Wonderful detailed vignette with man on telephone pole and switchboard operators flanked by stockbrokers and woman on telephone. WBN. VF+. (\$300-500)

One of only three found.



1134 Louisiana Purchase Exposition 1903. #257. 2 shs. Blue. Magnificent panoramic view of the exposition. Reflecting pool, ornate exposition buildings with statuary. One of the freshest and brightest examples we have seen. VF+. (\$500-750)



- 1135 Manhattan Beach (CT) 1881. Proof on India paper. 100 shs. Brown. Sailors on a beach watch a yacht race through a telescope. Train in arched frame, below. These high quality color proofs are becoming quite scarce. ABN. Pinhole, otherwise VF+.

(\$250-350)



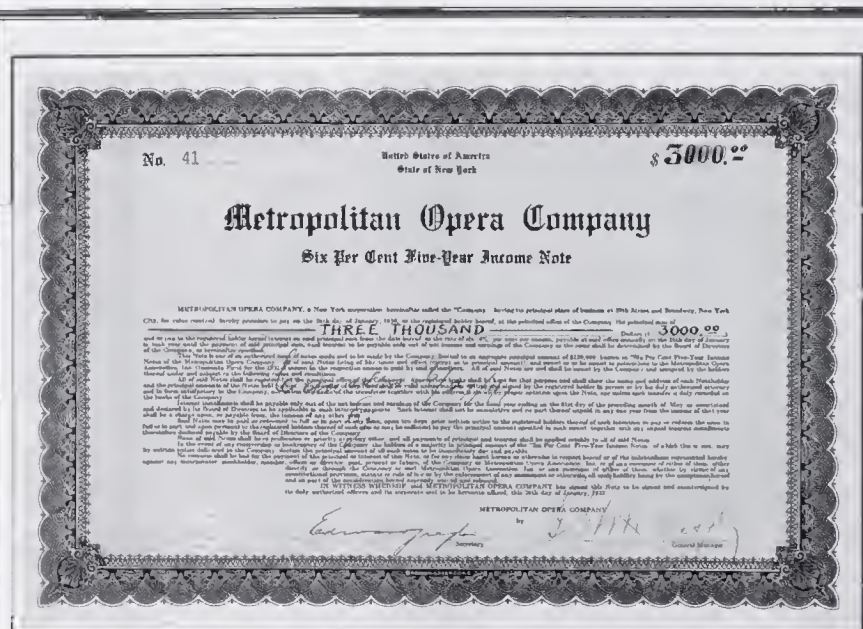
Harry Houdini
President

- 1136 Martinka & Company (NY) ca 1919. #13. Partially issued. Brown. New York State arms. Signed as president by Harry Houdini. EF.
(\$1,500-2,500)

Martinka and Company is still in existence and is known as the "Worlds Oldest Magic Shop". Harry Houdini served as president of the company in 1919. Harry Houdini (1874-1926) was a celebrated magician, escape artist and actor. Houdini starred in several Hollywood silent action films, some of which he also produced. When this certificate was issued, Houdini had already established himself as a famous magician. He wrote, starred and produced his first movie, *The Man From Beyond*, which centered on a man who chipped his way out of a block of ice where he had been trapped for a hundred years. Houdini also edited the film, supervised promotion and managed the finances.



- 1137 McKean Elk Land Improvement (PA) 1871. #542. 66 shs. Woman milks cow. Woman with wheat, left. William Penn right. Blacksmith and lumberjack, lower corners. DW. VF+.
(\$250-350)



METROPOLITAN OPERA ASSOCIATION, Inc.
METROPOLITAN OPERA HOUSE

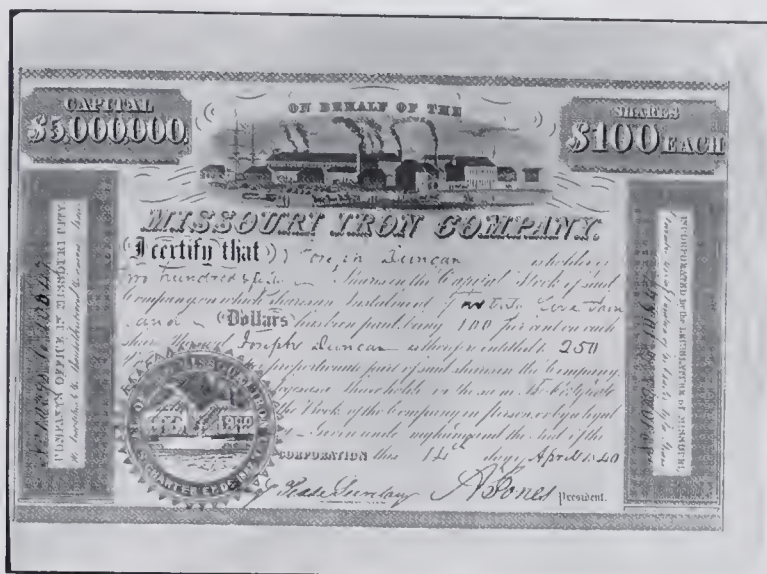
I hereby assign and transfer to the Metropolitan Opera Association, Inc., the income note for \$ 3,000.00 of the Metropolitan Opera Company which I hold.

New York, December , 1937.

(Signature) *Emily Vanderbilt-White*

- 1138 Metropolitan Opera (NY) 1933. #41. \$3000. Six Per Cent Five-Year Income Note. Green underprint. Signed by **Guilio Gatti-Casazza** as general manager and **Edward Ziegler** as secretary. Also signed by **Emily Vanderbilt-White** on accompanying assignment slip. VF+.
(\$750-1,250)

Guilio Gatti-Casazza (1869-1940) was the general of the Metropolitan Opera from 1908 until 1936. He was often considered the most powerful man in the opera world. Gatti-Casazza was a world renowned opera impresario who helped transform American opera by bringing to the American stage from Italy many Italian singers and conductors including Enrico Caruso and Arturo Toscanini.



1139 Missouri Iron 1840. 250 shs. Blue seal with steamboat. Riverside factories. VF+.* (\$200-300)
Only the second we have seen since our Sale No.84.

1140 Music Hall (CT) 1873. Winsted. #19. 280 shs. Guitar, lyre, clarinet, flute and other musical items. VF+.* (\$400-600)



R.M. SMYTHE AUCTION CALENDAR

March 15, 2004 – Coins – New York City

April 29, 2004 – Autographs – New York City

July 24, 2004 – Coins, Paper Money, Stocks & Bonds – New York City

October 21-24, 2004 – Paper Money, Stocks & Bonds – Strasburg, PA

June 2005 – Paper Money, Stocks & Bonds – Memphis, TN

Did You Know?

If you are unable to view lots prior to the sale, you can call and request a photocopy or we can email a scan of the item.

U.S. Treasury Certificates and Related Items

Office of the Michigan Indian Agency!

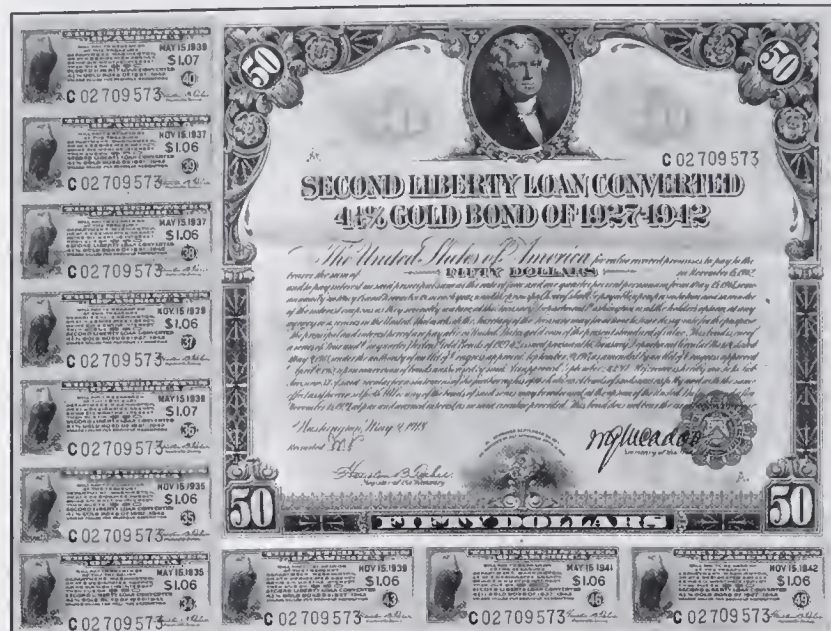


- 1141 Indian Land Certificate (Detroit) 1864.** Ottawas & Chippewas. Treaty of July 31st, 1855. Columbia with fasces. Indian watches approaching train, left. Indian warrior, lower right. Issued to Ne Saw Waw Quot, a chief of the Ottawas and Chippewas. The certificate provided for 40 acres of land for Ne Saw Waw Quot under the provision of the Treaty of 1855. The certificate provided "The said Ne Saw Waw Quot may take immediate possession of said land and occupy same and the United States guarantees such possession and will hold title thereto in trust for him and his heirs until a Patent in the usual form shall be issued therefore according to the terms of the Treaty." Two panels separated and reattached, appears VF.* **(\$2,500-3,500)**

An extraordinary certificate representing Indian dealings with the United States. The first example we have seen or heard of. A copy of the Treaty of 1855 is also included.

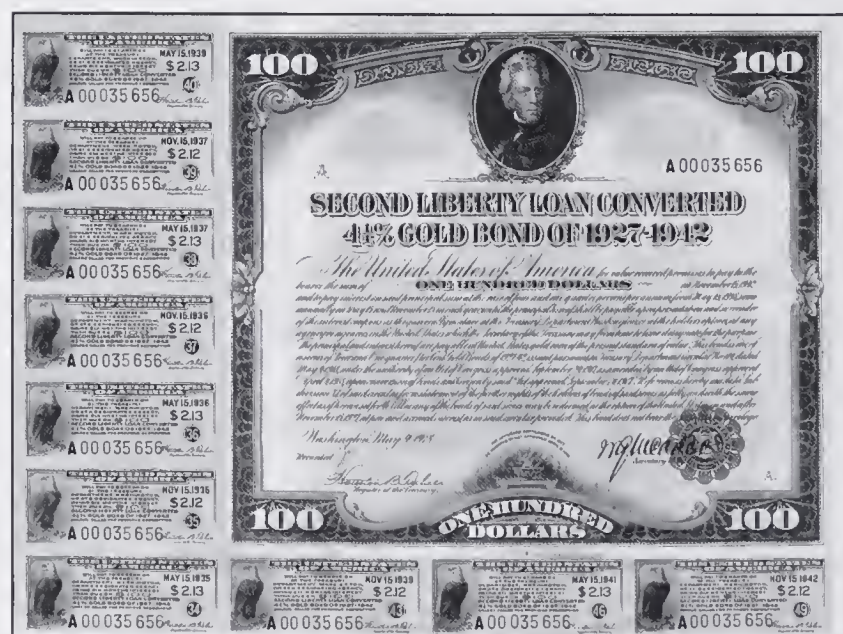
Following the 1855 Treaty, all of the Ottawa Bands located from the Manistee River south to Grand River near or on the eastern shores of Lake Michigan were relocated to reservation lands in Mason and Oceana Counties. The name Ottawa was formerly applied by the French to all the Algonquin tribes who dwelt on the shore of Lake Superior and Upper Michigan; afterward, to that portion that made their homes in the more southern part of the State of Michigan, in the vicinity of Grand River, and in the States of Ohio and Indiana. Pontiac is perhaps the most well known of the Ottawa chiefs.

Not seen by us before, and perhaps unique!



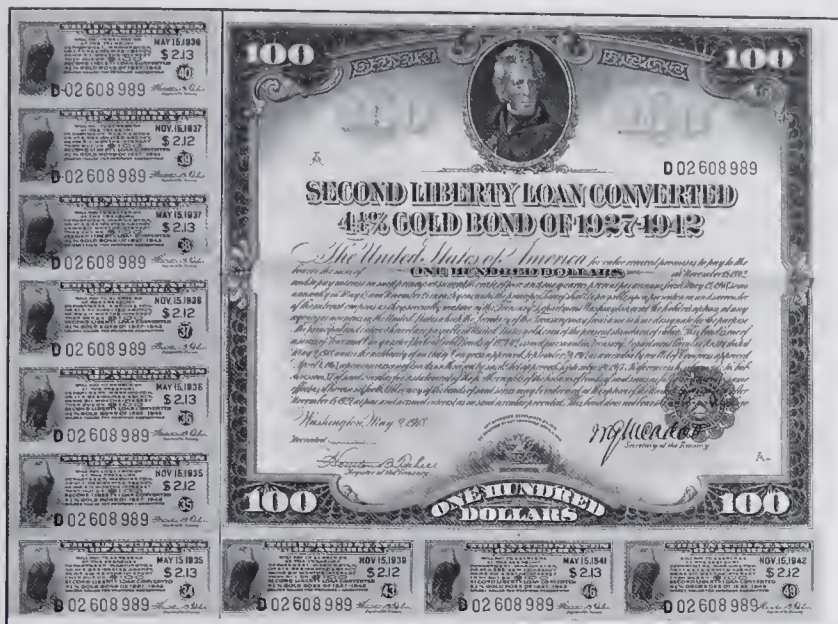
- 1142 Second Liberty Loan Converted 4 1/4% Gold Bond of 1927-1942 (United States Government) 1918.** #C02709573. \$50. Coupons to the side and underneath. Brilliant orange underprint and "SECOND LIBERTY LOAN CONVERTED". Blue Treasury Seal. Thomas Jefferson. Torch, below. Brown Columbia above Capitol with flag and Statue of Liberty on the back. Facsimile signatures of Teehee and McAdoo. VF+.* **(\$2,000-3,000)**

A previous example realized \$2500 in our Sale No.188.

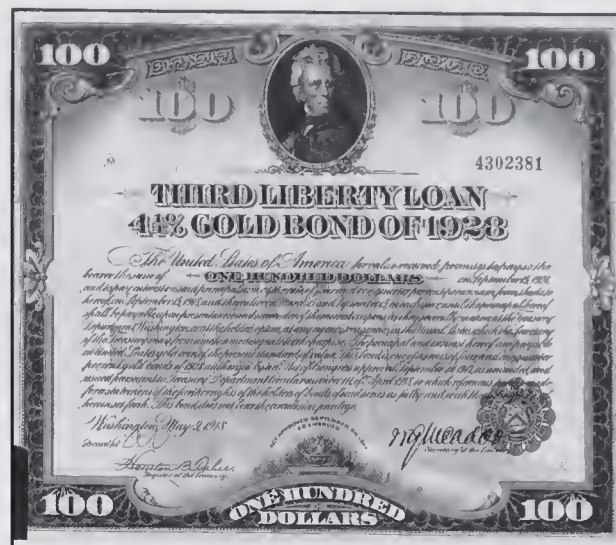


- 1143 Second Liberty Loan Converted 4 1/4% Gold Bond of 1927-1942 (United States Government) 1918.** #A0035656. \$100. Coupons to the side and underneath. Brilliant yellow-orange underprint and "SECOND LIBERTY LOAN CONVERTED". Blue Treasury Seal. Andrew Jackson. Torch, bottom. Orange Columbia above Capitol with flag and Statue of Liberty on the back. Facsimile signatures of Teehee and McAdoo. VF+.* **(\$3,000-5,000)**

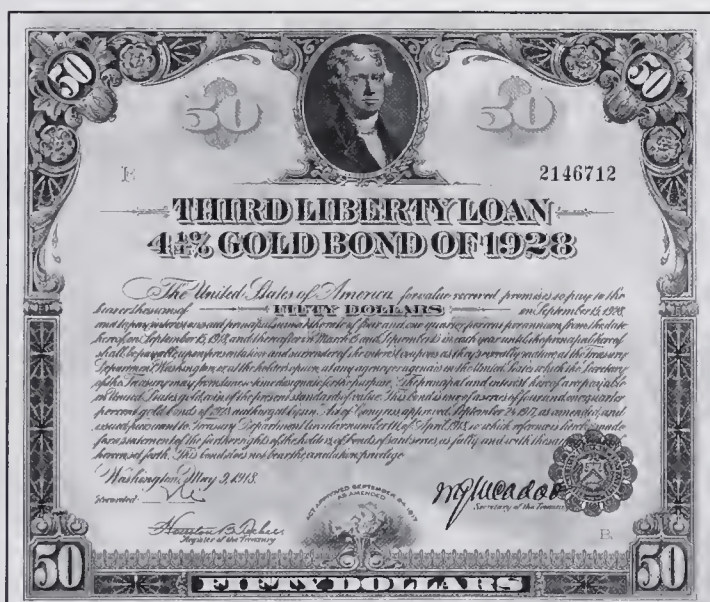
Issued under the Act of May 9, 1918. We have previously seen only one known example, which realized \$4750 in our Sale No.188. The combination of rarity, eye-appeal, historic significance and condition are very compelling.



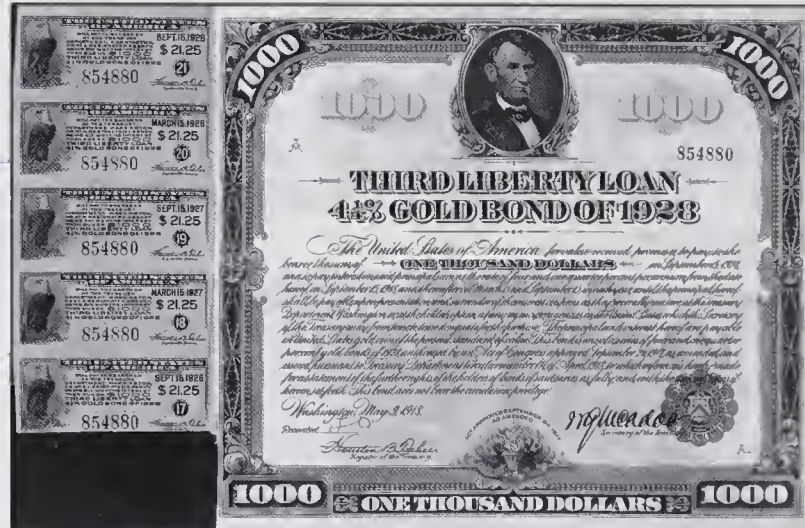
- 1144 Second Liberty Loan Converted 4 1/4% Gold Bond of 1927-1942** (United States Government) 1918. #D02608989. \$100. Coupons to the side and underneath. Brilliant orange underprint and "SECOND LIBERTY LOAN CONVERTED". Blue Treasury Seal. Andrew Jackson. Torch, bottom. Orange Columbia above Capitol with flag and Statue of Liberty on the back. Facsimile signatures of Teehee and McAdoo. VF+. * (\$3,000-5,000)



- 1146 Third Liberty Loan 4 1/4% Gold Bond of 1928** (United States Government) 1918. #4302381. \$100. Cs. Red underprint and "THIRD LIBERTY LOAN". Blue treasury seal. Andrew Jackson. Torch, below. Orange bald eagle on reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$1,000-1,500)



- 1145 Third Liberty Loan 4 1/4% Gold Bond of 1928** (United States Government) 1918. #2146712. \$50. Cs. Red underprint and "THIRD LIBERTY LOAN". Blue treasury seal. Thomas Jefferson. Torch, below. Brown bald eagle on reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$600-900)



- 1147 Third Liberty Loan 4 1/4% Gold Bond of 1928** (United States Government) 1918. #854880. \$1000. Cs. Red underprint and "THIRD LIBERTY LOAN". Blue treasury seal. Abraham Lincoln. Torch, below. Green bald eagle on reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$8,000-12,000)

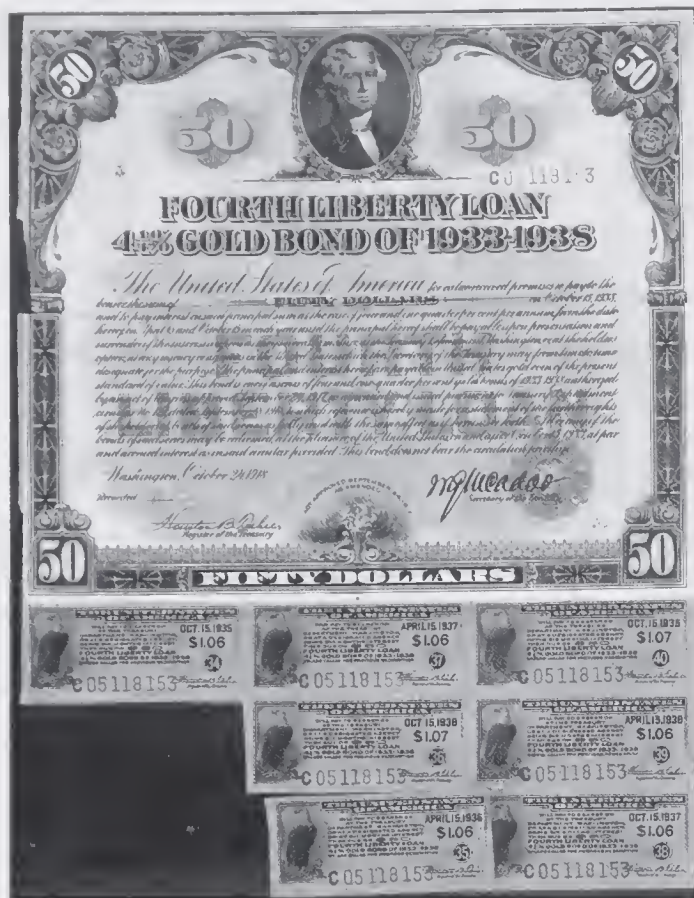
Approved under the Act of April 24, 1917 this magnificent Liberty Loan bond was authorized to raise funds to help win the first World War. We know of no other example in private hands. With Federal currency of this era and rarity bringing tens of thousands of dollars, it is difficult to put an estimate on this item. **A rarity of the first order.**

Need A Table?

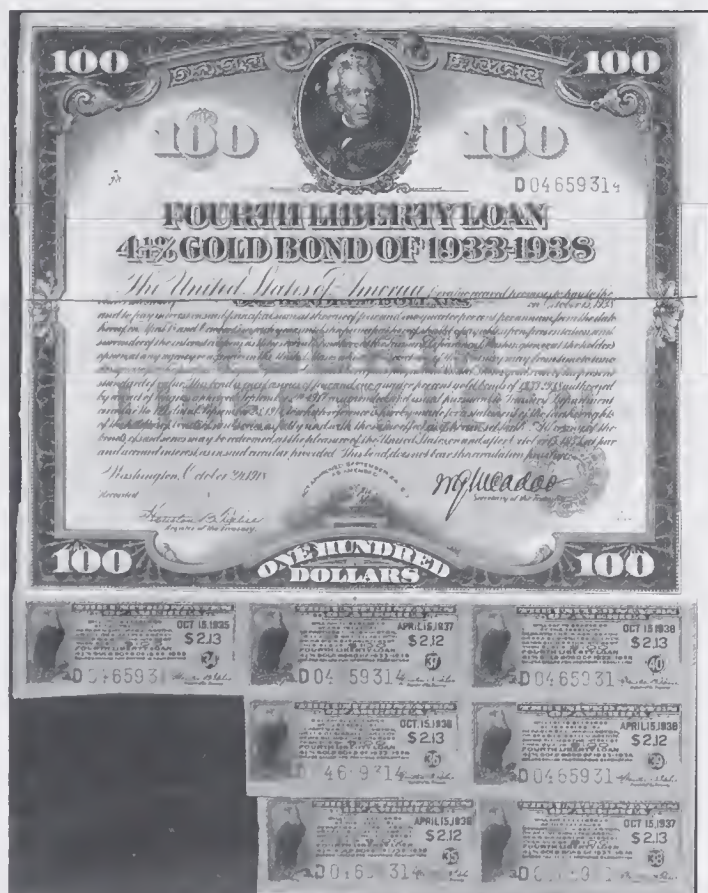
For information regarding table sales at this show contact Stephen Goldsmith

Phone: 800-622-1880

Email: sgoldsmith@smytheononline.com



- 1148 Fourth Liberty Loan 4 1/4% Gold Bond of 1933-1938 (United States Government) 1918. #C05118153. \$50. Cu. Green underprint and "FOURTH LIBERTY LOAN". Red treasury seal. George Washington. Torch, below. Blue Justice on the reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$600-900)



- 1149 Fourth Liberty Loan 4 1/4% Gold Bond of 1933-1938 (United States Government) 1918. #D04659314. \$100. Cu. Green underprint and "FOURTH LIBERTY LOAN". Red treasury seal. Andrew Jackson. Torch, below. Orange Justice on the reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$750-1,250)

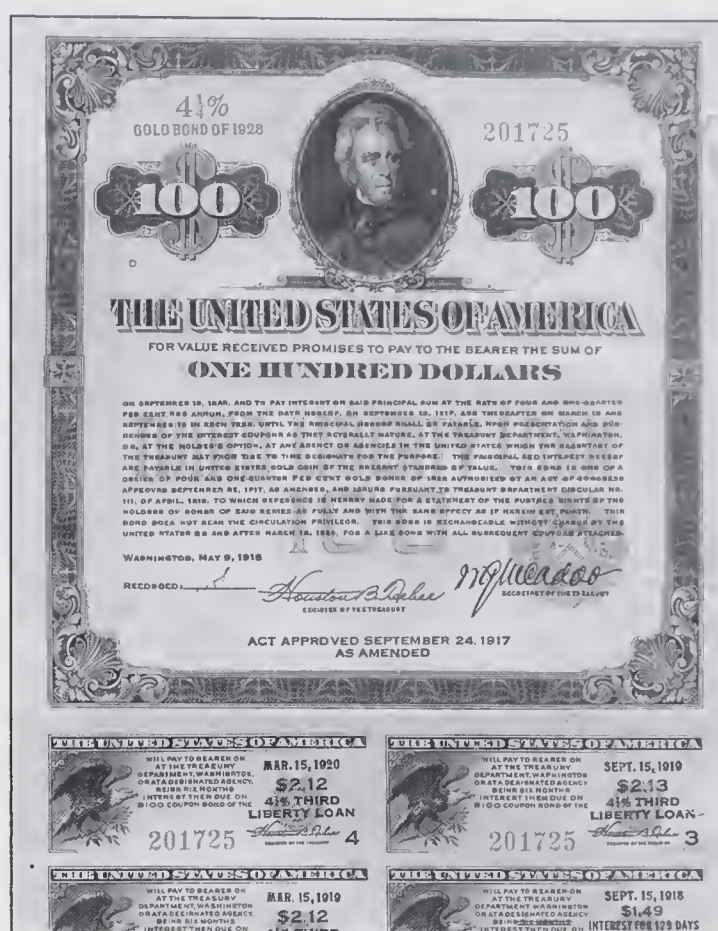


- 1150 Fourth Liberty Loan 4 1/4% Bond of 1933-1938 (United States Government) 1918. #E01153885. \$500. Coupons to the side and underneath. Green underprint and "FOURTH LIBERTY LOAN". Red treasury seal. George Washington. Torch, below. Blue Justice on the reverse. Facsimile signatures of Teehee and McAdoo. VF+. * (\$5,000-7,500)
First we have seen, and perhaps unique.



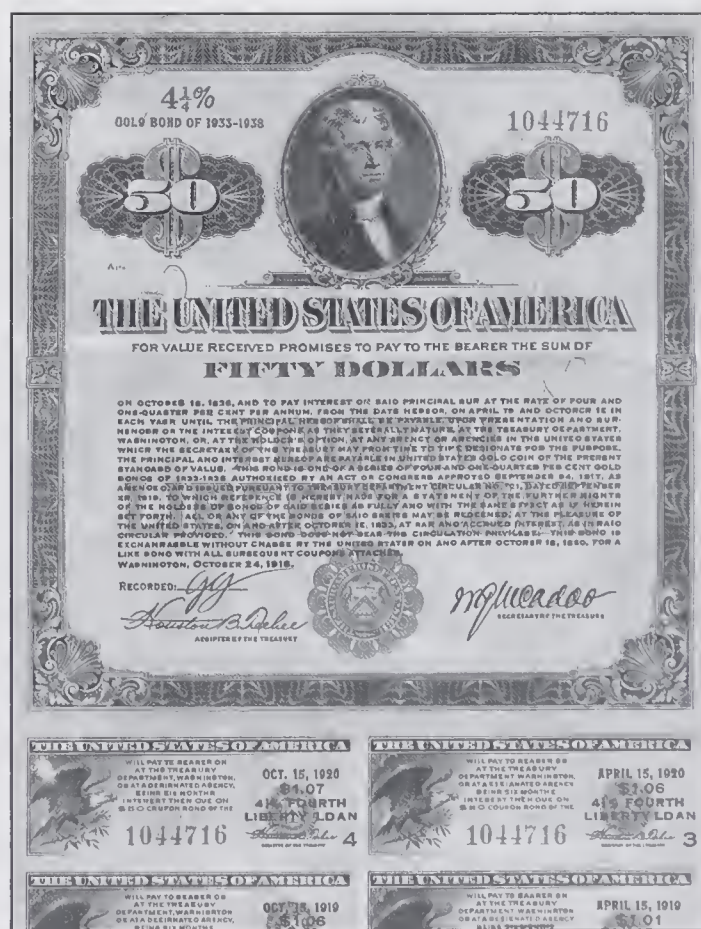
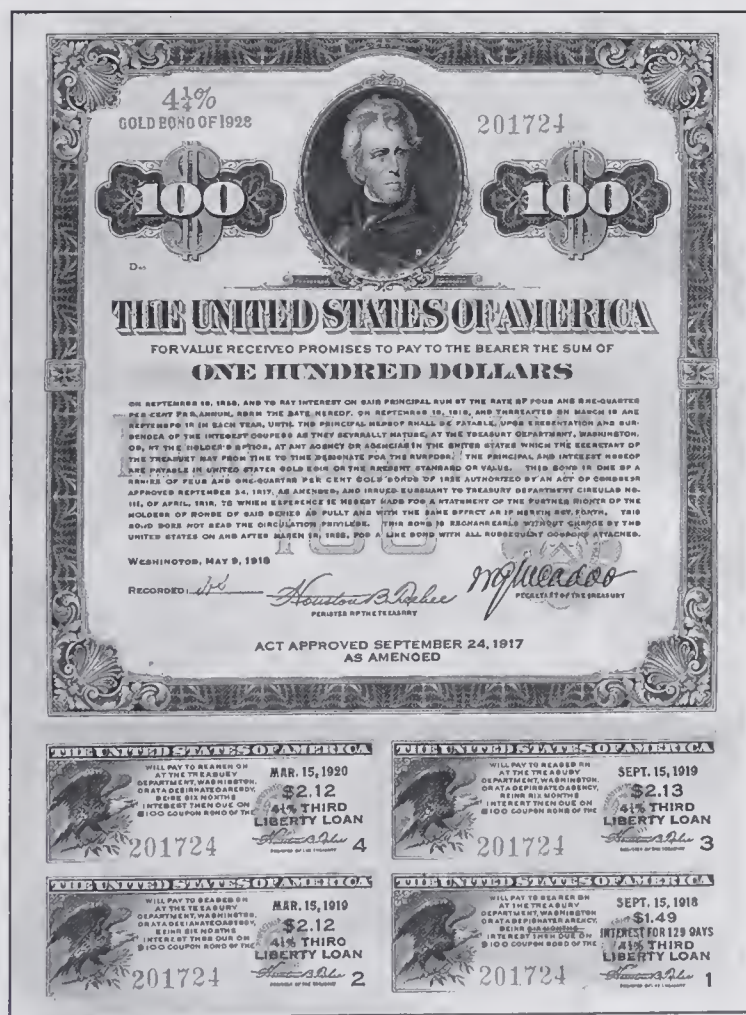
- 1151 Liberty Loan of 1917 (United States Government) 1917. #1829158. \$50. Blue "50 THREE AND ONE HALF PERCENT" and Treasury Seal. Thomas Jefferson, left. Statue of Liberty, right. Brown eagle and Columbia statue on back. Embossed large Treasury seal above register's name. The original series of eighty coupons are bound to the side with none clipped. VF+. * (\$2,000-3,000)

A similar example realized \$3700 in our Sale No.188 Lot 1917.



1152 United States of America (USA) 1918. Third Liberty Loan. #26279. \$50. 4 1/4% Gold Bond of 1928. No coupons. Red "THIRD LIBERTY LOAN". Red treasury seal. Thomas Jefferson. Facsimile signatures of Teehee and McAdoo. VF+. (\$600-900)

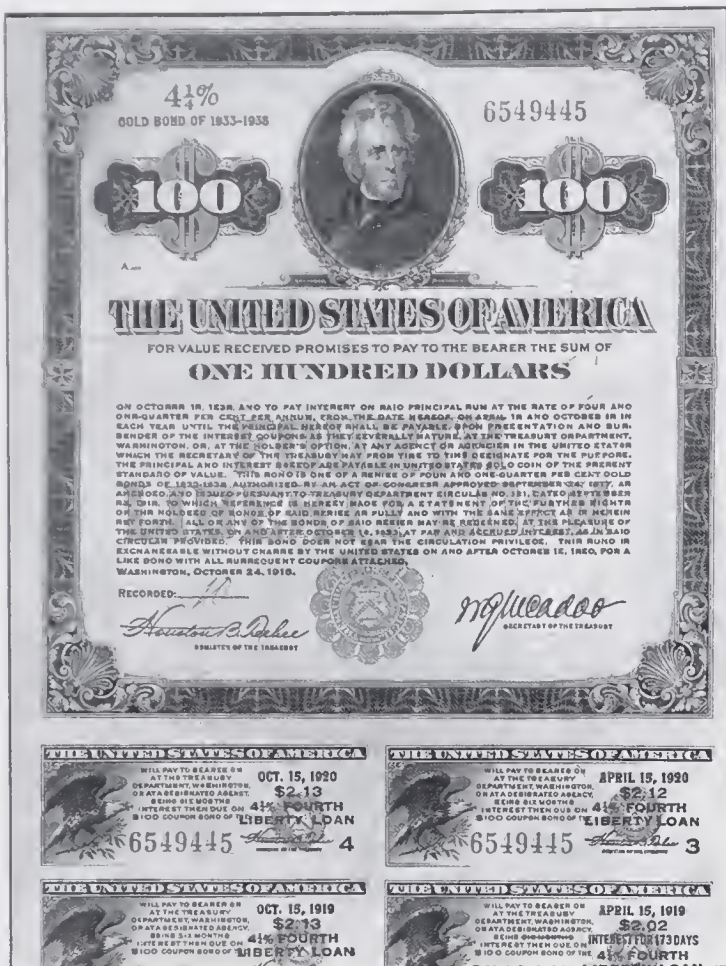
1154 United States of America (USA) 1918. Third Liberty Loan. #201725. \$100. 4 1/4% Gold Bond of 1928. All four coupons attached. Red "THIRD LIBERTY LOAN". Red treasury seal. Andrew Jackson. Facsimile signatures of Teehee and McAdoo. VF+. (\$750-1,250)



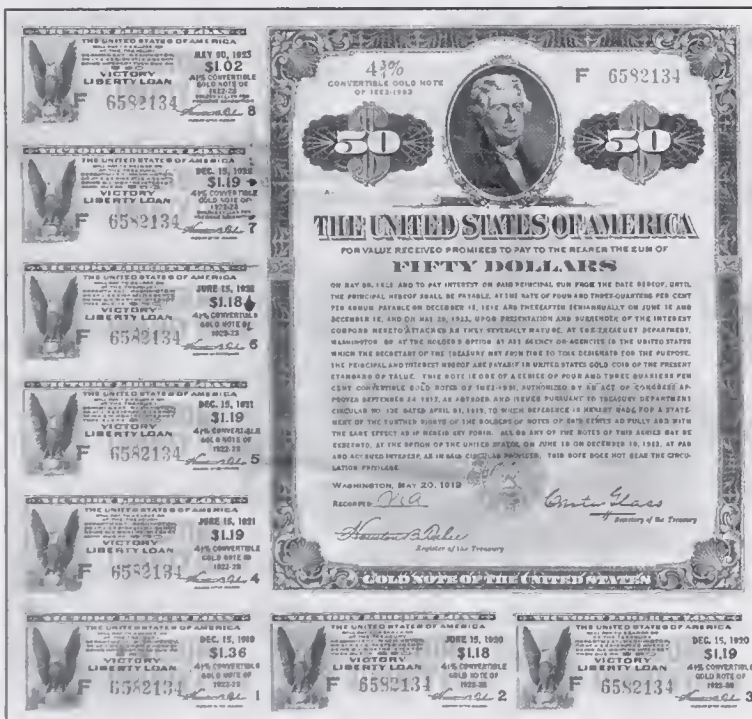
1153 United States of America (USA) 1918. Third Liberty Loan. #201724. \$100. 4 1/4% Gold Bond of 1928. All four coupons attached. Red "THIRD LIBERTY LOAN". Red treasury seal. Andrew Jackson. Facsimile signatures of Teehee and McAdoo. VF+. (\$750-1,250)

1155 United States of America (USA) 1918. Fourth Liberty Loan. #1044716. \$50. 4 1/4% Gold Bond of 1933-1938. All four coupons attached. Brown "FOURTH LIBERTY LOAN". Brown treasury seal. Andrew Jackson. Facsimile signatures of Teehee and McAdoo. VF+. (\$750-1,250)

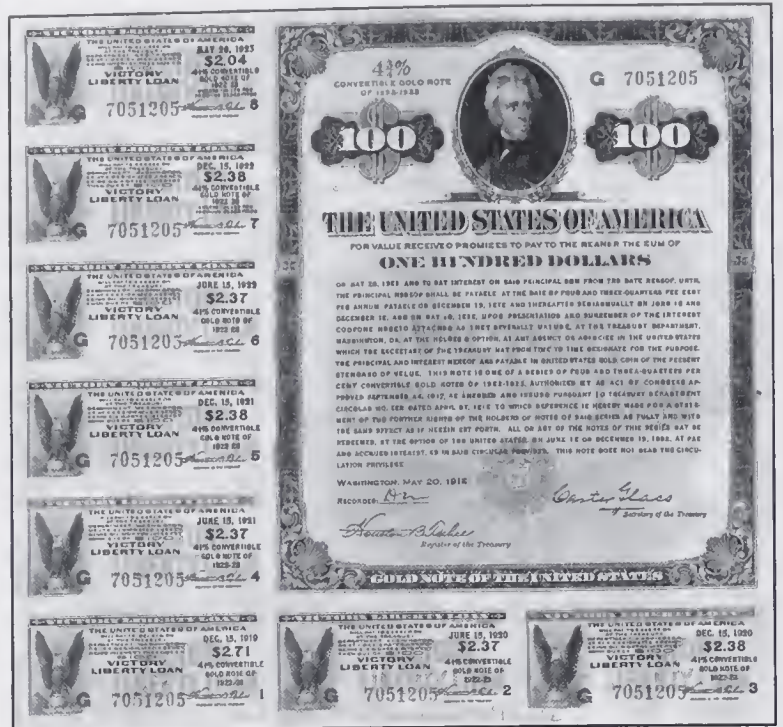
These bonds were sold with only four coupons attached.



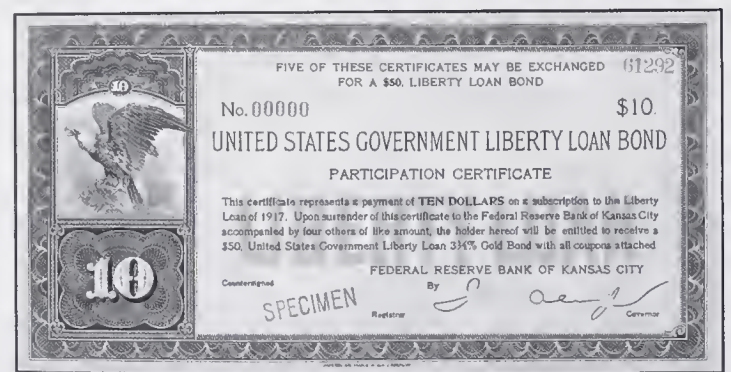
- 1156 United States of America (USA) 1918. Fourth Liberty Loan. #6549445. \$100. 4 1/4% Gold Bond of 1933-1938. All four coupons attached. Brown "FOURTH LIBERTY LOAN". Brown treasury seal. Andrew Jackson. Facsimile signatures of Teehee and McAdoo. VF+.* (\$750-1,250)**



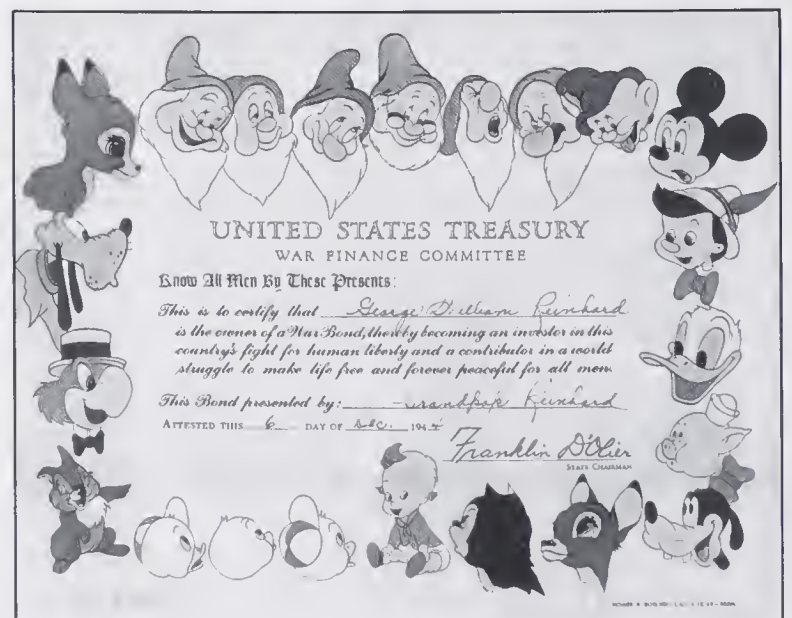
- 1157 United States of America (USA) 1919. Victory Liberty Loan. #6582134. \$50. 4 3/4% Convertible Gold Note of 1922-23. Coupons underneath and to the side. Blue underprint and treasury seal. Thomas Jefferson. Brown eagle on reverse. Light soiling and minor losses on fold, F.* (\$300-500)**



- 1158 United States of America (USA) 1919. Victory Liberty Loan. #7051205. \$100. 4 3/4% Convertible Gold Note of 1922-1923. Coupons underneath and to the side. Blue underprint and treasury seal. Andrew Jackson. Orange eagle on reverse. VF+.* (\$600-900)**



- 1159 United States Government Liberty Loan Bond Specimen. \$10. Participation Certificate. Brown. Eagle atop rock, left. ABN. VF+.* (\$250-350)**



- 1160 United States Treasury War Finance Committee 1944. Twenty-two colorful Disney characters form the borders. These were given to buyers of World War II bonds as premiums. Homer H. Boelter. EF. (\$250-350)**



- 1161 United States Treasury War Finance Committee** 1944. Twenty-two colorful Disney characters form the borders. These were given to buyers of World War II bonds as premiums. Homer H. Boelter. **EF**.

(\$250-350)

Also included is the original mailing envelope.



- 1162 United States of America** 1918. Treasury Department Authorized Agent Certificate. Blue with gold highlights. Eagle with shield. Printed signature of McAdoo. **VF+.**

(\$300-500)

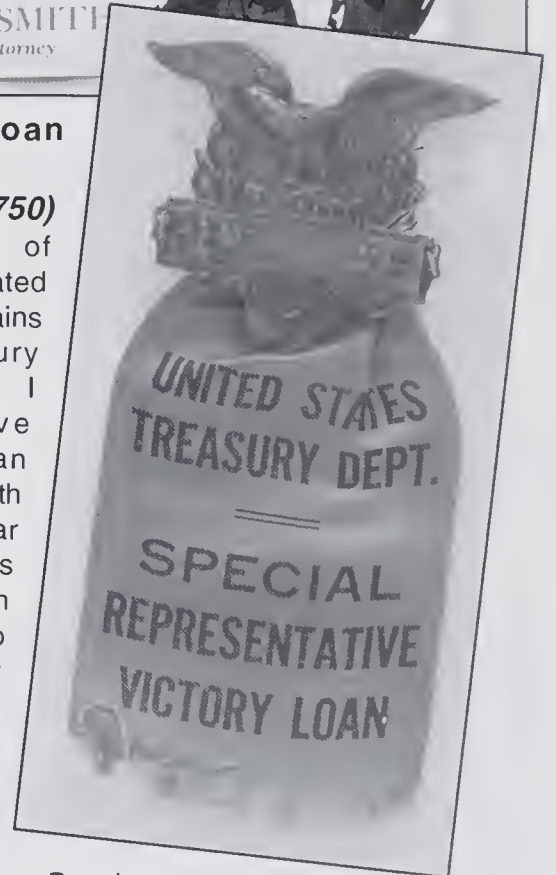
Nicer than the example that realized \$690 in our Sale No.227.



- 1163 Liberty Loan Ephemera.** [23]

(\$500-750)

Large lot of Liberty Loan related ephemera. Contains a US Treasury Special Representative Victory Loan pinback button with ribbon; seven war saving stamps albums, two with stamps. Also includes Victory stamps, matchbook cover, subscription receipts for 4 1/4% Liberty Loan Bonds and other material. **VF+.**



An Illustrated History of U.S. Loans, 1775-1898

By Gene Hessler

1988. 378pp, hard cover.

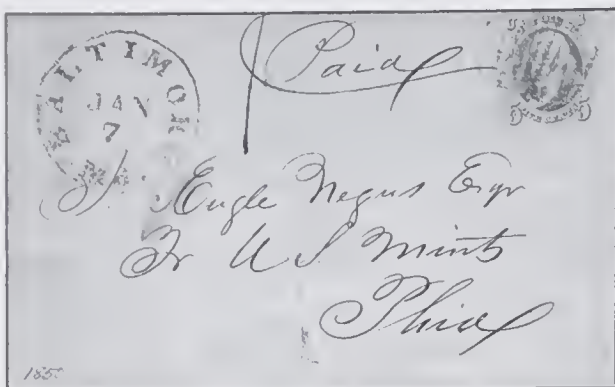
An illustrated chronicle of U.S. loans which explores fiscal items that parallel collectible paper money issues.

To order, call 800-622-1880.

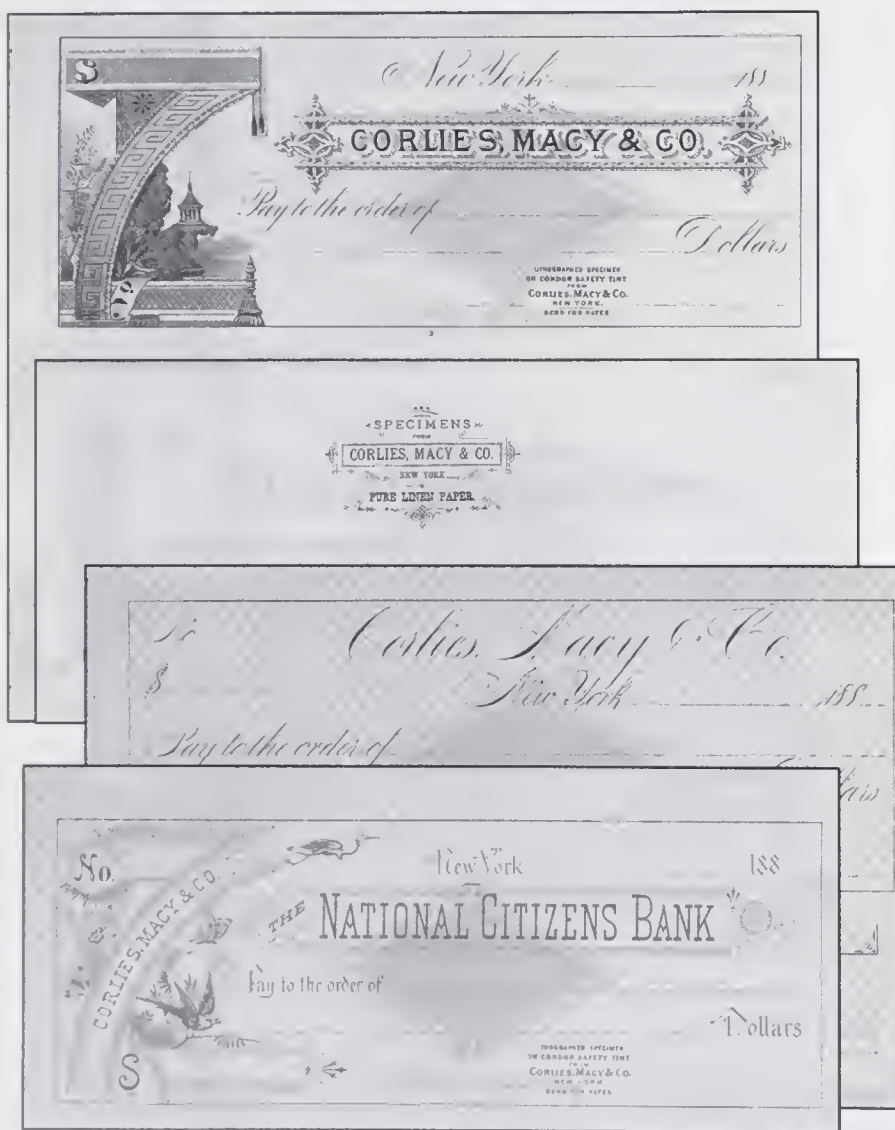
\$29.00



Postage and Revenue Stamps, Covers



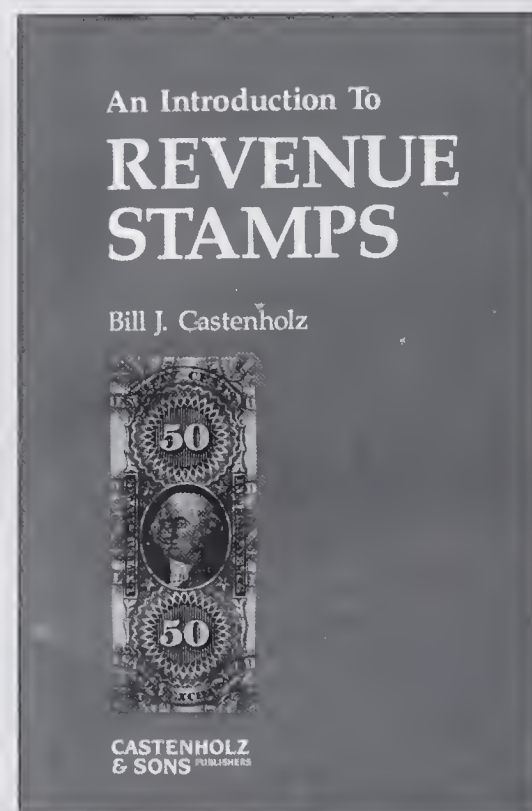
- 1164 Scott #1 1847 Issue on Cover.** 5c Pale Brown (Scott #1). Three decent margins, tied by a red circular grid cancellation. On an 1850 folded letter with circular Baltimore, MD, postmark sent to Philadelphia. **VF.** **(\$500-750)**



- 1165 Specimen Checks with Imprinted Revenue Stamps.** Specimens from Corlies, Macy & Co., New York. Eighteen checks with specimen revenue stamp RN-G1. Various issuers. Last two checks are not revenue stamped. **EF.** [20] **(\$250-250)**

- 1166 Specimen Checks with Imprinted Revenue Stamps.** Specimens from Corlies, Macy & Co., New York. Fifteen checks with specimen revenue stamp RN-G1. Various issuers. **EF.** [15] **(\$200-300)**

- 1167 Unused Patriotic Covers.** [500] **(\$750-1,250)**
A large accumulation of 500+ unused patriotic covers from the Civil War through the Spanish-American War. Should be seen. Sold as is. Like new and unused, **VF+.**



An Introduction to Revenue Stamps

\$20.00

By Bill J. Castenholz

1994. 90pp, soft cover, illustrated.

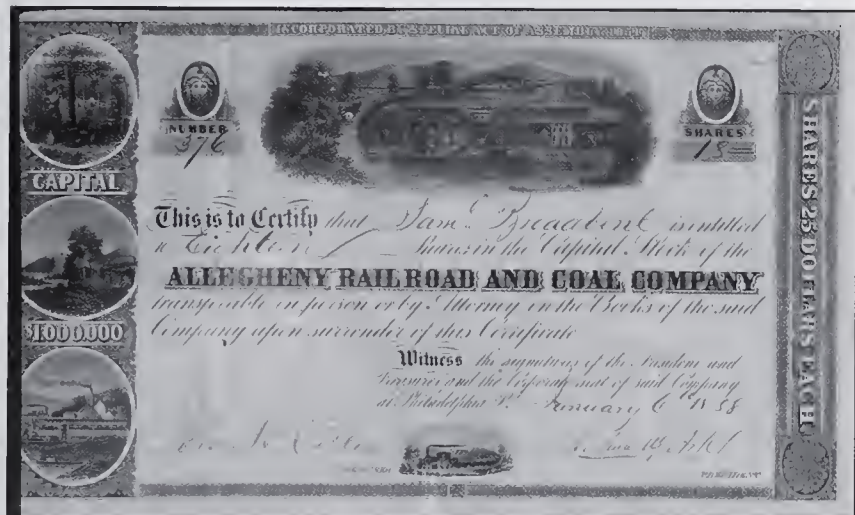
A great reference with many important examples cited.

To order, call 800-622-1880.

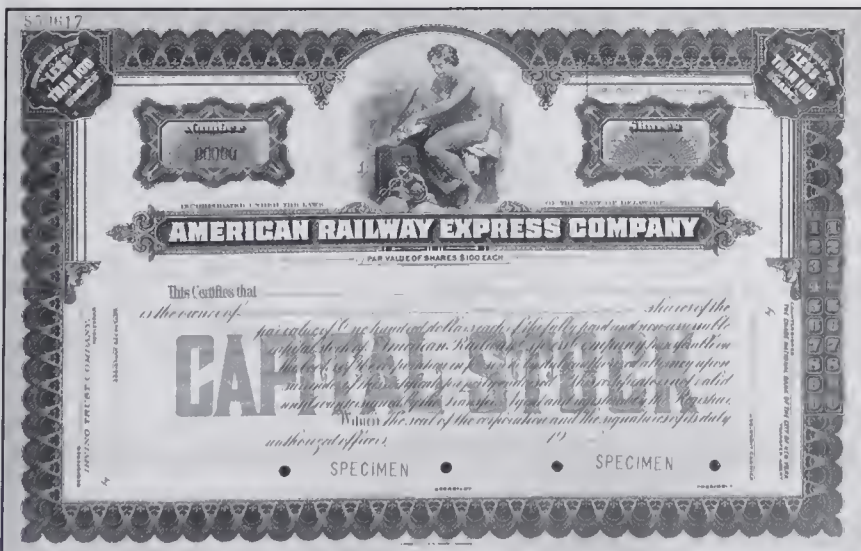
Railroad Certificates



168 Alabama & Chattanooga RR (AL-GA-MS-TN) 1871. #117. \$500.00 8% Land Bond. Red "500". Train at station flanked by factory scenes. Women, sides. Tree with route map, bottom. NBN. VF+. * (\$175-250)



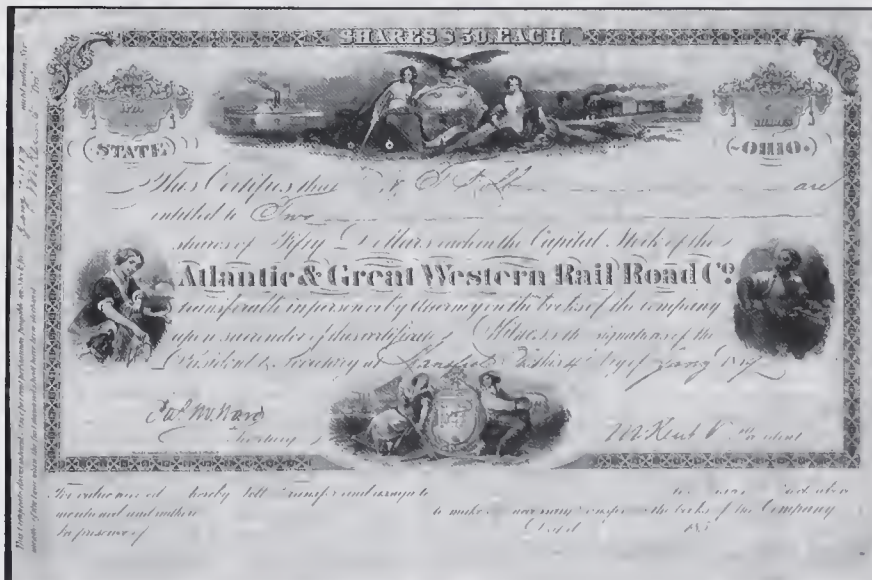
169 Allegheny RR & Coal (PA) 1858. #376. 18 shs. Barge being loaded with coal. Various scenes, left. Coal train, bottom. Light staining, VF. * (\$200-300)



1170 American Rwy Express (DE) 19. Specimen. Shares. Blue. Mercury seated on crate. Tall ship in distance. ABN. EF. (\$175-250)



1171 Arizona Mineral Belt RR (AZ) 1883. Specimen. \$1000. First Mortgage Gold Bond. Cs. Green. Train passes signalman. ABN. VF+. (\$250-350)
First we have seen; Not in Cox. This was a small logging railroad in Arizona.



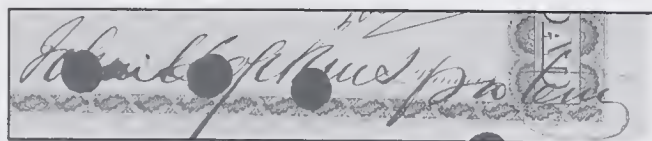
1172 Atlantic & Great Western RR (OH) 1859. #206. 5 shs. State seal flanked by steamship and train. Woman, blacksmith at sides. Man and woman by a map of Ohio, below. BC. VF. * (\$200-300)
This line was created to thrust a line diagonally across Ohio to Cincinnati. The name was later changed to the New York, Pennsylvania & Ohio RR.



- 1173 Atlantic, Mississippi & Ohio RR (VA) 1870. #46. 10 shs. Green. Train and mountains in distance. State arms, bottom. Signed by **William Mahone** as president. Nice clean uncanceled signature. VF+.*

(\$200-300)

William Mahone (1826-1895) was a Confederate Major General. Active in many battles including Seven Pines, Malverne Hill, Second Manassas, Spotsylvania, Cold Harbor and Petersburg, he gained renown as the "Hero of the Battle of the Crater." After the war Mahone returned to railroading and created what is now the Norfolk and Western System. He became a Republican Senator in 1880.



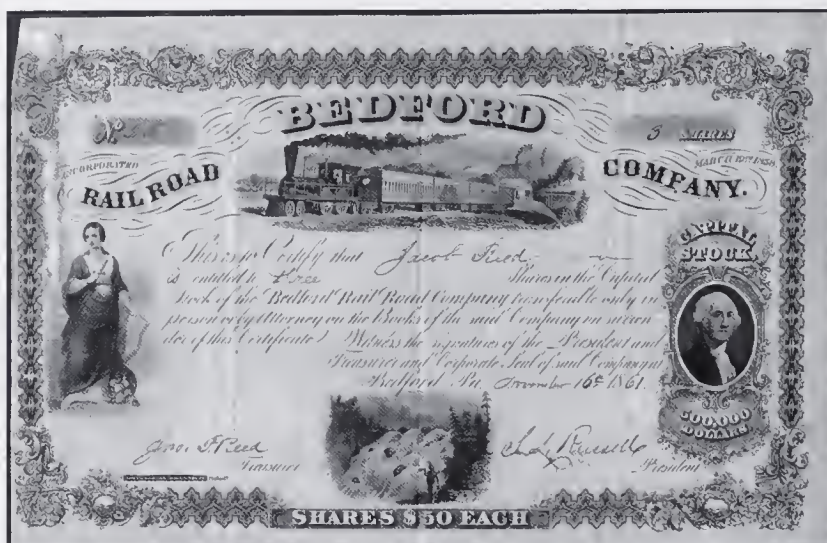
- 1174 Baltimore & Ohio RR (OH) 1865. 6 shs. Train. Allegorical figures in corners. Signed by **Johns Hopkins** as president. Three punches affect his signature. VF. (\$300-500)

Johns Hopkins was an important merchant and banker. He was the third president of the Baltimore & Ohio RR. He left \$7 million to found Johns Hopkins University and Hospital.



- 1175 Baltimore & Ohio RR 1856. #20414. 9 shs. Train. Allegorical women in corners. Signed by **Johns Hopkins** as president. VF. (\$300-500)

Johns Hopkins was an important merchant and banker. He was the third president of the Baltimore & Ohio RR. He left \$7 million to found Johns Hopkins University and Hospital.



- 1176 Bedford RR (PA) 1861. #25. 3 shs. Train. Columbia, left. Washington, right. Indian at waterfall, bottom. ABN. Light staining from stub, left. VF.* (\$200-300)



Attention!

Please join Smythe and Author Terry Cox at a book signing, **Thursday, February 5**, at the Netherlands Inn and Spa. For More Details...
Contact R.M.Smythe at 800-622-1880.



- 177 Birmingham, Ensley & Bessemer RR (AL) 1912. #378. 4 shs.** Orange with gold seal. Diesel train passes trackworkers. SBN. VF+. * **(\$200-300)**

Only the second we have seen. The last realized \$275 in our Sale No.213.



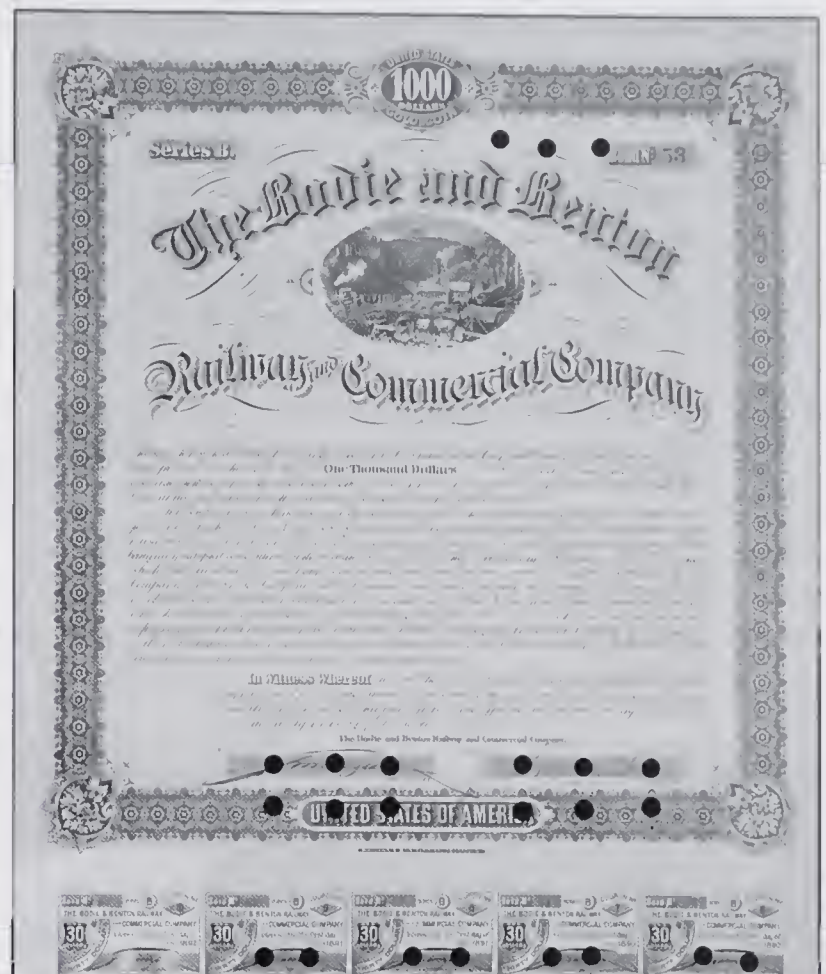
Collectible Stocks and Bonds of North American Railroads Guide With Prices \$45.00

By Terry Cox (2003). Second Edition. 570pp, 225 photos of certificates. Soft cover.

The long awaited second edition of this popular title. A resource written for collectors, this book focuses on one portion of the collectible stock and bond hobby – railroading. This is the best available listing of certificates with price indications. This edition lists 17,598 entries, of which 13,505 are distinct varieties, nearly 7500 more certificates than the previous edition.

A “must have” book for anyone interested in the subject.

To order, call 800-622-1880.



- 1178 Bodie & Benton Rwy & Commercial (CA) 1886. #53. \$1000.** Series B Trustees Certificate. Train leaves log mill. Signed by **Henry Yerrington** as president. Signature is affected by three punches. VF+. **(\$1,000-1,500)**

Bodie was one of the richest mining districts east of the Sierra Nevada at 8,500 feet. The Bodie and Benton Railway line was appropriately called “The Railroad in the Sky”. It ran from Bodie, California to Mono Mills, California and had branches to the Woodyard and Standard Mine covering over 36 miles.

Henry Yerrington was an important railroad superintendent. In an effort to attract a spur of the Virginia & Truckee Railroad local leaders renamed named their town, Yerrington, Nevada. Yerrington developed into an important agricultural area in Nevada.

- 1179 Boston & Albany RR 1870. #12532. 20 shs.** Indian in circle flanked by expansive harbor scene with ships and a train crossing a bridge, Boston in the distance. New York arms, below. RN-T4 Printed askew (leans noticeably left). Splits repaired with archival tape, VF. (np) **(\$400-600)**
- 1180 Boston, Hartford & Erie RR (CT) 1872. #11182. 100 shs.** Train at station. RN-U1. Charles Ketchum. VF. * (np) **(\$300-500)**



- 1181 Boynton Bicycle Electric Rwy (MA) 1907. #207. 10 shs. Gold seal and underprint. Woman with starred cap. VF+.* (\$250-350)**

Two entrepreneurs began promoting the Boynton Bicycle Railroad in 1894. They had three dreams to offer: a faster commute to New York; more tourist trade and a 400% increase in land values for Brookhaven. The two promoters raised \$60,000 to build a test track erected between Patchogue and Bellport. This wooden monorail was to carry an unusual electric powered train at speeds up to 100 miles. Ultimately this monorail system failed because of local politics.

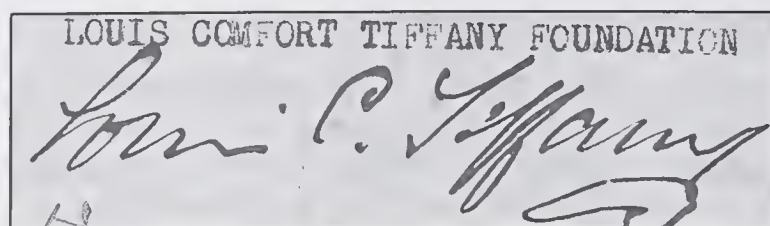
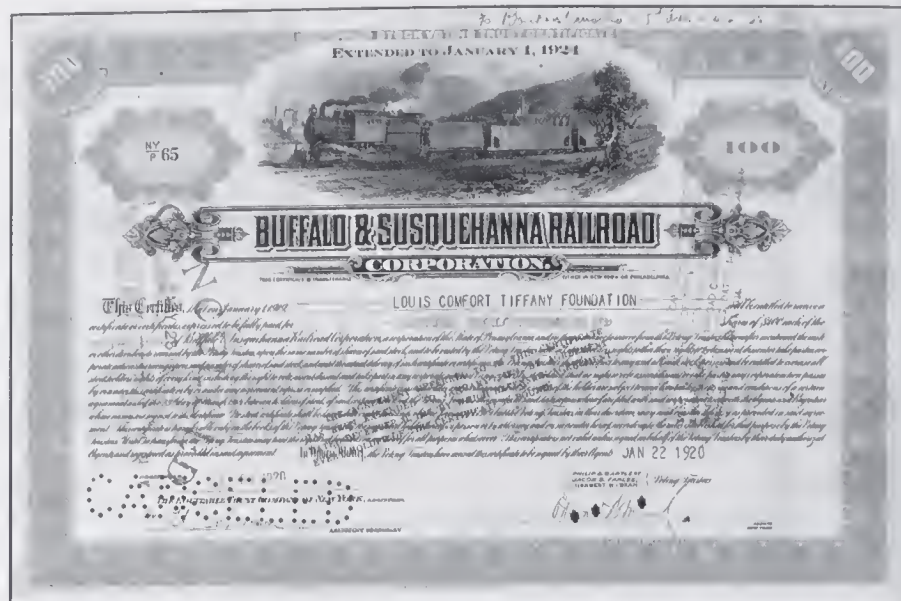


- 1182 Brooklyn Elevated Rwy (NY) 1880. #198. One share. Green. Street cars leave East River Fulton Ferry terminal, elevated railway in foreground, Brooklyn Bridge, beyond. ABN. VF+.* (\$400-600)**

The line operated from Fulton Ferry to Schneck Avenue.

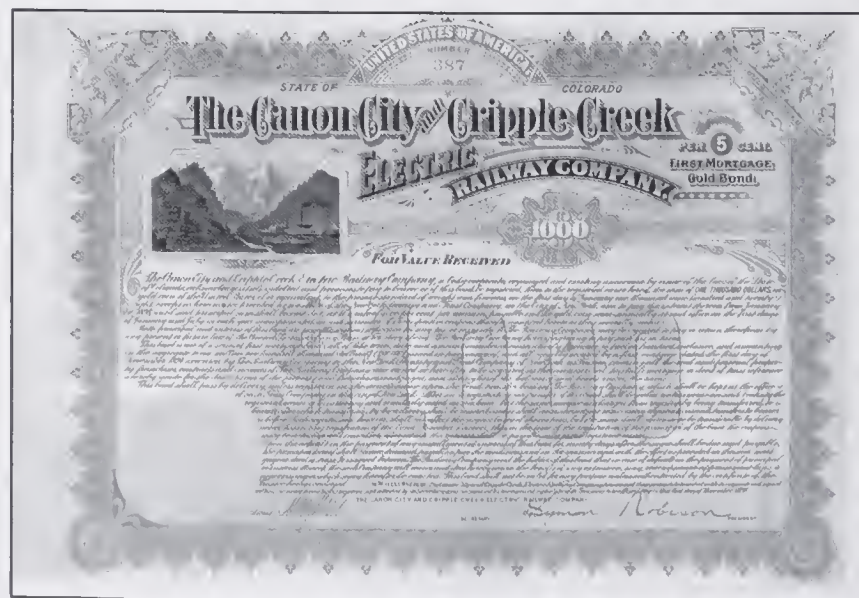
- 1183 Brunswick & Albany RR (GA) 1869. \$1000. Guaranteed Bond. Green. Gold "\$1000" with red corporate seal. View of a train in front of a Victorian mansion. State seal, bottom. Signed by Henry Clews as treasurer. Light toning throughout. Light chipping in outer margins. VF.* (np) (\$300-500)**

Not in Cox. The last we offered realized \$400 in our Sale No.227.



- 1184 Buffalo & Susquehanna RR 1920. #65. 100 shs. Preferred. Orange. Coal train. Issued to and signed on the reverse by Louis Comfort Tiffany. ABN. VF+.* (\$1,500-2,500)**

Louis Comfort Tiffany (1848-1933) was renowned for his work in stained glass and art glass, a field in which he developed a process for making what he called "Favrile glass" which was much acclaimed. He founded Tiffany Studios, which produced stained glass windows, vases, lamps and jewelry items which today are avidly collected. He established the Louis Comfort Tiffany Foundation in 1919 for the education of arts students.



- 1185 Canon City & Cripple Creek Electric Rwy (CO) 1897. #387. \$1000. 5% Gold Mortgage Bond. Cb. Green. Mill at base of mountains. Light soiling in top margin, else EF.* (\$200-300)**

- 186 Carthage & Western Rwy (MO) 1909. #10. One share. Brown. Flagman waves pedestrians out of the way of an approaching train. Small eagle, bottom. VF+.* (np) (\$175-250)**



18
Charles Pratt

- 187 Central City Rwy 1888. Syracuse. #254. 4 shs. Train approaches tunnel, city across river. Issued to and signed by Charles Pratt on the reverse. Light staining, left. VF. (\$300-500)**

Charles Pratt (1830-1891) was an American oil magnate and philanthropist. With Henry H. Rogers he established a company in 1867 bearing his name, and became the leading refiner of crude oil. H.H. Rogers also manufactured many by-products. In 1874 Pratt sold out to John D. Rockefeller and joined Standard Oil.



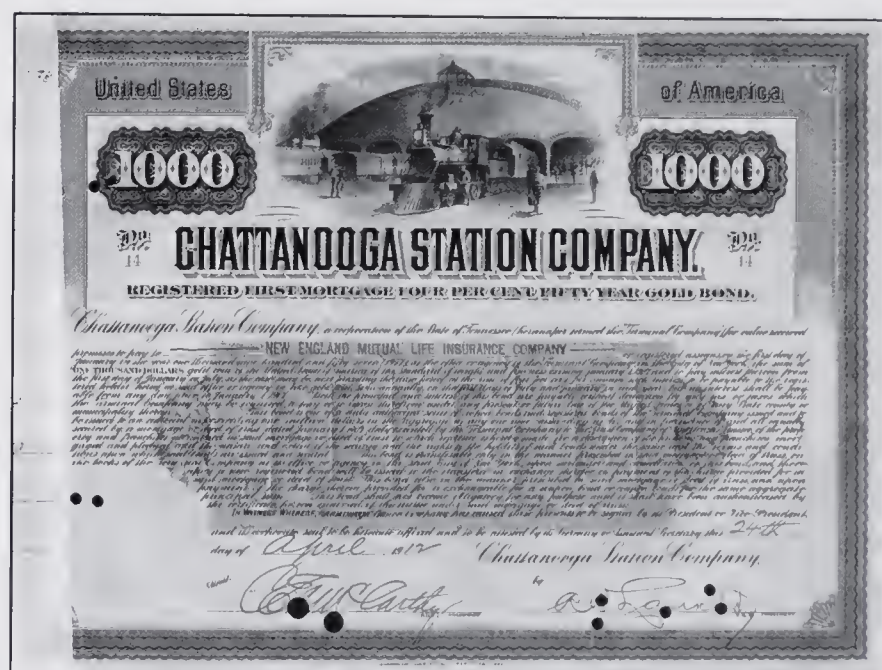
- 1188 Central Pacific RR 18_. Specimen. 10 shs. Cu. Brown. Trio of allegorical women. NBN. EF. (\$1,000-1,500)**

On May 10, 1869 the Central Pacific linked with the Union Pacific at Promontory Summit, Utah creating the transcontinental railroad.



- 1189 Central RR of New Jersey (NJ) 19_. Shares. Brown. Men wave at passing train. Harbor scene, bottom. ABN. EF.* (\$500-750)**

It has been rumored that the stocks and bonds of this railroad were used to fuel the boilers of the ferries in Manhattan. Nonetheless, very rare.



- 1190 Chattanooga Station (TN) 1912. #14. \$1000. Registered First Mortgage 4% Gold Bond. Green. Train leaves busy terminal. ABN. VF+.* (\$175-250)**

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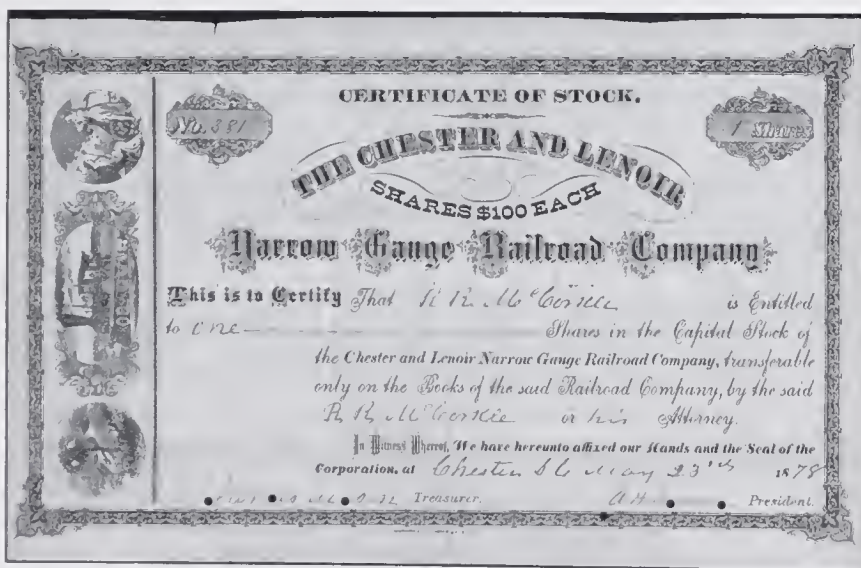
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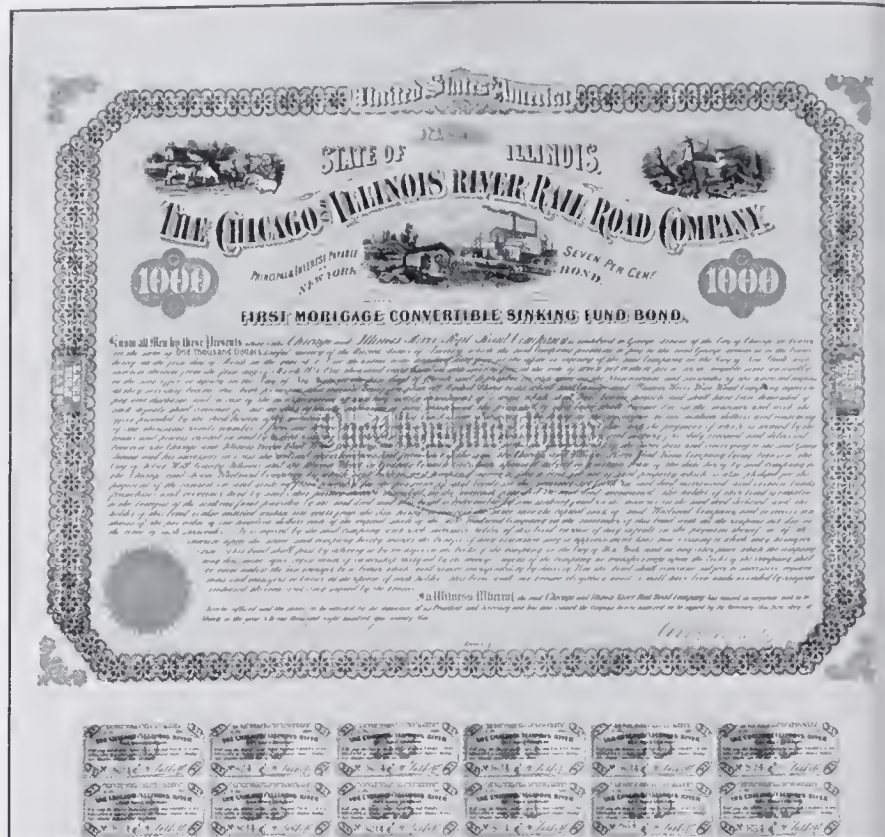
C.P. Huntington
President

- 1191 Chesapeake, Ohio & Southwestern RR 1882. #80. 64 shs. Green. Train at station. Signed by C.P. Huntington as president. Three small punches affect his signature. ABN. Light glue staining from stub, VF+.* (\$300-500)

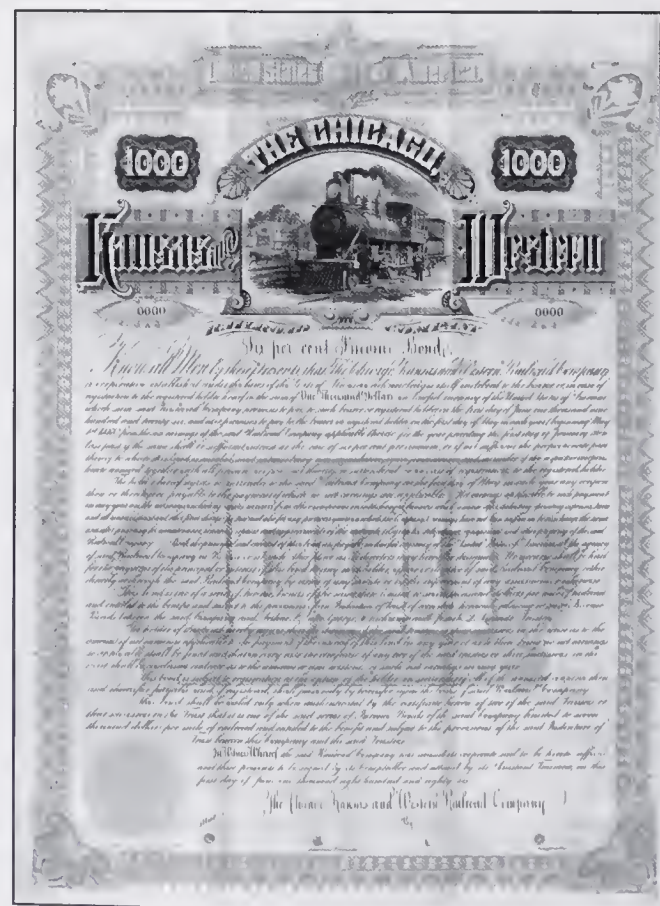
Collis Porter Huntington (1821-1900) was one of the "Big Four". He was a railroad magnate and capitalist. He organized the Central Pacific RR and was involved in building the Western end of the transcontinental railroad.



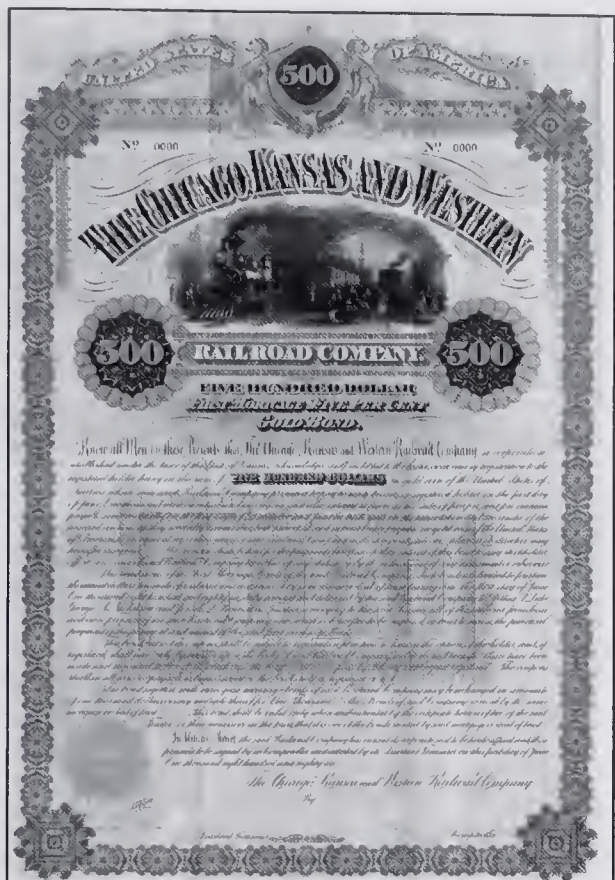
- 1192 Chester & Lenoir Narrow Gauge RR 1878. #381. One share. Train flanked by state arms, left. Minor splits, VF. (\$200-300)



- 1193 Chicago & Illinois River RR (IL) 1875. #834. \$1000. First Mortgage Convertible Sinking Fund Bond. Cu. Green and gold. Train passes factory. Farmer with livestock and miners underground, upper corners. VF+.* (\$300-500)



- 1194 Chicago, Kansas & Western RR (KS) 1886. Specimen. \$1000. 6% Income Bond. Cs. Orange. Train #15, buggy in distance. ABN. VF+.* (\$200-300)



- 1195 Chicago, Kansas & Western RR (KS) 1886. Specimen. \$500. First Mortgage 5% Gold Bond. Cs. Brown. Train at busy station. ABN. VF+. (\$200-300)
First we have seen.



Mrs. Caroline Kipling

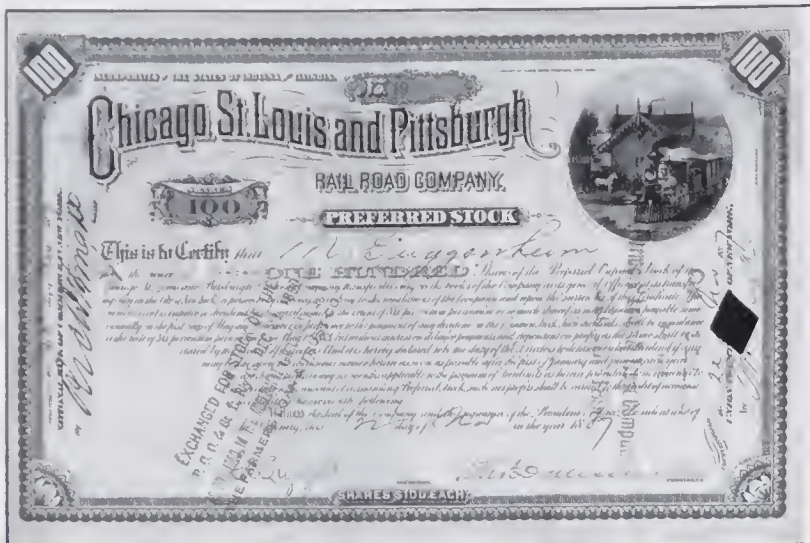
- 1197 Chicago, Rock Island & Pacific Rwy 1898. #889. 6/10 shs. Convertible Scrip Certificate. Green. Train in circle left. Issued to and signed on the reverse by Mrs. Caroline Kipling. ABN. VF+. (\$300-500)
Caroline Kipling was the wife of Rudyard Kipling, the English short-story writer, novelist and poet, who celebrated the heroism of British colonial soldiers in India and Burma. In 1892 Kipling married Caroline Starr Balestier, the sister of an American publisher and writer, with whom he collaborated on a novel, THE NAULAHKA (1892).



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- 1196 Chicago, Portage & Superior Rwy (IL-WI) 1881. \$1000. First Mortgage Land Grant Bond. Cs. Red seal. Train, steamboat in distance. FBN. VF+. (\$250-350)
A similar example realized \$425 in our Sale No.172.



Meyer Guggenheim

- 1198 Chicago, St. Louis & Pittsburgh RR (IN-IL) 1890. #1954. 100 shs. Preferred. Brown. Train and stagecoach in circular frame, upper right. Issued to and signed on the reverse by Meyer Guggenheim. Cut cancelled. VF+.**

(\$4,000-6,000)

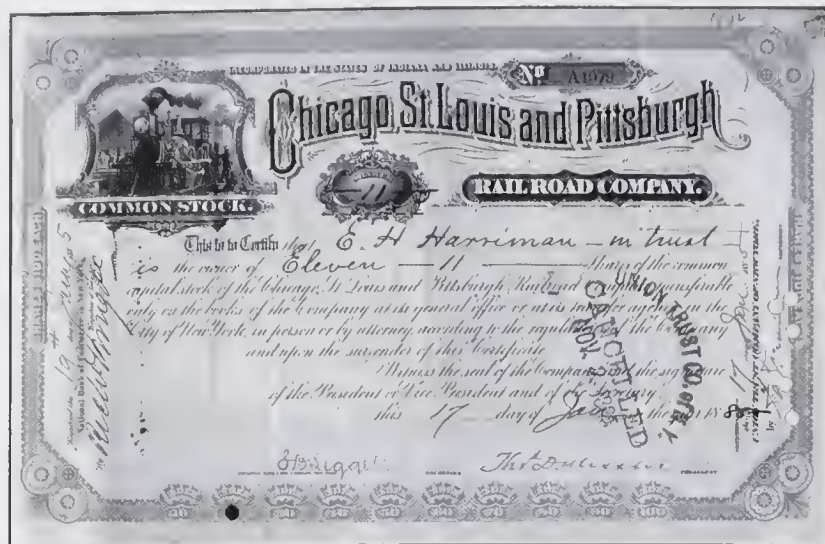
Meyer Guggenheim (1828-1905) was an industrialist who began his career selling shoelaces in the streets of Philadelphia. In 1872, along with his partner, Meyer established the firm of Guggenheim and Pulaski, which imported Swiss embroideries.

Nine years later, with business flourishing, Guggenheim bought out his partner. In 1887, when nearly 60, Guggenheim purchased some Colorado mining properties. He saw great potential in processing ore, just as Rockefeller understood that the surest profits in petroleum lay in the refining process. Guggenheim formed the Philadelphia Smelting and Refining Company, and in 1901, American Smelting and Refining relinquished control to his company.

When he retired, Meyer was at the height of his career, the owner of a worldwide mining and smelting conglomerate. His sons continued the legacy that he started, in business and with his philanthropic activities. A similar example realized \$5200 in our Sale No.217.



Please note that a 15% buyer's premium will be added to each lot purchased.



- 1199 Chicago, St. Louis & Pittsburgh RR (IN-IL) 1885. #1079. 11 shs. Orange. Train at depot. Issued to and signed on the reverse by E.H. Harriman. Slash cancelled, VF. (\$300-500)**

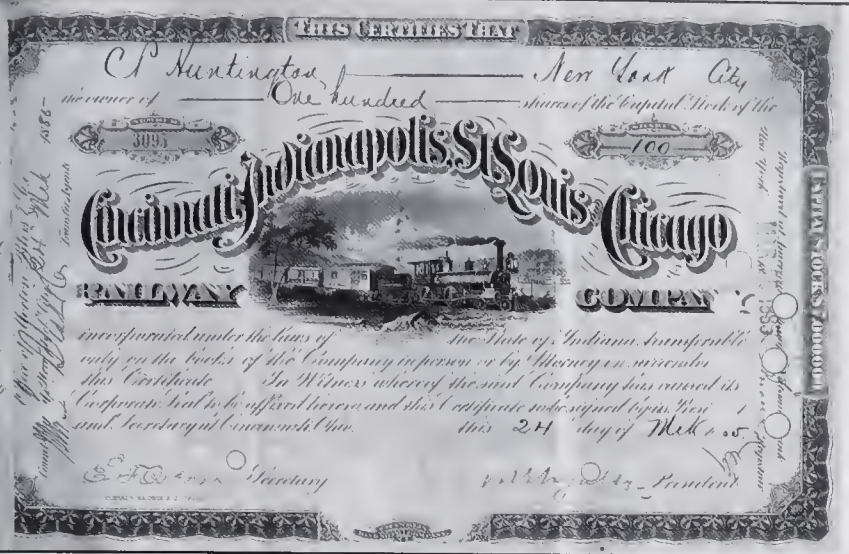
E. H. Harriman

Edward H. Harriman (1848-1909) was an American financier and railroad executive. He was known as the "Napoleon of Wall Street". His challenge to James J. Hill and the House of Morgan for control of the Northern Pacific resulted in the Wall Street Panic of 1901.



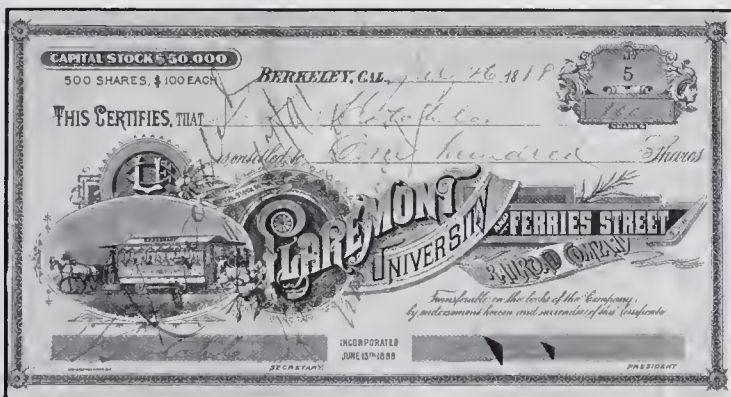
E. H. Harriman

- 1200 Chicago Terminal Transfer RR 1901. #2952. 100 shs. Preferred. Orange. Justice flanked by trains in circles. Issued to and signed on the reverse by E.H. Harriman. ABN. VF+. (\$300-500)**



C.P. Huntington

- 201 Cincinnati, Indianapolis, St. Louis & Chicago Rwy**
1885. #3095. 100 shs. Green. "View at North Bend, Ohio". Issued to and signed on the reverse by C.P. Huntington. FBN. VF+. (\$250-350)



- 202 Claremont University & Ferries Street RR (CA)**
1888. Berkeley. #5. 100 shs. Horse drawn streetcar. Pen cancelled, VF. (\$250-350)



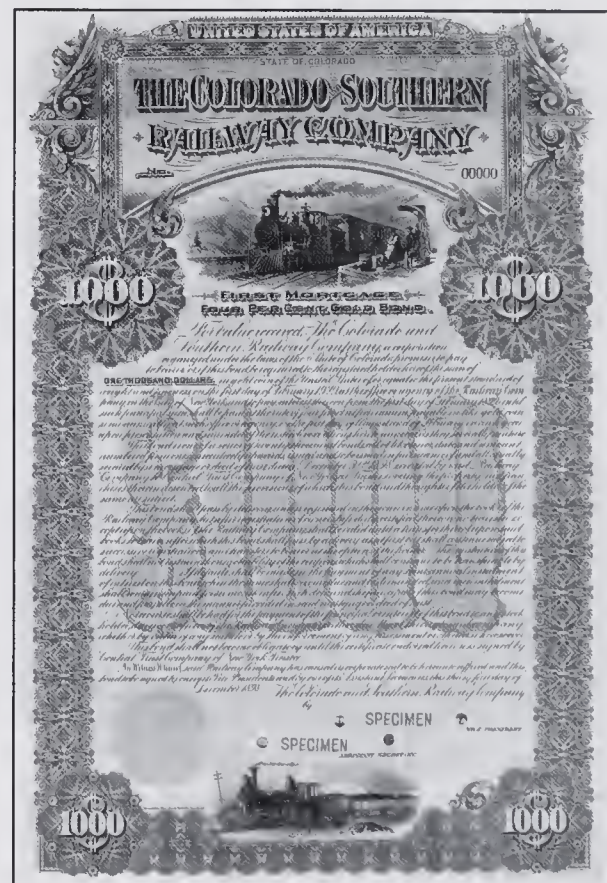
- 203 Clover Hill RR (VA) 1871. #71. \$1000. 4% Bond. Cu. Green.** Train rounds bend. Minor splits, VF*. (\$250-350)



- 1204 Colorado Central RR (CO) 1873. Specimen. \$1000.** First Mortgage 8% Gold Loan. Cu. Green. Stag flanked by surveyors and freight. ABN. VF.

(\$250-350)

Also included is handwritten text related to the bond.



- 1205 Colorado & Southern Rwy (CO) 1898. Specimen. \$1000.** First Mortgage 4% Gold Bond. Cb. Brown. Train at depot. Coal train, bottom. FLBN. VF+.

(\$200-300)

Also included is the twentieth annual report dated 1918.

We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

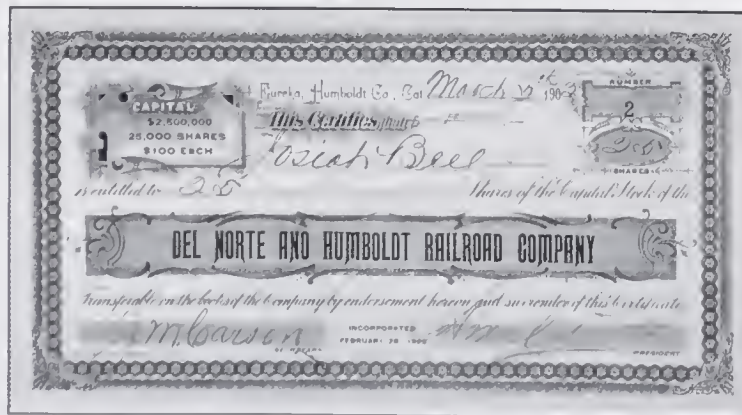
Please be sure to follow up with a written confirmation if you phone your bids to our office.



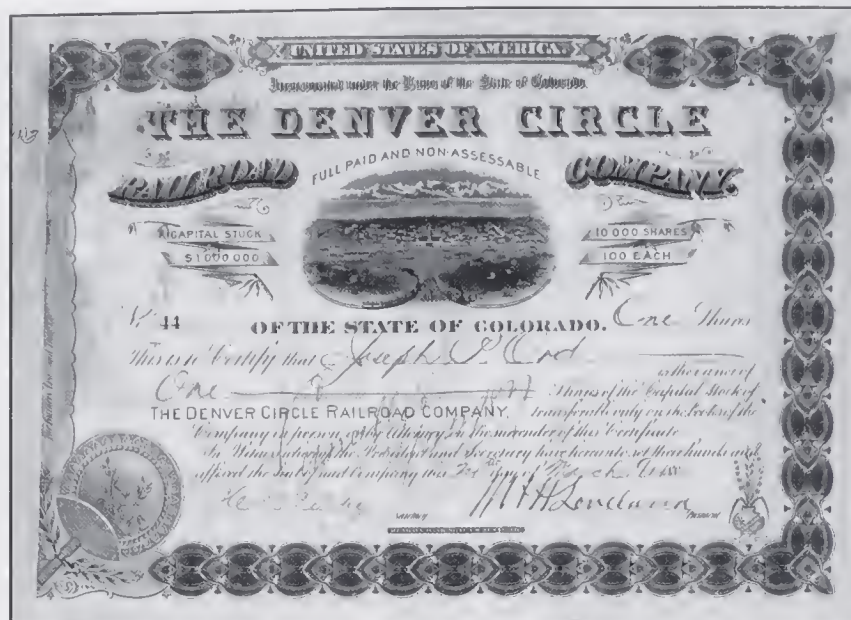
- 1206 Colorado, Wyoming & Eastern Rwy (CO) 1914. #880. \$1000. General Mortgage 6% Gold Bond. Cb. Green. Passenger train, steamboat in distance. VF+.*
(\$250-350)



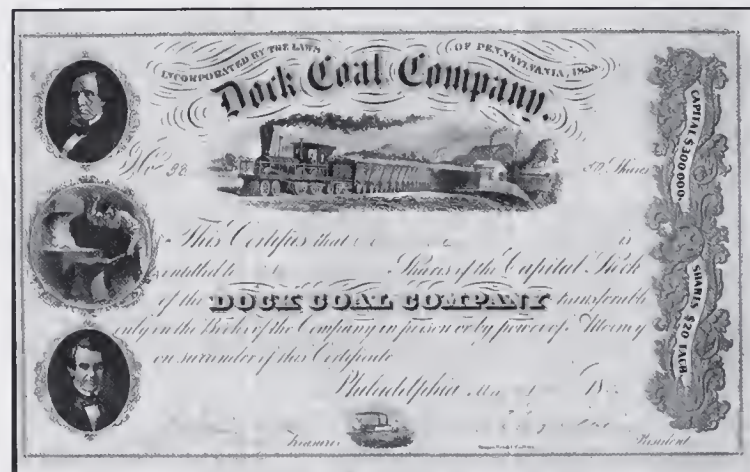
- 1207 Dallas Consolidated Traction (TX) 1891. #137. 360 shs. Brown. Oncoming streetcar filled with passengers in Victorian dress. Lone star, bottom. ABN. VF+.*
(\$175-250)



- 1208 Del Norte & Humboldt RR (CA) 1903. Eureka, Humboldt County. #2. 25 shs. Ornate border. EF.
(\$250-350)



- 1209 Denver Circle RR (CO) 1883. #44. One share. Green. Trains loop around city. Signed by William Loveland as president. Staining from stub, left. VF.
(\$250-350)



- 1210 Dock Coal (PA) 1855. #33. 50 shs. Coal train. Blacksmith between portraits, left. Small steamboat, bottom. DW. VF.*
(\$200-300)

- 1211 East Tennessee, Virginia & Georgia Rwy 1890. #313. \$1000. General Mortgage 5% Gold Bond. Cb. Green. Oncoming train, steamboat and factories in the distance. HLBN. VF+.
(\$200-300)





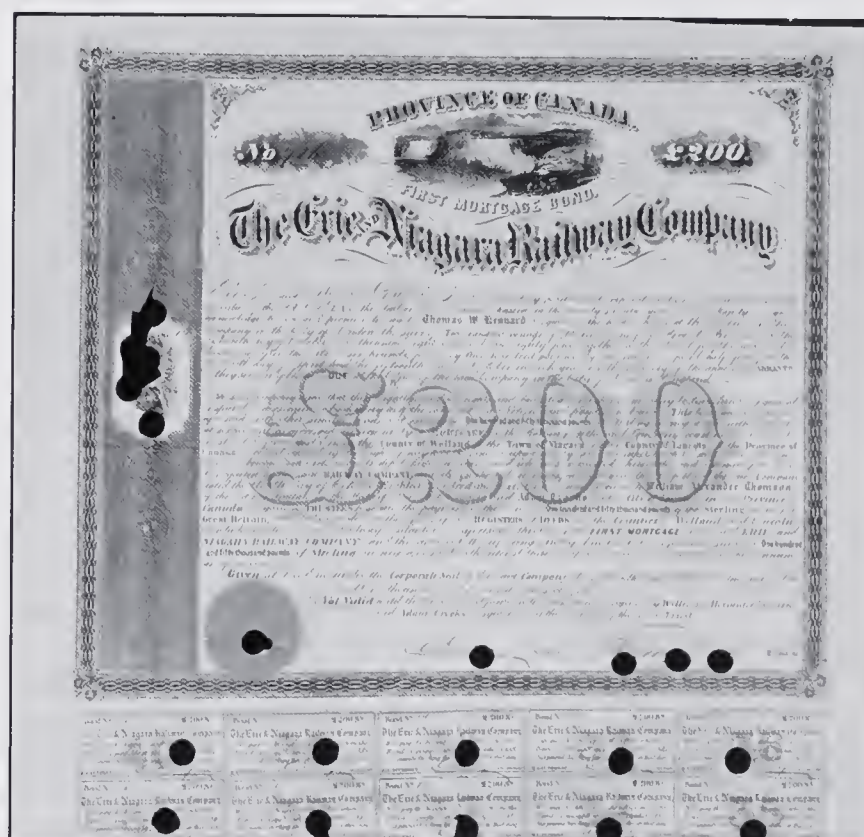
- 212 East Tennessee, Virginia & Georgia Rwy 1888.**
 #3682. \$1000. Equipment & Improvement Mortgage
 5% Gold Bond. Cb. Orange. Passing trains. Cherub,
 right. HLBN. VF+. (\$200-300)



- 21213 Eastern RR of Long Island 1879.** Proof on India
 paper. Shares. Train. ABN. Small tear in right border,
 VF. (\$200-300)



- 1214 Electric RR Express (ME) 1896. #18. 100 shs.**
 Orange with a gold seal. Profile of an electric
 streetcar. VF+. (\$175-250)

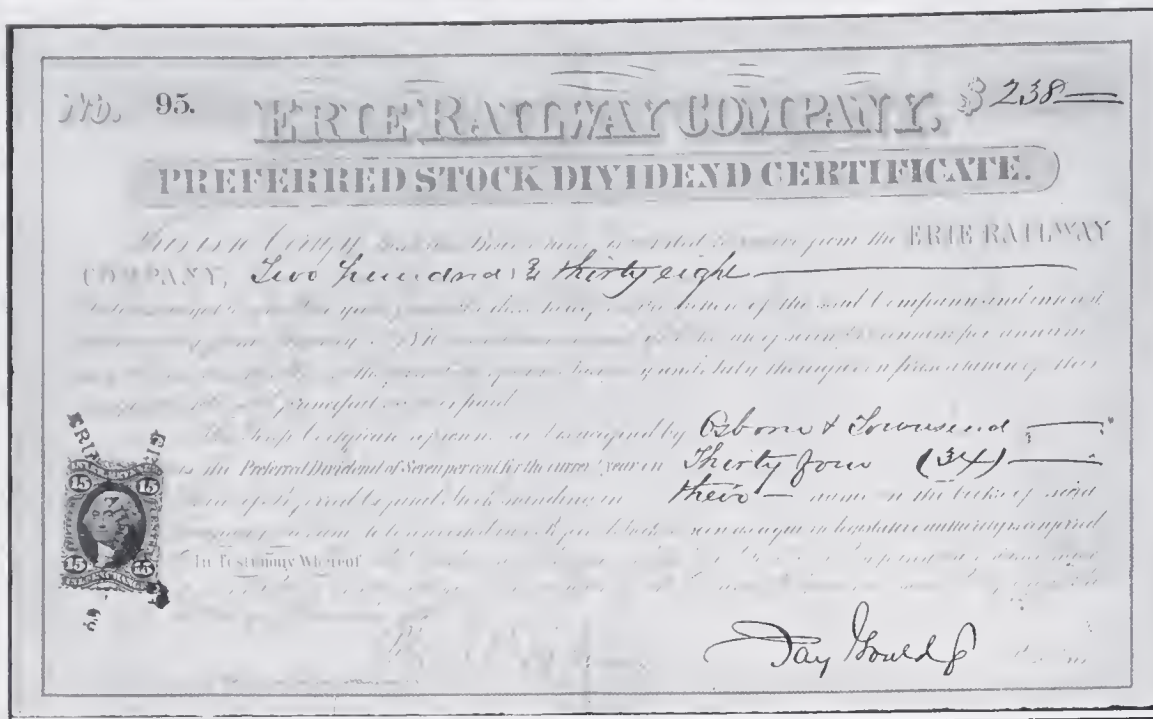


- 1215 Erie & Niagara Rwy (Canada) 1864. #716. £200.**
 First Mortgage Bond. Orange seal and "200". Niagara
 Falls. POC. VF. (\$200-300)



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1216 Erie Rwy 1869. #95. \$238. Bearer Preferred Stock Dividend Certificate. All Red. Printed signature of Jay Gould. VF.* (\$3,000-5,000)

The Erie Railway and Jay Gould are two of the most compelling names in the annals of financial history. No other railroad achieved more notoriety than the Erie, the "Scarlet Woman of Wall Street." Jay Gould's unexcelled audacity aroused the wrath of his contemporary Robber Barons, and, on more than one occasion, had the general public howling for his life. Originally chartered in 1832 as the New York & Erie Railroad, the Erie, as it came to be known, was to be constructed as a route to parallel the Erie Canal. Its beginnings were promising, but by 1867 the road was in a deplorable state.

The Erie attracted the attention of three diverse interests - Commodore Vanderbilt, who wanted to destroy the Erie to keep it from competing with his own lines; John S. Eldridge, who wished to merge the Erie with the Boston, Hartford & Erie; and Drew, Fisk and Gould, who planned to milk the line for all it was worth. In 1867, Jay Gould was a relatively unknown stockbroker, the least conspicuous player in the group, but his name would soon be on the lips of everyone connected with Wall Street. Eldridge and Vanderbilt successfully schemed to unseat Daniel Drew as president of the Erie, then naively allowed Drew and Gould to stay on as board members.

Drew, Fisk and Gould secretly conspired to pillage the Erie and short the stock. Commodore Vanderbilt, in an attempt to corner the Erie, invested over \$10,000,000 in order to force the stock upward. When the Commodore got wind of the conspirator's plans to simply issue unlimited amounts of new stock, he got a friendly judge to issue an injunction against Drew, Fisk and Gould. The conspirators moved their operation to Jersey City, out of the judge's jurisdiction, and continued issuing stock at an unprecedented rate. Gould then appeared in Albany with a bag full of cash, and coincidentally, the

State Legislature passed a bill legalizing the enjoined Erie stock issue. The bill also contained a clause prohibiting Vanderbilt from voting his shares in the Erie.

The result was a stalemate, forcing all parties to agree to a settlement that kept Vanderbilt's investment relatively intact while putting Gould in control of the Erie. In some respects it was a hollow victory. The railroad was in a deplorable state. In a letter to the president the superintendent stated "...The iron rails have broken, laminated and worn out beyond all precedent, until there is scarce a mile of your road...Where it is safe to run a train. Broken wheels, axles, engines and trains off the track have been of daily, almost hourly, occurrence." Gould set to work to correct the problems. A wonderful example, issued during one of the most turbulent and exciting time periods in American financial history. A museum piece, worthy of the finest collection.

Perhaps two or three are known.

Lot 1216 - Signature Inset

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2002. 260pp, hardcover.

Lavishly illustrated, this handsome book is also beautifully written. A must have for train buffs!

To order, call 800-622-1880.



- 1219 Franklin RR (PA) 1839. #29. 10 shs. Ornate border.
John C. Clark, Philadelphia. VF.* (\$250-350)

The railroad was active from 1839-1859 when it was taken over by the Cumberland Valley RR.

William Waldorf Astor

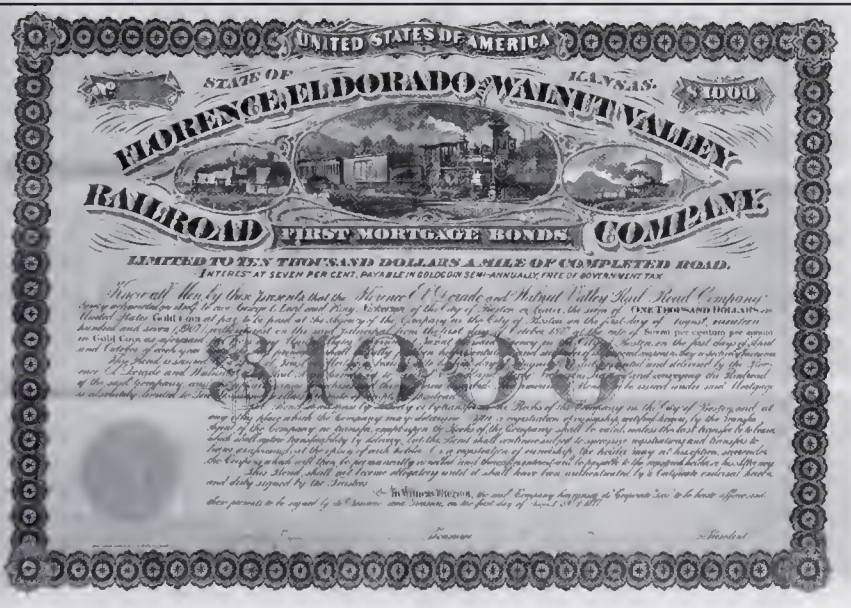
- 1217 Flint & Pere Marquette RR (MI) 1880. #326. 100 shs. Orange. Train crosses bridge. Issued to and signed on the reverse by William Waldorf Astor. ABN. VF+. (\$200-300)

William Waldorf Astor (1848-1919) was the great grand son of John Jacob Astor. In 1899 he was considered the richest man in the world. He and his cousin John Jacob Astor IV built the Waldorf-Astoria Hotel in New York City.



- 1220 Gettysburg RR (PA) 1857. #39. \$500. 6% Bond. Cu. Train passes court house. VF. (\$500-750)

Chartered in 1838, it was not until 1856 that construction was commenced and in 1858 this 17 mile road was opened from Gettysburg to Hanover, PA.



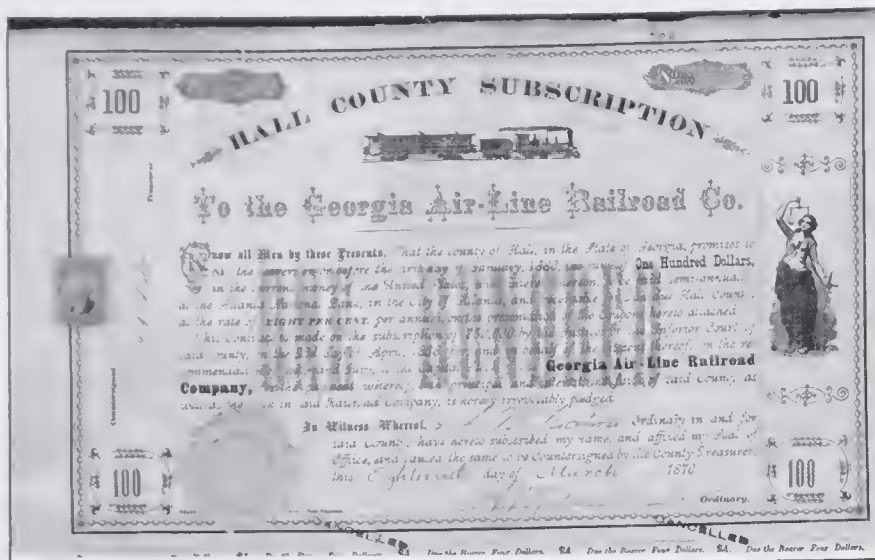
- 1218 Florence, Eldorado & Walnut Valley RR (KS) 1877. Specimen. Tan underprint with red seal and "\$1000". Train flanked by trains in circles. Henry Seibert. VF+. (\$300-500)

An impressive specimen bond that is probably unique. Not known previously to Cox or us. The line was operated by the Atchison, Topeka & Santa Fe and extended from Florence to El Dorado, Kansas a distance of 30 miles.

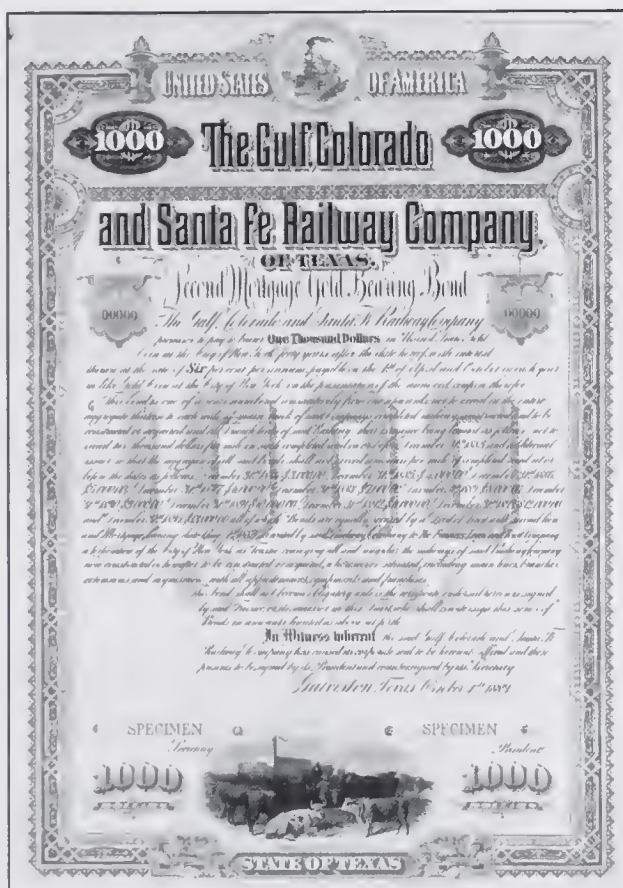


- 1221 Grassy Island Coal & RR (PA) 1861. #3. 932 shs. Coal train. Vertical mine shaft, left. VF.* (\$175-250)

First we have seen. Not in Cox.



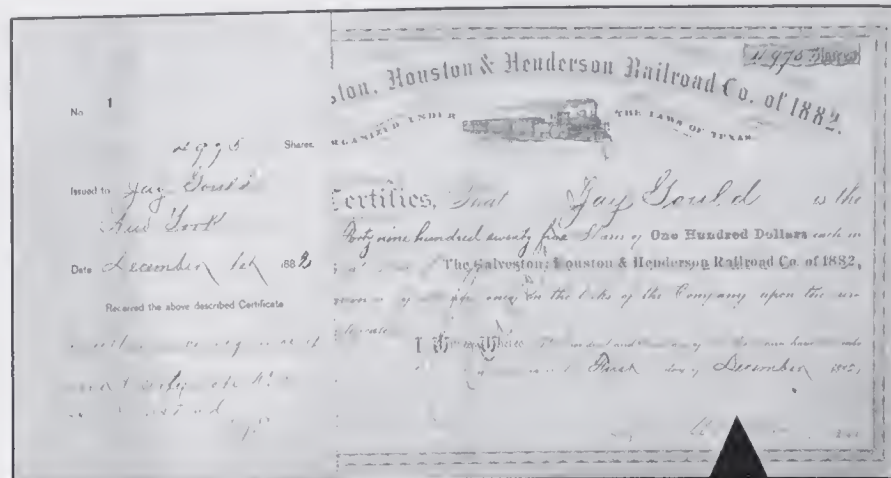
- 1222 Georgia Air-Line RR (GA) 1870. Hall County Subscription. #35. \$100. 8% Bond. Cu. Red title and counters. Pink "ONE HUNDRED". Yellow seal. Train. Justice, right. VF+.*
First we have seen.



- 1223 Gulf, Colorado & Santa Fe Rwy of Texas (TX) 1883. Specimen. \$1000. Second Mortgage Gold Bond. Cb. Brown. Cattle, bottom. ABN. VF+.
First time we have offered this type.

Is there anything special you would like us to bring to Strasburg?

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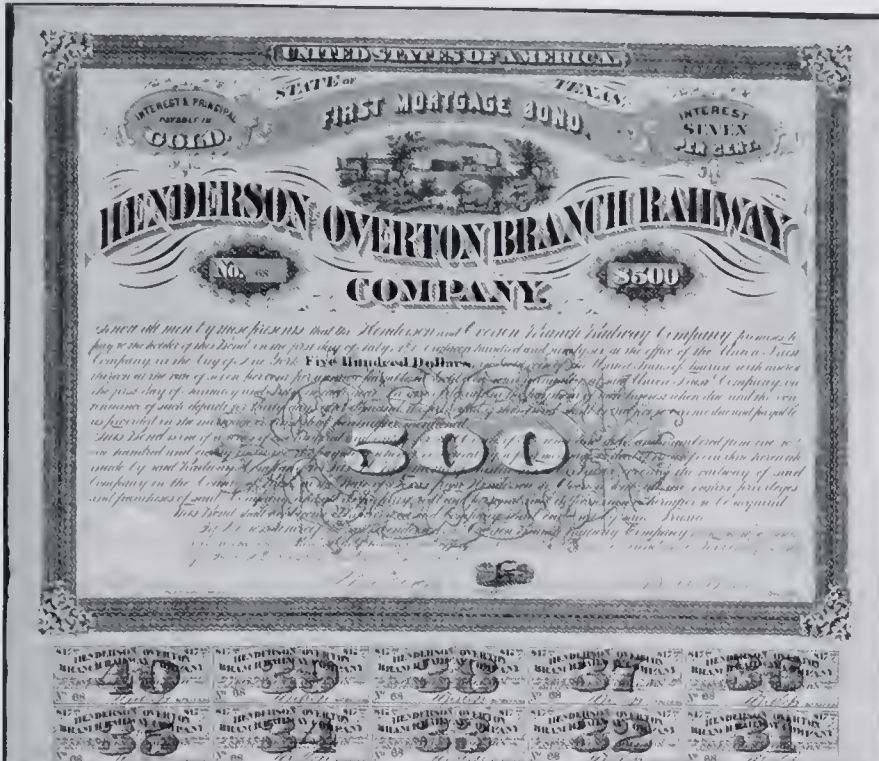
- 1224 Galveston, Houston & Henderson RR of 1882 (TX) 1882. #1. 4975 shs. All red. Train. Issued to but not signed by Jay Gould. Stub attached, left. Triangular cut out in bottom border. VF. (\$250-350)



- 1225 Harrisburg, Portsmouth, Mount Joy & Lancaster RR (PA) 1869. #5291. 3 shs. Women with bales flanked by Penn and Franklin. Trains, eagles and woman, sides. State arms, bottom. Signature of J. Edgar Thompson is pen cancelled. TCC. VF+.
(\$200-300)



- 1226 Harrisburg, Portsmouth, Mount Joy and Lancaster RR (PA) 1859. #4192. 5 shs. Women with bales flanked by Penn and Franklin. Trains, eagles and woman, sides. State arms, bottom. VF. (\$200-300)

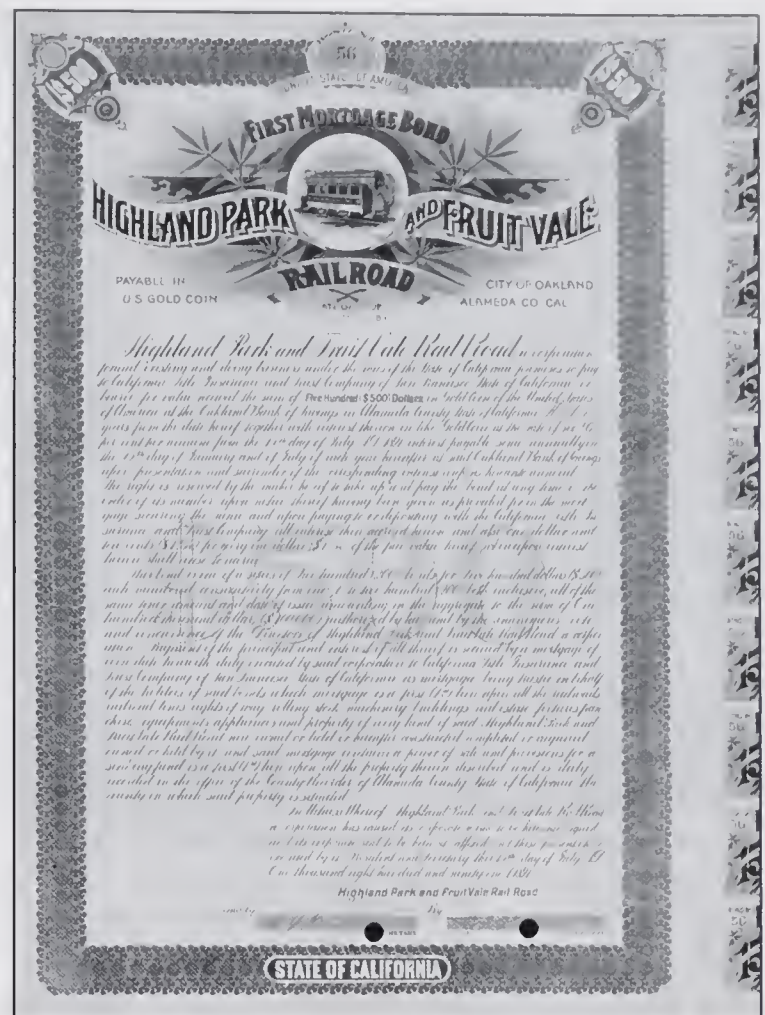


227 Henderson & Overton Branch Rwy (TX) 1876. #68. \$500. First Mortgage Bond. Cu. Cattle, train crosses bridge. Small train at station, bottom. VF.*
(\$350-500)



228 Henrico RR (VA) 1882. #170. \$1000. 6% Bond. Green "\$1000". Train passes lake with sailboat. VF+.
(\$300-500)

First we have seen.



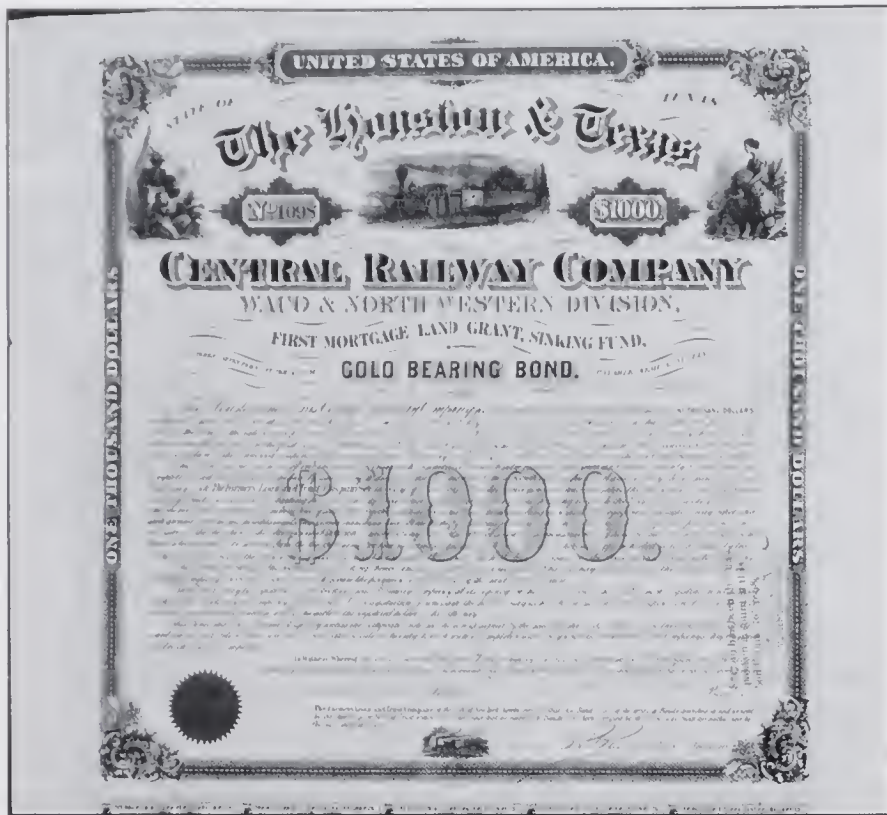
1229 Highland Park & Fruit Vale RR (CA) Oakland. #56. \$500. First Mortgage Bond. Cs. Green with orange "\$500". Impressive double-decker streetcar. Signed by E.C. Sessions as president. One punch affects his signature. VF+.
(\$250-350)

This company operated a line of double decker streetcars over 10.5 miles of track in Oakland. E.C. Sessions was a real estate tycoon. He invented the height saving design of the double decker car, placing the upper seats directly on the clerestory of the first deck roof with a hangover built out over the letter board for the walkway to the seats. This walkway terminated in spiral staircases to an open center platform. Sessions formed a partnership with C.L. Pullman, brother of George M. Pullman.

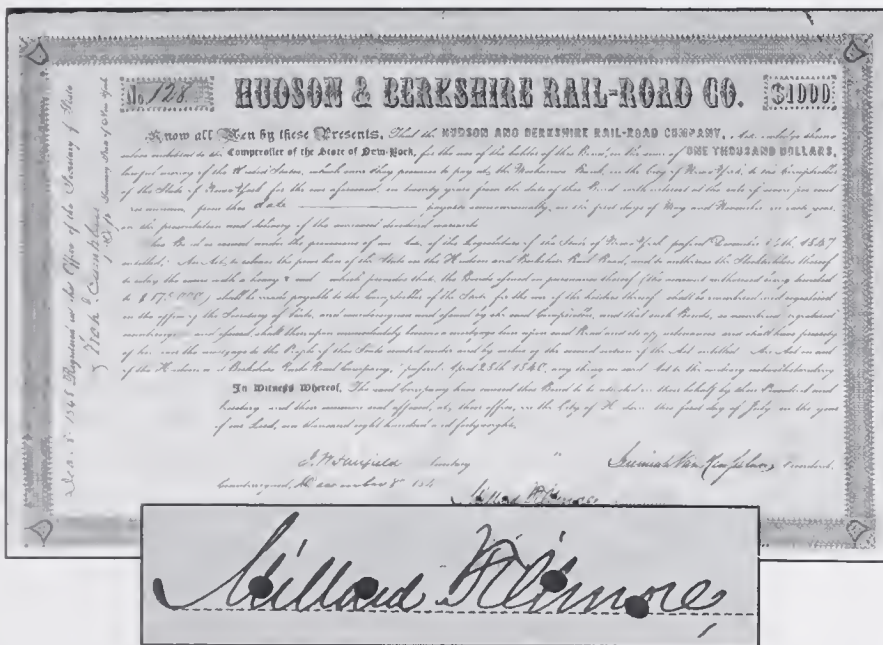


LOT VIEWING IN STRASBURG Netherlands Inn and Spa Neesly Hall

Thursday, February 5th	2 pm – 6 pm
Friday, February 6th	10 am – 6 pm
Saturday, February 7th	10 am – 6 pm



- 1230 Houston & Texas Central Rwy (TX) 1873. Waco & North Western Division. #1098. \$1000. First Mortgage Land Grant Bond. Cu. Red \$1000. Train. Indians. Woman with wheat. Small junction hole, VF+. (\$200-300)



- 1231 Hudson & Berkshire RR (NY) 1848. #128. \$1000. 7% Bond. Cu. Ornate border. Signed by Millard Fillmore as New York State Comptroller. Lightly punch cancelled through his signature. Only 175 were authorized. VF+. (\$1,000-1,500)

This is an early example of how the states helped the railroads raise money for construction. In 1848 Fillmore was nominated as the vice-presidential running mate to Zachary Taylor. Fillmore, thirteenth President of the United States, succeeded to office on the death of Zachary Taylor. While in office (1850-1853), he supported the Fugitive Slave Law, which alienated abolitionist support. Fillmore was unsuccessful in his bid for office in 1852 and 1856. A collection of certificates signed by all of the U.S. Presidents would be quite a challenge. We have seen certificates signed by Fillmore, Washington, McKinley, Harding, Cleveland, both Roosevelts, and Truman. Don't miss this opportunity to add real value to your collection.

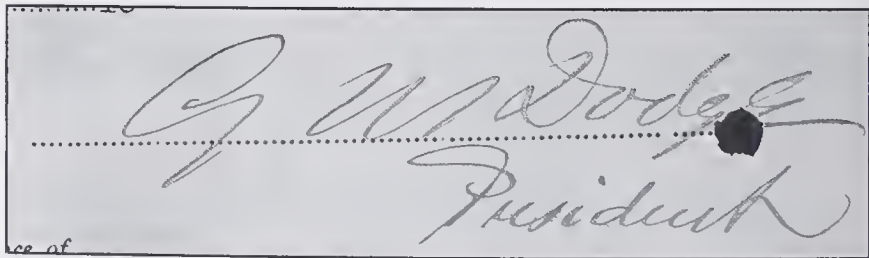


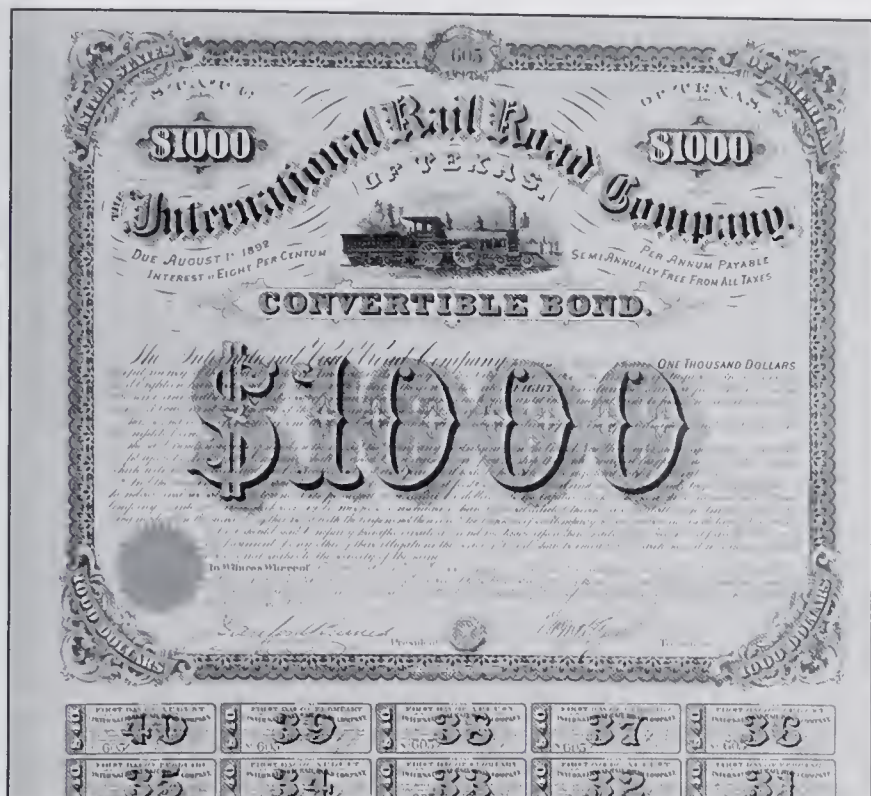
- 1232 Humboldt County RR (CA) 1903. Eureka, California. #4. 25 shs. Green. Eagle atop rock. VF+. (\$250-350)



- 1233 International & Great Northern RR (TX) 1881. #504. 2000 shs. Blue. Cowboys herd cattle. Issued to and signed on the reverse by Grenville M. Dodge. Two small tears in the upper right corner, VF. (\$250-350)

Grenville Mellon Dodge (1831-1916) was chief engineer for the Union Pacific during the transcontinental construction. During the Civil War he served as a Union general. He was also an important associate of Jay Gould.



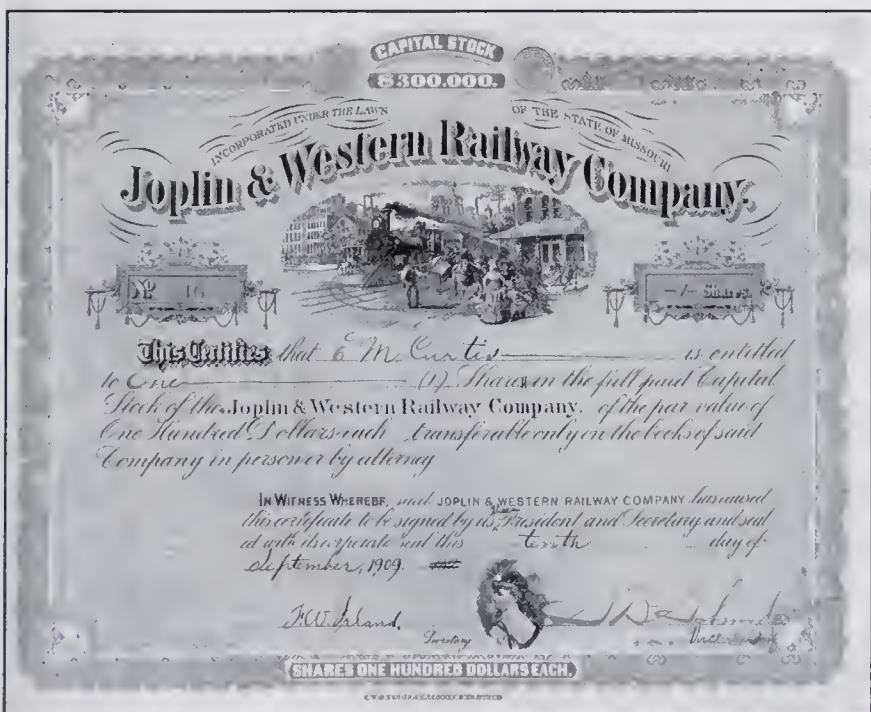


Northern Railroad Company.

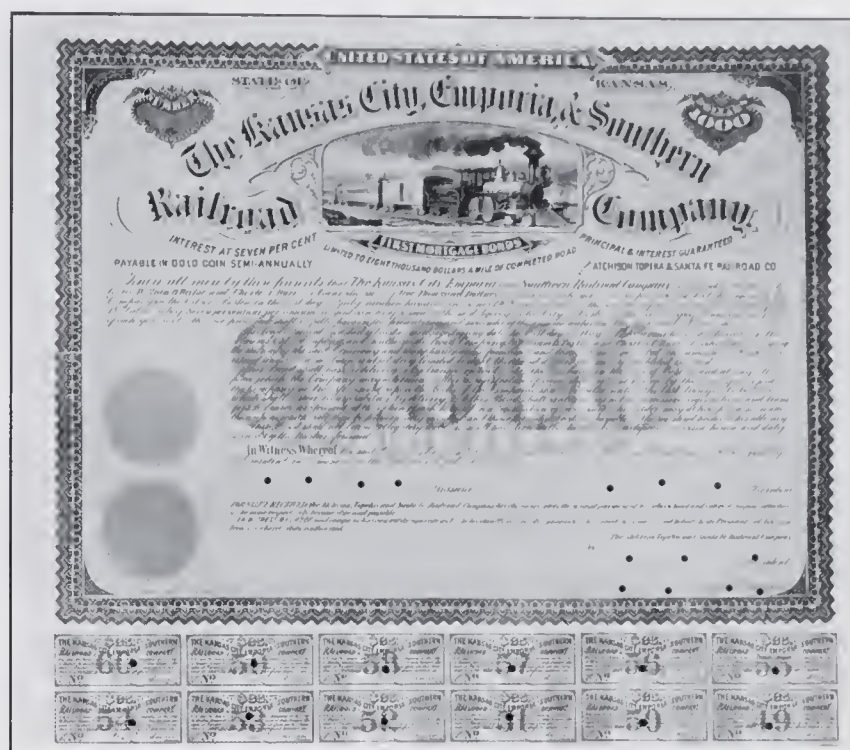
Galusha Grow President.
Of The Houston and Great Northern Railroad Co.

- 1234 International RR of Texas (TX) 1872. #605. \$1000. Convertible Bond. Cu. Green. Locomotive "America". Signed on the reverse by **Galusha Grow** as trustee. VF+. * (\$250-350)

Galusha Grow (1824-1907) was an outspoken Republican preceding the Civil War and served as Speaker of the House of Representatives from 1861-63.



- 1235 Joplin & Western Rwy (MO) 1909. #16. One share. Brown. Flagman waves pedestrians out of the way of approaching train. VF+. * (\$175-250)



- 1236 Kansas City, Emporia & Southern RR (KS) 1879. Specimen. \$1000. 7% First Mortgage Bond. Cu. Green underprint with tan seal and "\$1000". Train. Henry Seibert. VF+. (\$300-500)

An impressive and previously unknown certificate.

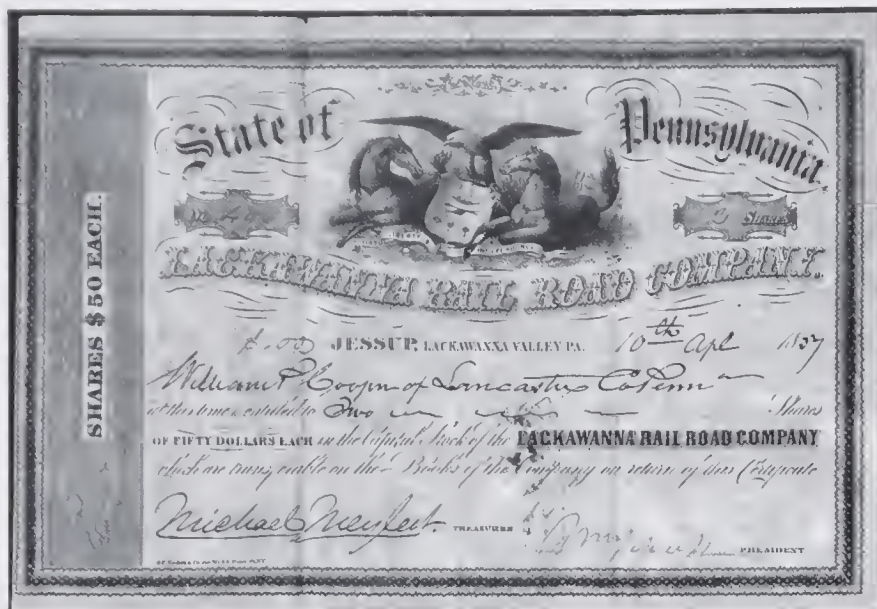
- 1237 Kansas City, Topeka & Western RR (KS) 1875. Specimen. \$1000. Income Bond. Tan underprint with red "\$1000". Train passes prospectors. Henry Seibert. VF+. (np) (\$300-500)

First we have seen and unknown to Cox. Attached to the reverse is a payment ledger sheet indicating all of the issued bonds and their respective payments.



- 1238 Kill-Von-Kull Rwy (NJ) 1889. #10. 3 shs. Train at depot. Issued to and signed on the reverse by **John Archbold**. VF+. (\$250-350)

John D. Archbold (1848-1916) went to the oil regions of Pennsylvania in 1864, and became involved with various petroleum enterprises. He first became known to John D. Rockefeller in 1872, as one of the only refiners who refused to join the ill-fated South Improvement Company, an attempt to fix railroad freight rates. Three years later, Archbold became a director and vice president of Standard Oil, and later became president of Standard Oil of New Jersey, a position he held until 1911.



- 1239 Lackawanna RR (PA) 1857. #496. 2 shs. State arms. Signed by **Morris K. Jesup** as president. **VF+.** *
(\$300-500)

While bonds of this railroad have appeared occasionally, shares are elusive.



- 1240 Laurens RR (SC) 1859. #77. \$500. Guaranteed Bond. Cu. Train. State arms, left. Light bleed from endorsement on verso, **VF.** (\$250-350)



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We invite all of our clients to visit us in our spacious new premises. Smythe's new office is in the heart of the financial district, on the 12th floor of 2 Rector Street, near some very interesting local attractions: Trinity Church, the Federal Reserve Bank, the Indian Museum and the Museum of American Financial History are all within walking distance of our new gallery and office.

The office has some great new features: a spacious showroom to display many of our rare items; an auction room and lot viewing area; and several conference rooms where clients can meet with us and be assured of privacy. Of course, in addition to the new space, our professional staff of experts is at your disposal to answer any questions you might have and guide you through the process of buying, selling and consigning, as well as participating in auctions. We look forward to doing business with you!

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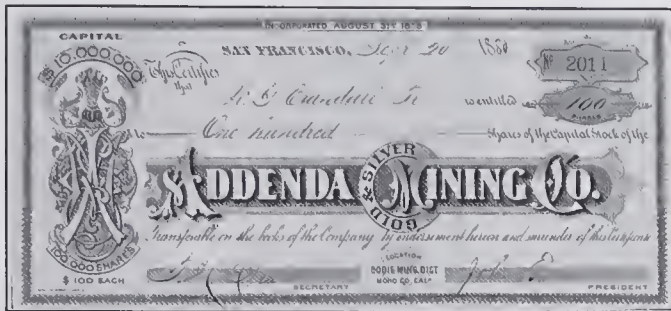
Lot Viewing Room



Auction Room



Mining Certificates I



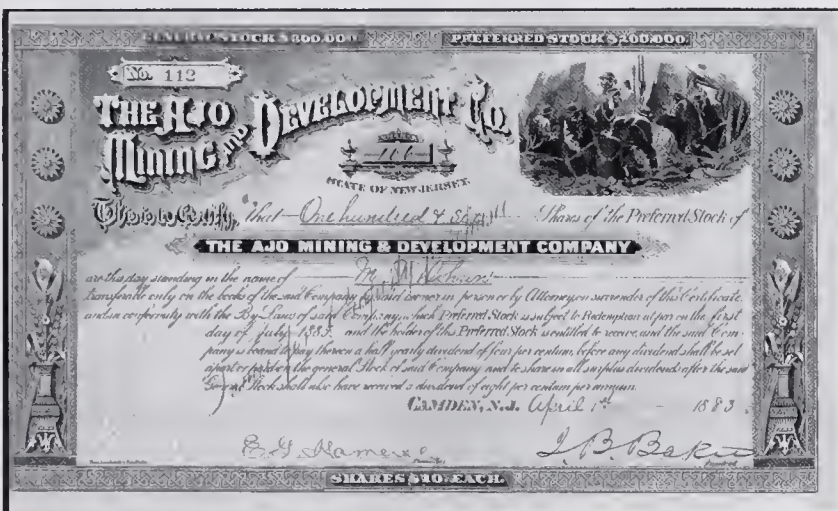
- 1241 Addenda Gold & Silver Mining (CA) 1880.** Bodie, Mono County. #2011. 100 shs. Yellow paper. Ornate initials, left. Small size format. VF+. **(\$200-300)**
The last we sold realized \$250 in our Sale No.134.



- 1244 Alaska Transportation & Development (MS) 1898.** Chicago. #3710. 30 shs. Gold underprint, seal and title. Sailing ship. VF+. **(\$600-800)**

On August 16, 1896, George Carmak, Skookum Jim, and Tagish Charlie struck paydirt in the Klondike, touching off the last and perhaps greatest gold rush in history. Because of the remoteness of the discovery, it took almost a full year for the news to reach the rest of the world. "Gold", the magic word, inspired nearly 100,000 "stampeder" to set out for the Klondike. About 30,000 completed the journey. Claims were being staked every day, as the prospectors were in a hurry to get their share. Thousands took ships to Skaguay or Dyea and then hiked the overland route over the Chilkoot and White passes. All were potential clients for the Alaska Transportation and Development Company.

A similar example realized \$715 in our Sale No.217.



- 1242 Ajo Mining & Development (NJ) 1883.** #112. 106 shs. Preferred. Pink underprint. Miners underground. VF+. **(\$175-250)**

First we have seen.



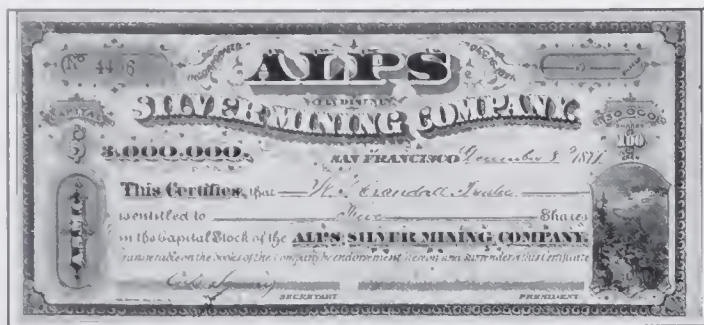
- 1243 Alaskan Ruby Mining & Development (SD) 1905.** #5347. One Share. Red title with gold seal and underprint. Three mining vignettes at top. Pinholes, upper left. VF+. **(\$200-300)**

First we have seen.



- 1245 Alleghany Mining (CO) 1887.** Leadville. #10. 100 shs. Mining camp at base of mountains. Staining from stub, left. VF. **(\$200-300)**

A similar example realized \$250 in our Sale No.134.

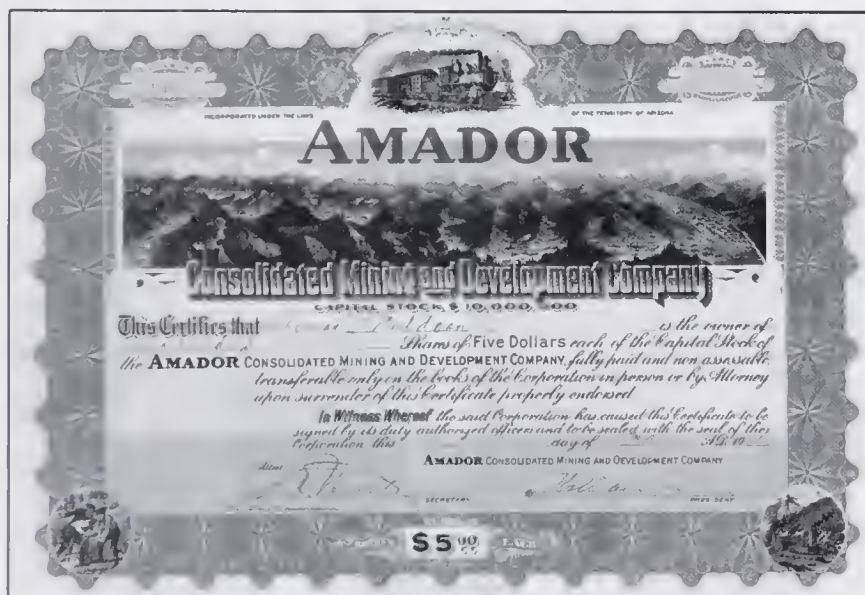


- 1246 Alps Silver Mining (CA) 1877. Ely District, Nevada. #4406. 5 shs. Church in the mountains. VF.*
(\$175-250)

First we have seen.



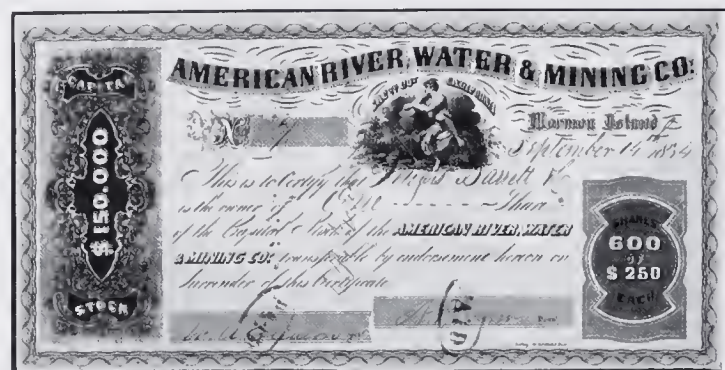
- 1247 Altamonte Gold & Silver Mining 1863. Palmyra District. Lyon County, Nevada Territory. #123. 10 shs. Green underprint. Mill, mine entrance in distance. Eagle with shield, left. R47. Light staining, VF.*
(\$300-500)



- 1248 Amador Consolidated Mining & Development (Territory of Arizona) 1906. #1509. 200 shs. Brown, red "AMADOR". Panoramic view of the Amador Mines nestled in mountains. Miners, mills below. VF+.*
(\$200-300)

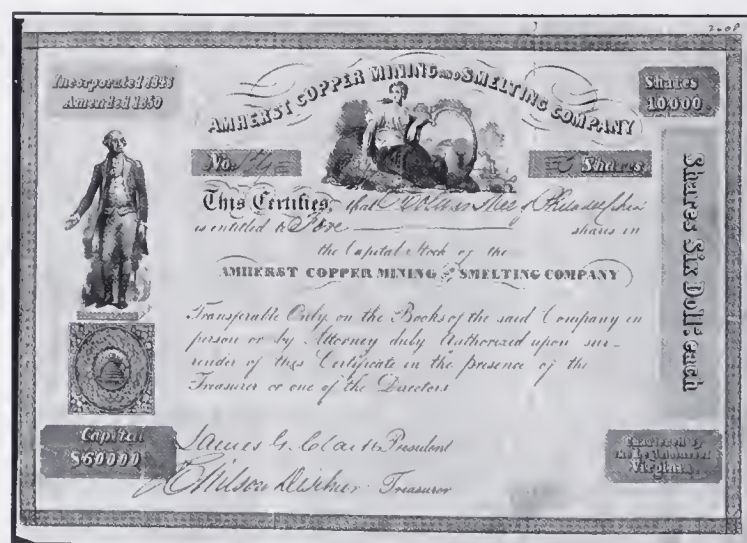


- 1249 American Mining Group. [4] (\$300-500)
1) Cabarrus Mine 1853. 2) New Granada Mine 1850. 3) Norwich Mine 1850. 4) San Antonio Mine 1853. VF+.*
The American Mining Company was organized in Vermont, but owned mines all over the United States, from Virginia to California.



- 1250 American River, Water & Mining (CA) 1854. Mormon Island. #7. One share. Mercury. VF+.*
(\$200-300)

Located at the South Fork of the American River, Mormon Island was established by two Mormons on March 2, 1848 as the first gold camp to be founded following the discovery of gold at Sutters Mill.



- 1251 Amherst Copper Mining & Smelting (VA) 1851. #159. 5 shs. Woman with shield. George Washington, left. VF.*
(\$200-300)

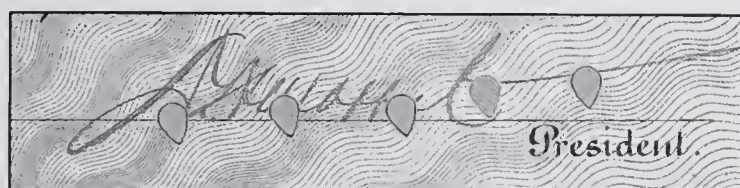
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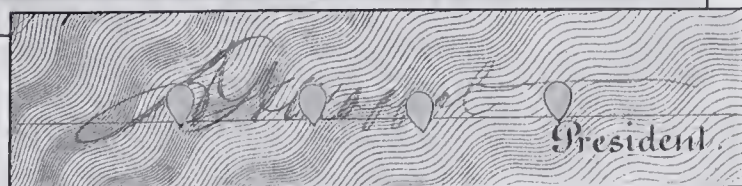
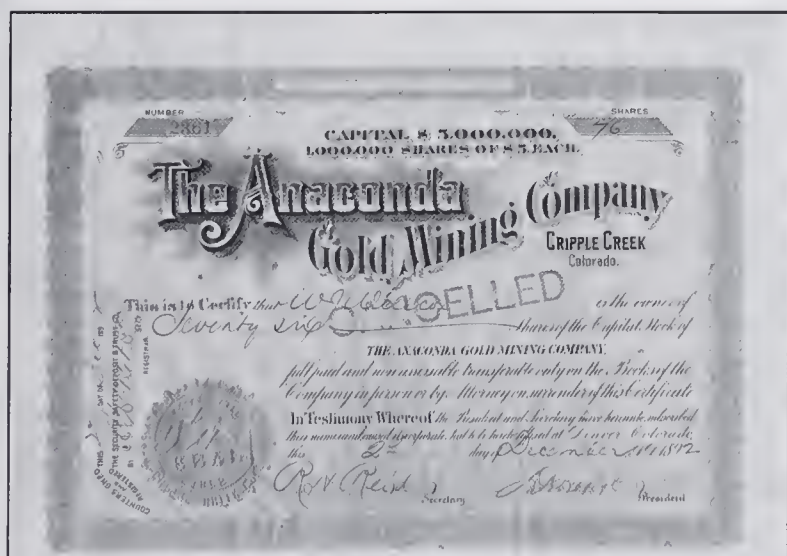
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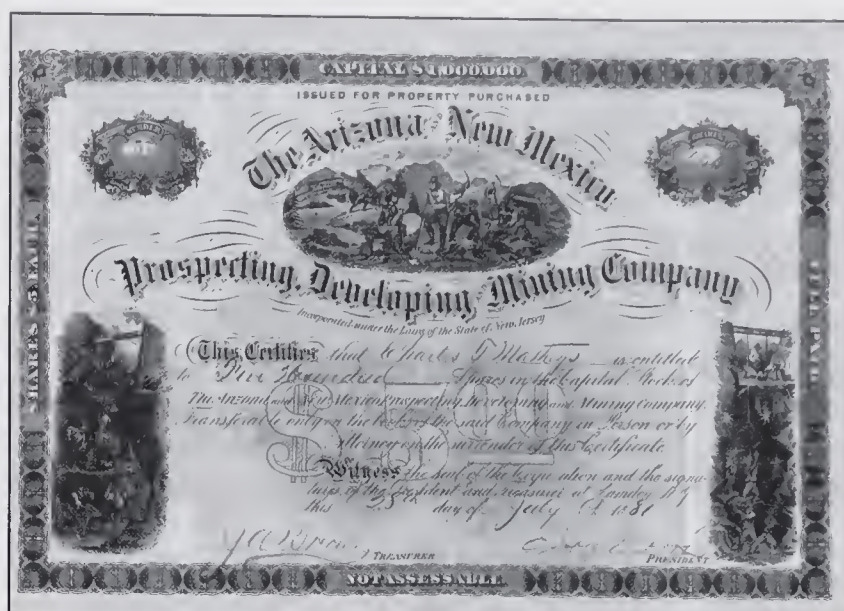
- 1252 **Anaconda Gold Mining (CO) 1892.** Cripple Creek. #2100. 100 shs. Gold seal and underprint. Signed by **D.H. Moffat** as president. Signature is affected by punch holes. **VF+.** (\$300-500)



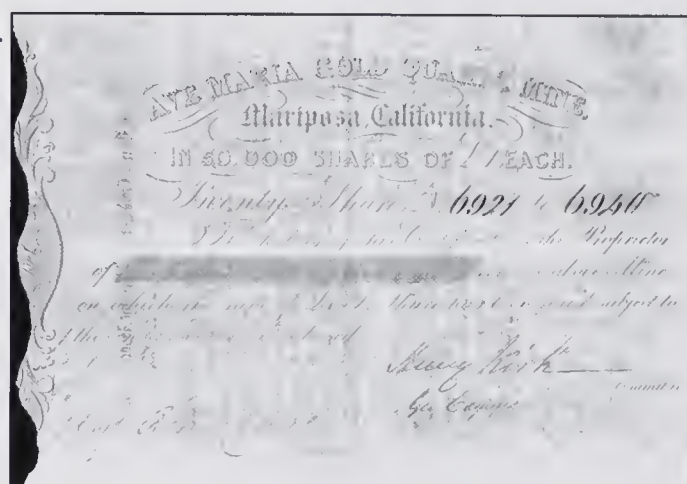
David H. Moffat (1839-1911) was an important Colorado entrepreneur. Moffat partnered with Horace Tabor and struck it rich in Leadville with the Little Pittsburg mine. He went on to own hundreds of mining enterprises. Moffat was also involved with many railroad enterprises including the building of the Denver & Pacific RR and the Denver, South Park & Pacific RR. He was the pioneering force behind building a rail line through the Rocky Mountains to Salt Lake City. He ultimately poured all of his fortune into the "Moffat Tunnel", a seven mile engineering feat through one mountain.



- 1253 **Anaconda Gold Mining (CO) 1892.** #2361. 76 shs. Gold seal and underprint. Signed by **D.H. Moffat** as president. Signature is affected by small punches. **VF+.** (\$300-500)



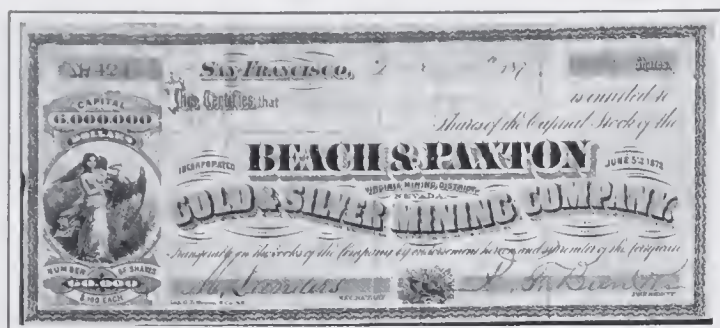
- 1254 **Arizona & New Mexico Prospecting, Developing & Mining (NJ) 1881.** #57. 500 shs. Green underprint. Prospectors. Vertical mine shafts, sides. **VF+.** (\$200-300)



- 1255 **Ave Maria Gold Quartz Mine (England) 1851.** Mariposa, California. 20 shs. All orange. Arched title. Rare and uncanceled. **VF+.** (\$200-300)



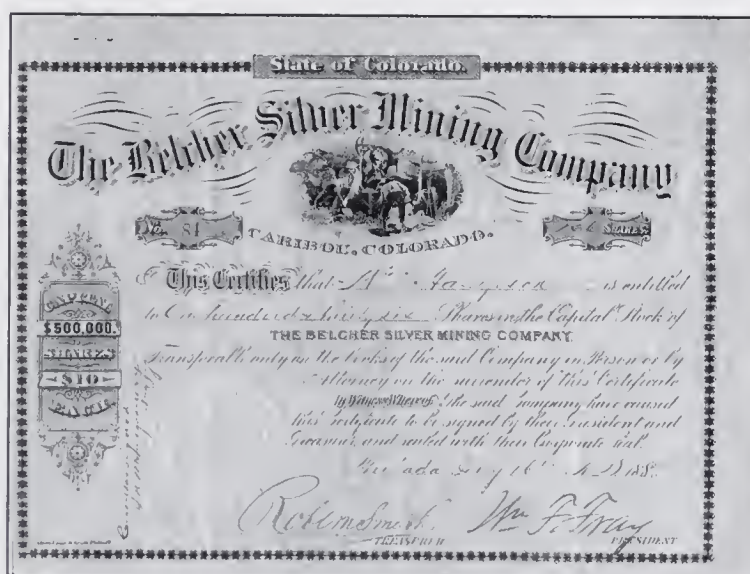
- 1256 **Baldy Sour Mining (NY) 1878.** Treasure Hill. White Pine Co., Nevada. #62. 100 shs. Gold seal. Eagle with shield atop globe. Two mining vignettes on the reverse. **VF+.** (\$200-300)



- 1257 Beach & Paxton Gold & Silver Mining (CA) 1877. Virginia Mining District, Nevada. Allegorical woman with eagle, left. Small gamebirds, bottom. Small size format. Light staining, VF.* (\$200-300)



- 1258 Bechtel Consolidated Mining (CA) 1881. Bodie Mining District, Mono Co. #5436. 100 shs. Yellow paper. Small size format, VF+.* (\$250-350)



- 1259 Belcher Silver Mining (CO) 1888. Caribou, Colorado. #84. 136 shs. Miners underground. Two small splits repaired with tape, VF.* (\$200-300)



- 1260 Benton Gold & Silver Mining (IL) 1879. #80. 4000 shs. Silver underprint. Men with bucket of ore. Vertical mine shaft, right. VF.* (\$175-250)



- 1261 Big Bend Tunnel & Mining (CA) 1887. Big Bend District, Butte Co. #4008. 15 shs. Gold seal. Various mining scenes. Map of the district, bottom. ABN. VF+.* (\$200-300)

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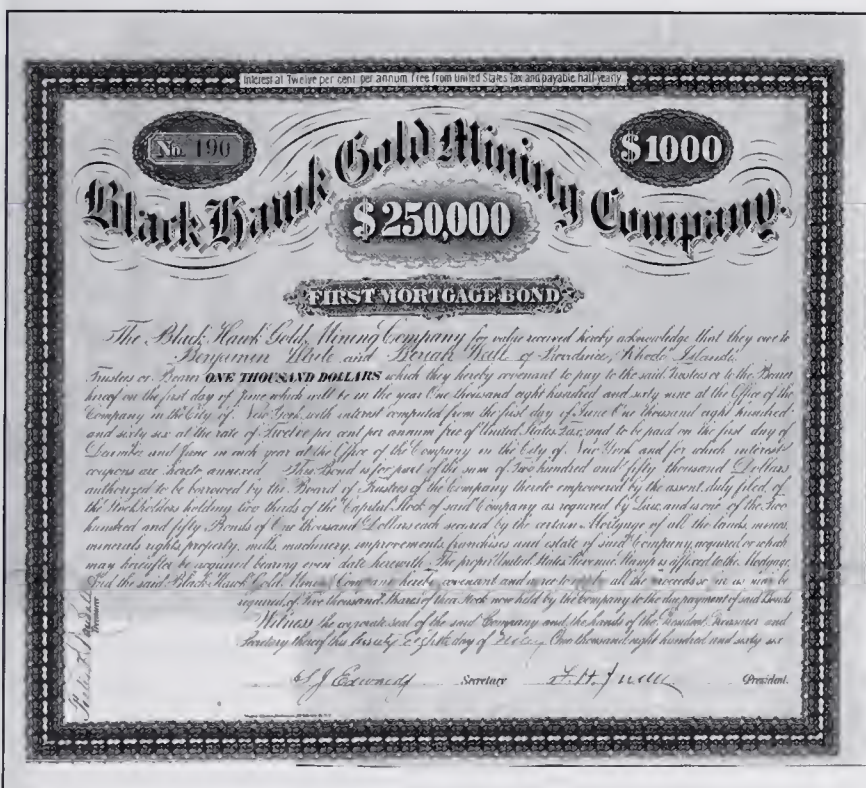
by Dick Hanscom 2002. 331pp, illustrated with black and white images. A terrific reference for Alaska collectors!

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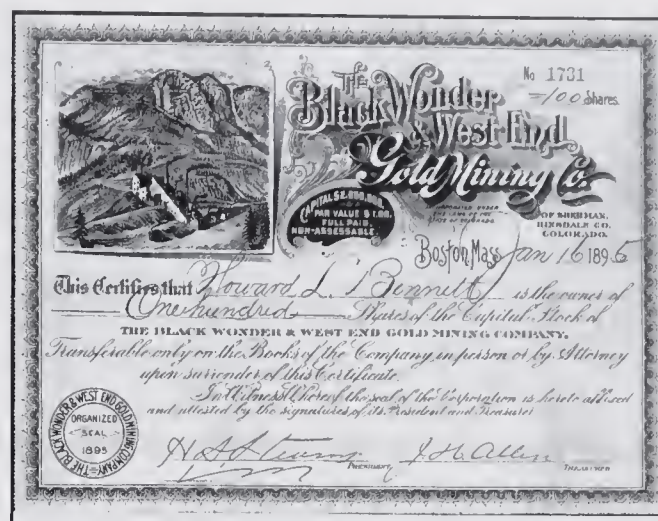
- 262 Big Giant Silver Mining of Colorado (IL) 1879.** Uncompahgre. San Juan County, California. #406. **200 shs.** Full green underprint. Red corporate seal. Eagle atop dome. Vertical mine shaft left. Group of miners right. **VF+.*** **(\$500-750)**

This mine was located near Silverton, at the summit of King Solomon Mountain. This certificate realized \$650 in our Sale No.211.



- 263 Black Hawk Gold Mining (CO) 1866.** Gilpin County. #190. **\$1000.** First Mortgage Bond. Cu. Ornate border. Minor splits, **VF+.*** **(\$250-350)**

One of the most important mines in the early history of Colorado. The company had 500' on the famous Gregory Lode.



- 1264 Black Wonder & West End Gold Mining (CO) 1895.** #1731. **100 shs.** Mill at base of mountain with mines indicated as "Come up Mine", "Mineral Flower Mine", "West End Mine", "Olive Mine" and "Black Wonder Mine". **VF+.*** **(\$250-350)**



- 1265 Bodie Bluff Consolidation Mining (CA) 186_.** Aurora. Mono County, California. Unissued. **Shares.** Beautiful pink and yellow. Mountain with mine names. Women flank \$1000 underprint. Cornucopia, left. Signed by **Leland Stanford** as president. **EF.** **(\$1,500-2,500)**

it hereon, and surrender of this Certificate.

Leland Stanford
President

Leland Stanford (1824-1893) was the American railroad magnate, financier and politician who financed and promoted the Central Pacific Railroad, the western link of the transcontinental railroad. Stanford organized the Southern Pacific Railroad and later became Governor of California (1861-63), and U.S. Senator from 1885. He founded Leland Stanford Jr. University, now Stanford University, in memory of his son. Stanford was one of the most important railroad builders in U.S. history and one of "The Big Four" among railroad kings.



1266 Booker Consolidated Gold Mining (CA) 1879. Bodie Mining District, Mono Co. #2258. 5/100. Small size format, VF+.* (\$250-350)



1267 Brilliant Mining (NV) 1879. Gold Hill Mining District. Storey County, Nevada. #721. 100 shs. Millsite. Small size format. VF.* (\$200-300)
First we have offered.

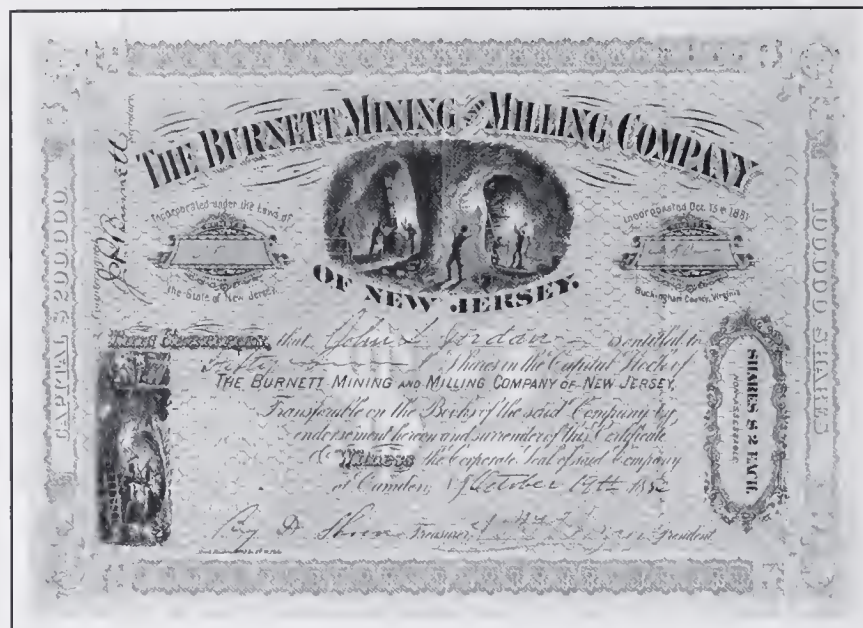


1268 Bullfrog Central Mining (SD) 1907. #399. 1000 shs. Green. Millsite flanked by miners underground. Large underprint of a green bullfrog. VF+.* (\$300-500)

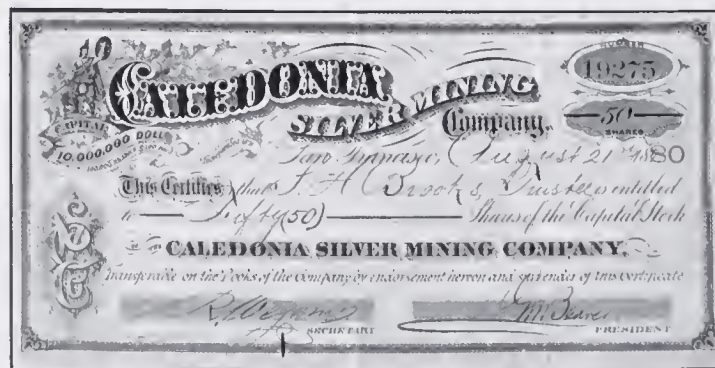
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1269 Bullfrog Golden Sceptre Mining (Arizona Territory) 1907. Goldfield, Nevada. #2024. 100 shs. Orange. A green frog sits on a toadstool and holds a metallic gold scepter and a cane. A rare opportunity to acquire a colorful and whimsical Western mining stock. Archivaly repaired split, F. (\$200-300)



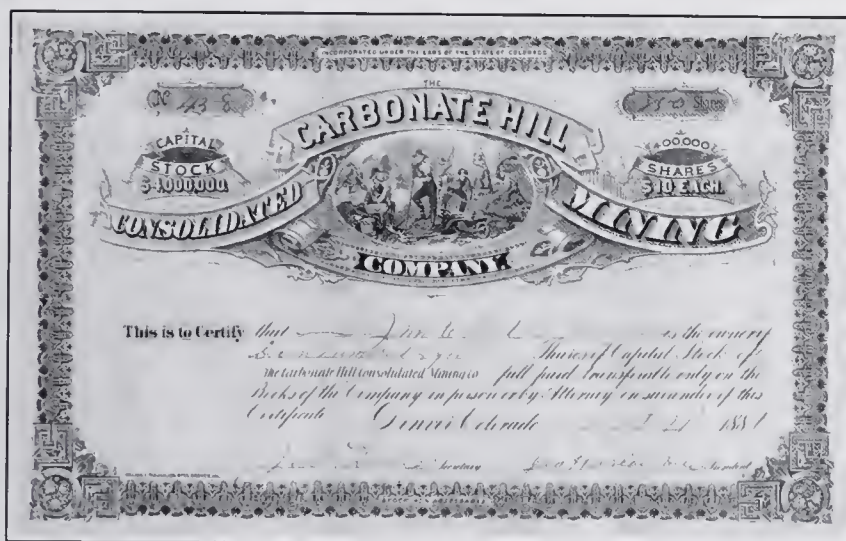
1270 Burnett Mining & Milling of New Jersey (NJ) 1882. Buckingham County, Virginia. #380. 50 shs. Brown with silver underprint. Miners underground. Mine shaft, left. VF+.* (\$250-350)



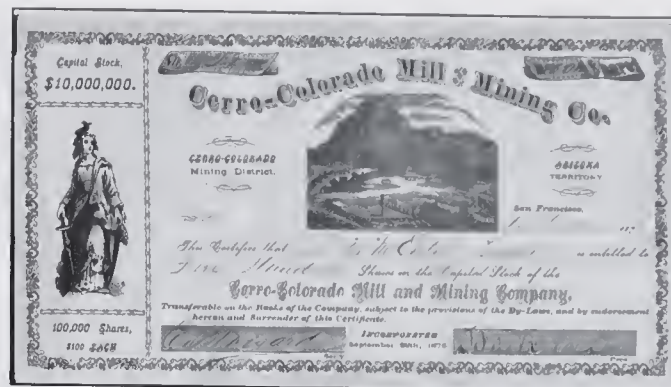
1271 Caledonia Silver Mining (CA) 1880. #19275. 50 shs. Yellow paper. Highlander with sword and shield by title. VF+.* (\$175-250)



- 1272 Callaway Mining & Manufacturing (MO) 1858. #89.**
2 shs. Preferred. Miners underground. Eagle with shield, bottom. Minor ink corrosion, VF+.
(\$175-250)

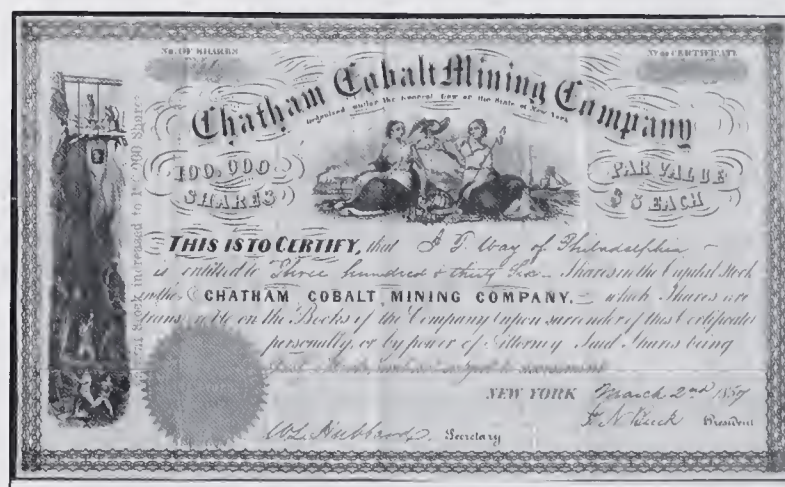


- 1273 Carbonate Hill Consolidated Mining (CO) 1881.**
#438. 750 shs. Group of surface miners. VF+.*
(\$250-350)



- 1274 Cerro-Colorado Mill & Mining (CA) 1876.**
Cero-Colorado Mining District. Arizona Territory. #22.
500 shs. Mining camp with mountain. Liberty with sword and shield, left. VF+.*
(\$400-600)

During the Civil War, soldiers that were protecting the area against Apache Indians were removed from Arizona to leave the area unprotected. After the troops left, the Apaches, believing they had scared away the soldiers in southern Arizona, felt justified in exterminating any remaining white men. Thus the mining camps were under constant attack. Soon after, Mexican miners stole whatever they could, including \$70,000 worth of silver bullion, and as the story is told, buried all the bounty in the nearby hills. Mexican outlaws hearing the tales headed toward Cerro-Colorado and completely destroyed the town and mining camps, killing most of the workers, looking for buried treasure. To this day, the treasure has not been located and the name given to this entire story is the "Treasure of the Cursed Cerro Colorado."



- 1275 Chatham Cobalt Mining (NY) 1854. #336. 336 shs.**
Red corporate seal. State arms. Vertical mine shaft, left. VF+.*
(\$175-250)

Arizona Mines and Mining Companies, 1854-1954

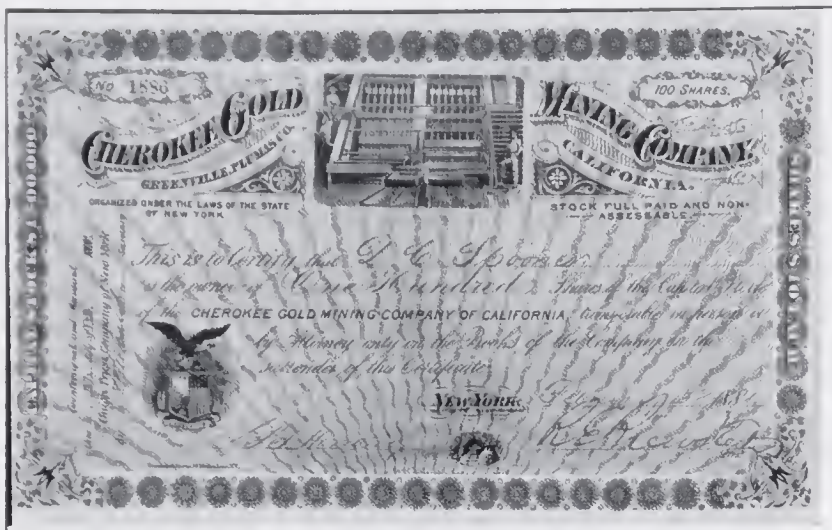
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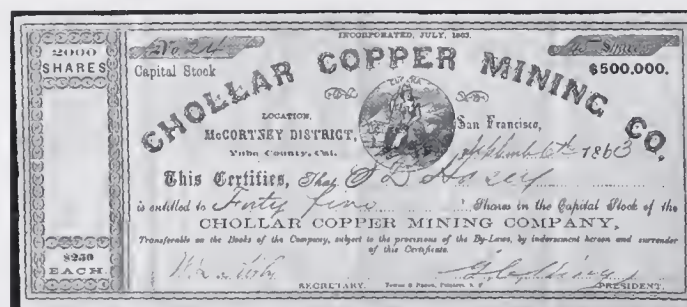
1276 Cherokee Gold Mining (CA) 1881. Greenville. Plumas County, California. #1886. 100 shs. Blue. Detailed stamp mill. State arms, left. Small ore cart, bottom. VF+.* (\$200-300)



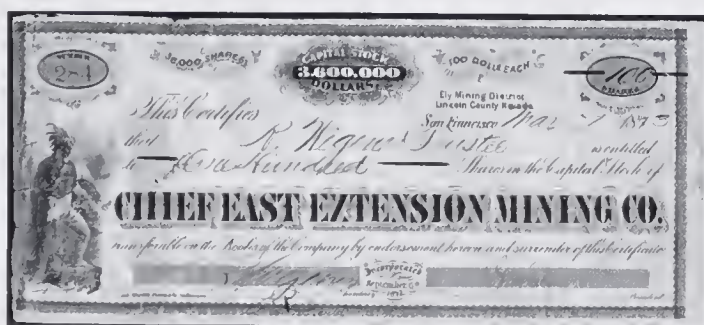
1279 Chieftain Consolidated Mining (CO) 1884. Rosita, Colorado. #123. 100 shs. Gold. Miners with pick and ore cart. VF+.* (\$250-350)



1277 Chicago & Leadville Gold & Silver Mining (IL) 1879. #54. 320 shs. Green cartouche and red seal. Miners underground. VF+.* (\$200-300)
This is the only example we know of. It realized \$220 back in our Sale No.140.



1280 Chollar Copper Mining (CA) 1863. McCortney District, Yuba County. #24. 45 shs. State arms. Small size format. Light soiling on the reverse, VF.* (\$400-600)



1278 Chief East Extension Mining (CA) 1873. Ely Mining District. Lincoln County, Nevada. #284. 100 shs. Indian warrior, left. Tape repairs, left. VF.* (\$200-300)



1281 Cincinnati Silver Mining 1863. Reese River District, Lander Co. Nevada Territory. #95. 20 shs. Indians with teepee. Millsite, bottom. Trimmed, VF.* (\$300-500)

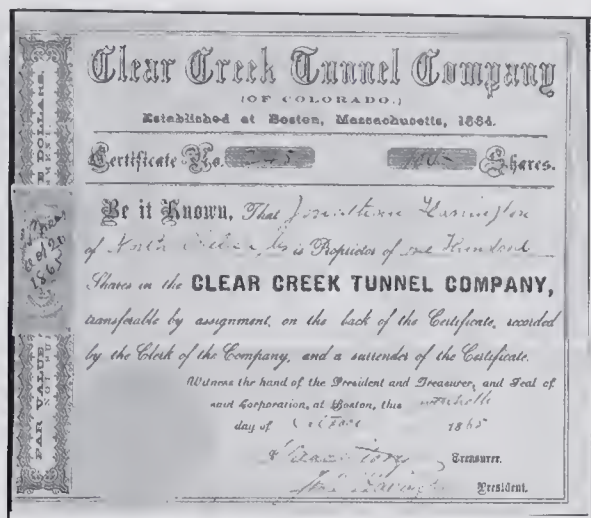
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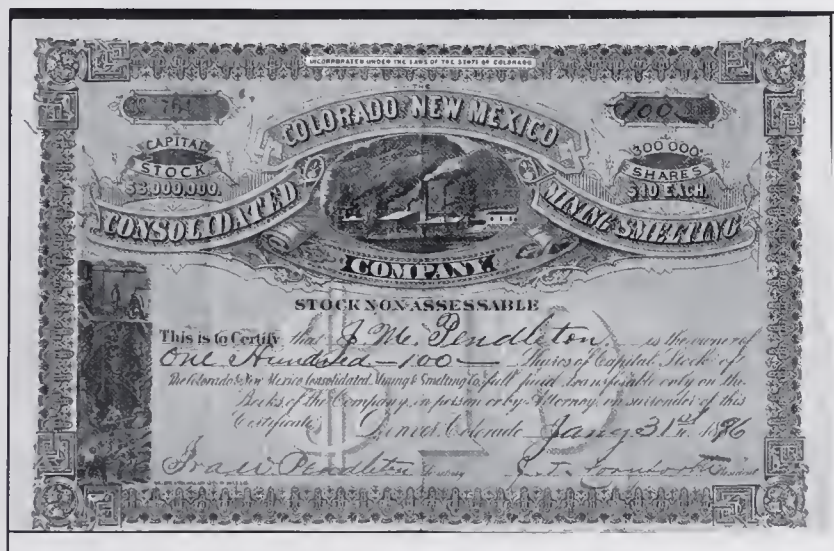
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- 1282 Clear Creek Tunnel of Colorado (CO) 1865.** Black Hawk, Gilpin County. Colorado. #245. 100 shs. Blue paper with green corporate seal. R44. VF.*

(\$300-500)

This is nicer than the example that realized \$300 in our Sale No.205.



- 1283 Colorado & New Mexico Consolidated Mining & Smelting (CO) 1896.** #764. 100 shs. Green underprint. Mill site. Vertical mine shaft, left. VF.*

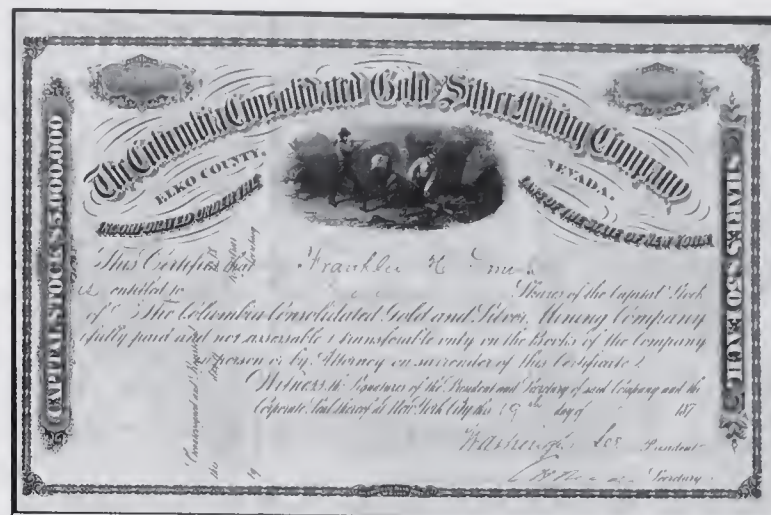
(\$175-250)



- 1284 Colorado Territory National Silver Mining of Baltimore City (MD) 1869.** #224. 20 shs. Full yellow underprint with green counters. Capitol building. Ink notation across the face, VF.

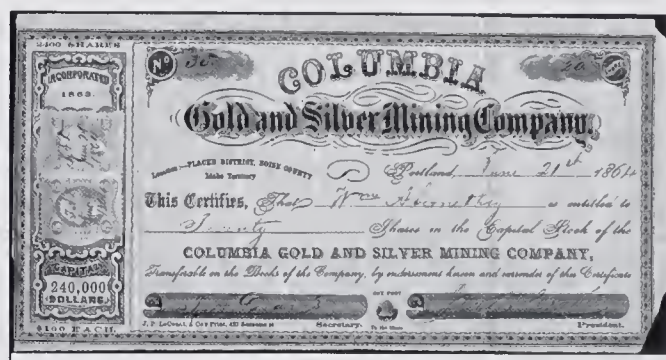
(\$300-500)

This certificate realized \$300 in our Sale No.211. This is the only certificate we know of.



- 1285 Columbia Consolidated Gold & Silver Mining (NY) 1879.** Elko County, Nevada. #252. 200 shs. Group of prospectors. CBN. VF+.

(\$175-250)



- 1286 Columbia Gold & Silver Mining 1864.** Placer District, Boise County. Idaho Territory. #35. 20 shs. Ornate border. Corner tip, VF.

(\$200-300)



- 1287 Combination Silver Mining of Nevada (NY) 1868.** #1141. 20 shs. Miner underground. Woman leans on counter, left. Columbia, right. R44. VF+.*

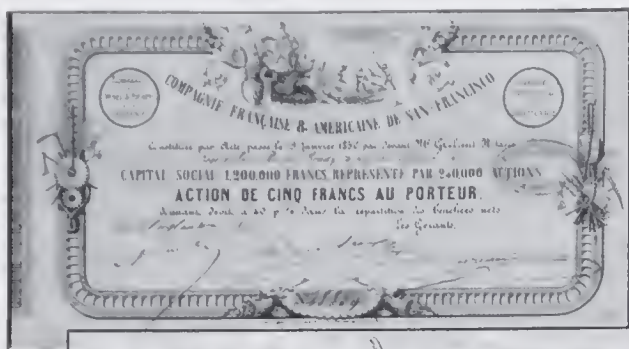
(\$200-300)



- 1288 Como Eureka Gold & Silver Mining 1882.** Palmyra & Indian Springs. Lyon Co., Nevada. #78. 200 shs. Gold. Small size format. VF.*

(\$200-300)

First we have offered.



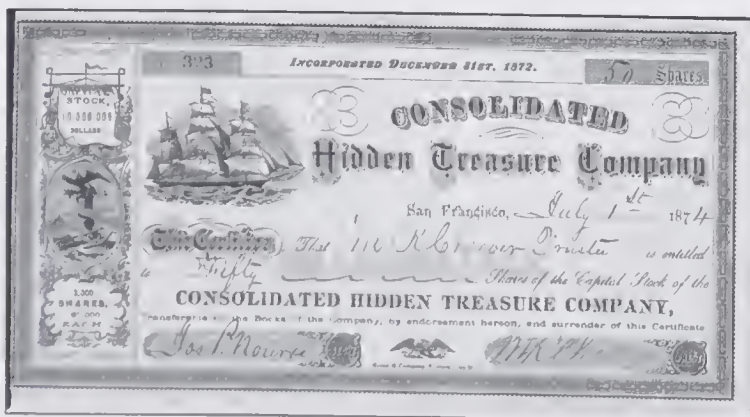
- 1289 Compagnie Francaise & Americaine De San Francisco (France) 1850. One Share.** Neptune pours water from jug into river. VF+.* (\$750-1,250)

The earliest stock certificate with a vignette from the California Gold Rush. A spectacular piece.



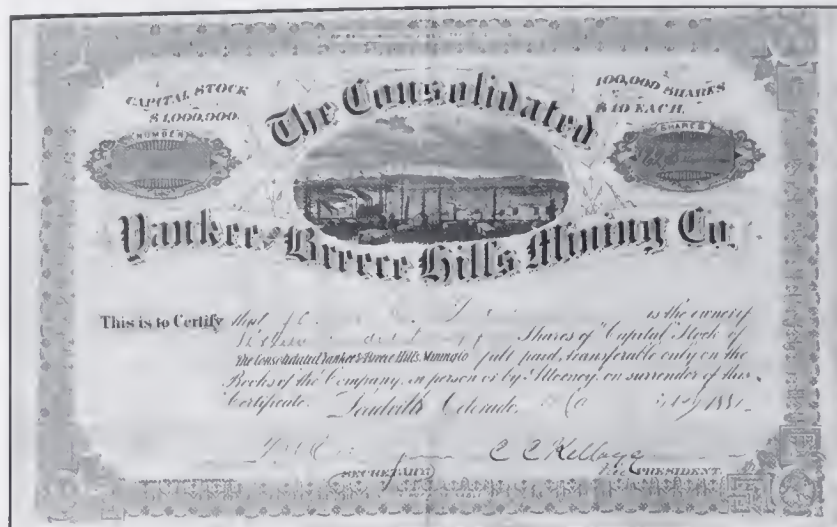
- 1290 Consolidated Gregory (NY) 1869. #1713. 100 shs.** Ornate border. R46. VF. (\$300-500)

An important and early Colorado mining company. This is the only example we know of and this certificate was last sold in our Sale No.81 for \$400.



- 1291 Consolidated Hidden Treasure (CA) 1874. San Francisco. #323. 50 shs.** Three masted tall sailing ship. "UNASSESSABLE" in red. Eagle below. Eldorado banner across column with eagle above, left. VF+.* (\$750-1,250)

A similar example realized \$1050 in our Sale No.211.



- 1292 Consolidated Yankee & Breece Hills Mining (CO) 1881. #903. 1625 shs.** Green. View of small city at base of mountains. VF. (\$250-350)

First we have offered.

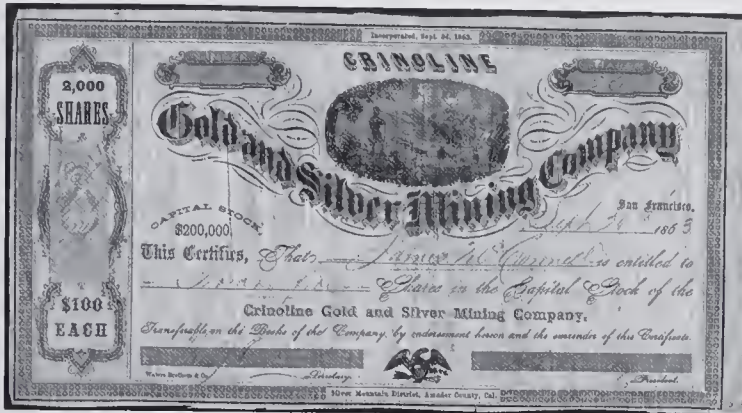


- 1293 Corydon Mining (Colorado Territory) 1866. Nevada District. #920. 100 shs.** Mining camp. Vertical mine shaft, left. R48. VF.* (\$300-500)

This is the only example we know of. This piece realized \$400 in our Sale No.134.



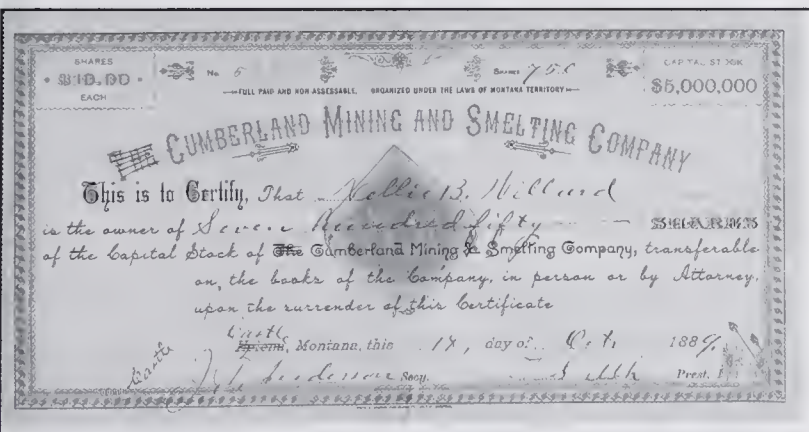
- 1294 Cove Land & Mining (MI) 1874. #23. 95 shs.** Detailed map of a section of Michigan and Lake Superior. Miner, lower left. VF.* (\$300-500)



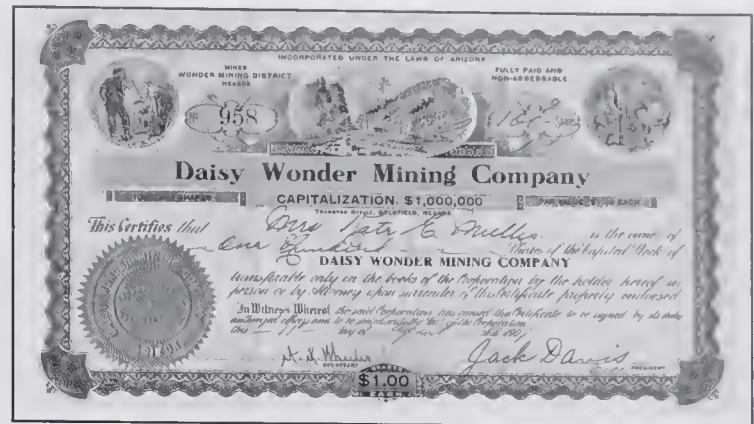
- 95 **Crinoline Gold & Silver Mining (CA) 1863.** Silver Mountain District. Amador County, California. #87. **20 shs.** Hunter views mill. Small eagle with shield, bottom. R49. Light staining, F. **(\$250-350)**
First we have seen.



- 96 **Crown Silver Mining (CO) 1878.** Denver. #171. **100 shs.** Miners underground. VF. **(\$200-300)**

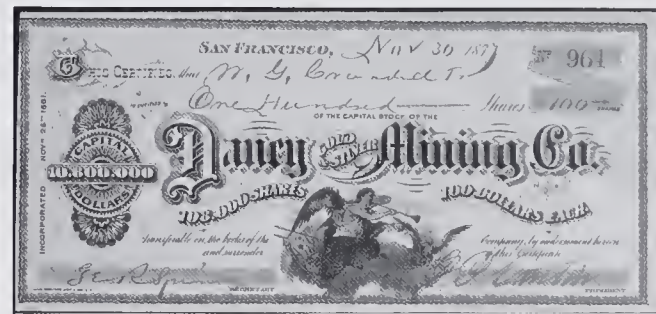


- 97 **Cumberland Mining & Smelting (Montana Territory) 1889.** Castle, Montana. #503. **750 shs.** Red and gold. Red underprint of a miner underground. VF+. **(\$200-300)**
First we have seen.

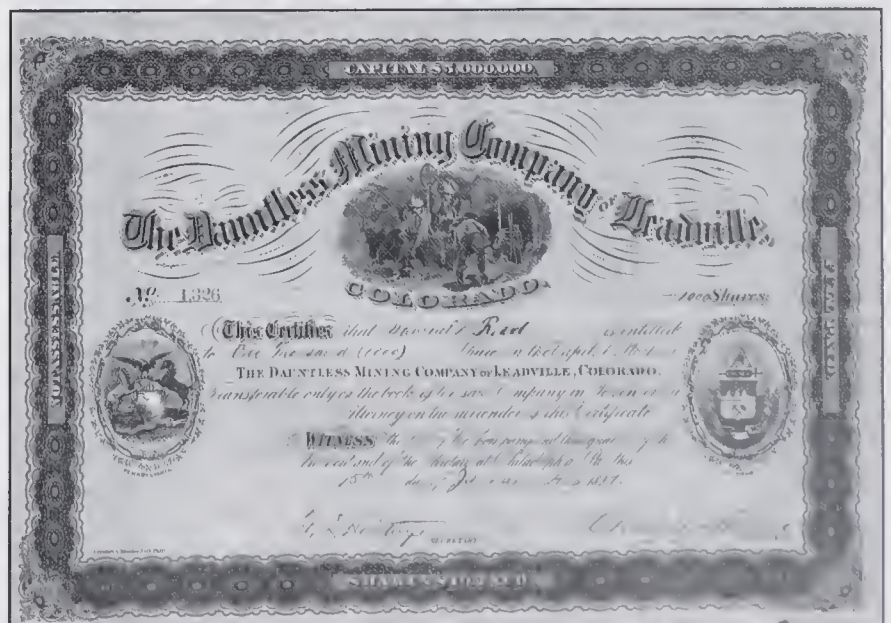


- 1298 **Daisy Wonder Mining (AZ) 1907.** #958. **100 shs.** Gold seal and underprint. Mills, stream and mountains. Miners in circles. Signed by "Diamondfield" **Jack Davis**, Nevada's best known hired gunslinger turned mining promoter. VF+. **(\$250-350)**

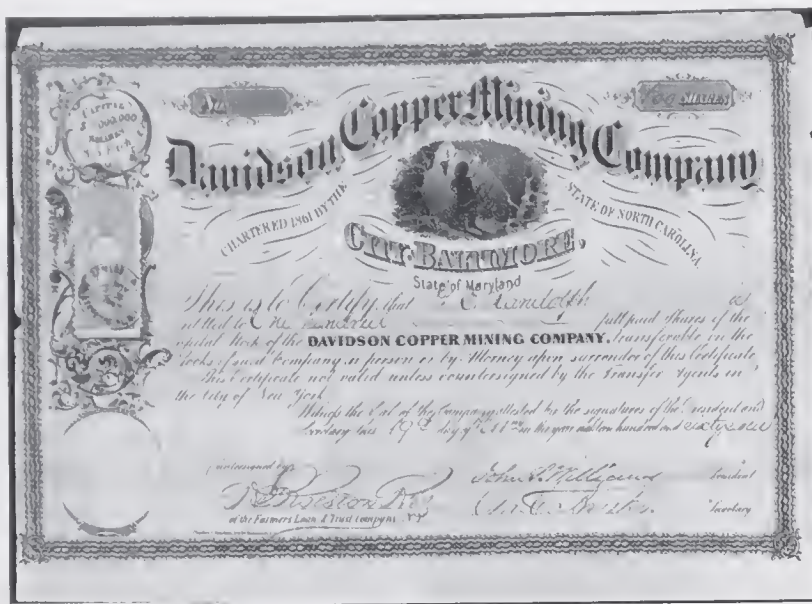
Davis was a hired gunman during the Nevada-Idaho sheep range war of the 1890's who later beat three hanging dates during a seven year prison term for allegedly killing two Idaho sheepmen. Davis later discovered the Diamondfield district nearby and began a mining career. During the 1907 mining labor strikes, Davis renewed his reputation as a gunfighter when he protected the mining king's interests during several shooting scrapes and became the acknowledged gunfighter of the Goldfield mining camp during its heyday.



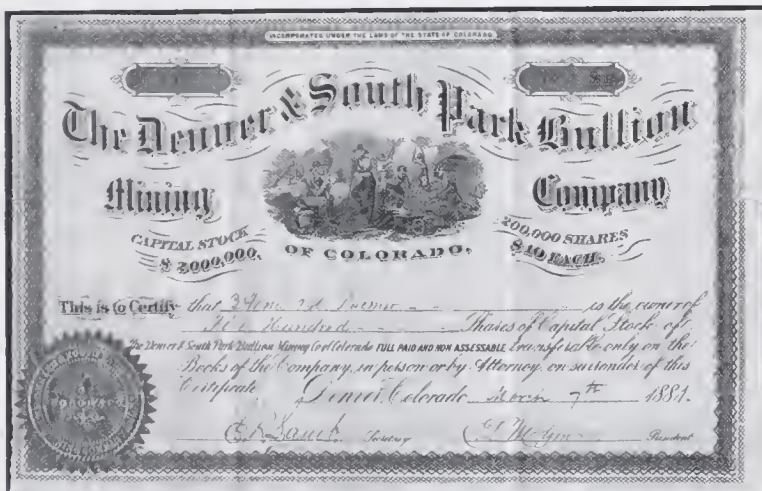
- 1299 **Dancy Gold & Silver Mining (CA) 1877.** #964. **100 shs.** Fame blows horn. VF+. **(\$200-300)**



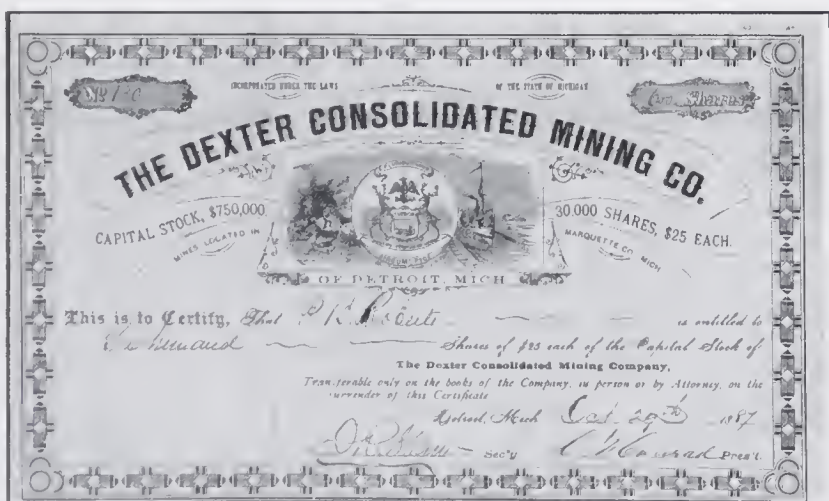
- 1300 **Dauntless Mining of Leadville (CO) 1881.** #1326. **1000 shs.** Miners underground. Pennsylvania and Colorado arms, sides. VF+. **(\$200-300)**



1301 Davidson Copper Mining (NC) 1867. Baltimore. #380. 100 shs. Miner underground. R48. VF+.*
(\$200-300)



1302 Denver & South Park Bullion Mining (CO) 1881. #228. 500 shs. Gold seal. Group of surface miners. VF+.*
First we have seen. (\$175-250)



1303 Dexter Consolidated Mining (MI) 1887. #130. 600 shs. State arms flanked by miners and steamboat. VF+.*
First we have seen. (\$200-300)



1304 Diamond Gold & Silver Mining 1863. Reese River Mining District. Lander County, Nevada Territory. #45. 5 shs. R46. Trimmed, VF.*
(\$250-350)



1305 Dudley Mining (CA) 1886. Bodie Mining District. Mono Co. #2336. 100 shs. Ornate border. Small size format. VF+.*
(\$250-350)




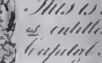
1306 East Mount Diablo Mining 1880. Columbus Mining District. Esmeralda Co., Nevada. #1011. 50 shs. Miner within first letter of title. VF+.*
(\$200-300)



1307 Eclipse Gold Mining (CA) 1877. Sierra County, Downieville. #18. 4500 shs. Ornate border. Light staining, VF.*
Only the second we have offered. The last realized \$375 in our Sale No.194. (\$250-350)

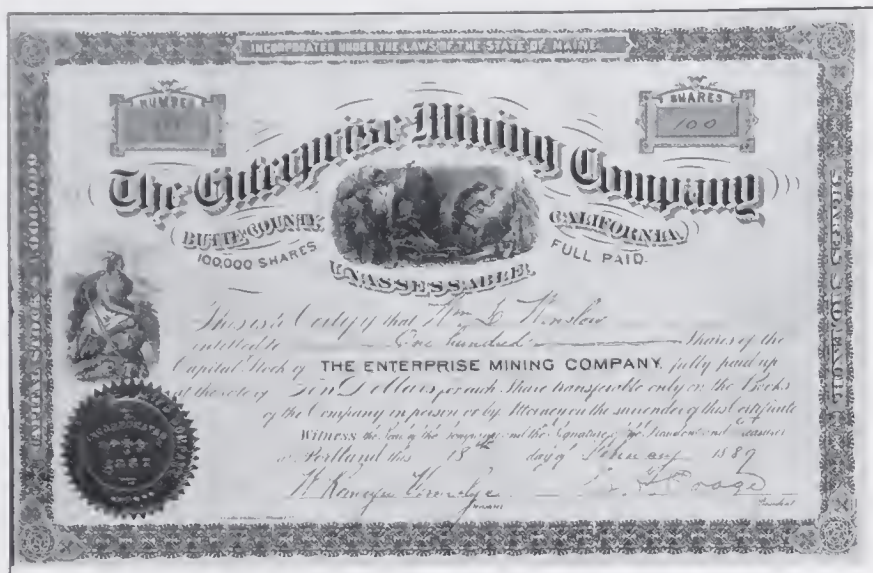
- CAPITAL STOCK \$100,000.
- SEMI-ANNUAL DIVIDEND
70 PER CENT
- EMPRESS
- INCORPORATED UNDER THE CHARTERS OF THE STATE OF NEW YORK
- Mining Company
- OF COLORADO
- This is to Certify that Thomas Mackay has been elected President of the EMPRESS MINING COMPANY, transmittable in person or by Attorney duly on the Books of the Company on the surrender of this Certificate.
- NEW YORK, July 1st 1897.
- James H. Brown
- JAMES H. BROWN
- GOLD COAST - MASSACHUSETTS

- CAPITAL STOCK,
\$100,000,000.
- English
Gold and Silver Mining Company
- Location of Mine:
GOLD HILL,
STOREY COUNTY,
NEVADA.
- This Certifies, that William Barrett is
entitled to Fifty Shares in the Capital Stock of the
English Gold and Silver Mining Company.
- Promissible on the books of the Company, subject to the Powers of the By-Laws, by endorsement hereon and surrender of this Certificate.
- 100,000 SHARES
\$100 Each.
- INCORPORATED
April 25, 1876.

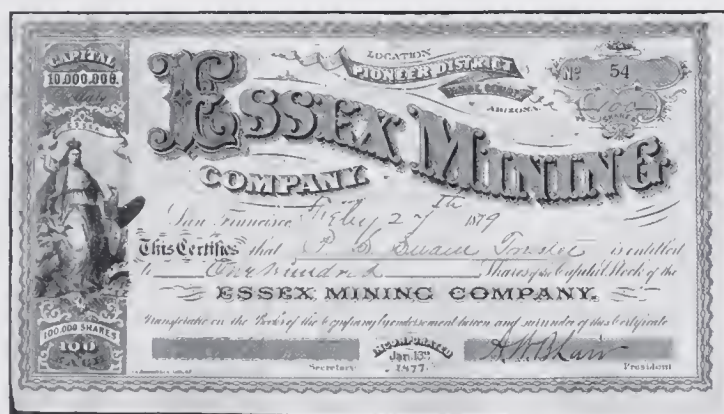
- 

- No. 131
Elmira Silver Bullion Company Nevada
 ENTIRE CAPITAL STOCK ISSUED
 IN PAYMENT OF MINES TERRITORY
- This is to Certify, that George B. McSpencer is entitled to 20000 Shares of the Capital Stock of the **ELMIRA SILVER BULLION COMPANY, NEVADA**, transferable on the Books of the Company in person or by Attorney on surrender of this Certificate & Shares not subject to assessment.
- In Witness Whereof the said Company have caused this Certificate to be signed by their President and Secretary in the City of Elmiria, B., this eleventh day of August 1866.
- R. L. Lawrence Secretary, George B. McSpencer President

- ENTERPRISE GOLD & SILVER MINING COMPANY**
- PAID
\$100.00
J. J. HESS
Twenty five
ENTERPRISE GOLD & SILVER MINING COMPANY.
Thirtieth
John G. MacIntyre
- APR 1906
- UPPER 66

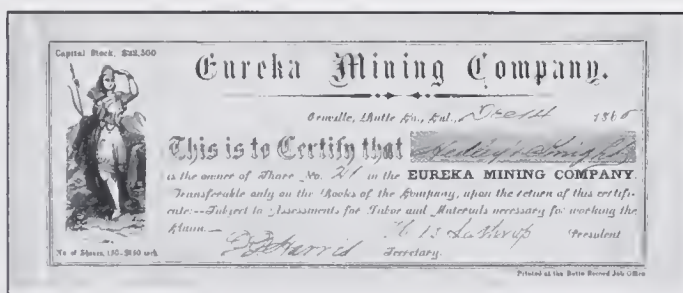
- 71



- 1313 Enterprise Mining (ME) 1889. Butte County, California. #191. 100 shs. Gold seal. Miners underground. Indian warrior with rifle, left. VF.*
(\$200-300)



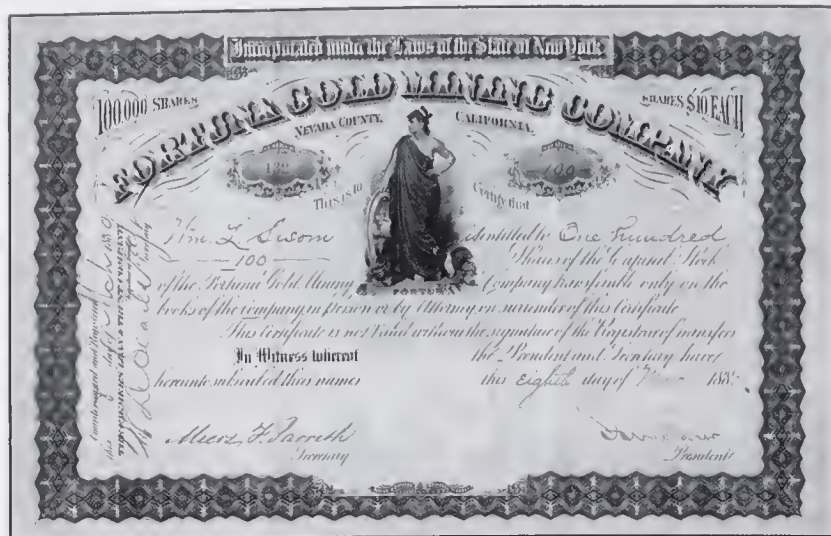
- 1314 Essex Mining 1879. Pioneer District. Pinal County, Arizona. #54. 100 shs. Columbia with eagle, left. VF+.*
(\$175-250)



- 1315 Eureka Mining (CA) 1865. Oroville, Butte County. One share. Indian princess, left. Mounting remnants in the left edge. VF+.*
(\$200-300)



- 1316 Florida Silver Mining (CA) 1876. Storey Co., Nevada. #215. 1000 shs. Gold. Miner underground, right. VF+.*
(\$300-500)



- 1317 Fortuna Gold Mining (NY) 1880. Nevada County, California. #132. 100 shs. Allegorical "Fortuna". ABN. VF+.*
(\$200-300)

The Fortuna vein was traceable for over two miles and was one of the longest in the Nevada City district.



- 1318 Foster Iron Ore Mining (NY) 1865. #164. 500 shs. Busy interior of an iron forge. Arm and hammer, left. Small anvil, bottom. R44. VF.*
(\$200-300)



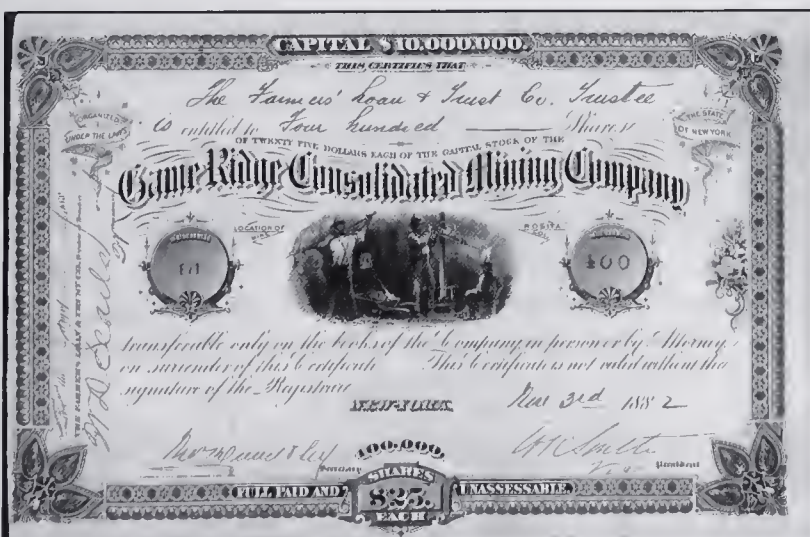
- 1319 Four Aces Mining (AZ) 1906. Bullfrog District, Nevada Mines. #292. 200 shs. Red and brown. Hand holds four aces. One of the most popular mining shares in all of scripophily. About two dozen or so are known, but they are rarely offered. VF+.*
(\$400-600)



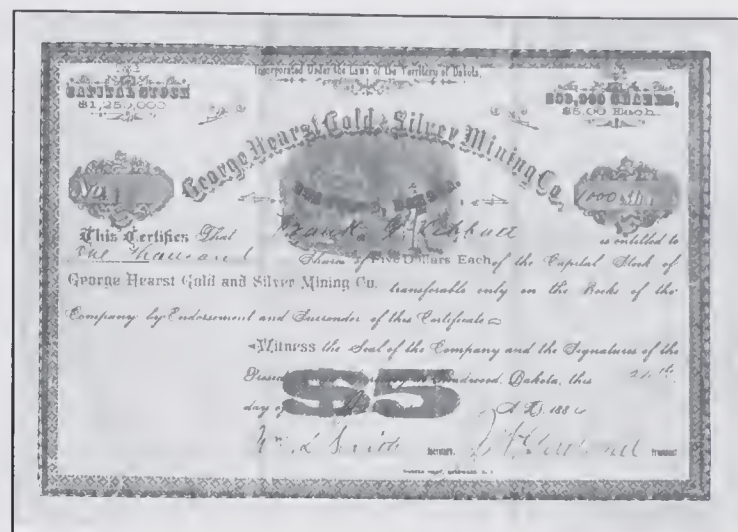
- 320 Four Aces Mining (AZ) 1906.** Bullfrog District, Nevada Mines. #293. **200 shs.** Red and brown. Hand holds four aces. **VF+.** (\$400-600)



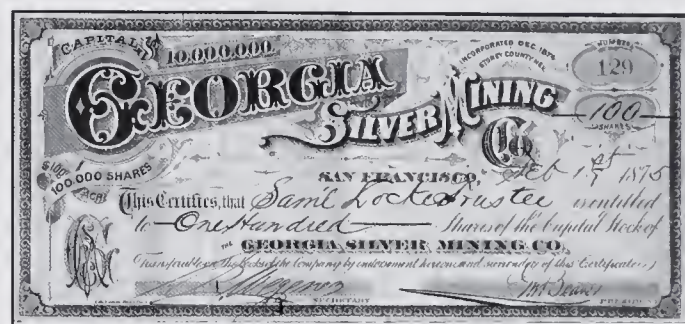
- 321 Four Aces Mining (AZ) 1906.** Bullfrog District, Nevada Mines. #294. **100 shs.** Red and brown. Hand holds four aces. **VF+.** (\$400-600)



- 322 Game Ridge Consolidated Mining (NY) 1882.** Rosita, Colorado. #171. **400 shs.** Miners underground. **VF+.** (\$175-250)



- 1323 George Hearst Gold & Silver Mining (Territory of Dakota) 1886.** #10. **1000 shs.** Red, green and gold. Miners underground. **VF+.** (\$300-500)
First we have seen of this colorful certificate.



- 1324 Georgia Silver Mining (CA) 1875.** Storey County, Nevada. #129. **100 shs.** Ornate border. **VF.** (\$300-500)

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- 1325 Gold Crater Mining (CO) 1896. #114. 150 shs.** Brown counters. Mining camp below twin volcanic peaks. Signed as president by **W.S. Stratton** and issued on his famous Gold Crater operation that was in search of the "golden core" of the Cripple Creek District. Lightly pen cancelled, **VF+**. **(\$1,000-1,500)**

Winfield Scott Stratton (1848-1902) was Cripple Creek's first millionaire. After twenty years of prospecting, Stratton arrived in 1891 in the gold camps of Colorado Springs. On July 4, 1891, he staked out the Independence Mine, named in honor of Independence Day. Stratton systematically mined the property, taking out small quantities of ore and leaving thousands of tons for future use. Stratton was able to finance the entire development of the mine without ever having to publicly sell stock. The Independence was one of the few mines ever to remain in the hands of a single owner. The mine became known as "King of the District", and supplied Stratton with the funds to control nearly one fifth of Cripple Creek's richest properties. A similar example realized \$1500 in our Sale No.205.

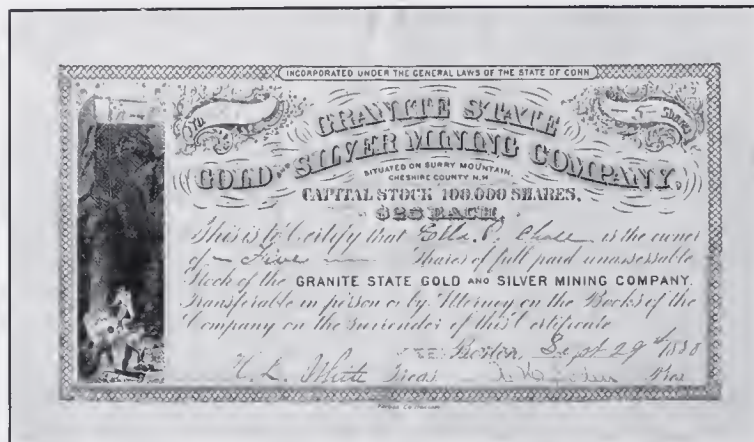


- 1326 Golden Crown Mining (CA) 1874. Amador County. #52. 500 shs.** Gold with purple lettering. Gold crown. **VF+.** **(\$300-500)**

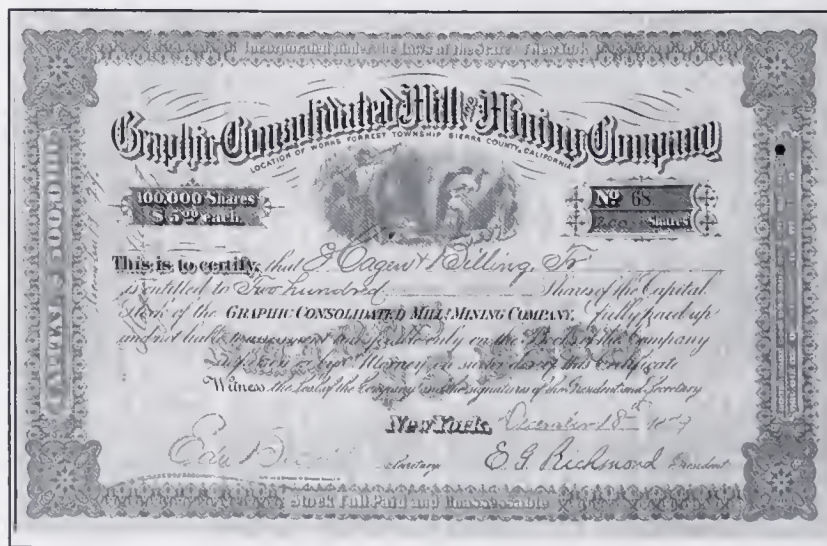
This example realized \$300 in our Sale No.194.



- 1327 Golden Gate Gold, Silver & Copper Mining (CA) 1864. Gopher District. Calaveras County. #47. 10 shs.** Train approaches mill. Small US flag, bottom. Uneven toning, left. **VF.*** **(\$200-300)**



- 1328 Granite State Gold & Silver Mining (CT) 1880. Surry Mountain. Cheshire Co, New Hampshire. #157. 5 shs.** Yellow paper. Vertical mine shaft, left. **VF+.** **(\$175-250)**



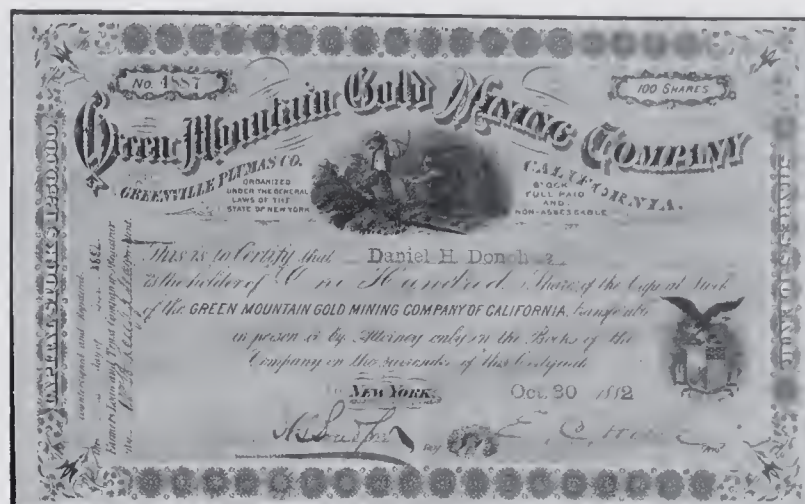
- 1329 Graphic Consolidated Mill & Mining (NY) 1879. Forrest Township. Sierra County, California. #68. 200 shs.** Brown. Miners underground. **VF+.** **(\$175-250)**

We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

Please be sure to follow up with a written confirmation
if you phone your bids to our office.



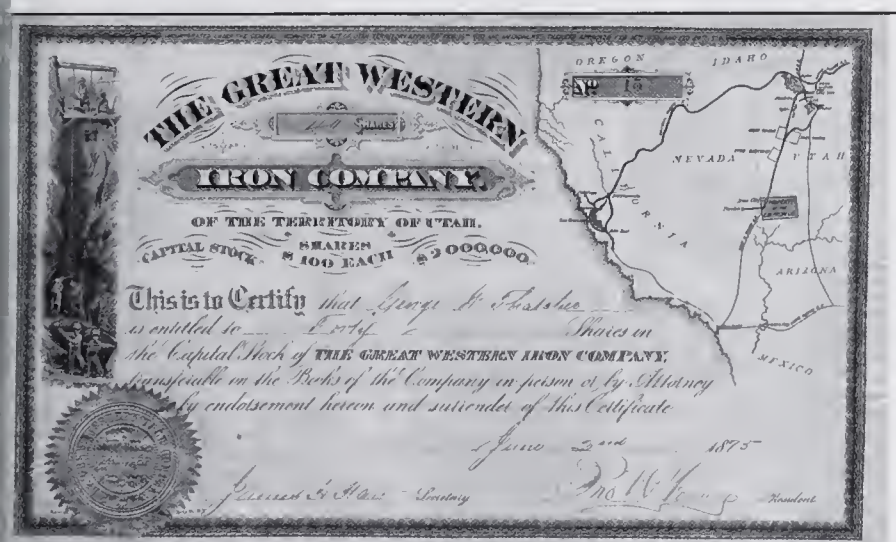
- 330 Great Cariboo Gold (SD) 1906. #1801. 500 shs.** Green and gold. One of the most elaborate and impressive gold mining certificates ever printed! Under the title is a cross section of a river and forest with "GOLD GRAVELS AND GOLD SANDS" indicated. At right, a cart full of gold bearing ore is lifted up a vertical mine shaft. Across the bottom is a series of underground vignettes of miners at work. The top and bottom borders have large gold nuggets, and there are tubes full of gold nuggets in the side borders. The large format and spectacular vignettes are just wonderful. Scarce, and highly desirable. Light mounting traces on the reverse, VF+.* (\$750-1,250)



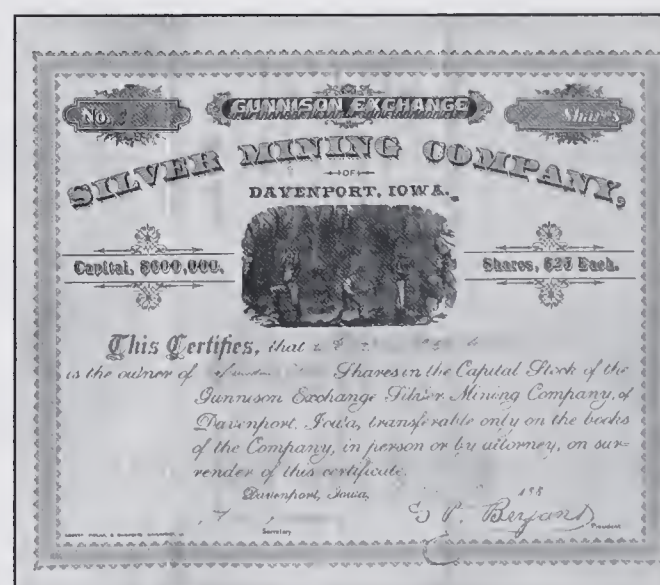
- 1332 Green Mountain Gold Mining (CA) 1882.** Greenville Plumas County. #4887. 100 shs. Green. Miners underground. State arms, right. Small ore cart, bottom. VF+.* (\$200-300)



- 1333 Grizzly Ridge Mining (CA) 1892.** Nevada City, California. #15. 500 shs. Grizzly bear. Two small stains in the top border, VF+.* (\$200-300)



- 331 Great Western Iron (Territory of Utah) 1875. #15. 40 shs.** Gold seal. Map of the West Coast indicating the location of property and the major railroad routes. Vertical shaft, left. Signed by **John Young**, variously president of Salt Lake City RR, the Salt Lake & Fort Douglas Railway, the Salt Lake & Eastern Rwy and the Utah Western Rwy, which he used to develop his mines. This is the only example known to us and unquestionably rare. VF+.* (\$750-1,250)



- 1334 Gunnison Exchange Silver Mining 1880.** Davenport, Iowa. #23. 111 shs. Pink. Miners underground. VF+.* (\$200-300)



Please note that a 15% buyer's premium will be added to each lot purchased.



- 1335 Gunsight Mining (NJ) 1883. Pima County, Arizona. #185. 50 shs. Green underprint. Miners underground, right. VF+.

(\$200-300)



- 1336 Helen Mining (WV) 1898. #293. One share. Orange. Miners underground, left. Signed by D.H. Moffat as president. VF+.*

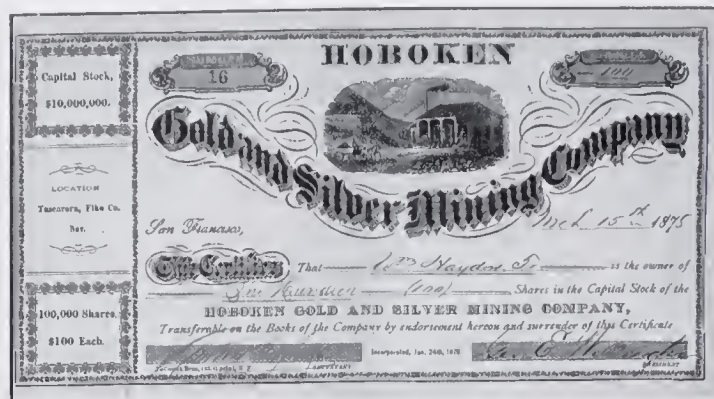
(\$200-300)

This mining company was located in Catron County, New Mexico and operated the Confidence Mine.



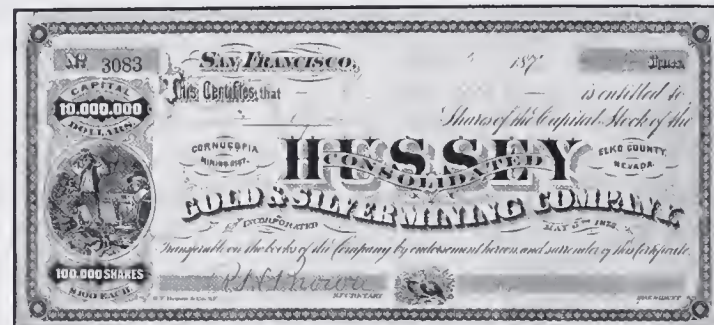
- 1337 Helvetia & Lafayette Gold Mining 1852. Grass Valley. Nevada County, California. #6230. One share. Woman with cornucopia. Liberty, left. Foxing, F.

(\$200-300)



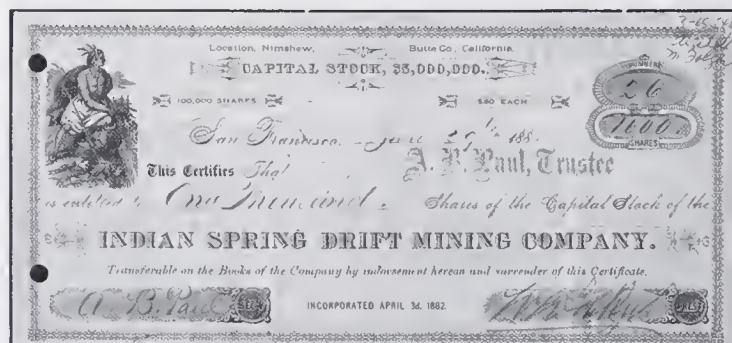
- 1338 Hoboken Gold & Silver Mining (CA) 1878. Tuscarora, Elko County, Nevada. #16. 100 shs. Horsecart arrives at mill. Light toning, VF.*

(\$250-350)



- 1339 Hussey Consolidated Gold & Silver Mining (CA) 1877. Cornucopia Mining District. Elko County, Nevada. #3083. 50 shs. Miners underground, left. Pair of grouse, bottom. VF+.*

(\$200-300)



- 1340 Indian Spring Drift Mining (CA) 1882. Nimshew, Butte County. #26. 1000 shs. Yellow paper. Indian, left. Two punch holes in the left border, VF.*

(\$200-300)



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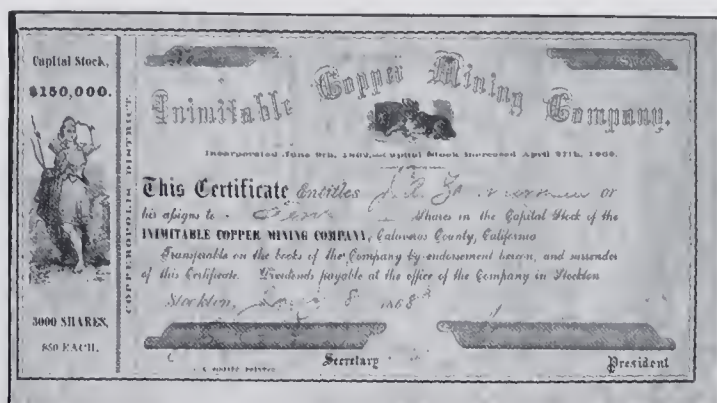
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Local: 212-943-1880

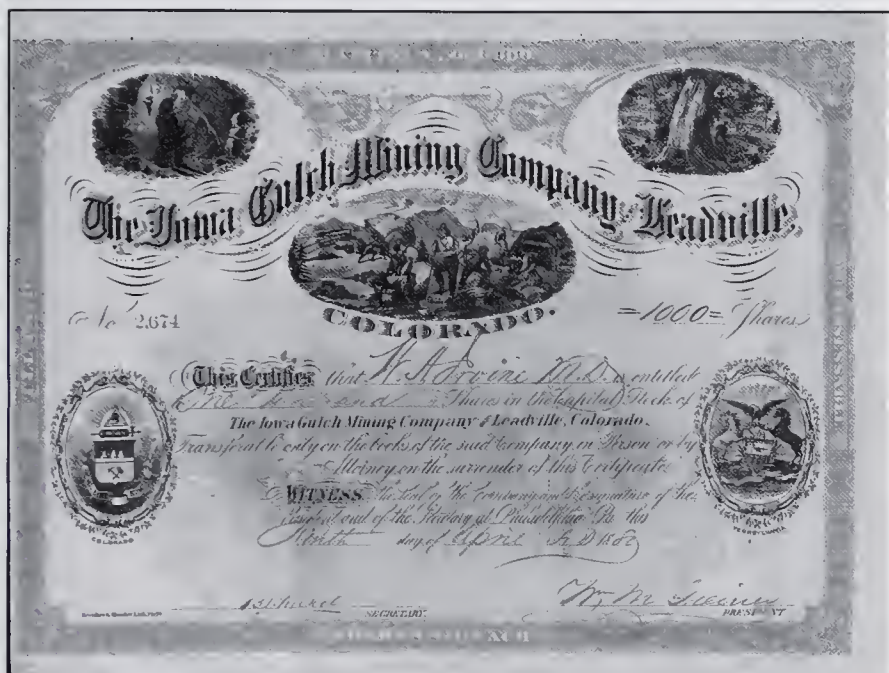
Bid Fax: 212-312-6300

Email: info@smytheononline.com

Website: smytheononline.com



- 1341 Inimitable Copper Mining (CA) 1863. Stockton. #200. 10 shs. Green underprint. Dog guards safe. Indian princess, left. VF+.** (\$400-600)



- 1342 Iowa Gulch Mining of Leadville (CO) 1880. #2674. 1000 shs. Gold. Surface miners. Miners in circles, top corners. Colorado and Pennsylvania seals, sides. VF+.** (\$200-300)



- 1343 Iron Hill Consolidated Mining (CO) 1884. Leadville. #280. 1000 shs. Light pink paper. Miners underground. VF+.** (\$200-300)

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Numismatist
 Contributor to *A Guide Book of U.S. Coins* and *A Guide Book of British Coins*. Assembled and managed investment portfolios of U.S. coins. Employed by the Federal Trade Commission as an expert witness on consumer fraud. Member: ANA, PCGS, NGC.

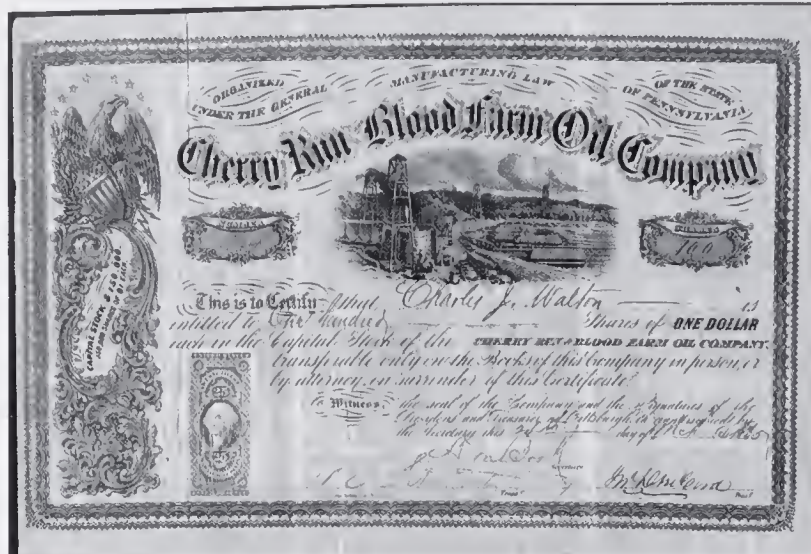


Ancient Greek and Roman Coins, Medieval, World Gold and Silver, Paper Money.
Thomas Tesoriero
Numismatist
 Professional Numismatist for 38 years in New York City. Member: ANA, ANS, AINA, FRNS.

Oil Certificates



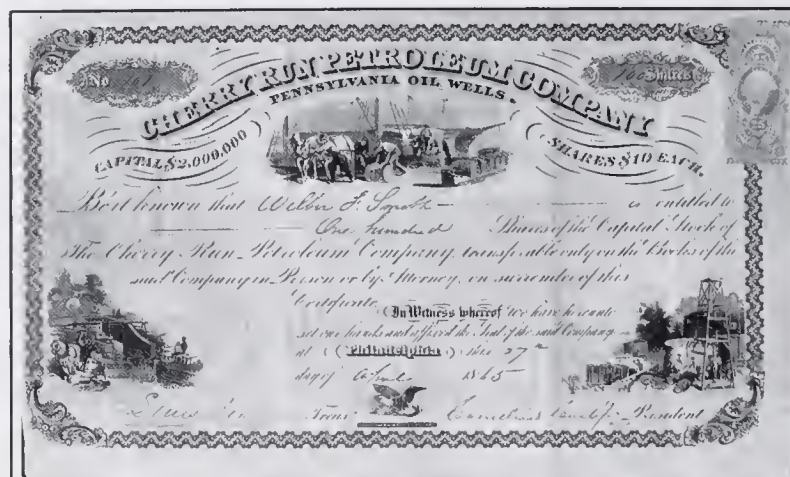
1344 Allegheny & Walnut Bend Oil (PA) 1864. #131. 100 shs. Men load oil barrels into boxcar, steamboat in distance. Men fill barrels, below. R47. VF+. (\$200-300)



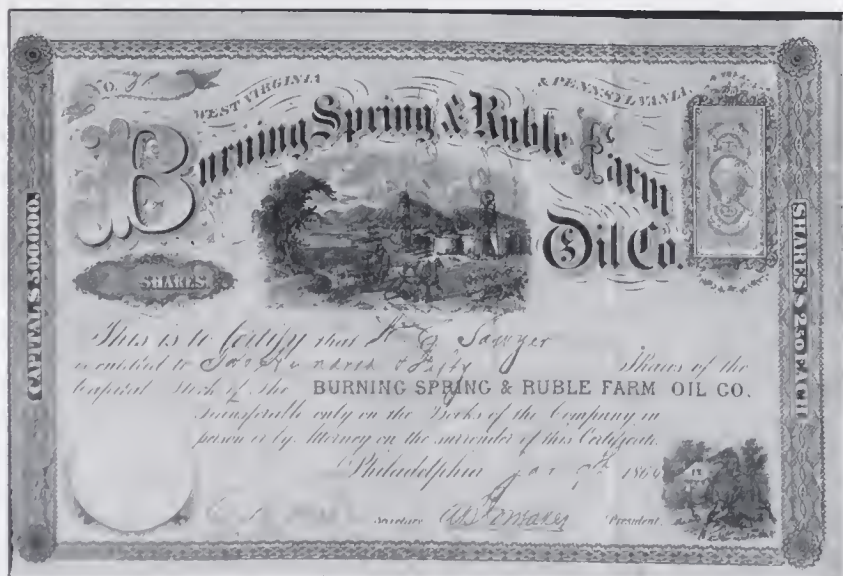
1347 Cherry Run & Blood Farm Oil (PA) 1865. #414. 100 shs. Lakeside oil depot, barges loaded with barrels. R50. VF+. (\$175-250)



1345 Amber Petroleum (PA) 1865. Green County. #168. 100 shs. Dog guards safe. Oil depot, left. VF+. (\$250-350)

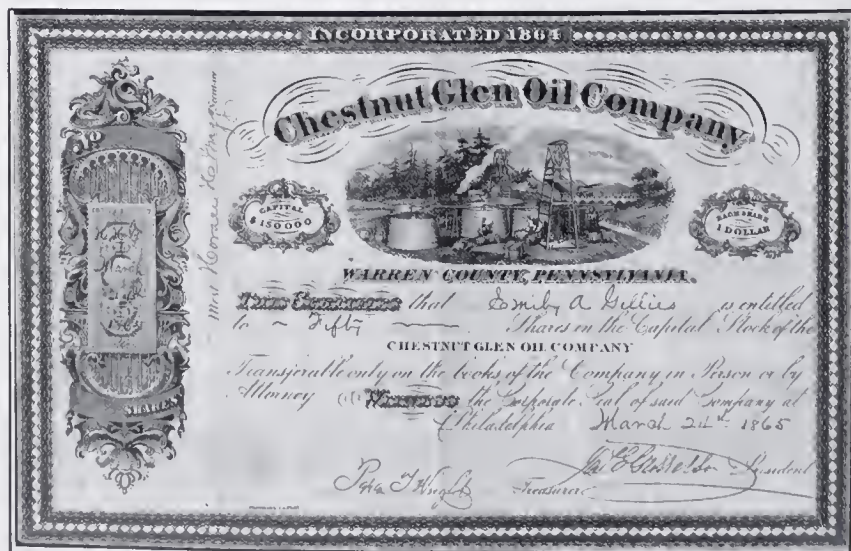


1348 Cherry Run Petroleum (PA) 1865. #101. 100 shs. Men on dock move barrels. Canal scene, lower left. Oil tower, lower right. R50. VF+. (\$200-300)

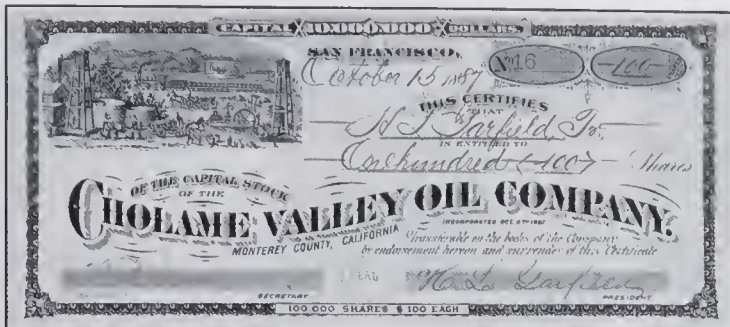


1346 Burning Spring & Ruble Farm Oil (WV-PA) 1865. #37. 250 shs. Farmer drives wagon past oil towers and tanks. Black horse, lower right. VF+. (\$300-500)

This is nicer than the example that realized \$400 in our Sale No 205



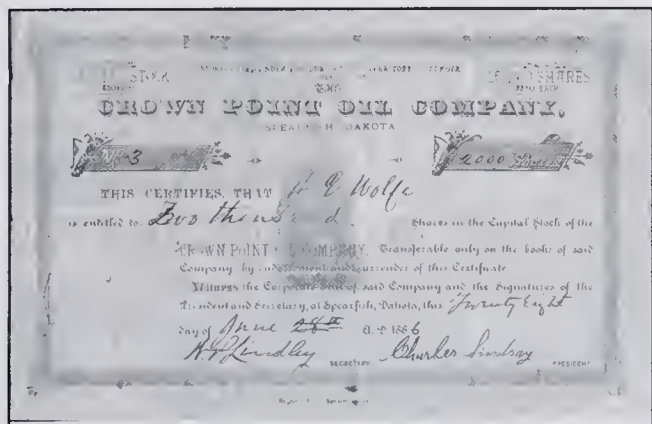
1349 Chestnut Glen Oil (PA) 1865. Warren County. #76. 50 shs. Oil towers, tanks, men fill barrels. R45. Trimmed, VF+. (\$250-350)



- 350 Cholame Valley Oil (CA) 1887.** Monterey County, California. #16. 100 shs. Fancy oil production site with towers, tanks, barrels and men working, train in distance at left. Small size format. VF+.*
(\$250-350)



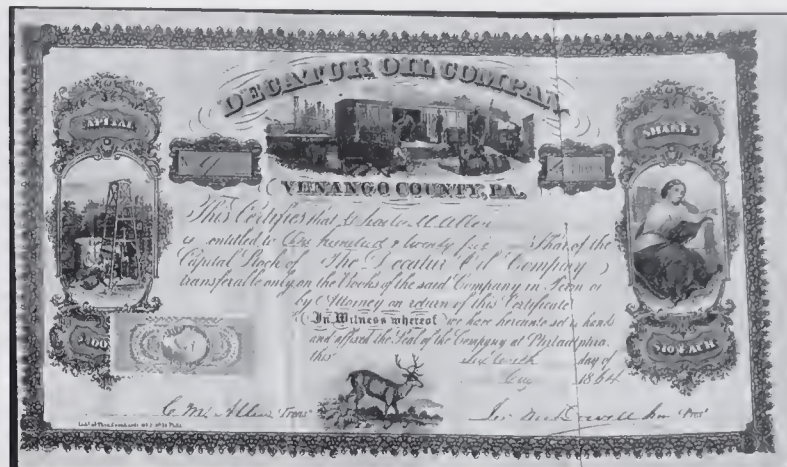
- 351 Crescent City Oil (PA) 1864.** Venango & Warren Counties. #13. 200 shs. Oil towers, tanks, men fill barrels. R44. VF+.*
(\$200-300)



- 352 Crown Point Oil (Dakota Territory) Spearfish, Dakota.** #3. 2000 shs. Gold with purple lettering. Oil tower. VF+.*
(\$200-300)

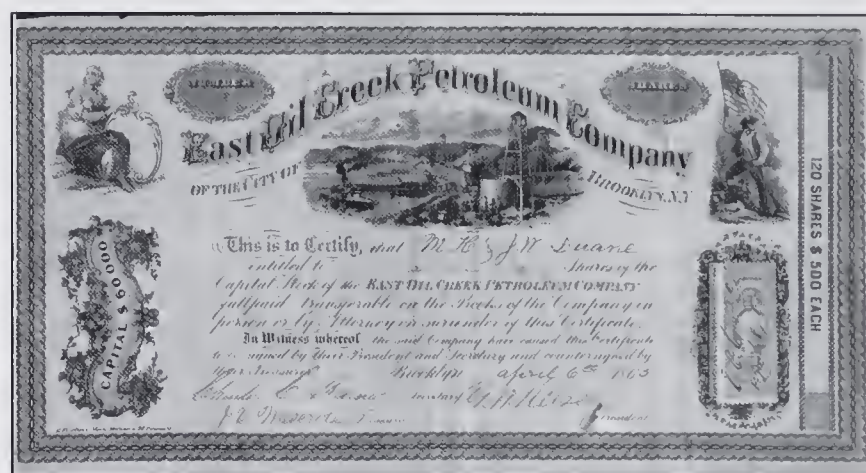


Please note that a 15% buyer's premium will be added to each lot purchased.



- 1353 Decatur Oil (PA) 1864.** Venango County. #9. 125 shs. Men load barrels into boxcar. Oil tower and tank, left. Commerce, right. Deer with antlers, bottom. R49. VF+.*
(\$250-350)

Only the second we have seen. The last example realized \$300 in our Sale No.227.



- 1354 East Oil Creek Petroleum (NY) 1865.** #53. One Share. Lakeside oil depot. Woman with shield, left. Patriot, right. VF.*
(\$400-600)



- 1355 Fonner Farm Oil (CA) 1865.** Mattole, Humboldt County. #50. 50 shs. Oil tower, train, barrels. This is one of very few early California oil pieces we have seen. The total authorized capital was only \$160,000. VF+.*
(\$400-600)

A similar example realized \$725 in our Sale No.224.



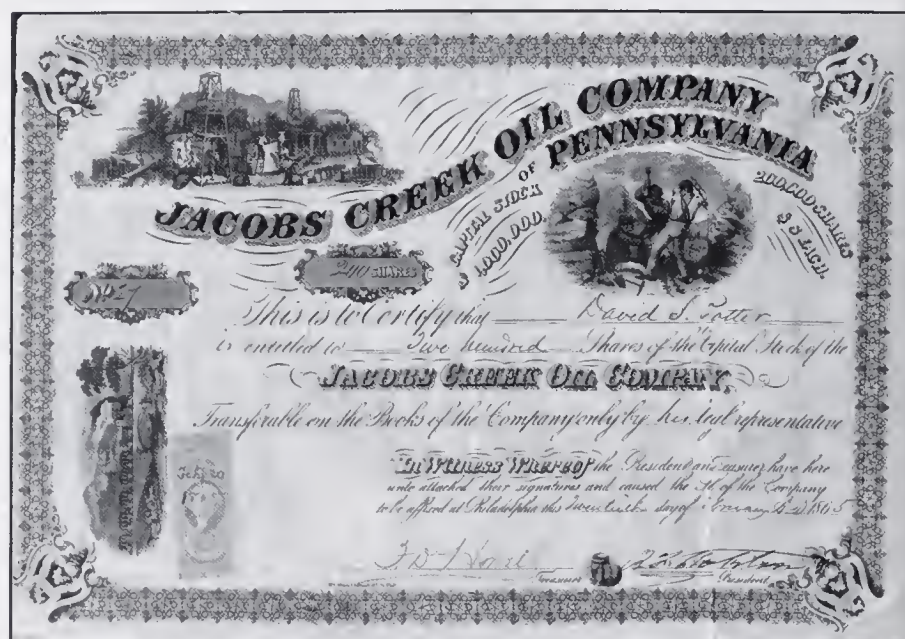
1356 Germantown Petroleum (PA) 1865. Forrest County. #35. 1150 shs. Steamboat arrives at riverside oil depot. R48. VF+. * (\$250-350)



1359 Indian Spring Oil (PA) 1865. #144. 100 shs. Men load barrels at riverside depot. R43. VF+. (\$200-300)



1357 Hibberd Oil (PA) 1864. Venango County. #328. 200 shs. Oil towers, tanks, men fill barrels. R45. Minor graffiti in the top margin, VF. * (\$200-300)



1360 Jacobs Creek Oil (PA) 1865. #27. 210 shs. Oil towers, tanks, men fill barrels, left. Men drive stake, right. Train crosses stone bridge, left side. VF. * (\$300-500)



1358 Horse Neck Oil (WV) 1865. #27. 670 shs. Riverside oil depot. Man with horse, left. Small oil tower, bottom. R44. Rough top edge and soiling, VF. (\$200-300)



1361 Jersey Well Oil (PA) 1866. #1036. 200 shs. Towers and four tanks in a forestal setting. VF+. * (\$200-300)



1362 Kingsland Oil (PA) 1864. #50. 1000 shs. Towers, tanks, barrels at river junction. Punch holes in left margin. **VF+.** (\$300-500)

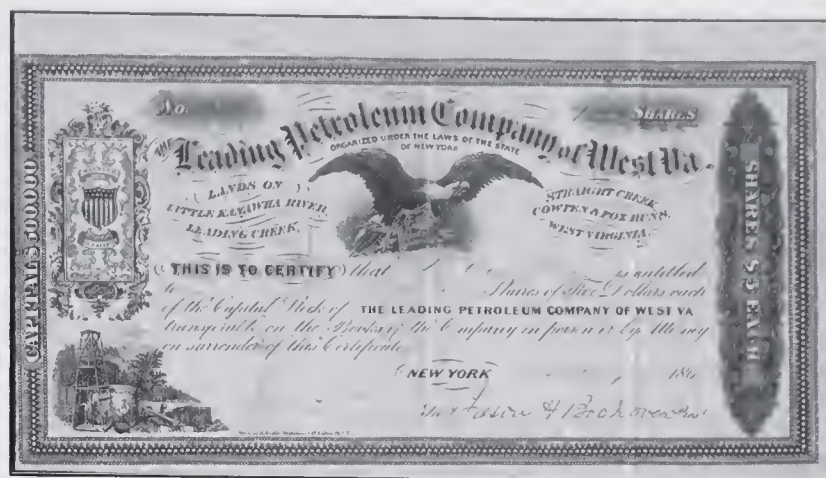
The property of the Kingsland was adjacent to Drake's Well. This is nicer than the example that realized \$350 in our Sale No.182.



1363 Lancaster & Clarion River Oil (PA-OH) 1865. #1390. 126 shs. Oil towers, tanks, men fill barrels. Eagle, left. R45. Light staining, right. **VF.*** (\$200-300)



1364 Lancaster Oil 1864. Canada West. #75. 500 shs. Men load barrels into boxcar. **VF+.*** (\$250-350)



1365 Leading Petroleum of West VA (NY) 1866. #111. 1000 shs. Eagle with shield. Oil tower, tank, men fill barrels, lower left. **VF+.*** (\$175-250)

The lands were on the Little Kanawha River, Leading Creek, Straight Creek, Cowpen & Fox Runs, West Virginia.



1366 Le Claire Oil & Coal Mining (IA) 1866. Davenport. #87. 20 shs. Oil tower, tanks, barrels lined up near river. **VF+.*** (\$300-500)



1367 Little Kanawha & Elk River Petroleum & Mining (NY) 1865. #556. 31 shs. Riverside oil depot. Buck and miner, sides. Dog guards safe, bottom. Staining in left border, **VF.*** (\$200-300)



- 1368 McCalmont Oil & Mining (PA) 1865.** Rockland Township. Venango County. #75. **2000 shs.** All light brown. Oil towers, tanks, men fill barrels. Eagle, left. R44. **VF+.** (\$200-300)



- 1369 McFate Farm Oil (PA) 1865.** Venango County. #77. **100 shs.** A very realistic rendering of oil towers, tanks and barrels, a steam pump, horses and wagons, and a train in the distance. Wonderfully detailed for a lithograph. The same attention was paid to the corporate seal. Trimmed bottom and left margin, **VF+.** (\$300-500)

Just as nice as the example that realized \$650 in our Sale No.172.



How Can I Get Prices Realized?

Prices Realized will be available at smytheononline.com shortly after this sale. If you would like a **printed copy** mailed to you, please contact Deloris Kennedy at 800-622-1880, or email us at: info@smytheononline.com



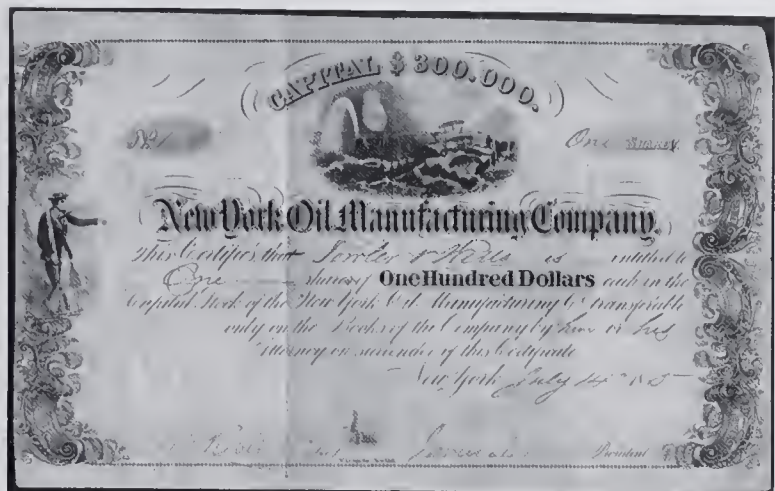
- 1370 Montgomery Oil (PA) 1864.** Venango County. #21. **200 shs.** Oil towers, tanks, and men fill barrels. R47. **VF+.** (\$200-300)



- 1371 New England Petroleum (NY) 1866.** #251. **500 shs.** Oil tower, tanks, train loaded with barrels passes in distance. Oil depot, lower left. R43. **VF+.** (\$250-350)



- 1372 Newhall Petroleum (CA) 1876.** San Francisco. #14. **25 shs.** Towers, tanks and barrels. Large "California Petroleum Oil" barrel with corporate logo, left. Tight left margin, **VF+.** (\$250-350)



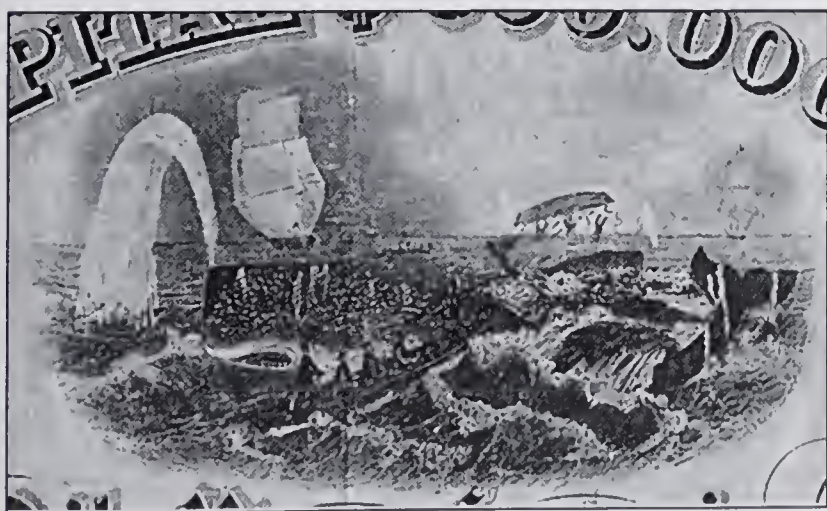
- 1373 New York Oil Manufacturing (NY) 1855. #145. One Share.** Fantastic whaling scene with a whaleboat attacking a whale and a whale in the distance capsizing a whaleboat. W.L. Ormsby. VF. (\$1,000-1,500)

In the mid-1850's the whaling industry in America was at its height. Over 600 sailing ships scoured the seas in search of the increasingly valuable cetaceans, mainly due to the fact that there was simply no better way to light homes than through the use of candles made from whale oil. Ointments made from whale oil were also very popular, and whale oil was also used as a lubricant in delicate mechanical instruments.

By 1900 the whaling industry was all but dead. The discovery of petroleum drilling in Pennsylvania marked the beginning of the end, and the War Between the States resulted in Southern commerce raiders like the Alabama, which decimated the American wooden whaling fleet.

Further research needs to be done on the New York Oil Manufacturing Company, but it probably purchased bulk shipments of whale oil and produced spermaceti candles, whale oil medicines, and the highest grade lubricants.

This is the only example we know of.



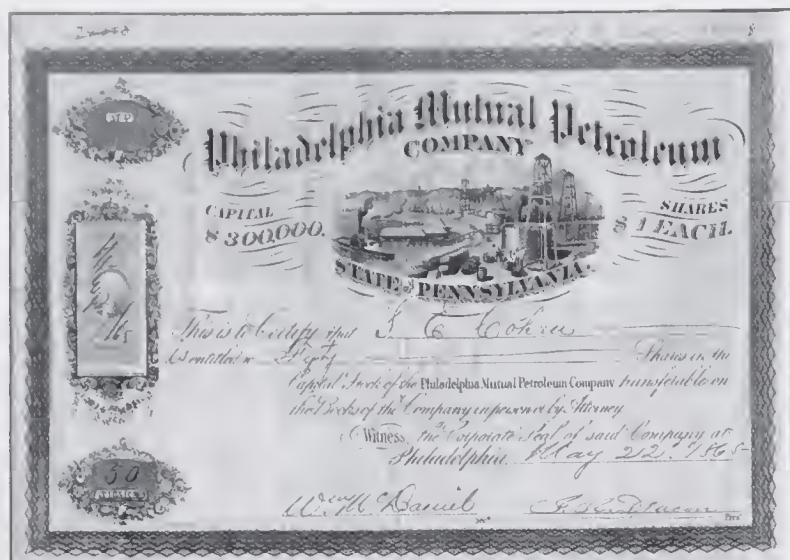
- 1374 Ocean Oil (PA) 1868. #1118. 200 shs.** Pennsylvania arms. Small three masted steamship, bottom. R44. VF+. (\$150-250)



- 1375 Organic Oil (PA) 1864. #303. 200 shs.** Train passes riverside oil depot. Eagle, state arms, dogs, all left. R47. Lightly pen cancelled, VF. (\$200-300)



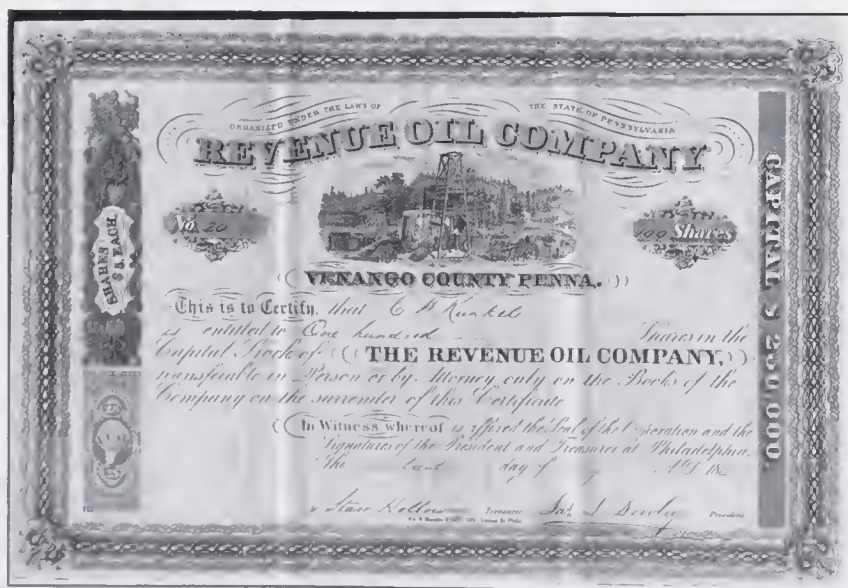
- 1376 Pennsylvania Petroleum (PA) 1863. #84. 100 shs.** Men load barrels into boxcar. Small arms, bottom. Staining, trimmed. F. (\$200-300)



1377 Philadelphia Mutual Petroleum (PA) 1865. #390. 50 shs. Riverside oil depot. R48. VF+.* (\$250-350)



1378 Phil. Sheridan Petroleum (PA) 1865. #95. 1000 shs. Riverside oil depot with barges filled with barrels. VF+.* (\$300-500)



1379 Revenue Oil (PA) 1864. Venango County. #20. 100 shs. Oil tower, tanks, men fill barrels. R44. VF+.* (\$175-250)



1380 Rockland Petroleum (PA) 1864. Venango County. #63. 500 shs. Green. Men unload barrels from boxcar. VF+.* (\$250-350)

This certificate originally sold for \$450 in our Sale No.194.



1381 Sadorus & Oil Creek Petroleum (PA) 1865. Crawford County. #157. 100 shs. Oil towers, tanks, men fill barrels. R45. VF+.* (\$200-300)



1382 Second National Petroleum (PA) 1866. #145. 50 shs. Gold type and green underprint. Capitol building. Tower, tanks, barrels, lower left, partially covered by red embossed corporate seal. Ornate Oil Creek vignette on the back in green. Issued to Charles Pratt. One of only about a half dozen found in the archives of Charles Pratt & Company. EF.* (\$500-750)



1383 Standard Oil (OH) 1877.

#122. 25 shs. Capitol Building. Liberty with flag and sword ("The Standard Bearer"). The original Standard Oil founded by

John D. Rockefeller and signed three times, once as president, again on the transfer stub, and again on the reverse. Also signed by **Henry Morrison Flagler** as secretary. Lightly cancelled in red pen through the vignette and the officers' signatures, hardly distracting. An extremely important piece of American financial history representing the early days of one of America's most significant industries.

(\$10,000-15,000)

When it was first incorporated in 1870, shares in the original Standard Oil were very tightly held. With only five shareholders at its inception, the company had only forty-one shareholders ten years later! Standard Oil was the world's largest oil refiner, controlling 90% of the U.S. oil business at that time.

John D. Rockefeller (1839-1937) was the dominant figure in the oil industry until his retirement in 1911. He started his business career as a bookkeeper, and by age 19 was a partner in a produce business. Rockefeller began operating a small refinery with his partners, and quickly became alerted to the growing

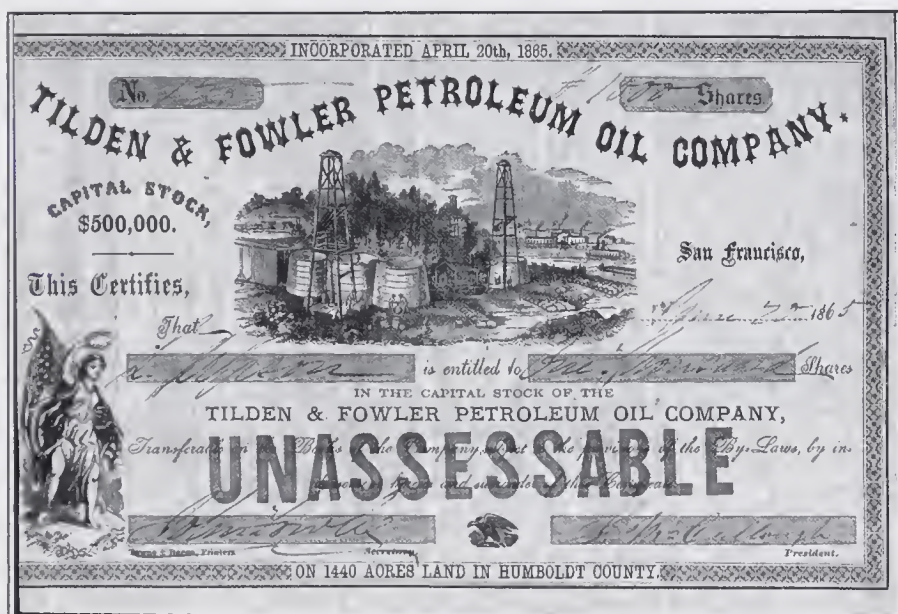
investment possibilities in what was then a fairly new industry. In 1870, he organized the Standard Oil Company of Ohio and proceeded to achieve control over 90% of the oil refineries in the country. Rockefeller had little interest in discovering oil; he left that to the wildcatters and other speculators. He concentrated on the transportation, distribution and sale of petroleum products, building a fortune estimated at over a billion dollars.

Henry Morrison Flagler (1830-1913) with J.D. Rockefeller organized the Florida East Coast Railway (1886) and built great hotel resorts in St. Augustine and Miami (1892-1896).

Henry Morrison Flagler
Secy



- 1384 St. Nicholas Oil (PA) 1871. Venango County. #1486. 500 shs. All red. Lakeside oil depot with trains and steamboats. Pen cancelled, VF. (\$175-250)
First of this red variety we have seen. Far rarer than the commonly found yellow type.



- 1385 Tilden & Fowler Petroleum Oil (CA) 1865. Humboldt County. #125. 1000 shs. Red "UNASSESSABLE". Oil towers, tanks, men fill barrels along a river with steamboats. Allegorical woman with flag, left. Small eagle, bottom. Trimmed left border, VF+. (\$250-350)

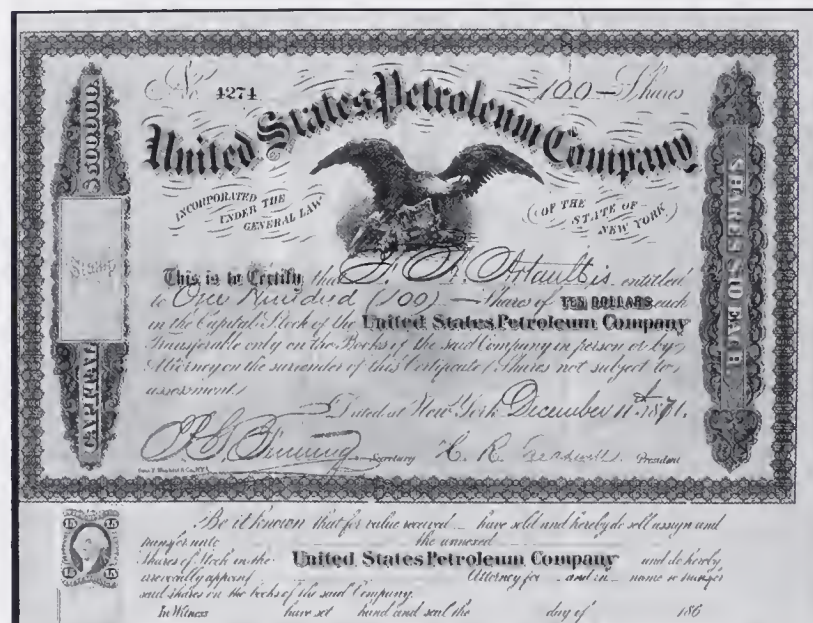


- 1386 Tremont Oil of Philadelphia (PA) 1865. Warren County. #567. 81 shs. Oil towers, tanks, men fill barrels. R44. VF+. (\$200-300)



- 1387 Triumph Oil (PA) 1870. #671. 1500 shs. Oil tower, tanks, men fill barrels. Eagle with shield, left. VF. (\$250-350)

First we have seen.



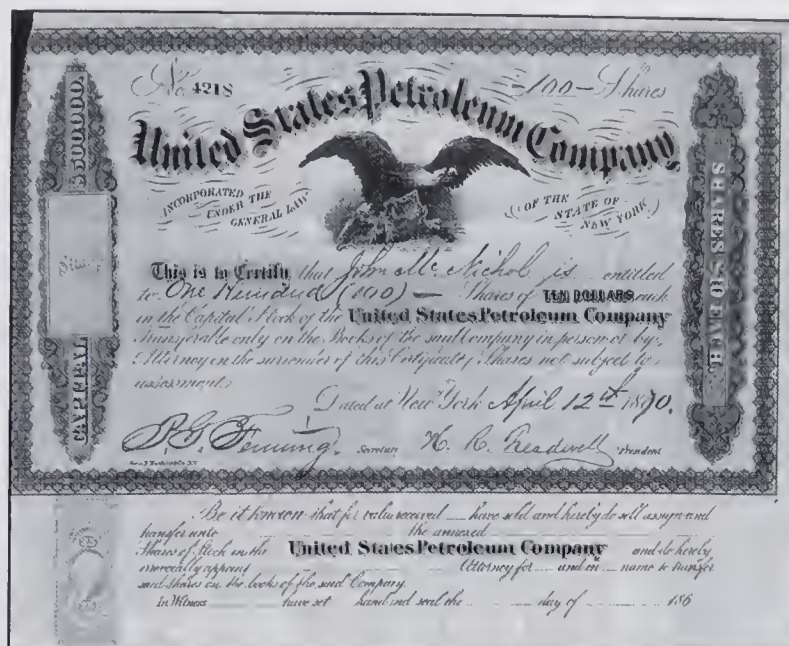
- 1388 United States Petroleum (NY) 1871. 100 shs. Light orange underprint. Eagle with shield. There is a small hole in the transfer stub beneath. RN-T4. VF. (\$275-350)

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- 1389 United States Petroleum (NY) 1870. #4218. 100 shs. Light orange underprint. Eagle with shield. Transfer stub beneath. RN-T4. Upper left corner tip, else VF.* (\$200-300)



- 1390 Van Dusen Oil of Philadelphia (PA) 1865. #87. 500 shs. State arms. Oil tower, left. R48. VF+. (\$200-300)



- 1391 Wallace Oil (PA) 1866. #85. 4500 shs. Horse drawn wagons filled with barrels, towers, tanks with forest beyond. R44. VF.* (\$200-300)



- 1392 William Penn Oil (PA) 1865. #286. 100 shs. Oil tower, tanks, men fill barrels. William Penn, left. R43. VF+.* (\$300-500)

The last we offered realized \$650 in our Sale No.218.

R.M. SMYTHE AUCTION CALENDAR

March 15, 2004 – Coins – New York City

April 29, 2004 – Autographs – New York City

July 24, 2004 – Coins, Paper Money, Stocks & Bonds – New York City

October 21-24, 2004 – Paper Money, Stocks & Bonds – Strasburg, PA

June 2005 – Paper Money, Stocks & Bonds – Memphis, TN

Multiple Item Group Lots and Books

Automobile Certificates

- 1393 Auto Collection.** [7] (\$400-600)
 1-2) Little Motor Kar 1919. 3) Moon Motor Car 1928. Fractional Scrip. 4) Durant Motors 1923. 5-7) American Austin Car 1931. 100 share variety. **VF-VF+.**
- 1394 Victor Page Motors (DE) 1922. Shares.** Brown with gold seal. Woman with gears. Detailed underprint of a convertible roadster. **VF.*** [4] (\$400-600)

Banking Certificates

- 1395 New York Banking Collection.** [9] (\$300-500)
 1) Dry Goods Bank 1875. 2) Bank of Binghamton 1859. 3) Chemical National Bank 1875. 4) Manufacturers & Traders Bank 1889. 5) First National Bank of the City of Brooklyn 1869. 6) First National Bank of Oneonta 1877. 7) New York Mutual Savings & Loan Association 1889. 8) Bank of Amsterdam 1863. 9) First National Bank of Port Jervis 1882. Most **VF.**
- 1396 Peoples National Bank of Norristown (PA) 1901-1910. Shares.** State arms. Partially bound in original stock book. A few lightly soiled or with rough edges, Most **VF.** [338] (\$300-500)

General Certificates

- 1397 American Merchants Union Express (NY) 1869. Shares.** Express wagon leaves port warehouse, ships. Eagle, dog, handshake, all left. Signed by **William Fargo** as president. RN-P5. Lightly toned, **VF.** [5] (\$400-600)
- 1398 Playboy Enterprises (DE) Specimen. 100 shs.** Olive. Reclining female nude. Playboy Bunny logo, bottom. ABN. **EF.** [3] (\$500-750)

Mining Certificates

- 1399 Michigan Mining.** [30] (\$300-500)
 1-10) Adventure Consolidated Copper 1899-1909. 11) Arcadian Consolidated Mining 1923. 12-17) Calumet & Hecla Consolidated Copper 1929-1968. 18) Calumet & Telluride Gold Mining 1909. 19) Cass Copper 1916. 20-22) Copper Range 1955-1964. 23) Indiana Mining 1911. 24) Northern Mining & Smelting 1904. 25) Phoenix Consolidated Copper 1905. 26) Seneca Mining of Michigan 1911. 27) South Lake Mining 1917. 28) Algoma Mining 1916. 29) Ahmeek Mining of Michigan 1917. 30) Detroit & Sautee Mining 1904. Generally, **VF+.**



- 1400 Empire Mining of Utah (Utah Territory) 1879. Shares.** Miners underground. **VF+.*** [10] (\$300-500)

1401 Bullfrog Mining Collection. [16]

(\$750-1,250)

- 1) Bullfrog Alliance Gold 1906. 2) Bullfrog-Ranier Mines 1908. 3) Bull Frog Chief Mining 1907. 4) Bullfrog-Colorado Mining 1906. 5) Bullfrog Daisy Mining 1906. 6) Bullfrog Jumbo Mining 1906. 7) Bullfrog Keystone Gold Mining 1906. 8) Bullfrog National Bank Gold Mining 1908. 9) Bullfrog Searchlight Mining & Milling 1912. 10) Homestake King Consolidated Bullfrog Mining & Milling 1908. 11) Montgomery Hill Mines 1907. 12) Montgomery Mountain Mining of Bullfrog 1905. 13) Montana Bull Frog Mining 1905. 14) Pittsburgh Bullfrog Mining 1906. 15-16) Spearhead Bullfrog Mining. **VF+.**

1402 Early California Mining Collection. [9]

(\$400-600)

- 1) Ave Maria Gold Quartz Mine 1851. 2) Anglo-California Gold Mining 1853. 3) South Idaho Consolidated Mining & Milling 1894. 4) Scadden Flat Gold Mining 1879. 5) Savage Gold & Silver Mining 1927. 6) Grass Valley Consolidated Gold Mining 1904. 7) Grenoble Mining 1887. 8) Auburn & Rock Creek Gold Mining 1880. 9) Gold Hill Mining 1890. **VF+.**

1403 Better Michigan Mines. [6]

(\$250-350)

- 1) Michigan Copper Mining 1905. Light water stain. 2) Hancock Consolidated Mining 1931. 3) Keweenaw Copper 1931. 4-5) Franklin Mining 1917-1920. 6) Winona Copper 1918. **VF-VF+.**

Railroad Certificates

- 1404 Missouri, Kansas & Texas Rwy 1882. 10 shs. Blue. Cattle. Cherubs in top corners. ABN. VF+. [35] (\$300-500)**



- 1405 Waynesville, Port Williams & Jeffersonville RR (OH) 1877. First Mortgage Bond. Cu. Green. Train, steamboat in distance. VF+. [6] (\$750-1,250)**
Various denominations: \$100 (1), \$500 (4) and \$1000 (1).

- 1406 California Rail Specimen Bonds. [9] (\$300-500)**
1) California Southern RR 1886. 2) Gulf, Colorado & Santa Fe Rwy 1953. 3) Corona & Santa Fe Rwy 1929. 4) Panhandle & Santa Fe Rwy 1953. 5) Fresno Interurban Rwy 1928. 6) Grand Canyon Rwy 1924. 7) Dodge City & Cimarron Valley Rwy 1930. 8) Elkhart & Santa Fe Rwy 1931. 9) Eldorado & Santa Fe Rwy 1930. **VF-VF+.**

- 1407 Spokane, Valley & Northern Rwy. [12] (\$300-500)**
1-2) Shares, 1918-1919. 3-12) First Mortgage Bonds, 1917. **VF+.** Over \$1400 in Cox catalog value.

- 1408 Atlantic & Pacific Archive. [7] (\$300-500)**
1) \$1000 Income Mortgage. Western Division. 1880 Specimen. 2) \$100. Similar. Specimen. Small stain. 3) \$500. Similar. Specimen. 4) \$1000 Second Mortgage. Western Division 1887. Specimen. 5) Similar. Issued. 6-7) \$1000. First Mortgage 6% Bond. Western Division 1880. **VF+.**

- 1409 Atchison, Topeka & Santa FE RR Specimen Archive. [21] (\$400-600)**
An impressive grouping of Specimen bonds from the Atchison, Topeka & Santa Fe RR. Very light duplication. Most with vignettes. Should be seen. **VF+.**

- 1410 Atchison, Topeka & Santa Fe RR Specimen Archive. [18] (\$300-500)**
An impressive grouping of Specimen bonds from the Atchison, Topeka & Santa Fe RR. All of the horizontal type. Very light duplication. All with vignettes. Should be seen. **VF+.**

- 1411 Texas, Sabine Valley & Northwestern Rwy Bond Group. [4] (\$250-350)**
1-3) \$1000. First Mortgage Bond. 1888. 4) \$1000. First Mortgage Bond. 1893. **VF+.**

State, County, and City Bonds

- 1412 New York Bonds. [15] (\$300-500)**
1-5) Town of Kingsbridge 1873. 6-15) Town of Morrisania 1869. **VF.**
- 1413 County of Harrison (MS) 1882. \$100. 6% Bond. Cu. Red "\$100". In aid of the Gulf & Ship Island RR. Train at station. VF+. [10] (\$400-600)**

- 1414 New York Bond Grouping. [9] (\$300-500)**
1-2) Soldiers Bounty Fund Bond 1864. 3) Soldiers Bounty Fund No.2 1864. 4) Street Improvement Fund 1861. 5) City Lunatic Asylum 1869. 6) Dock Bond of the City of NY 1872. Splits. 7) Volunteer Soldiers Family Aid Fund Bond 1861. Splits. 8) Voucher for Substitute 1863. 9) Exemption Certificate 1862. A few with defects, otherwise **VF.**

Miscellaneous Group Lots

- 1415 Print Archive. [28] (\$300-500)**
Large assortment of prints engraved by mostly James Smillie or F. Girsch. Largest is 19 x 24, smallest 5 3/4" x 8 3/4". Subjects include landscape, portrait, religious and other scenes. Condition varies greatly. Many are excellent; others with defects. Should be seen. Sold as is.

- 1416 Large Assortment of Certificates. [170] (\$500-750)**
Nice assortment of stock and bond certificates, most with some damage ranging from minimal to extensive. Various subjects including some specimens. A few names included are: Seaboard Air Line Rwy Specimen; Breece Mining 1904; Star of Hope Mining 1893; Marine Salvage 1928; Life Insurance of Virginia 1956; Lowry Banking 1896 and many others. Should be seen. Sold as is. **Damaged Poor to VF+.**

Please note that a 15% buyer's premium will be added to each lot purchased.

Books

1417 1987 Stock Market Crash Archive. (\$400-600)

A large gathering of material related to the Stock Market Crash of 1987. Includes the Dow Jones & Co. physical originals of the Broad Tape on standard six inch rolls on six different days during the October 1987 collapse. The Crash of 1987 was America's second most severe one week decline since the 1929 Crash and was a world wide phenomenon, in cause and effect. The tapes give minute by minute details and developments. This lot also includes twenty two hardcover books by various authors relating to the stock market, including: Black Monday, Wall Street Gang, 1929 American Before the Crash, The Great Slump and others. Lot further includes stock guides, fact books and numerous magazine articles. A treasure trove for the researcher or collector. Of great historic interest. Should be seen. Additional shipping will apply. **VF+**.

1418 Financial New York: A History of the Banking & Financial Institutions of the Metropolis. (\$500-750)

Franklin Publishing 1897. By William Ten Eyck Hardenbrook. In Four Volumes De Luxe Edition. #341. Illustrated with various steel plate engravings. Light wear and minor staining to cover. Generally **VF**.



1419 New York Stock Exchange. Certification that Shearson Lehman Hutton Inc. is a member of the Exchange. Engraved view of the New York Stock Exchange looking down Broad Street. Text below. Framed behind glass, overall dimensions 19" x 26". EF. (\$200-400)

1420 Memorial Addresses on Life and Character Delivered in the House of Representatives and in the Senate. (\$600-900)

Volumes 1-19. 1884-1897. Government Printing Office. Ex-Library. Contains approximately 160 Bureau of Engraving and Printing original engraved plates. Edge wear and bumped corners. Should be seen. Additional postage will apply. Overall **VF**.

1421 One Hundred Years of American Commerce: 1795-1895. (\$100-200)

By Chauncey Depew. Edition De Luxe #166. Signed by **Chauncey Depew**. 1895. Red leather and gilt decorated cover. Comprised of 100 original articles written by executives and CEO's addressing topics describing the practical development of trade in the United States. Light wear, generally **VF**.



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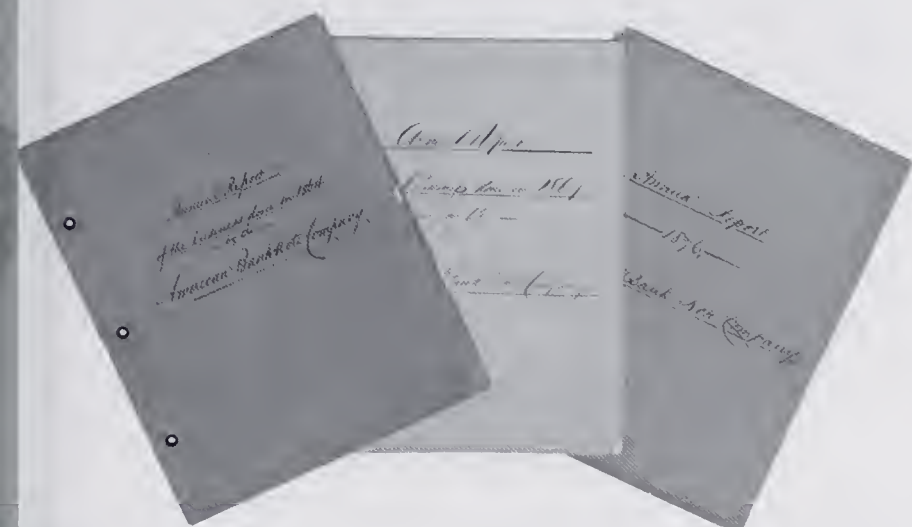
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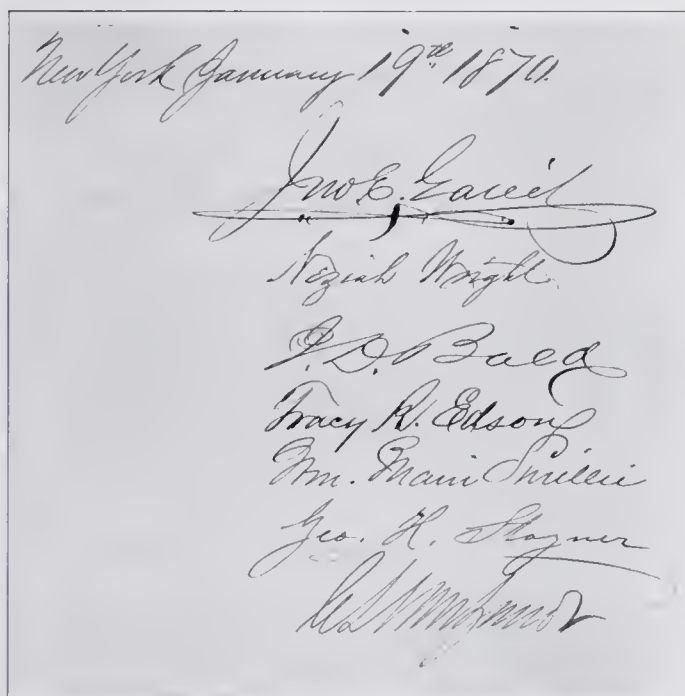
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FINANCIAL AUTOGRAPHS

1422 AMERICAN BANK NOTE ANNUAL
REPORTS [13] (\$1,000-2,000)

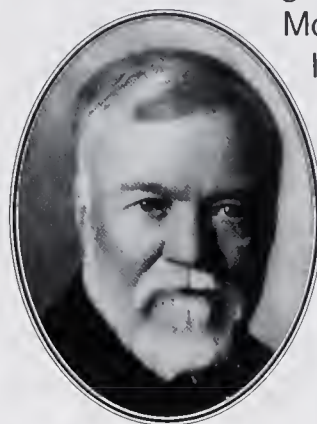
Unusual group of thirteen Manuscript Annual Reports Signed by American Bank Note officers and trustees J.D. Bald [12], W.R. Bliss, J.V. Carpenter, A. Claxton Cary, Tracy K. Edson [9], Theo. H. Freeland [7], Jno. C. Gavit [8], A.G. Goodall [8], James Larimer



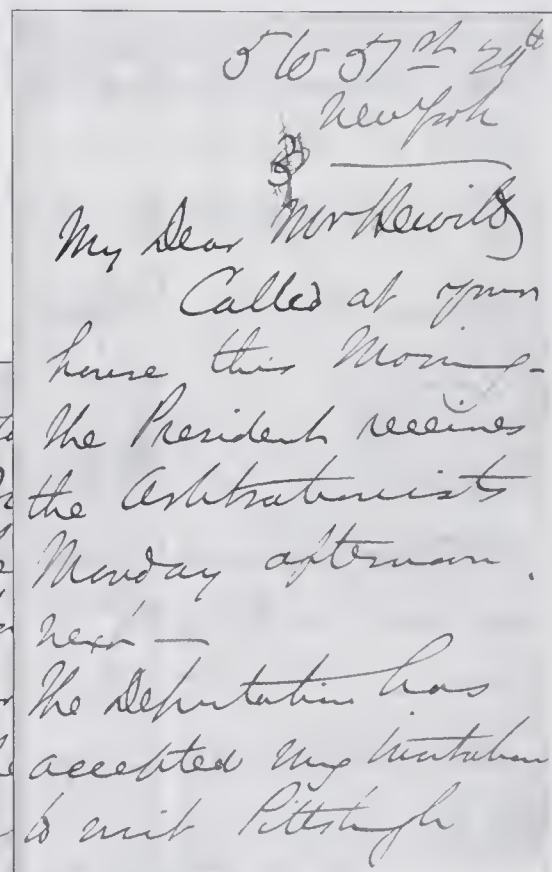
Graham [3], Geo. W. Hatch [2], P.C. Lounsbury, C.L. Sanford [11], H.E. Saulnier [4], Wm. Main Smillie [9], Geo. H. Stazner [9], John G. Wellstood [3], Chas. Welsh, Wm. H. Whiting, and Nezhiah Wright [12], for the years 1864 through 1876. 2-15 pages, small ruled 4to, clip-bound in pumpkin-colored paper. The first appears to be in the hand of secretary Bliss, 1865-69 in that of Sanford, and the rest by Freeland. Each describes the year's business, including "making and printing the plates for 10, 20, 50, and 100 Dollar notes for the National Banks..." and, intriguingly, 1864's mentions that "the differences with Mr. Edson, late President of the Company...are now in process of litigation..." although his name appears among the signers from 1867 on. 1869 lacks back cover, otherwise aside from cover wear all are in very good condition. An invaluable record of the company's business in the postwar years!

CARNEGIE INVITES ABRAM HEWITT TO
HIS PITTSBURGH ESTATE1423 CARNEGIE, ANDREW [2]
(\$600-800)

Scottish-born American industrialist and financier; rose from telegraph messenger to steel magnate without peer; sold out to J.P. Morgan and devoted his later years to large and numerous charitable endowments. Great content Autograph Letter Signed "Andrew Carnegie," 4 pages, 12mo, New York, [March 13,



1901]: He writes Adam S. Hewitt of New York: "Called at your house...the

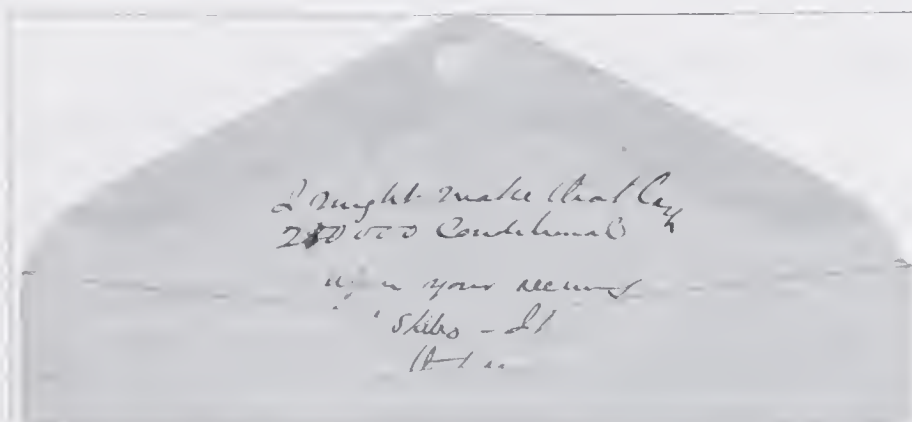


I we begin to the President receives a public the Arbitrationists has made Monday afternoon. upon this point — hope for your the Deputation has there will be accepted my invitation after our next visit Pittsburgh the Deputation means in the end Saturday next with Peace Society ~. Yours sincerely Andrew Carnegie

President receives the arbitrationists Monday afternoon next. The Deputation has accepted my invitation to visit Pittsburgh. We

leave Washington...Tuesday AM via B & O...Lord Kenniard takes his two sisters & I presume Sir George Campbell's Daughters will go. You expressed a desire to see what Pittsgh. had to show, and here is your chance. Won't you come and bring any members of your family you wish as my guests...We begin to think a public meeting here would be best & upon this point I hope for your advice..." With original holograph addressed envelope which contains an unusual Autograph Note added on the interior of its flap, joking "I might make that last

250,000 conditional upon your rec'ing it at Skibo [Carnegie's castle in Scotland]...That would bring you." In very good condition. It was in March 1901 that

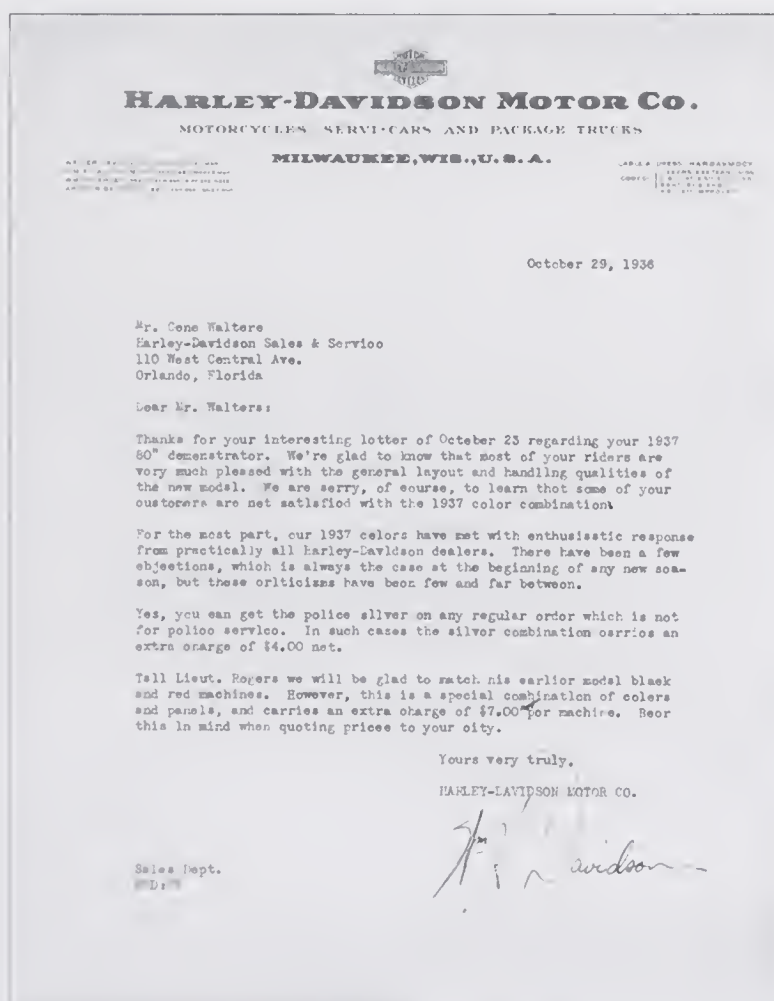


Carnegie sold his interests in steel to Morgan for \$480,000,000. Hewitt, son-in-law of Peter Cooper, had designed the open furnace that sped U.S. steel production. No doubt the 250,000 was part of a Carnegie contribution to the Cooper Union, which Hewitt helped direct.

1424 DAVIDSON, WILLIAM H.

(\$1,500-2,500)

Excellent Typewritten Letter Signed "Wm. H. Davidson," 1/2 page, 4to, on stationery with red and gray



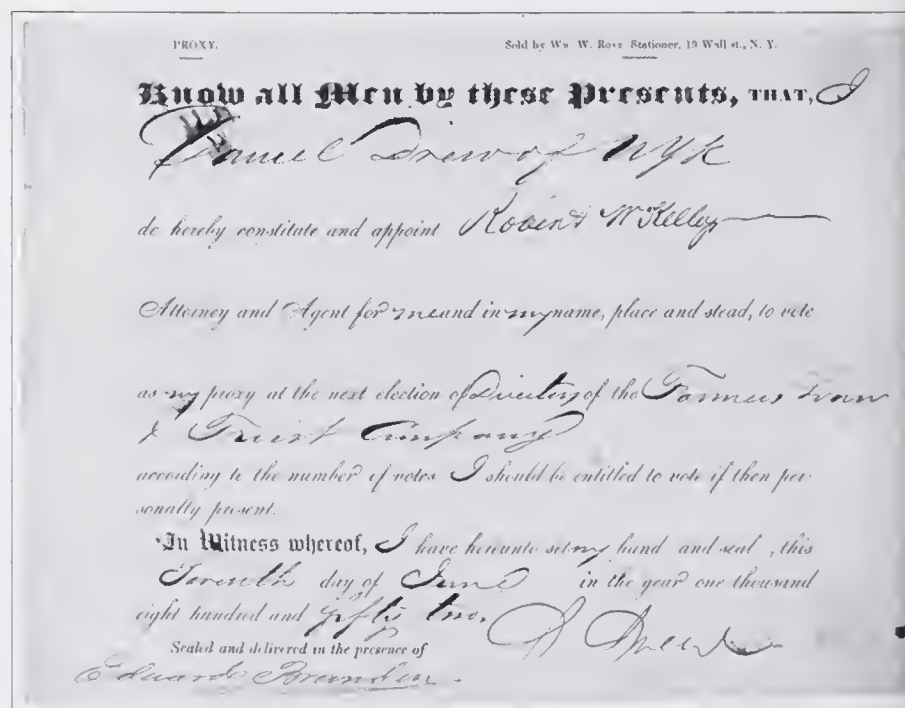
"Harley Davidson Motor Co." letterhead, Milwaukee, October 29, 1936. Writing to Harley-Davidson Sales representative Gene Walters in Orlando, in response to his letter about "your 1937 80" demonstrator. We're glad to know that most of your riders are very much pleased with the general layout and handling qualities...We are sorry, of course, to learn that some

of your customers are not satisfied with the 1937 color combination..." He adds that "Yes, you can get the police silver on any regular order...In such cases the silver combination carries an extra charge of \$4.00 net. Tell Lieut. Rogers we will be glad to match his earlier model black and red machines" but the special order "carries an extra charge of \$7.00 net per machine..." With one revision in ink. Very light fingerprint at one edge, otherwise in very good condition. The exceptionally crisp company logo and imprint, and Davidson's large, graceful signature make this an especially desirable letter.

A RARE DOCUMENT FROM THE RECLUSIVE FINANCIER

1425 DREW, DANIEL (\$1,400-2,000)

Financier; moved from cattle into steamboats and later railroads, capitalizing on both booming methods of transportation; the archetypal "robber baron," he joined with Gould and Fisk against Vanderbilt to gain control of the Erie Railroad, only to have his partners drive him out; ruined by the 1873 depression. Desirable partly-printed Document Signed "D. Drew," 1 page, oblong 8vo, no place [New York], June 7, 1852. He



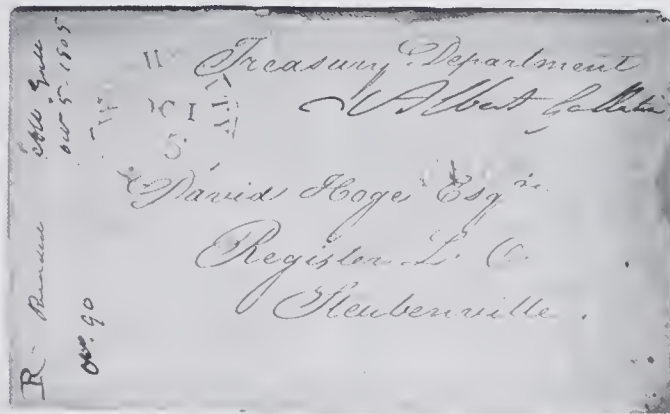
empowers "Robert W. Kelley, Attorney...to vote as my proxy in the next election of Directors of the Farmers Loan & Trust Company..." Age-toned, with some original smears, including the bridge of the 'w' in Drew's signature, otherwise in very good condition. In 1852 Cornelius Vanderbilt's Hudson River Railroad was extended to Albany, and began to attract business away from Drew's competing steamboat lines. A reclusive personality, he is rare in this format.

1426 GALLATIN, NOURSE and OTHERS

[4] (\$500-750)

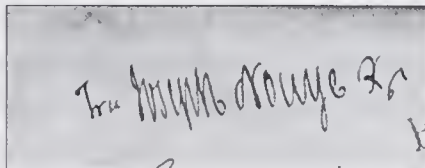
Excellent group of four letters bearing Free

Franks from prominent U.S. officials. Features Autograph Letter Signed "Albert Gallatin" as Secretary of the Treasury, 1 page, small



4to, Treasury Department [Washington], October 5, 1805. He writes David Hoge of the U.S. Land Office in Steubenville, Ohio, regarding a land grant. With his **Franking Signature "Albert Gallatin"** on integral address leaf. Age-toned, soiled at right edge, partial splits at folds, otherwise in good condition. With **Manuscript Letter Signed** by longtime civil servant "Joseph Nourse" as Registrar of the Treasury, 1/3 page, 4to, November 19, 1804. He directs Hoge to correct an overpayment. Bears **Franking Signature "Joseph Nourse"** on

integral address leaf. Seal hole, soiling at folds, otherwise very good. **Manuscript Letter Signed**



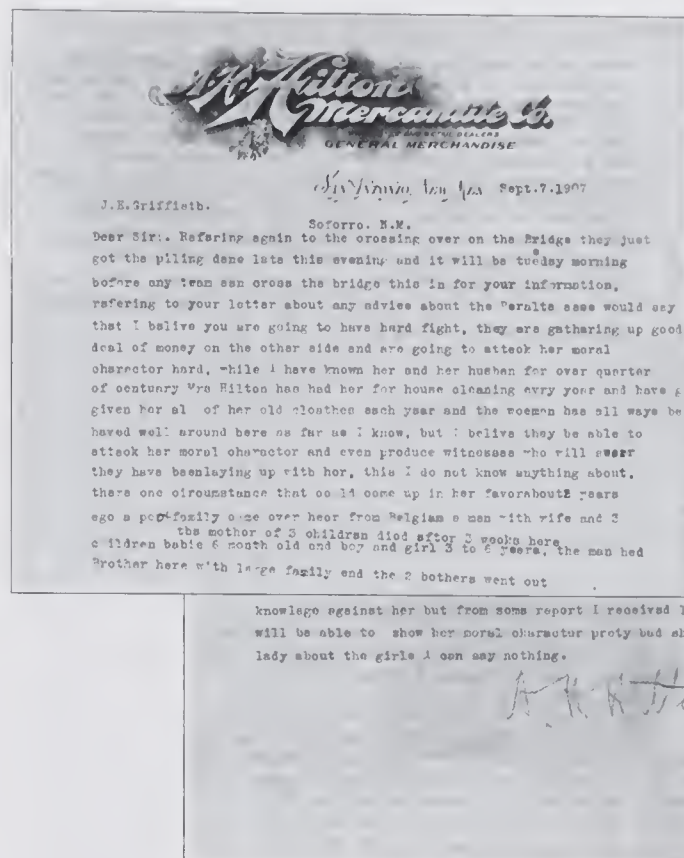
by Commissioner of the Land Office [and former acting Secretary of War] "Geo. Graham," 1/4 page, 4to, [Washington], December 2, 1829. He acknowledges receipt of a document from Thomas Flood of the land office in Zanesville, Ohio. With **Franking Signature "Geo. Graham"** on integral address leaf. Age-toned, soiled at folds, otherwise in very good condition. Finally,

Manuscript Letter Signed by former Ohio Governor and U.S. Senator "Ethan A. Brown" as Commissioner of the Land Office, 1/5 page, 4to, [Washington],

May 17, 1836. He tells the register of the land office in Zanesville, answering a question about a land patent. **Franking Signature "E.A. Brown"** on integral address leaf. Seal tear, soiling at folds, through part of franking signature otherwise very good.

1427 HILTON, A.H. (\$300-400)

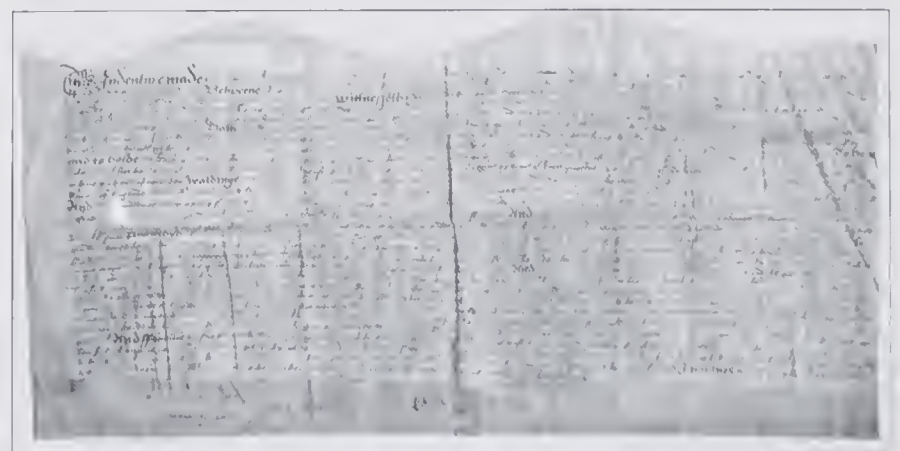
Merchant and hotelier; his general store also served as the very first Hilton Hotel; in 1915, his son Conrad N. Hilton became his business partner; Conrad began buying hotels in Texas after the First World War, and after withstanding the Great Depression, became the first name in luxury hotels around the world. Unusual



content **Typewritten Letter Signed "A.H. Hilton"** in pencil, 1-1/2 page, oblong 8vo, on stationery imprinted with his company's name, San Antonio, New Mexico, September 7, 1907. Using a self-made man's creative spelling and grammar, he advises Mr. J.E. Griffiths on "the Peralta case," warning "They...are going to attack her moral charactor hard, while I have known her and her husban for over quarter of centuary Mrs. Hilton has had her for house cleaning every year...and the woeman has all ways be haved well around here...but I belive they will be able to...produce witnesses who will swear they have been laying up with her..." Age-toned, otherwise in very good condition.

1428 INDENTURES: ENGLISH [60] (\$500-700)

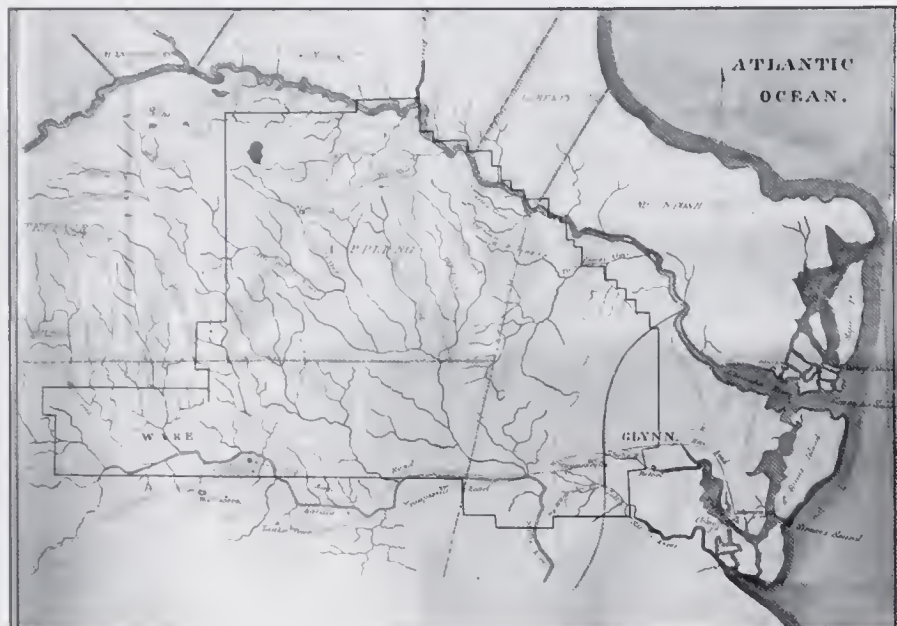
Huge collection of 60 English **Indentures**, each 1 page, folio [9" x 20-1/2" to 25" x 35-1/2", primarily the latter], on vellum and parchment, 1637-1873. All wholly by hand, most with seals and revenue stamps.



Generally all pieces in very good condition. An unusually large collection of handsome documents.

1429 INDENTURES: AMERICAN [27] (\$350-500)

Unusual group of 26 Colonial and American **Indentures**, all from Philadelphia and environs, 1 page, legal folio to folio [9" x 20" to 30" x 28-1/2"], on vellum and parchment, 1709-1867. With a beautifully calligraphed



ornate **Abstract** concerning 1,042,000 acres in Glynn County, Georgia. 45 pages, 24" x 18", ribbon-bound, with detached vellum covers. Recounts deeds to the land from 1794 to 1849. Contains full-color map of the area. All pieces in very good condition.

HE COMPLAINS THAT THE PAPERS WANT HIM "EXTRADITED...TO BE SACRIFICED..."

1430 INSULL, SAMUEL [3] (\$1,600-2,000)

English-born American inventor and utilities executive; began as Thomas Edison's private secretary, eventually managing several of his companies; headed the Chicago-area utility corporations that at length became energy titan Commonwealth Edison Co.; consolidated Chicago's elevated railways; fled to Europe after the 1929 stock market crash to escape prosecution for fraud and embezzlement; although ultimately acquitted, he became a symbol of corporate corruption and greed. Exceptional content pair of **Autograph Letters Signed "Samuel Insull,"** 4 and 3 full pages, each 8vo, Paris, September 25, 1932. Each are to Ogden Ketting in London about Insull's recent travails. In the first - written in the morning - he reports that he and his wife are moving to a new hotel **"recommended by Mr. Wynne at Morgans...The elevator is one of the kind you run yourself - no one can complain that we live in too much luxury..."** and complains that he is **"very much worried at all this newspaper agitation that is going on in Chicago. I am beginning to get some of the clippings and they are just awful. I am afraid I am headed for a great deal of trouble & I want to be sure to have a chance to talk to you about it**

Paris Sunday 25th Sept 1932 before you sail..." In the
My Dear Ogden,
We leave the Hotel Rueil and that unless de Galles on Wednesday (28th) I am of what I ought and our address after that on Monday telephoning will be Hotel Lincoln Rue Bayard Paris. It is a small Monday morning hotel & Rue Bayard is a street I would drop off the Avenue Montaigne. The wrong (Monday) Hotel is run by a man who wrong this used to be Assistant manager has been out each at the Hotel Cullon and later at Sunday & seems Hotel George V. The Hotel Lincoln right, was recommended by Mr Wynne at Morgans. It is spotlessly clean. You can understand the general wishes to go on scale of it when I tell you the
Sincerely yours
Samuel Insull

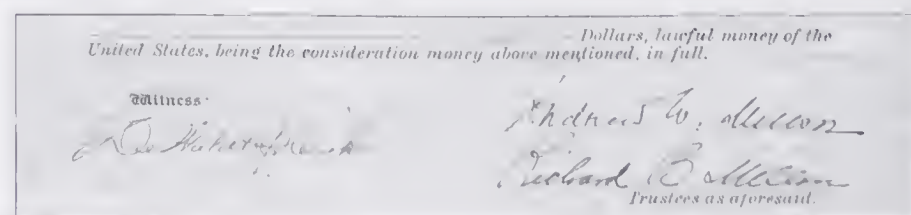
second, he bitterly relates:

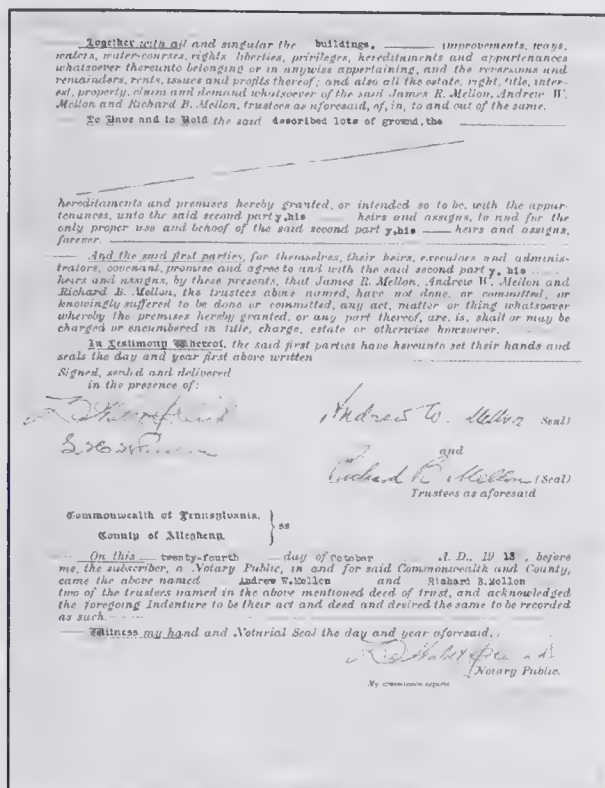
"Since I wrote

the enclosed...I have seen the Chicago Tribune...with the headlines...'Insull's Extradition Fought' with an article on Insull's '\$2,000,000,000' crash. They have now got it up in the skys [sic]. Of course there is a long way between a newspaper headline and being extradited in order to be sacrificed...I wonder if a quiet private hotel...will want us now..." Even those charged with maintaining his family home have begun to ignore his wishes. In his financial state he considers buying Pincents [apparently an estate] with his wife's money, which **"might be Mrs. Insull's contribution to protecting my liberty..."** With original holograph addressed envelope. Envelope torn, otherwise all in very good condition. A month later, Insull was indicted on mail fraud and embezzlement charges. After nearly two years evading justice, he was extradited. When found innocent, he returned to Paris, where he lived out his few remaining years until his 1938 death.

1431 MELLON, ANDREW and RICHARD (\$750-1,250)

Banking brothers who, with their father, founded T. Mellon and Sons' Bank in Pittsburgh, later Mellon National Bank; on their father's retirement, Andrew became president, making the bank a national force in aluminum, oil, and steel; Richard succeeded him as president when Andrew was named Secretary of the Treasury by President Harding, where he would serve

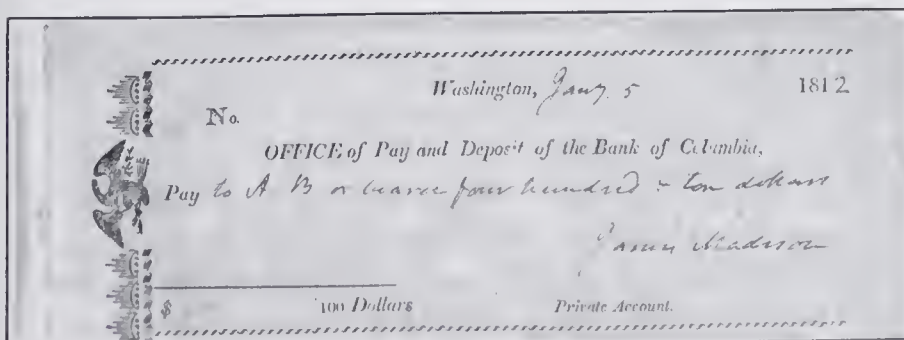
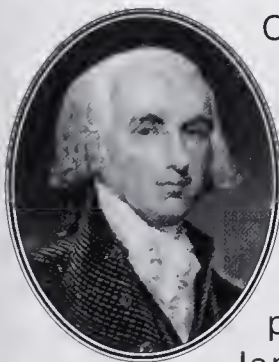




until 1932; Andrew Mellon later left his vast art collection to the U.S. government, becoming the nucleus of the National Gallery of Art. Partly-printed **Typewritten Document Signed twice** each by "Andrew W. Mellon" and "Richard B. Mellon" as trustees, 3-1/5 pages, legal folio, Pittsburgh, October 24, 1913. The brothers sell to "Charles F. Schaffer...in consideration of the sum of...\$80,156.25...(13) lots...situate in the Thirteenth...Ward of the City of Pittsburgh" on Finance St., adjoining the lines of the Pennsylvania Railroad in the Homewood section of the city. Signed boldly by each brother at close and again in acknowledgement of Schaffer's payment. Overall age-toning, with light soiling mostly to covering panels of last page, otherwise in very good condition. It was in 1913 that the brothers established the Mellon Institute, which merged in 1967 with the Carnegie Institute of Technology to become Carnegie Mellon University.

1432 PRESIDENTS: JAMES MADISON (\$300-500)

Fourth President of the United States [Democratic-Republican, 1809-1817]; his proposals at and management of the Constitutional Convention [1787] earned him the title "father of the U.S. Constitution"; with Hamilton and Jay, wrote The Federalist; Secretary of State under Jefferson [1801-09]. Partly-printed **Autograph Document Signed "James Madison"** as President, one page, oblong narrow 8vo, Washington, January 5, 1812. Drawn on a private account at the Office of Pay and Deposit of the Bank of

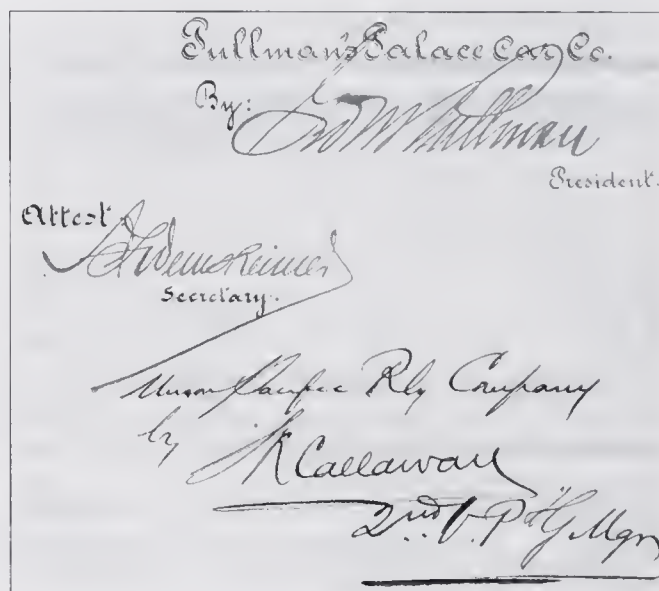


Columbia, payable "to A.B. or bearer four hundred & ten dollars..." Five small holes, one through the "M" of the President's signature without affecting general appearance, mounting strip along far left edge, otherwise in very good condition.

AFTER THE "GREAT UPHEAVAL"

1433 PULLMAN, GEORGE M. (\$500-600)

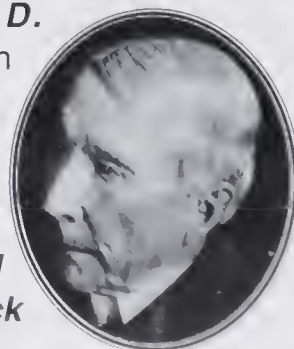
American inventor and businessman; invented the popular sleeper car; developed his company into the top manufacturer of railroad cars; conceived the controversial company-run planned community that bore his name. Great **Typewritten Document Signed "Geo. M. Pullman"** as President of Pullman's Palace Car Company, 1-1/5 pages, legal folio, no place, October 27, 1886. Also signed "R. Callaway / 2nd V.P. & G. Mgr." of the Union Pacific Railway Company. The auditor's copy of a contract in which Pullman agrees to deliver to Union Pacific "Two Hundred and fifty (250) Coal



cars...to be made in a first class, workman-like manner of the best materials" for delivery in February, barring "delays by fires, strikes, and Providential hinderance" while the railroad supplies "Westinghouse Air-brake apparatus" for the cars, and will pay \$400 for each. Staple holes, otherwise in very good condition. 1886 had brought the Great Upheaval, in which striking workers shut down transport between Chicago and the west. Public opinion turned against the workers after the Haymarket riot, and company leaders were able to get back to business like the present contract.

1434 ROCKEFELLER, JOHN D. (\$2,000-3,000)

American oil magnate; organized Standard Oil, monopolizing the industry. **Typewritten Document Signed "John D. Rockefeller,"** 3 pages, legal folio, San Jose, California, June 30, 1893. Rockefeller extends the \$66,891.12 promissory note of Everis A. and Jay O. Hayes in consideration of "In addition to the Thirty-six hundred and forty shares of the Capital Stock



of the Ashland Iron Mining Company...Promissory note of the Germania Iron Mining Company...Promissory note of the Ashland Iron Mining Company...[and] Conveyance of the mining property known as the Rocky Bar Mine, located [in] California...

Ribbon-bound in covering sheet. Age-toned, with light soiling at bottom of pages,

written. In presence of EA J. O. Hayes, a number of said funds. John D. Rockefeller

1. Promissory note of the Germania Iron Mining Company

wear to edges of covering sheet, otherwise in very good condition. A bold and clean signature on a document showing Rockefeller's steady expansion of his industrial empire.

1435 ROTHSCHILD, NATHAN MEYER (\$600-800)

German-born English banker, establishing the London branch of his family's financial empire; acted as the government's agent in the campaigns against Napoleon; considered the wealthiest man in the world at the time of his death in 1836. Partly-printed Manuscript Document Signed "N. Rothschild," 1 page, oblong narrow 8vo, London, November 24, 1831. A bill of exchange "to the order of Mrs. M.D. Cochrane the sum of Seven thousand five hundred & fifty-two francs

London, the 24th Nov. 1831. For the sum of 7522 francs. At three days sight Pay this bill of Exchange to the order of Mrs. M.D. Cochrane the sum of Seven thousand five hundred & fifty-two francs which place to Account of Mrs. M.D. Cochrane For Messrs. Rothschild & Co. Paris.

fifty cents..." With Rothschild's ornate printed monogram at left and his watermark in the sheet. Signed boldly on the front. Handstamp cancellation not near signature, embossed revenue stamp, endorsements on verso. Lightly age-toned, otherwise in very good condition. An exceptional example!

1436 SAGE, RUSSELL [2] (\$500-800)

American financier and politician; initially found success as a grocery wholesaler; U.S. Congressman; an ally of Jay Gould, he became director of several railways and telegraph companies; his widow put his money to philanthropic use. Autograph Letter Signed "Russell Sage" while U.S. Representative from New York, 1/4 page, 8vo, Washington, February 24, 1852. He tells T.G. Younglove he can "pay your Bonds any day you please at my office in Troy..." Wear at top edge,

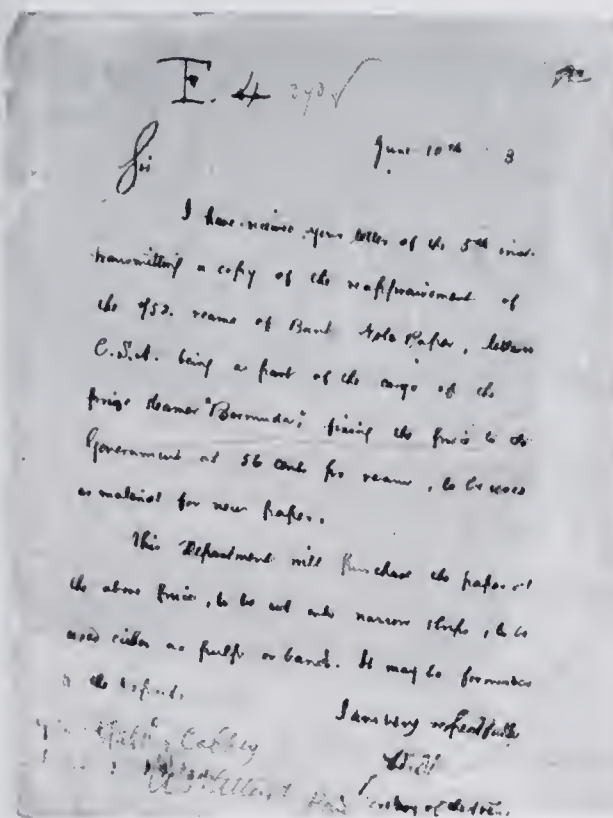
otherwise in very good condition. Autograph Letter Signed "Russell Sage" while in Congress, 1/2 page, 4to, Washington, April 8, 1852. He tells Younglove in Cohoes, New York, that he "must not fail in paying the bonds for \$5000 to my clerk on or before the 15th...the last day of my note to Mr. Edwards for same amount" as Sage's payment depends on receiving the money owed by Younglove. Soiled verso, otherwise in very good condition.

clerk on or before the 15th...the last day of my note to Mr. Edwards for same amount" as Sage's payment depends on receiving the money owed by Younglove. Soiled

verso, otherwise in very good condition.

1437 SECRETARIES OF THE TREASURY: CHASE and OTHERS [5] (\$600-800)

Fine group featuring an onion skin press Copy [the Civil War-era equivalent of a carbon copy] of a Treasury letter dated June 10, 1863 regarding Confederate paper from a seized blockade runner. The letter states "I have received your...copy of the appraisement of 752 reams of Bank Note Paper, letters C.S.A. being a part of the cargo of the prize steamer Bermuda...at 56 Cents per ream, to be used as material for new paper. This Department will purchase the paper at the above price...to be used as pulp or bands..." and is signed in copy "S.P.C." This was the source of the CSA paper used for second and third issue Fractional Currency specimen notes. Some full sheets are still available to collectors, as the late Lester Merkin had the better part of a ream that he got from the Chapman estate. Age-toned, with small chips at edges, otherwise in very good condition. With three



partly-printed Documents Signed by Secretaries of the Treasury "A.J. Dallas" and "William H. Crawford" [2], each 1 page, folio, Washington, 1815 and 1821. All are official Treasury documents remitting penalties assessed to vessels, Dallas's "incurred

under the...Act to interdict the commercial intercourse between the United States and Great Britain and France, and their dependencies" during the last year of the century-long series of conflicts between Europe's two great powers. Dallas split cleanly along horizontal fold, all with edge wear and even age-toning, otherwise in very good condition. With Autograph Sentiment Signed "W. J. Duane / Philadelphia, February, 1839," on a 3/4" x 3" strip cut from a letter. Mounting traces, otherwise very good. Duane was dismissed by President Andrew Jackson for refusing to transfer federal deposits from the second Bank of the United States to state banks when the bank's charter was not renewed.

W. J. Duane
Secretary of the Treasury.

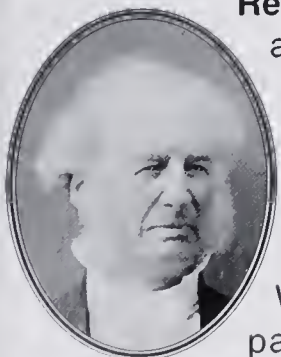
1438 VANDERBILT, CORNELIUS

(\$2,500-3,500)

American financier; founded the family fortune in steam ferries and railroads in and around New York; one of the richest men of his time. Unusual partly-printed Document Signed "C. Van Derbilt," 2/3 page, legal folio, New York, August 1, 1823. Also signed "Abraham Taylor," "Wm. M. Ross," "David Smith," and David Read, by his mark. A bond on appeal of a judgment against the group in favor of "John R. Livingston...for the sum of fifty dollars damages and eight dollars and ninety cents costs..."

With original paper and wax seals. Chipping at

edges, fold separation repaired with tape, evenly age-toned, otherwise in very good condition. Vanderbilt's



C. Van Derbilt

signature is first at the close. In 1823, Vanderbilt and his employer Thomas Gibbons, offering steamship service from New Jersey, came into conflict with Livingston and his partner David Ogden, who enjoyed a legal monopoly over New York waterways established by Robert Fulton. While Vanderbilt usually managed to evade the authorities, on this occasion he

was caught and prosecuted along with his crew, as in the present instance. In such cases Vanderbilt appealed, signing a bond like the present one, and delayed the suit. A year after this document was signed, the conflict went before the U.S. Supreme Court, with the great Daniel Webster arguing on behalf of Gibbons. Chief Justice John Marshall handed down the ruling that regulation of interstate commerce was reserved to the federal government by the Constitution, and therefore no state could grant an interstate monopoly. Gibbons and Vanderbilt were free to make their fortunes, but Ogden's and Fulton's heirs were ultimately ruined.

1439 VANDERBILT, CORNELIUS

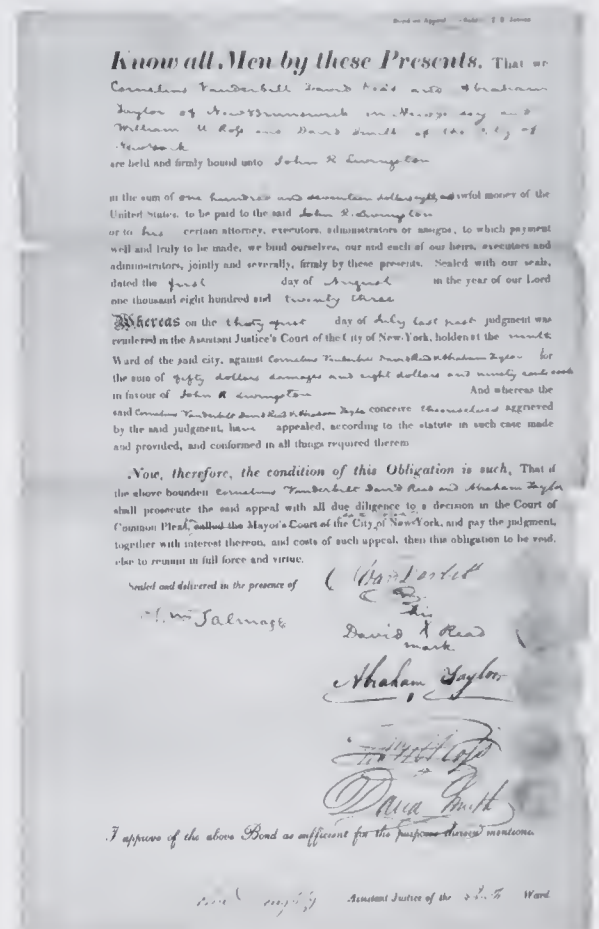
(\$1,500-2,500)

Unusual third person Autograph Document Signed "C. Van Derbilt," 1 page, oblong narrow 8vo [2-3/4" x 8-1/8"], New York, March 29, 1827. A receipt for

*Received New York 29 March 1827 from C. Van Derbilt
fifty Dollars on account of varnishing the
Cabbons on the Swan*

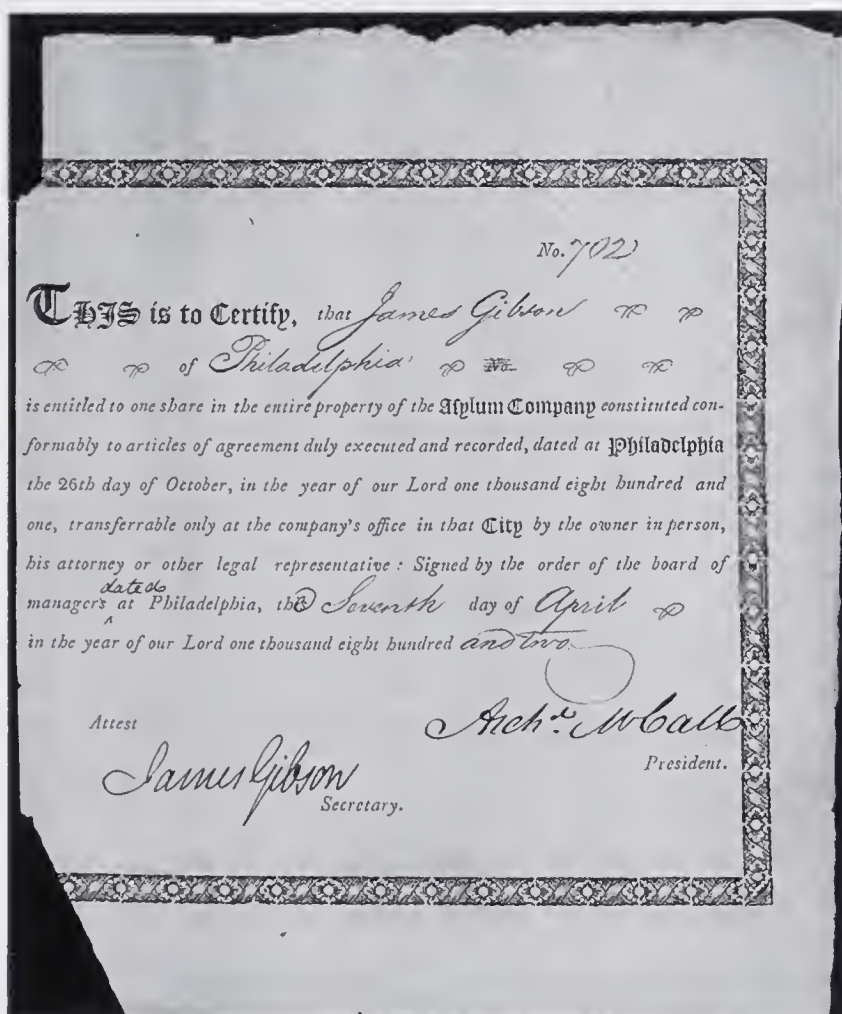
"fifty Dollars on account of varnishing the Cabbons [sic] of the Swan..." Also signed by payee "Otis B. Prescott". Slightly uneven edges, normal age-toning, otherwise in very good condition. The Swan had replaced Vanderbilt's previous boat, the Emerald, which had mysteriously caught fire one night in 1826 while moored in New Jersey.

End of Session A



17th Annual Strasburg Stock and Bond Public Auction No. 235 Session B – Lots 2001 – 2430 Saturday, February 7, 2004 – 8:00 PM

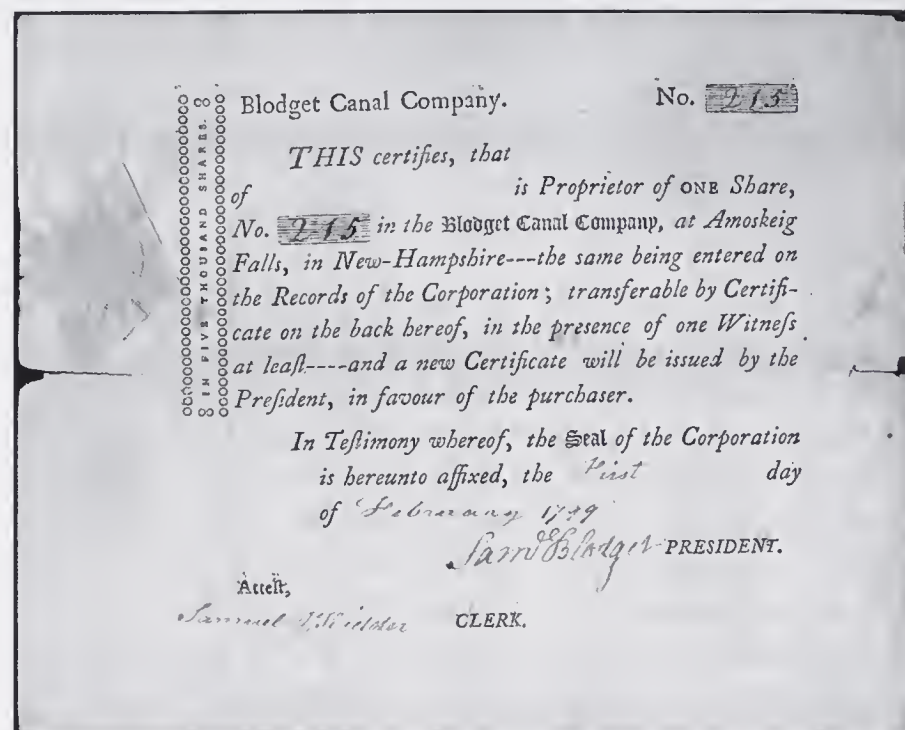
Historic and Colonial Certificates



- 2001 Asylum Company (PA) Philadelphia. #702. One Share.** Typeset, with ornate border design. This company had its origins in 1798. Robert Morris and his partner, John Nicholson, speculated on the possibility of French noblemen wanting to acquire land in the United States for the purpose of replacing the estates they lost due to the French Revolution. The scheme failed, ending in bankruptcy. **Archibald McCall**, who signs this certificate, was the largest creditor, and was awarded the land. The left border is trimmed away, and a corner off, otherwise **EF+.***

(\$400-600)

EX NASCA Arverne Sale of 1986 where it brought \$240.

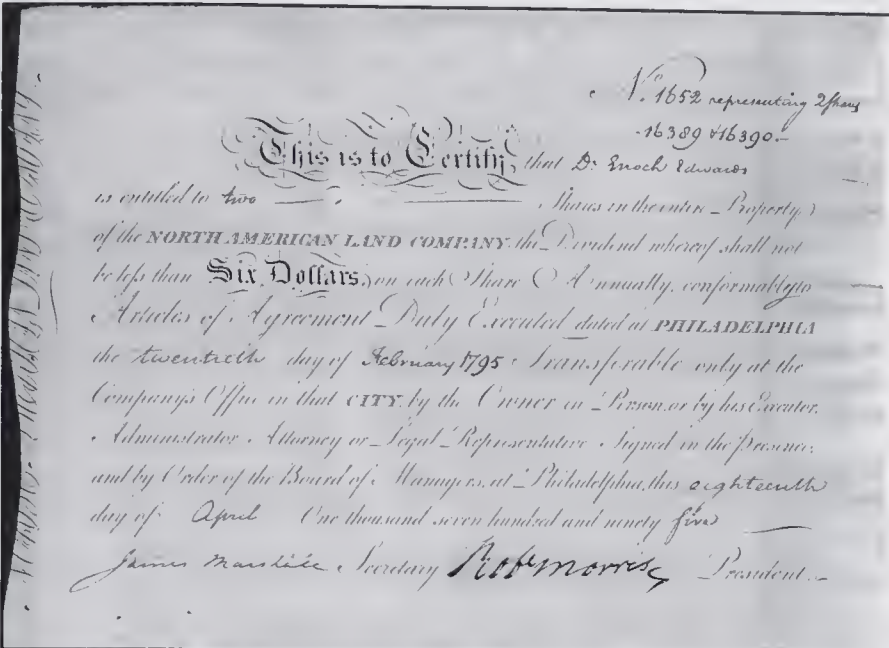


- 2002 Blodget Canal 1799. #215. One Share.** Signed by **Samuel Blodget** as president. Wax seal covered with a gray paper diamond-shaped seal. On laid paper. Small splits and pieces out, age toning, otherwise **VF+.** **(\$600-900)**

This was the earliest operational canal in America and extended from Amoskeag Falls in New Hampshire to the Merrimac River. High quality examples have brought \$1000 or more in the past.



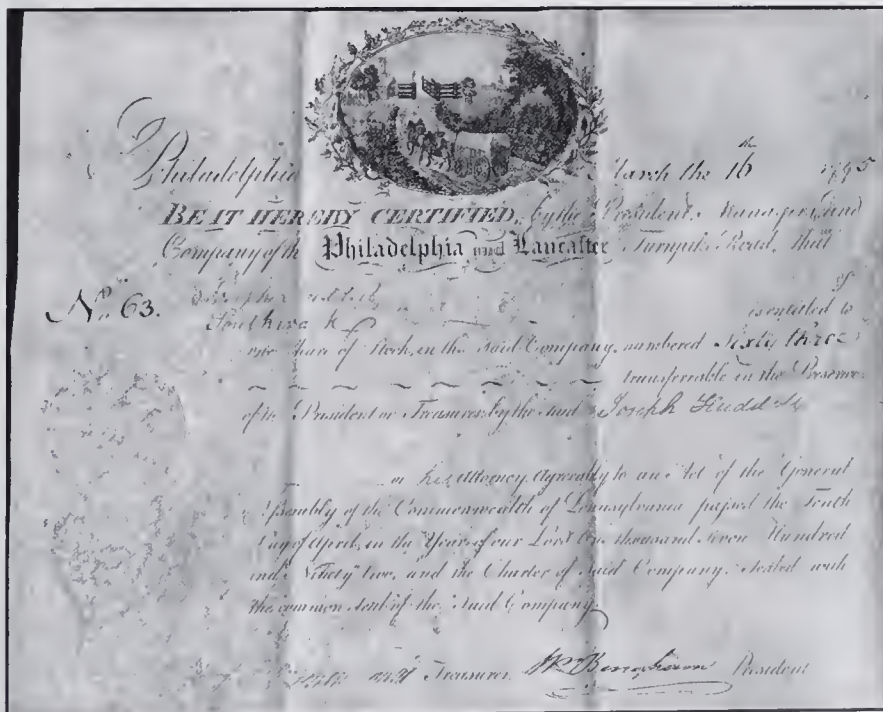
**Please note that a 15% buyer's premium
will be added to each lot purchased.**



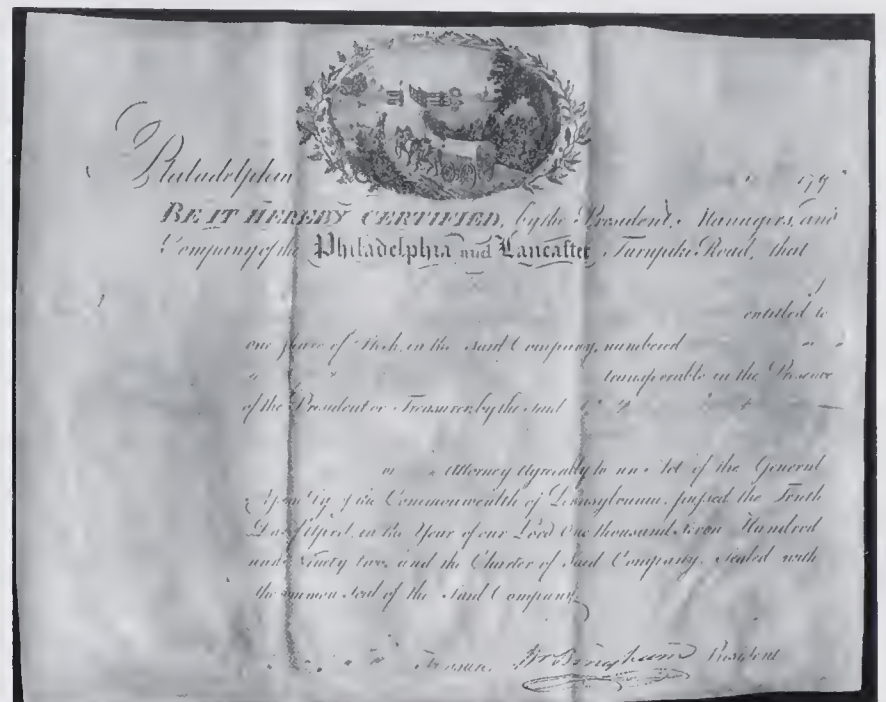
Robert Morris President.

- 2003 North American Land (PA) 1795. #1652. 2 shs.**
Counterfoil, left. Signed by **Robert Morris** as president and **James Marshall** as secretary. The Morris signature has minor ink burns, but is bold. VF+. (\$600-900)

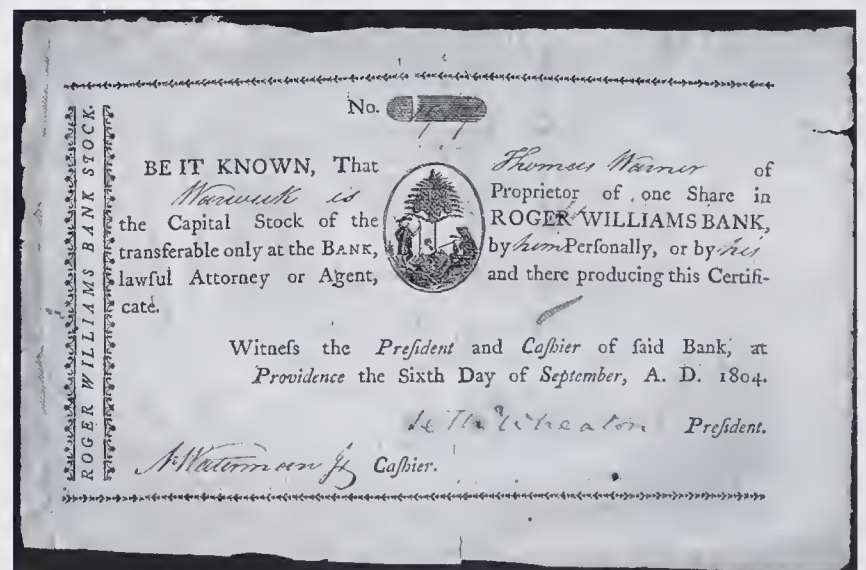
Robert Morris (1734-1806) was a Signer of the Declaration of Independence. He arranged for the financing and purchase of supplies for Washington's armies. He later served as Superintendent of Finance, and he founded and organized the Bank of North America. He was a delegate to the Continental Congress. Morris and his partner formed this company believing that aristocrats fleeing the French Revolution would need to buy vast estates in America.



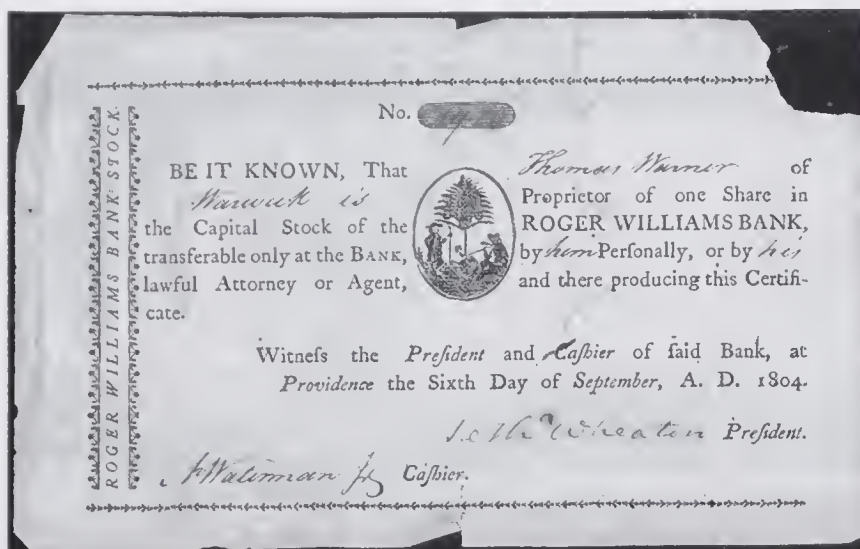
- 2004 Philadelphia & Lancaster Turnpike Road (PA) 1795. #63. One Share.** Covered wagon approaches toll gate in an oval frame. Beautiful corporate seal, boldly embossed into the parchment at left. Signed by **Tench Francis** and **William Bingham**. This is the earliest U. S. stock certificate engraved from a steel plate. The top margin is a bit narrow, but otherwise choice VF+. (\$500-750)



- 2005 Philadelphia & Lancaster Turnpike Road (PA) 1795. #9554. One Share.** Covered wagon approaches toll gate in an oval frame. Beautiful corporate seal, boldly embossed into the parchment at left. Signed by **Tench Francis** and **William Bingham**. This is the earliest U. S. stock certificate engraved from a steel plate. The top margin is very close, and there is some soiling. VF+. (\$400-600)

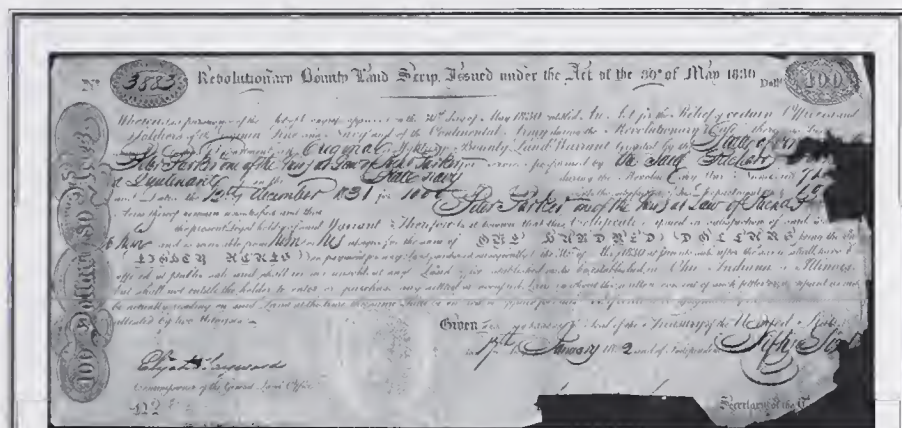


- 2006 Roger Williams Bank (RI) 1804. Providence. #1987. One Share.** Tree, Indian and colonist in oval frame. Signed by **Seth Wheaton** as president. Very light age toning, otherwise VF+. (\$750-1,250)
Incorporated in 1803, and named after the founder of Rhode Island, the bank was created at the behest of Thomas Jefferson, who wished to have a bank that was not under Federalist control. It later became the Roger Williams National Bank, Charter #1506. We have seen only four of these in close to two decades, and this is the only one we have seen that is accompanied by the transfer form.



2007 Roger Williams Bank (RI) 1804. Providence. #1986. One Share. Tree, Indian and colonist in oval frame. Signed by **Seth Wheaton** as president. Small tear, and a portion of a corner is missing, otherwise **VF+.*** (\$300-500)

Similar to previous lot, but no transfer form.



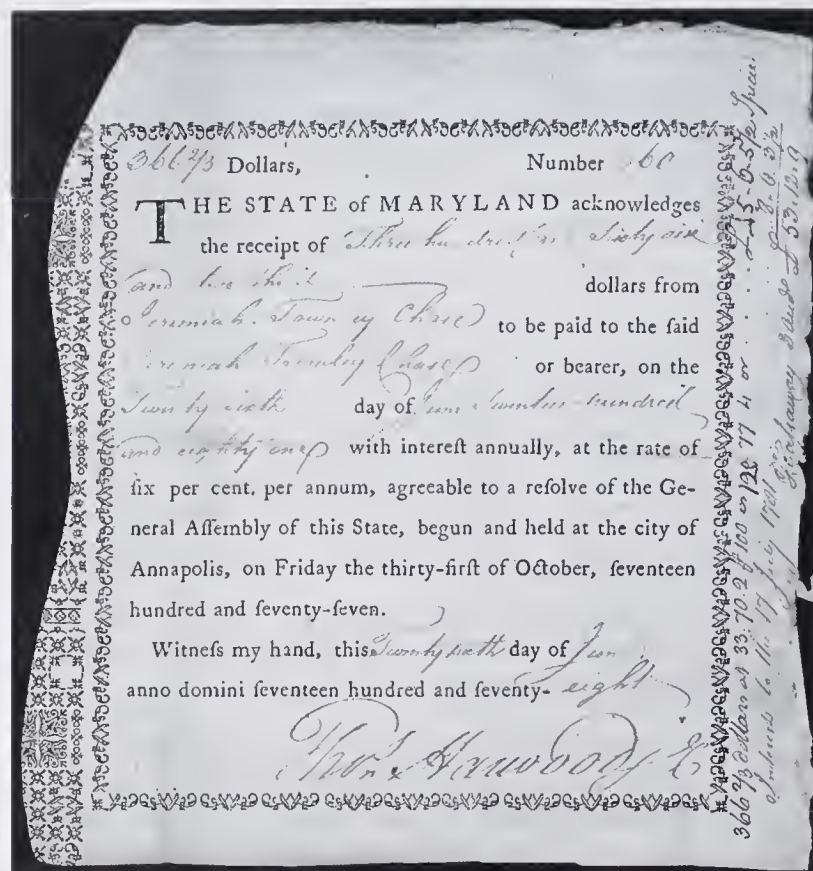
2008 Revolutionary Bounty Land Scrip January 17, 1832. Washington, DC. #3883. \$100. Hessler X98. 100 Dollars 80 Acres in the left border. Issued under the Act of May 30, 1830. Officers and soldiers, sailors and marines of the Continental line and of the Virginia service who were entitled to military land bounties, or their assigns, could surrender these warrants to the Secretary of the Treasury "and receive certificates or scrip for the same". These Bounty Land Scrip Certificates were receivable for land purchased in Ohio, Indiana and Illinois. Printed by W. Harrison, "W. City".

The Treasurer's signature has been cut away, and the Commissioner's signature is cut cancelled. Pieces are out of the right side, but the major design elements and text are almost complete. There is a beautiful embossed Treasury seal between the signature blocks.

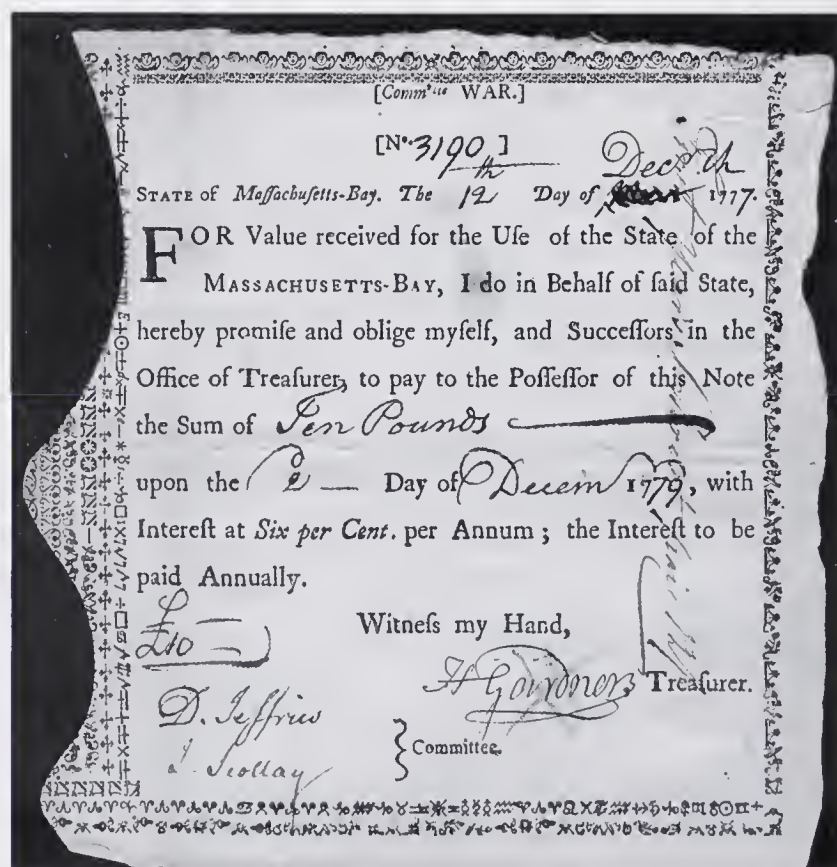
This is the only example ever seen by this cataloguer. Rare, and historically important as it illustrates the fact that the Federal Government was still attempting to grapple with the debts of the Revolution over fifty years after the Declaration of Independence. (\$1,000-1,500)

2009 Connecticut Pay Table Committee (Hartford) March 7, 1782. Forty Eight Pounds, Seventeen Shillings and Eight Pence. EF.* (np) (\$50-75)

For balances due "as stated by the Committees of the State and of the Army".



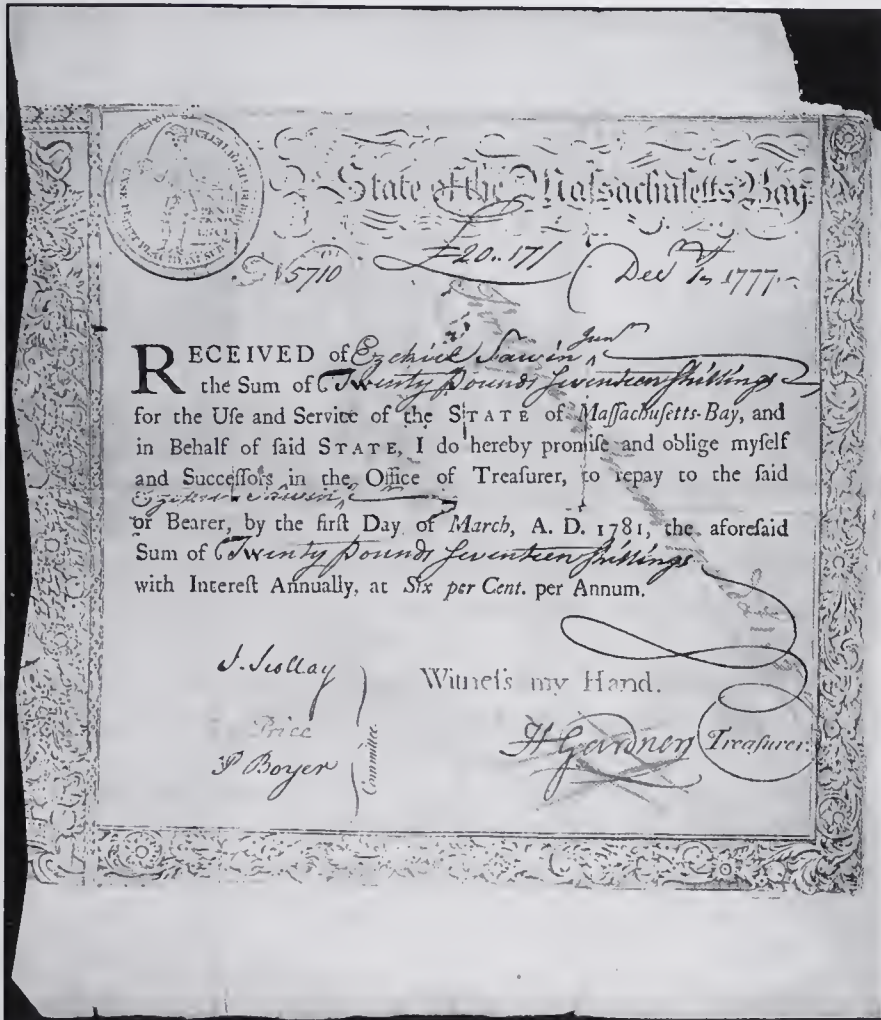
2010 State of Maryland October 31, 1777. Annapolis. #360. 366 2/3. MD-1. Ornate border. R5. Signed by **Thomas Harwood**. Counterfoil, left. **EF.*** (\$500-750)



2011 State of Massachusetts Bay Dec. 12, 1777. #3190. 10 Pounds. Treasury certificate. MA-4. "Comm'tte WAR" at the top, in brackets. 6% interest. Embossed seal over the written amount, to prevent raising the denomination. Signed by **Jeffries** and **Scollay**, Committee. **Gardner**, Treasurer. Narrow margin, top, trivial age toning, else **VF+.*** (\$300-450)

2012 State of Massachusetts Bay 1777. Unissued. Twenty Pounds Seventeen Shillings. MA-8. Colonial soldier with sword in hand in round frame formed by rattlesnake. "Treasurer," printed. Low R7 variety. Issued to redeem the State's bills of credit. Hole cancels. In wooden 12" x 13 1/2" frame with green trim. **VF+.*** **(\$100-150)**

The main portions of the bond are engraved by Hurd, and the sword in hand design is by Paul Revere. While this example is not issued, it is signed by **Jeffries**, and the left border is almost complete.



2013 State of Massachusetts Bay December 1, 1777. #5710. Twenty Pounds, Seventeen Shillings. MA-10. Colonial soldier with sword in hand in round frame formed by rattlesnake. Issued to redeem the State's bills of credit. Some splits, and fold junction holes, otherwise **VF+.** **(\$175-250)**

The main portions of the bond are engraved by Hurd, and the sword in hand design is by Paul Revere.

An Illustrated History of U.S. Loans, 1775-1898

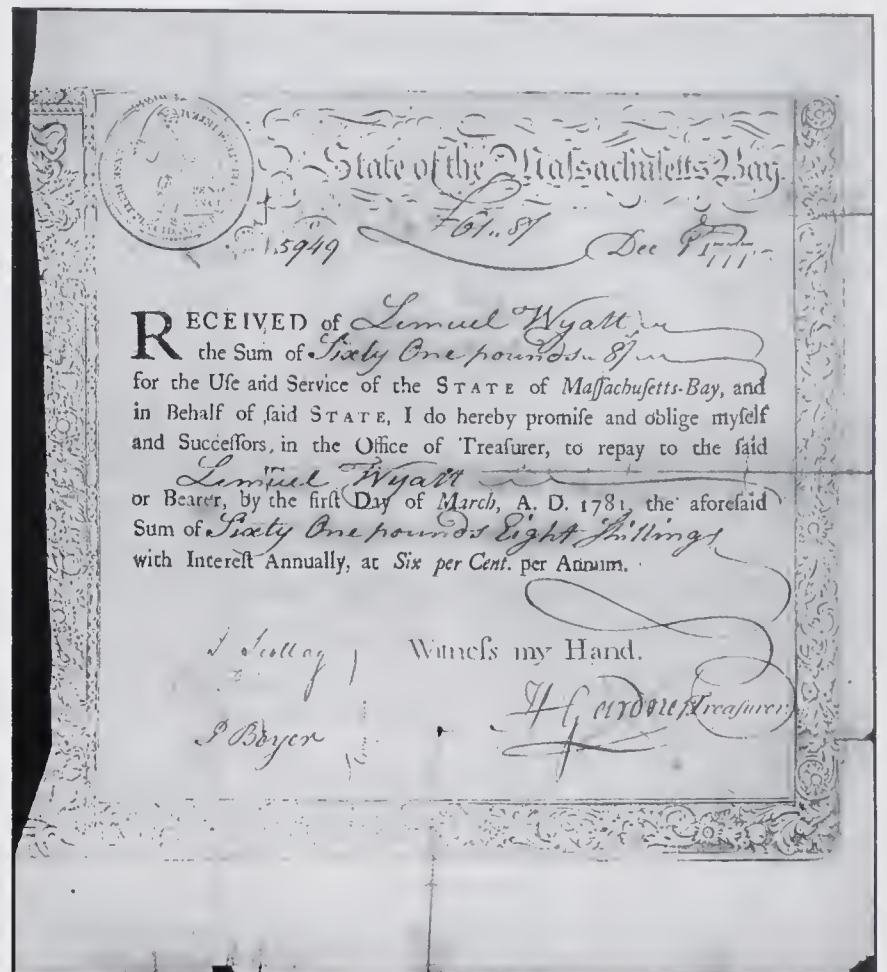
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By Gene Hessler

1988. 378pp, hard cover.

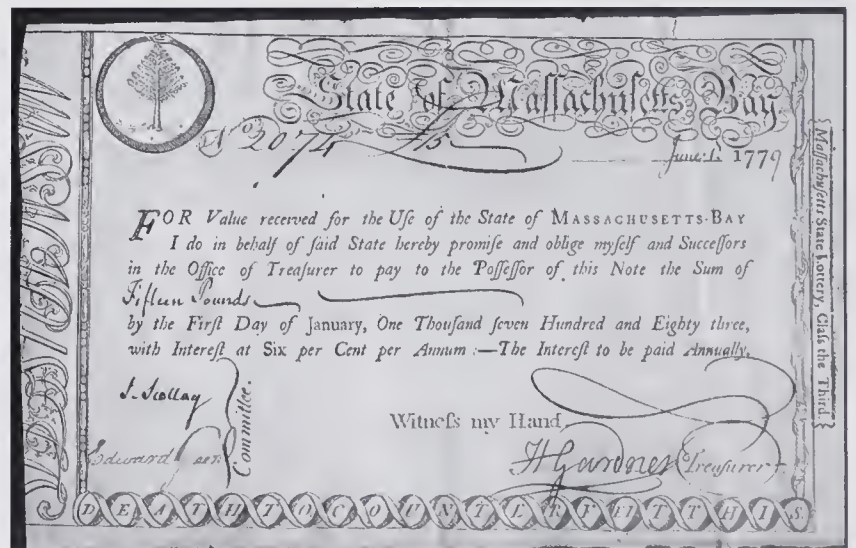
An illustrated chronicle of U.S. loans which explores fiscal items that parallel collectible paper money issues.

To order, call 800-622-1880.



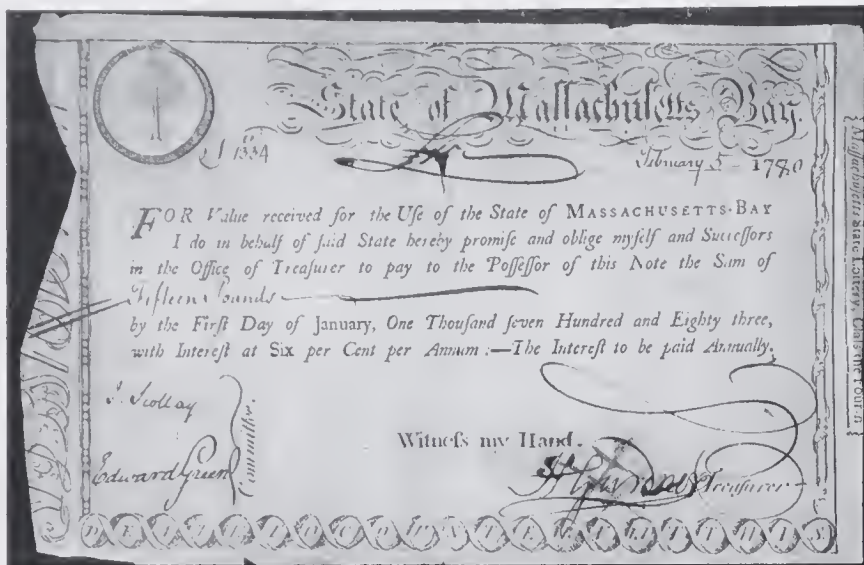
2014 State of Massachusetts Bay December 1, 1777. #5949. Sixty One Pounds Eight Shillings. MA-10. Colonial soldier with sword in hand in round frame formed by rattlesnake. Issued to redeem the State's bills of credit. Some splits, and fold junction holes, otherwise **VF+.** **(\$200-300)**

The main portions of the bond are engraved by Hurd, and the sword in hand design is by Paul Revere.



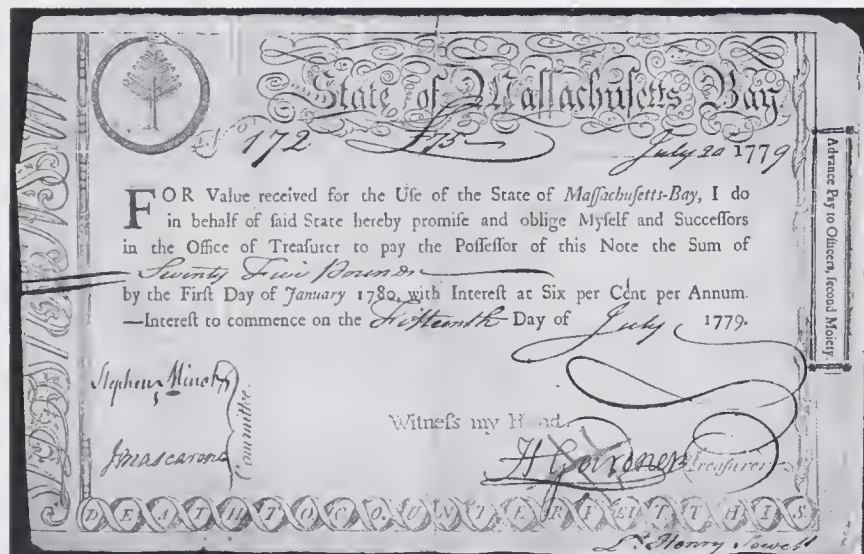
2015 State of Massachusetts Bay June 1, 1779. #2074. Fifteen Pounds. MA-15. Pine tree circled by rattlesnake. "Massachusetts State Lottery Class the Third", right border. **Scollay** and **Green** sign for the Committee. **Gardner** signs as Treasurer. Just a slight tear where the signer's pen pierced the paper, else **EF.*** **(\$275-350)**

These were used to pay the winners in the state lottery.



- 2016 State of Massachusetts Bay Feb. 5, 1780. #1334. Fifteen Pounds. MA-16.** Pine tree circled by rattlesnake. "Massachusetts State Lottery Class the Fourth", right border. **Scollay** and **Green** sign for the Committee. **Gardner** signs as Treasurer. Small body holes, light age toning, otherwise VF+.* (\$250-350)

These were used to pay the winners in the state lottery. High R6.



- 2017 State of Massachusetts Bay July 20, 1779. #172. Twenty Five Pounds. MA-19.** Pine tree circled by rattlesnake. "Advance Pay to Officers, Second Moiety", right border. **Minot** and **Mascarone** sign for the Committee. **Gardner** signs as Treasurer. A few small fold splits and fold junction holes, else VF+.*

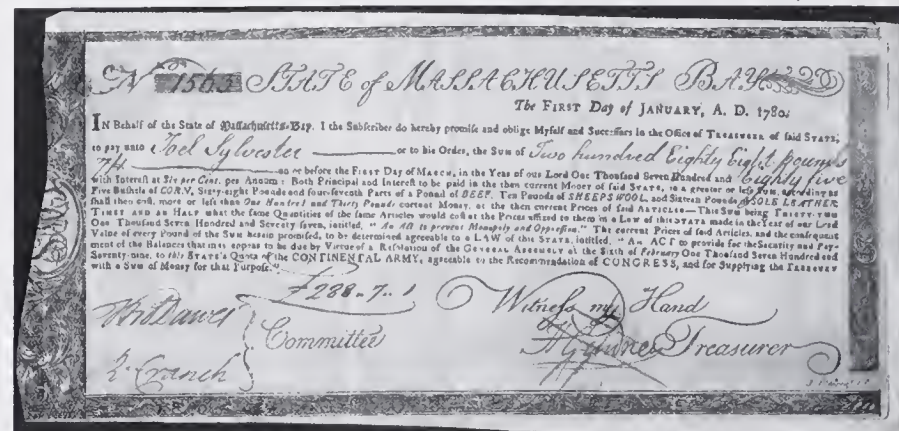
(\$350-450)

These were used to pay the winners in the state lottery.

- 2018 State of Massachusetts Bay Feb. 5, 1780. #1151. Fifteen Pounds. MA-16.** Pine tree circled by rattlesnake. "Massachusetts State Lottery Class the Fourth", right border. **Scollay** and **Green** sign for the Committee. **Gardner** signs as Treasurer. In a 12" x 12" wooden frame with green trim. Appears to be VF+.*

(\$150-250)

These were used to pay the winners in the state lottery. High R6.



- 2019 State of Massachusetts Bay January 1, 1780. #1563. Two Hundred and Eighty Eight Pounds, Seven Shillings, 1 Pence. MA-20.** "To be paid in then current Money... in greater or less Sum, according as Five Bushels of CORN, Sixty-eight Pounds and Four sevenths Parts of a Pound BEEF...", etc. Engraved by Furnass (lower right). **Dawes** and **Cranch** sign for the Committee. **Gardner** signs as Treasurer. VF+.

(\$300-450)

These were issued to reimburse the soldiers for the depreciation of pay they suffered while serving in the Continental Line. Low R6.



**The Price of Liberty:
The Public Debt of the
American Revolution**

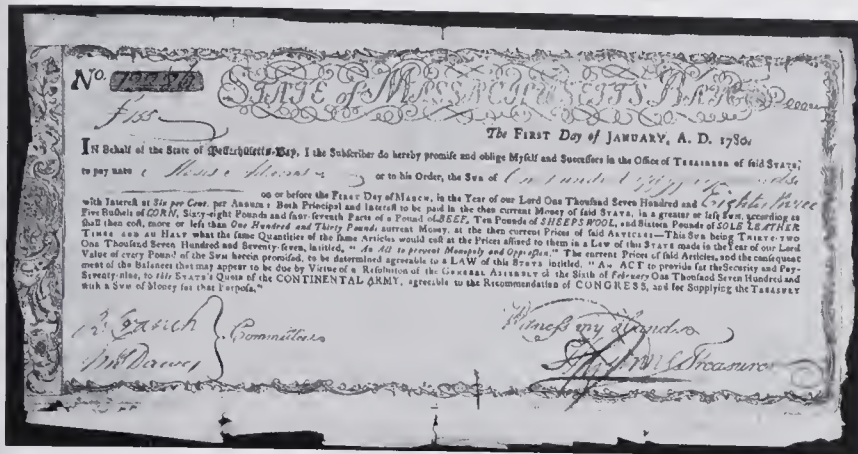
\$35.00

By William G. Anderson

1983. 180pp, hard cover, some illustrations.

A scholarly and unique study of the bonds and promissory notes that financed the American Revolution.

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- 2020 State of Massachusetts Bay January 1, 1780. #12286. One Hundred and Fifty-Five Pounds.** MA-22. "To be paid in then current Money... in greater or less Sum, according as Five Bushels of CORN, Sixty-eight Pounds and Four sevenths Parts of a Pound BEEF...", etc. Engraved by Furnass (lower right). Dawes and Cranch sign for the Committee. Gardner signs as Treasurer. The text appears to be identical to M-20, but the border design differs, there are flourishes around STATE OF MASSACHUSETTS, and flourishes after "Committee", "Hand", and "Treasurer". The printer's imprint does not appear. Splits in the bottom margins, else VF+. (\$250-350)

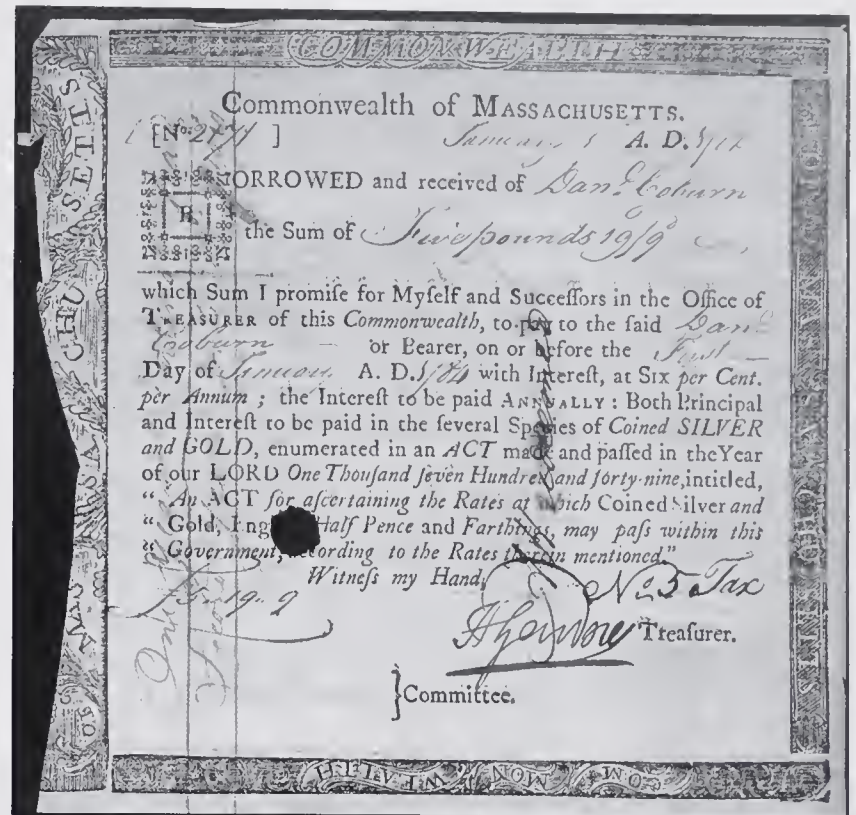
These were issued to reimburse the soldiers for the depreciation of pay they suffered while serving in the Continental Line. R5.

- 2021 Commonwealth of Massachusetts January 1, 1782. #37. Fourteen Pounds, Sixteen Shillings and Eleven Pence.** MA-30. B in BORROWED within a quatrefoil. Signed by Dawes and Ivers. Payable in six months in gold and silver, or receivable for taxes. Hole cancel, edge splits, small pieces out of margins, else VF+. (np) (\$250-350)

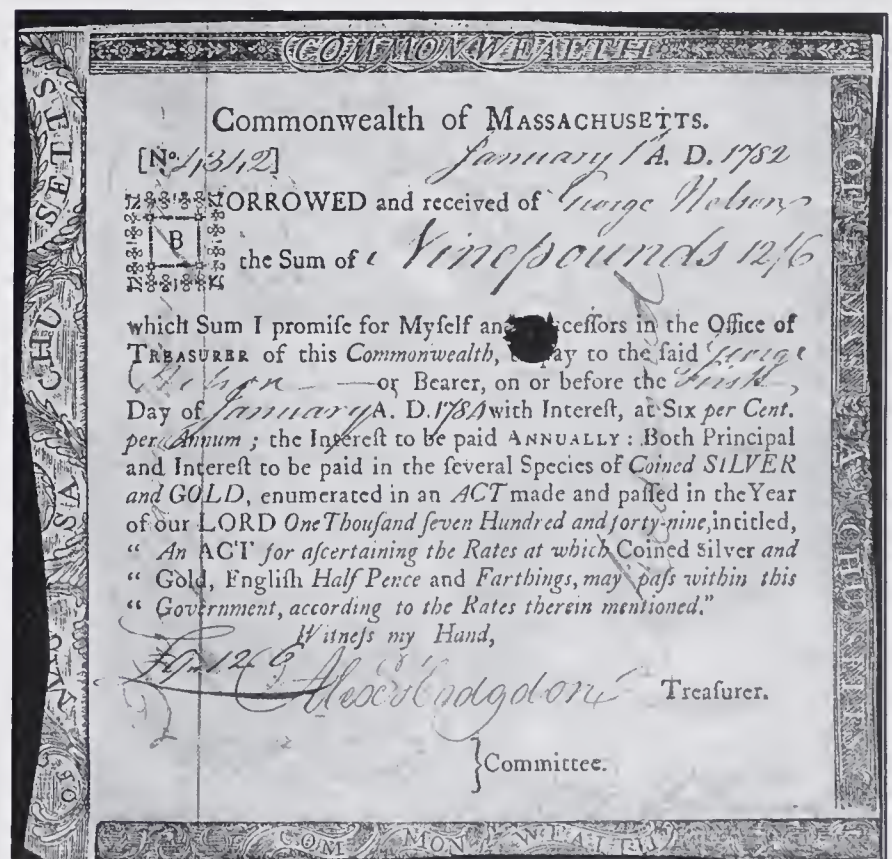


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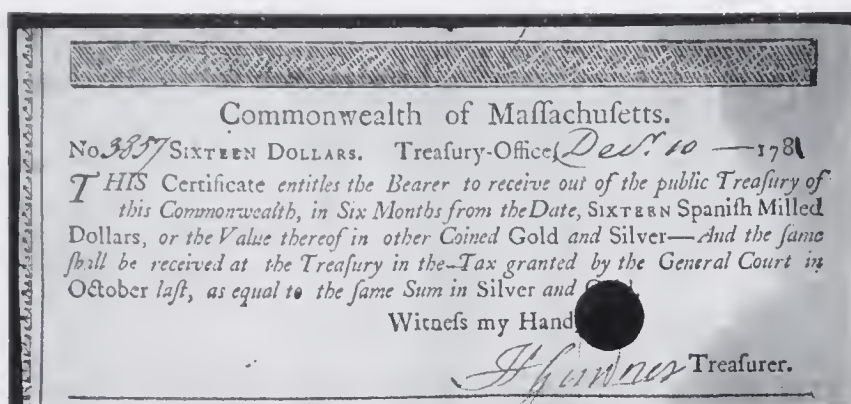


- 2022 Commonwealth of Massachusetts January 1, 1782. #2171. Five Pounds, Nineteen Shillings and Nine Pence.** MA-31. B in BORROWED within a typeset ornamental box. Signed by Dawes and Gardner. Hole cancel, minor edge splits, else VF+. (\$200-300)



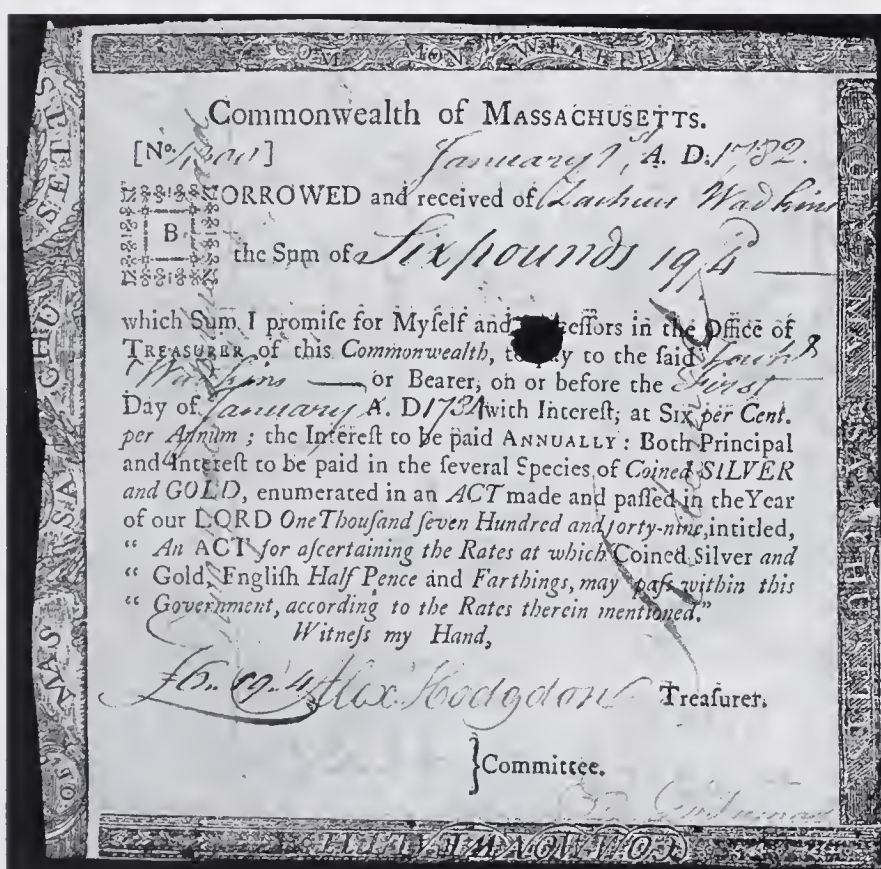
- 2023 Commonwealth of Massachusetts January 1, 1782. #4342. Nine Pounds, Twelve Shilling, Sixpence.** MA-31A. Typeset B in a square frame. Signed by Dawes for the Committee. New sub-variety signed by Alex Hodgdon as Treasurer. (All previously reported examples were signed by Gardner or Ivers.) Small POC, trimmed just into three borders, else EF+. (\$300-400)

EX Smythe Auction No.232 where it realized \$375.



- 2024 Commonwealth of Massachusetts Dec. 10, 1781. #3857. \$16. MA-28.** "Commonwealth of Massachusetts" in white script in the top border. Signed by **Gardner**. Hole cancel, internal split, stained, right, else **VF+**. (\$1,000-1,500)

Payable in coined gold or silver. Receivable for taxes. Only 5,000 emitted. "Unknown" according to Anderson. Newman reports their existence, but gives no price.



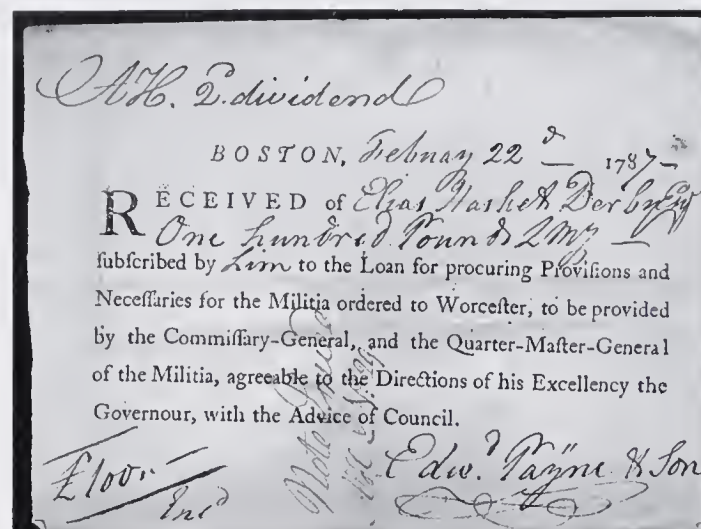
- 2025 Commonwealth of Massachusetts January 1, 1782. #4300. Six Pounds, Nineteen Shillings and Four Pence. MA-31a.** B in BORROWED within a typeset ornamental box, similar to MA-31, but the top and bottom border elements are reversed, and the signatures differ. Signed by **Dawes** and **Gardner**. Hole cancel, else **EF+**. (\$300-500)

This variety is **unreported**.



Please note that a 15% buyer's premium will be added to each lot purchased.

Shay's Rebellion



- 2026 Boston, Massachusetts Feb. 22, 1787. One Hundred Pounds.** Mostly typeset receipt on laid paper. Issued to Elias Hasket Derby, Esquire. Signed "Edwin Payne & Son". One inch round glue mounting remnant on back only, else **VF+**. (\$3,000-5,000)

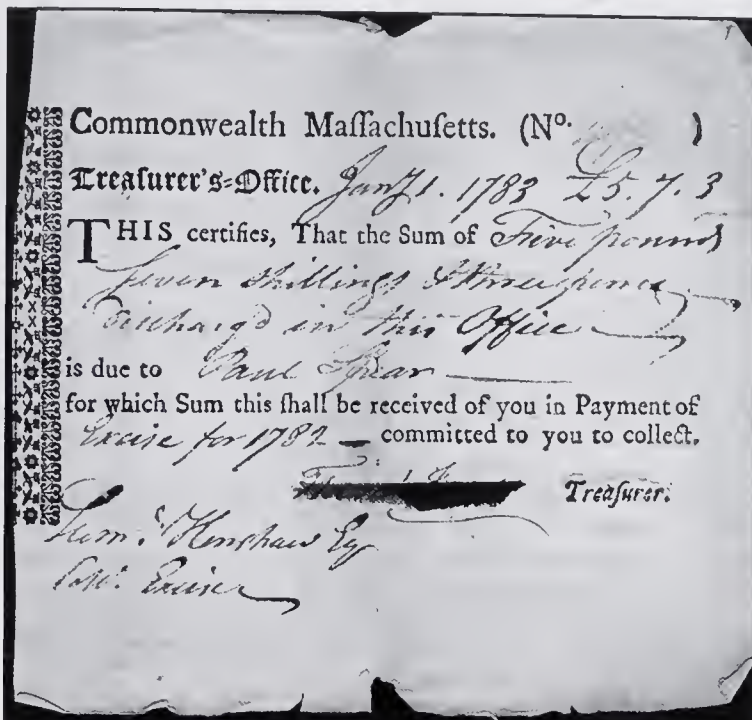
After the Revolution, there was an economic depression throughout New England. Small property holders who could not pay their taxes faced imprisonment. Town meetings talked of tax relief, and the issuance of paper money, but these issues were opposed by the legislators. Daniel Shay emerged as the leader of a localized rebellion which tried to close the courts in order to prevent action against debtors. Neither the Federal government, nor the state, would supply money for the militia to put the rebellion down, but some \$20,000 was borrowed from "private sources", probably through a subscription campaign.

On January 25, 1787, Shay and his supporters attacked a Confederation arsenal in Springfield, but they were repulsed by General Lincoln. Shay escaped to Vermont, and was eventually pardoned. This note is a receipt given to Elias Hasket Derby for paying in the one hundred pounds subscribed by him to the "...Loan for procuring Provisions and Necessaries for the Militia ordered to Worcester..."

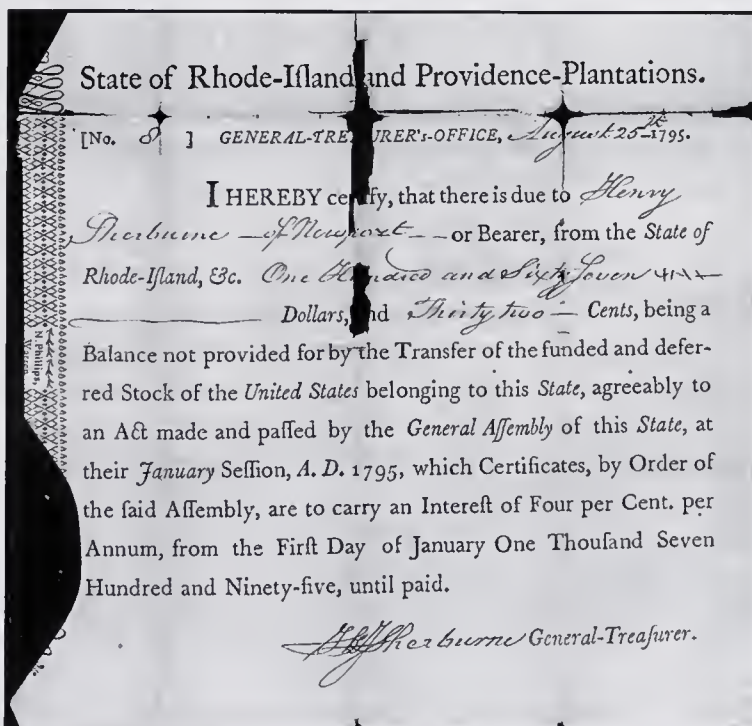
A similar item, dated three months later than this one, brought over \$4,000 in our September 2003 auction.

- 2027 Massachusetts Treasurer's Office Boston. #912. Three Pounds. MA-37.** For payment of one-third of Tax No. 5. Signed by Ivers. Hole cancel, and double red brush stroke, age toning, else **VF+**. (np) (\$150-250)

- 2028 Commonwealth of Massachusetts Nov. 8, 1782. #22. Three Pounds, Eighteen Shillings. MA-38a.** Ornate left border that differs from the one pictured in Anderson. This variety has a comma after "Massachusetts" instead of a period. These forms were issued to pay miscellaneous claims and interest on the public debt. This particular example is receivable in payment for "Excise for 1782". Small spindle hole, otherwise **EF**. (np) (\$250-350)



- 2029 Commonwealth of Massachusetts Jan. 1, 1783. #492. Five Pounds, Seven Shillings and Three Pence.** MA-38a. Ornate left border like the one pictured in Anderson. This variety has a period after "Massachusetts" instead of a period. These forms were issued to pay miscellaneous claims and interest on the public debt. This particular example is receivable in payment for "Excise for 1782". Small piece out of margin below, else VF+. (\$200-300)



- 2030 State of Rhode Island and Providence Plantations August 25, 1795. #8. 167.32. RI-18. R8.** General treasurer's certificate. 4% interest. For balance not covered by transfer of the United States stock belonging to the state creditors. Anderson knew of perhaps three pieces. We have seen two. Pieces out of the body, but otherwise VF.* (\$300-500)

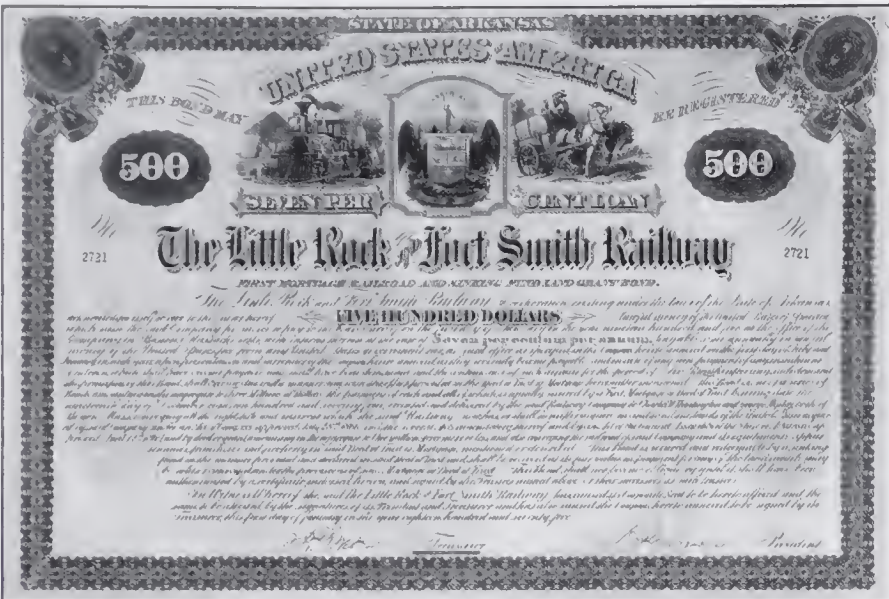
A little professional restoration could work wonders on this rare eighteenth-century Rhode Island bond.

The following six lots are not illustrated:

- 2031 Collector of Revenue for the District of North Carolina Aug. 13, 1800.** Burke County. Part printed license to operate a still. The rate per gallon, and the term of the license have not been filled in. 9" x 10". In a wooden frame, with red matte. Small piece out, bottom left. VF. (\$150-250)
With no income tax provision the Federal Government had to rely on Customs Duties, and Excise Taxes such as this one on distilled spirits in order to pay its bills.
- 2032 Oath of Allegiance 1799.** Federal eagle vignette, top. Partially printed oath of allegiance requiring John B. Conner to serve "honestly and faithfully in the Infantry for and during the Existing Differences Between the United States and the French Republic [sic]...". Scarce. Minor fold split, framed and matted. 11" x 17". VF+. (\$200-300)
- 2033 The Observer (England) July 23, 1684. Vol 2. #102.** Newspaper. The banner reads "The force of fears and Jealousies. The art of improving them, and of Managing the Multitude. Unlimited Parliaments are the Greatest Oppression. Ferguson hopes for a Parliament. 'Tis made Tyranny to put a Check to Rebellion." In a 12" x 17" wooden frame. EF. (\$100-150)
- 2034 Newspaper Chronicles Washington's Death Jan. 1800.** Black bordered, four-column page from the Ulster County Gazette. Undated at the top, but the articles are dated from December 20th, 1799 to January 4th, 1800, describing the funeral procession. There is an original poem entitled "ON THE DEATH OF GENERAL WASHINGTON" by "A YOUNG LADY", and a portion of a letter by John Adams. In a 14" x 22" wooden frame. Some minor age toning, and a piece out of the bottom, else VF+. (\$250-350)
- 2035 Columbian Centinel June 11, 1796.** Newspaper. Volume XXV, Number 28 Boston. Containing a Report of Tennessee's Contentious Admission as a State. 11.75" x 18.25". Minor separation on the horizontal fold. VF. (\$100-200)
- 2036 Broadside of the Siege of Yorktown Ca 1870.** Hand colored print by Smith & Stroup, map publishers, Philadelphia. The center of this handsome 12" x 18" print is a military map of the Battle of Yorktown, surrounded by vignettes of the major participants. The surrender of Cornwallis is illustrated at the top. Nicely framed. (\$100-150)

Railroad Certificates II

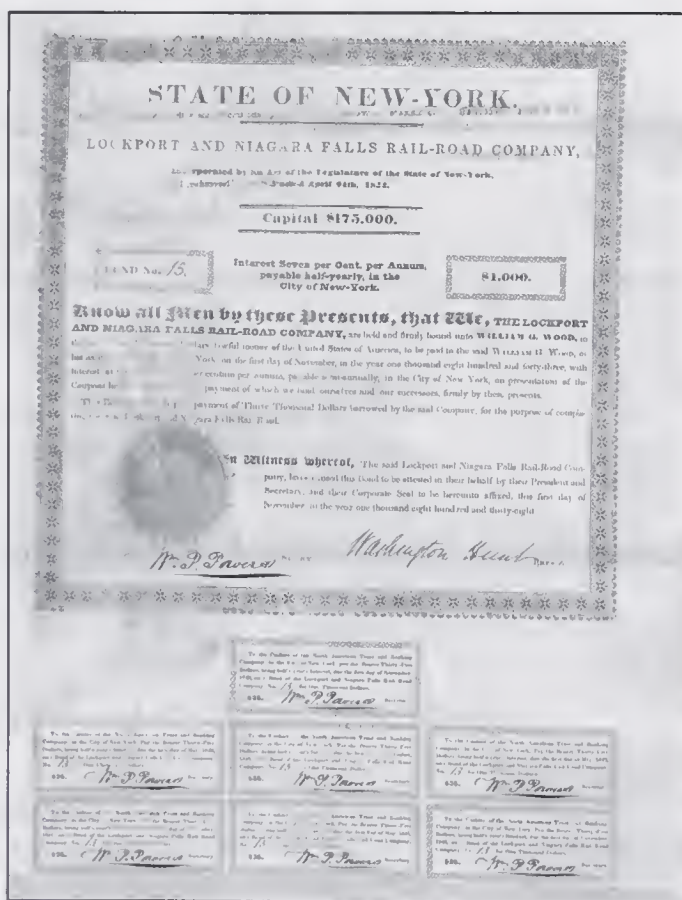
A Group of the Earliest Known Railroad Bonds



2037 Little Rock & Fort Smith Rwy (AR) 1875. #2721.
\$500. 7% Loan. Cu. State arms flanked by train at
station and wagonload of cotton. ABN. VF+.

(\$400-600)

A discovery piece. First we have seen or heard of.



2038 Lockport & Niagara Falls RR (NY) Nov 1, 1838.
#13. \$1000. 7% Bond. Cu. Blue. Green embossed
seal with train. Signed by Washington Hunt, former
New York governor, as president. VF+.*

(\$1,000-1,500)

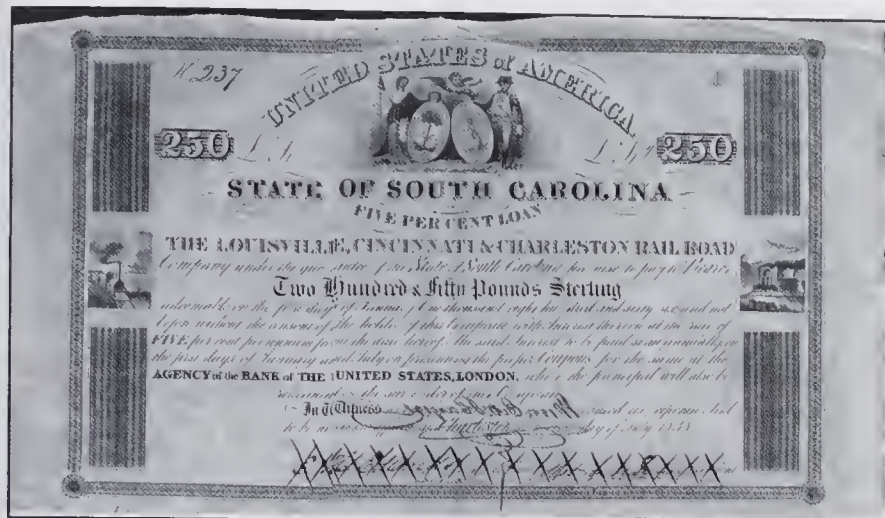
One of the earliest issued railroad certificates and possibly the earliest known rail bond from the State of New York. A rare and historic item.

In the late 1820's and early 1830's the success of the Erie Canal created an atmosphere conducive to promoting and building more canals, and railroads as well. While small railroads could be financed though the sale of shares to private investors, long railroads required the cooperation, and the financial backing, of larger entities. The State of South Carolina was one of the first to realize the economic benefits that could be gained by aiding the construction of railroads, and the State loaned \$100,000 to the fledgling South Carolina Canal & Railroad Company (chartered in 1827) to build a 136-mile road from Charleston to Hamburg, which was completed in 1833. It was then the longest line in America.

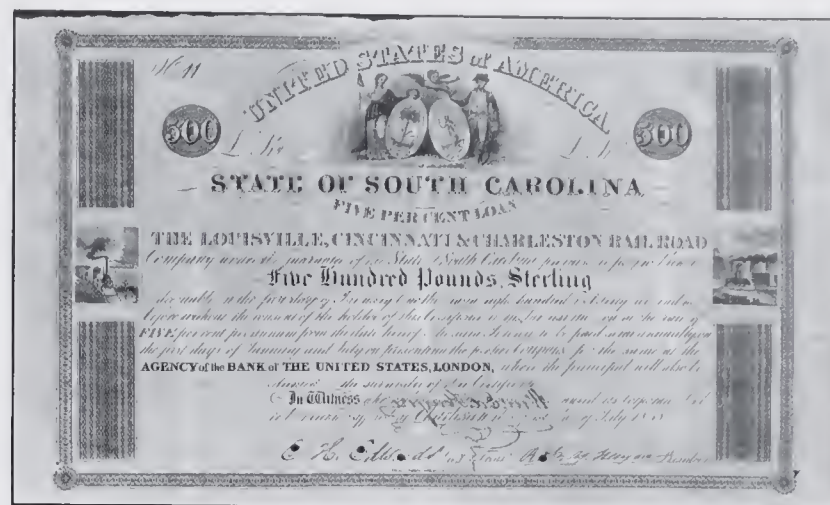
In 1835, hoping to further improve the transportation of cotton from the Deep South Northward, a new railroad was chartered, the Louisville, Cincinnati & Charleston. In 1838, to encourage the expansion of the road to the state capital, Columbia, the State of South Carolina agreed to guarantee the issue of 200,000 Pounds of 5% Sterling Railroad Bonds for every \$500,000 worth of shares sold. The bonds, due in 1866, were to be sold in Europe through the Agency of the Bank of the United States. These are the first known state guaranteed railroad bonds. Because of the steadily worsening condition of the Bank of the United States, a second group of bonds was issued through a different agency, of the House of Mess. Palmer, Mackillop, Dent & Co. of London.

In his capacity as State Comptroller, William E. Hayne signed the back of each bond. His father, Robert Young Hayne, signed as president of the railroad. Robert Young Hayne (1791-1839) was U. S. Senator from South Carolina (1823-1832) and Governor of South Carolina (1832-1834). He was noted for his brilliant debate with Daniel Webster over the Nullification Doctrine in the Tariff Controversy of 1832.

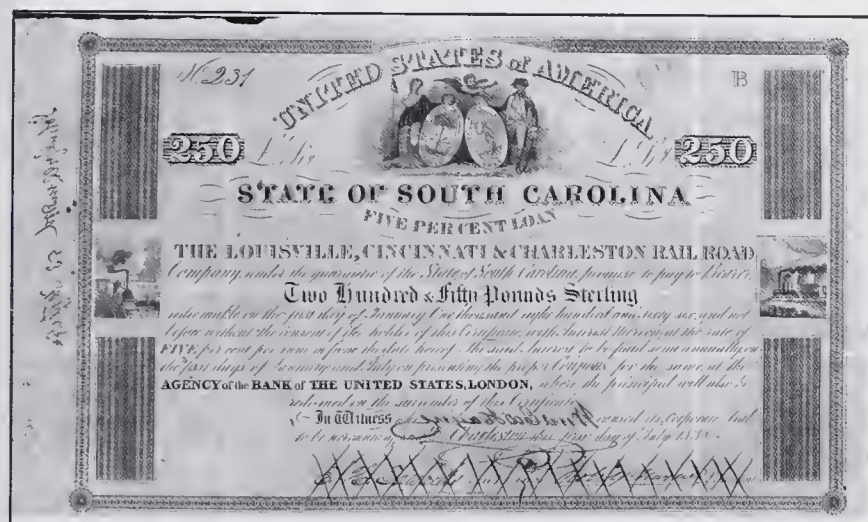
As time went on the financial climate did not favor the cooperation of other states in expanding South Carolina's railroads. In 1844 the LCC became part of the South Carolina Railroad, which assumed the debt of the earlier company. The Confederate War prevented the South Carolina Rail Road from making interest payments, and in January of 1866 the bonds came due. Neither the State, nor the railroad, could pay the principal. Instead, the bond holders were put off until 1871, and were asked to accept various oddly denominated Certificates of Indebtedness for any past due or future interest. In 1871 the South Carolina Rail Road issued 500,000 Pounds of new Sterling bonds, and exchanged them for the issues described above. The old bonds were cancelled and lay hidden for over a century until the recent discovery of a small group, said to have been brought in to the U.S. early in the last century from a European source. The following six lots comprise a small group...



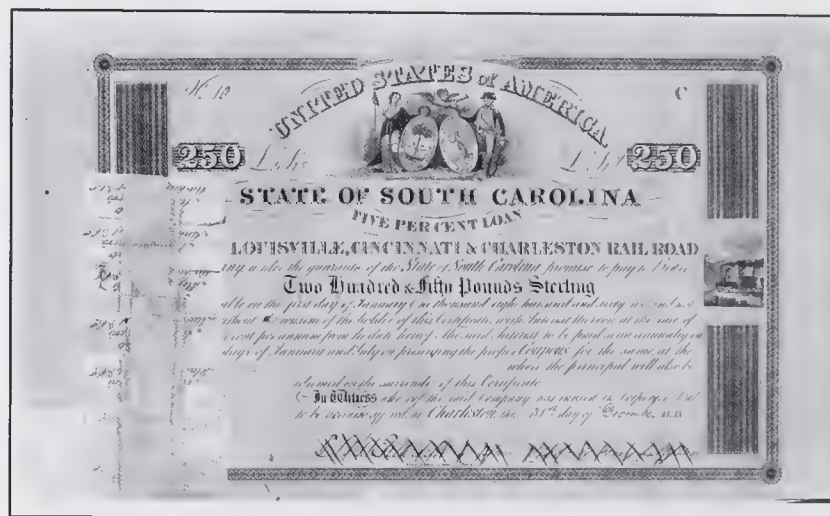
- 2039 Louisville, Cincinnati & Charleston RR (SC) July 1, 1838. #237. Series B. £250. 5% Bond. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. Ink cancelled at bottom, VF.**
 (\$500-750)



- 2042 Louisville, Cincinnati & Charleston RR (SC) July 1, 1838. #11. £500. 5% Bond. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. POC. VF.**
 (\$500-750)



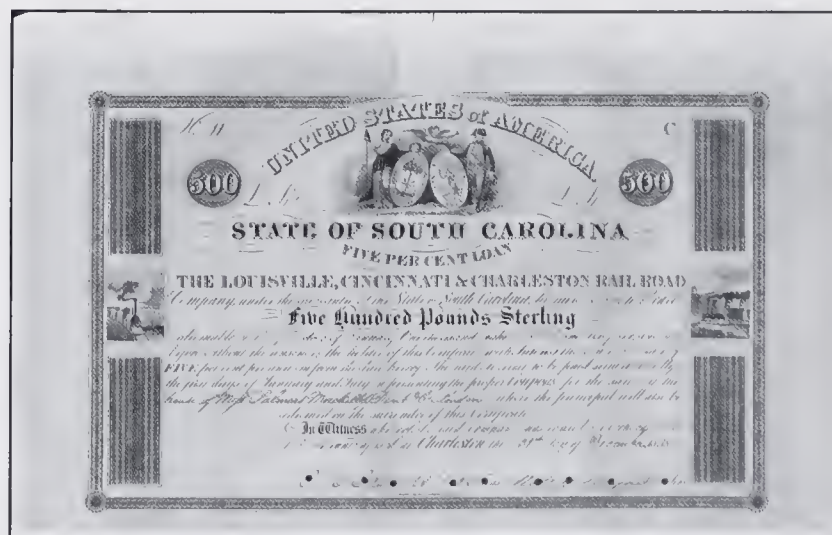
- 2040 Louisville, Cincinnati & Charleston RR (SC) July 1, 1838. #231. Series B. £250. 5% Bond. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. Ink cancelled at bottom, VF.**
 (\$500-750)



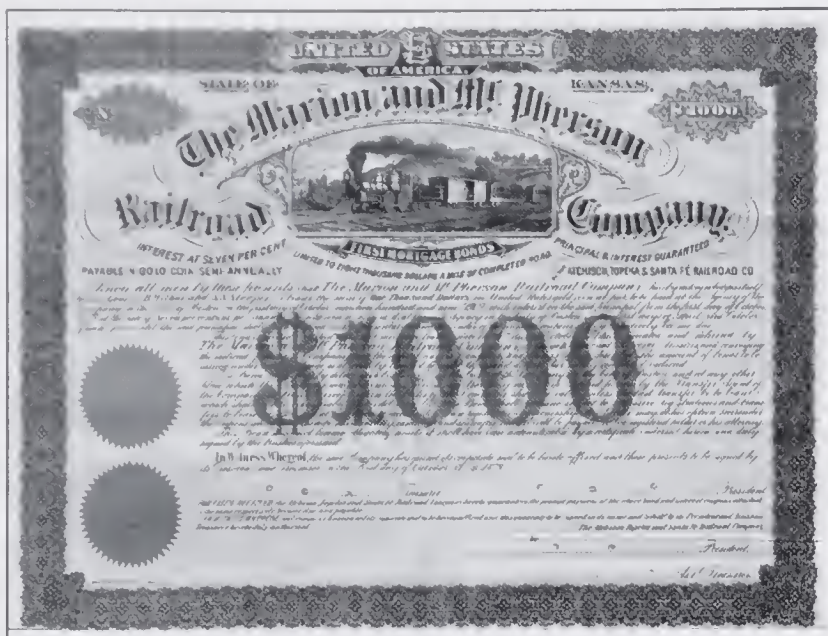
- 2043 Louisville, Cincinnati & Charleston RR (SC) Dec 1, 1838. #10. Series C. £250. 5% Bond. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. VF.**
 (\$500-750)



- 2041 Louisville, Cincinnati & Charleston RR (SC) July 1, 1838. #10. Series A. £500. 5% Bond. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. POC. VF.**
 (\$500-750)



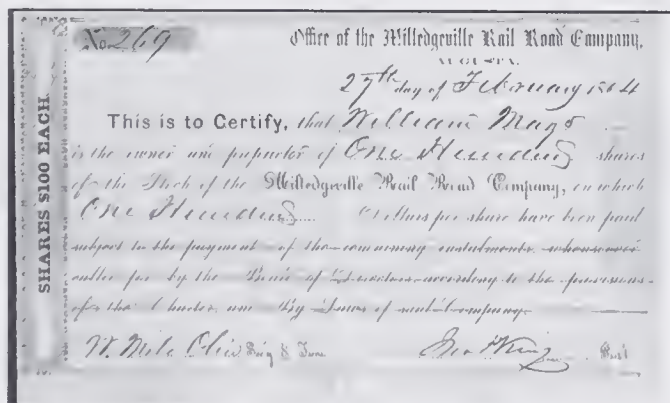
- 2044 Louisville, Cincinnati & Charleston RR (SC) Dec 1, 1838. #11. Series C. £500. Cu. South Carolina state arms. Trains, sides. Draper, Toppan, Longacre & Co. VF.**
 (\$500-750)



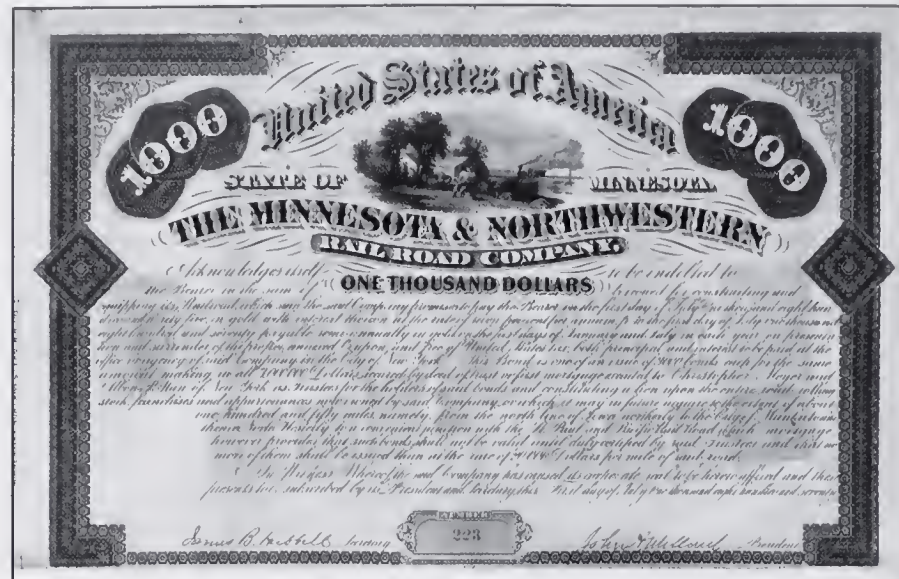
- 2045 Marion & McPherson RR (KS) 1879. Specimen. \$1000. 7% First Mortgage Bond. Orange underprint with green seal and "1000". Train passes prospectors. Henry Seibert. Rough top edge and minor glue staining, upper right. VF+. (\$300-500)
A nice discovery piece. Unlisted in Cox.



- 2046 McGhee College & St. Louis Coal, Mining & RR (MO) 1873. #27. One share. Miners underground. State arms, bottom. VF+. (\$250-350)
The last example we sold realized \$300 in our Sale No.146.



- 2047 Milledgeville RR (GA) 1864. #269. \$100. Share receipt. Blue paper. Ornate border. VF. (\$500-750)
First Civil War era share certificate we have had from this railroad. We can find no mention of this firm in Black's book on Confederate railroads nor on his map any sign that the firm laid any iron. The Central RR had reached Milledgeville and had gone on to Eatonton. Was the Milledgeville RR the name given to that spur line? This will require further research.



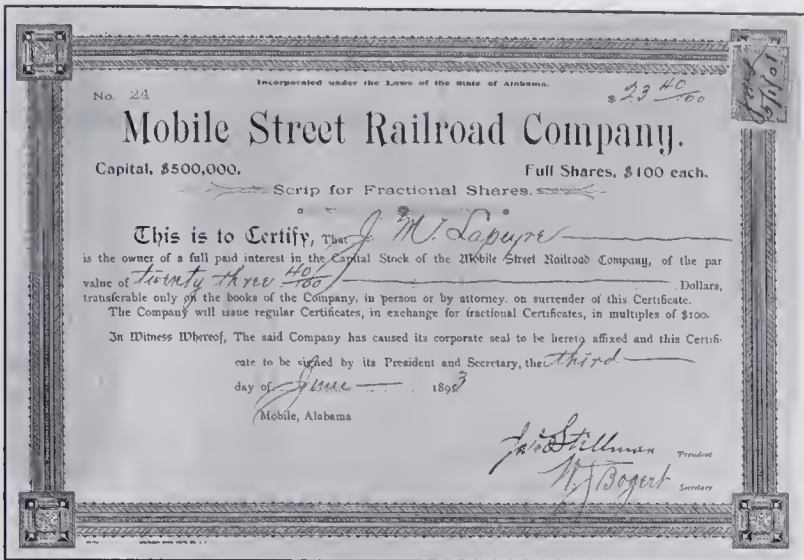
- 2048 Minnesota & Northwestern RR (MN) 1870. #223. \$1000. 7% Bond. Cu. Green. Train, canal barge, barn in the foreground. RN-P5, left, RN-W2, right. NBN. VF. (\$250-350)



- 2049 Missouri, Kansas & Texas Rwy 1891. #753. 100 shs. Green. Train leaves roundhouse. Issued to and boldly signed on the reverse by John D. Rockefeller. VF+. (\$1,000-1,500)

John D. Rockefeller

John D. Rockefeller (1839-1937) was the dominant figure in the oil industry until his retirement in 1911. He started his business career as a bookkeeper and by age 19 was a partner in a produce business. He began operating a small refinery with his partners, and he quickly became alerted to the growing investment possibilities in what was then a fairly new industry. In 1870, he organized the Standard Oil Company of Ohio and proceeded to achieve control over 90% of the oil refineries in the country. Rockefeller had little interest in discovering oil; he left that to the wildcaters and other speculators. He concentrated on the transportation, distribution and sale of petroleum products, building a fortune estimated at over a billion dollars.



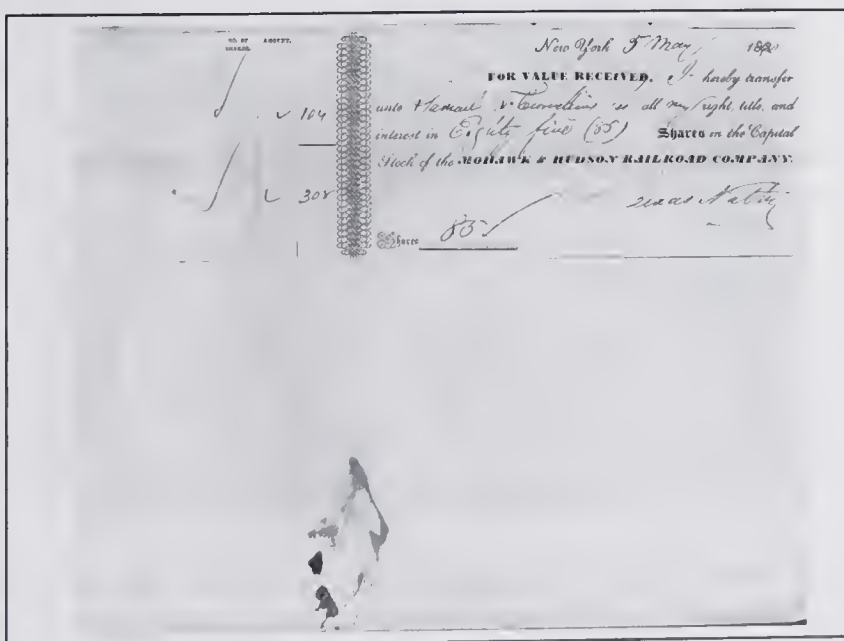
James M. Stillman President.

2050 Mobile Street RR (AL) 1892. #24. 23 shs. Brown undertint. Signed by James Stillman as president. Light stain from stub, left. VF+. (\$750-1,250)

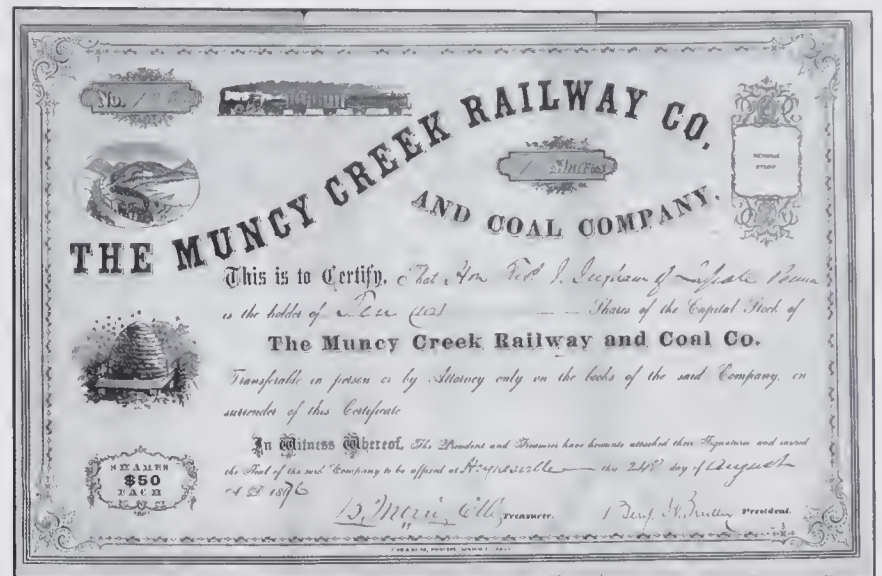
James Stillman (1850-1918) was an influential American banker and capitalist associated closely with the Rockefeller group. Stillman was a lifelong friend of William Rockefeller, and his daughters married two of Rockefeller's sons. Stillman shunned publicity, perhaps the main reason why he is not as well known today as J.P. Morgan, but Stillman's influence and power in the banking and financial communities may have equaled or exceeded Morgan's.

As the driving force behind the National City Bank, Stillman was responsible for its rise to prominence. Morgan and Stillman were considered rivals in financing and promoting Western railroads. During the 1907 financial panic, both were among the more influential leaders who advocated the support by the stronger banks of the weaker ones.

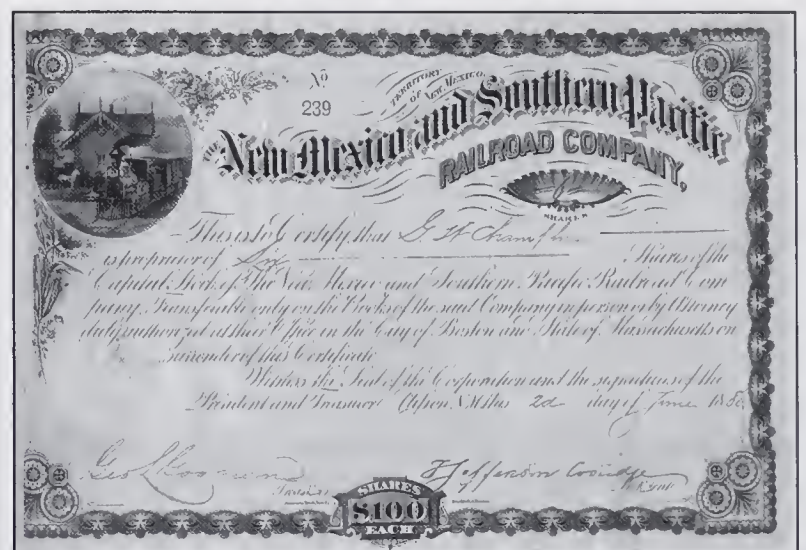
Stillman's signature is difficult to obtain, and rarely seen on certificates.



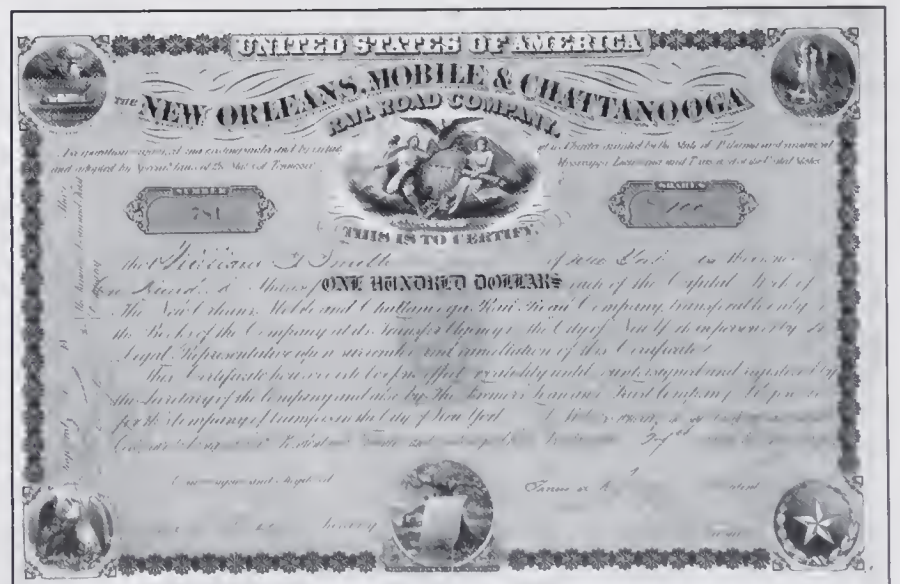
2051 Mohawk & Hudson RR (NY) 1840. 85 shs. Transfer receipt. Signed by Sexias Nathan. EF.* (\$175-250)



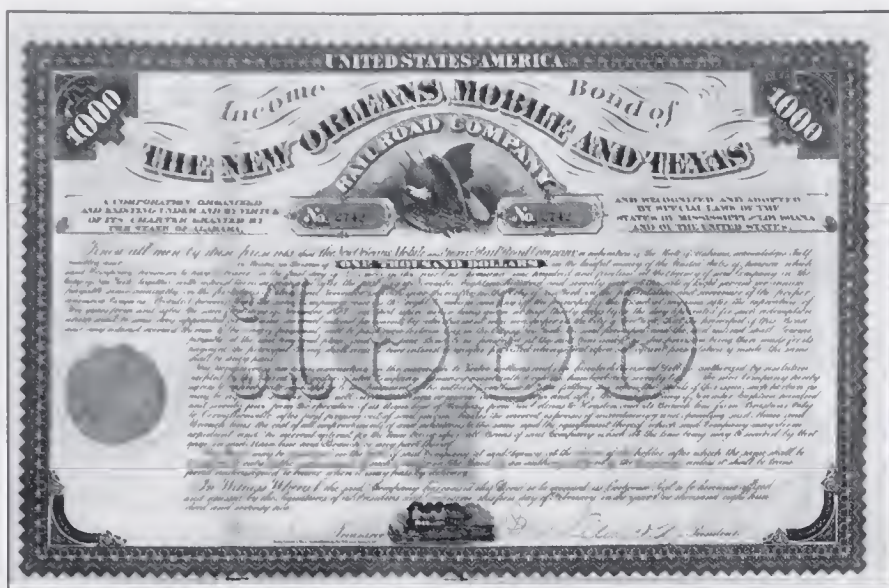
2052 Muncy Creek Rwy & Coal 1876. #123. 10 shs. Train and beehive, left. Minor splits, VF.* (\$200-300)



2053 New Mexico & Southern Pacific RR (Territory of New Mexico) 1880. #239. 6 shs. Train at depot. VF+. (\$200-300)



2054 New Orleans, Mobile & Chattanooga RR (AL-TN-MI-LA-TX) 1869. #781. 100 shs. Blue undertint. Shield with eagle flanked by two allegorical figures. State seals in each of the corners and center bottom. RN-T4. NBN. Minor splits, VF.* (\$200-300)



2055 New Orleans, Mobile & Texas RR (AL) 1872. #2742. \$1000. Income Bond. Red "1000". Eagle with shield. Locomotive, bottom. RN-V4. VF+.* (\$250-350)



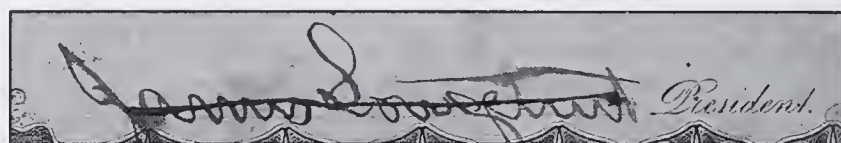
2056 New Orleans, Mobile & Texas RR 1873. #1772. \$1000. Second Mortgage Bond. Cu. Purple seal, green "1000". Train crosses bridge. Locomotive, bottom. VF+.* (\$250-350)



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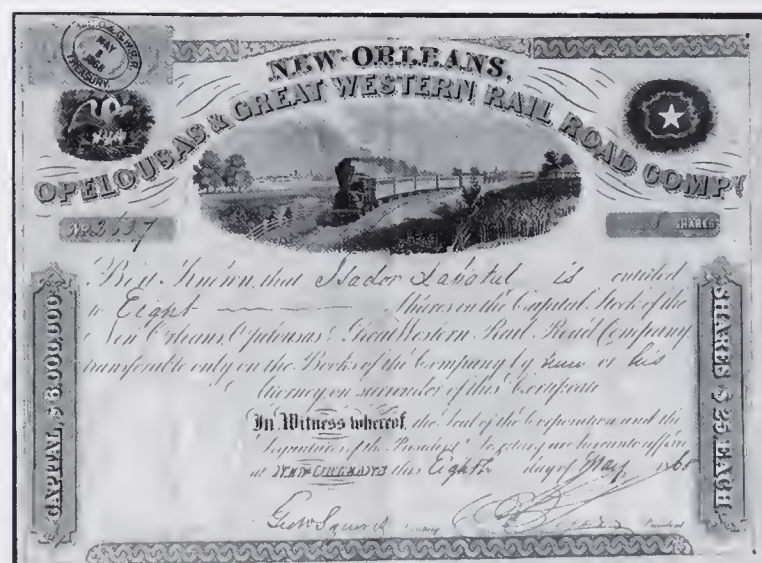


2057 New Orleans & North Eastern RR (LA) 1871. #37. 25 shs. Light purple underprint. Long train. Woman with spindle, left. Signed by **James Longstreet** as president. Signature is pen cancelled. NBN. VF+.* (\$750-1,250)



James Longstreet (1821-1904) graduated from West Point with Ulysses S. Grant and William T. Sherman, and saw service during the Mexican War. In June 1861 he was commissioned a brigadier general in the Confederate army. One of Lee's chief lieutenants, he was an outstanding combat officer but was sometimes overcautious as a commander. He won distinction at the first Battle of Bull Run. He saw action in the Peninsular campaign, and fought well at Antietam and Fredericksburg. He was in command of the 1st Corp at Gettysburg. He was at Chickamauga, Knoxville and the Wilderness. He was with Lee at the surrender at Appomattox.

After the War he became a Republican, even backing Ulysses S. Grant for president, which made him unpopular in the South. His loyalty to the Republicans was rewarded by several federal appointments, including that of minister to Turkey and later commissioner of the Pacific Railways.



2058 New Orleans, Opelousas & Great Western RR 1868. New Orleans. #2637. 8 shs. Train rounds bend. Louisiana and Texas symbols. R44. Light toning, VF+.* (\$250-350)



- 2059 New York & Coney Island RR 1879. #1. 50 shs.**
Red embossed corporate seal. Steamboat, map of Coney Island. Minor staining and edge splits, otherwise VF. **(\$300-500)**

This line operated a total of 4 miles of track with the main branch extending from West Brighton Beach to Coney Island Point.

This certificate last realized \$500 in our Sale No.199.



- 2060 New York & Harlem RR (NY) 1872. #14671. 100 shs.** Train. Blacksmith and sailor, dog, left. Signed by **William K. Vanderbilt** as president, and countersigned by **Cornelius Vanderbilt, Jr.** William's signature is affected by punch cancels. Cornelius' is partially ink cancelled. RN-T4. Vignette is punch cancelled, VF. **(\$175-250)**

William K. Vanderbilt was the eldest son of "Commodore". Vanderbilt. The Commodore at first thought little of William's business sense, but allowed him to manage a small railroad in Staten Island. William succeeded and won the Commodore's confidence.

- 2061 New York, New Haven & Hartford RR (NY) 1909. #276. \$10,000.** Debenture. Blue. Locomotive flanked by allegorical figures. Signed by **Charles S. Mellen** as president. Four punch cancels affect the signature. ABN. VF. **(\$175-250)**

Charles S. Mellen (1851-1927) was a close associate of J.P. Morgan in several railroad ventures. He won notoriety for his monopolistic practices and his neglect of equipment maintenance.



- 2062 Norfolk & Petersburg RR 1857. #110. One Share.** Train crosses bridge, ships in distance. VF+. **(\$250-350)**

Not in Cox. This railroad, which ran from Norfolk, Virginia to Petersburg, Virginia, was used by the Confederate government to transport troops and supplies until it was put out of operation by the Union Army near the end of the Civil War. It survived as a corporate entity to be merged into the Atlantic, Mississippi & Ohio which later became part of the Norfolk & Western.



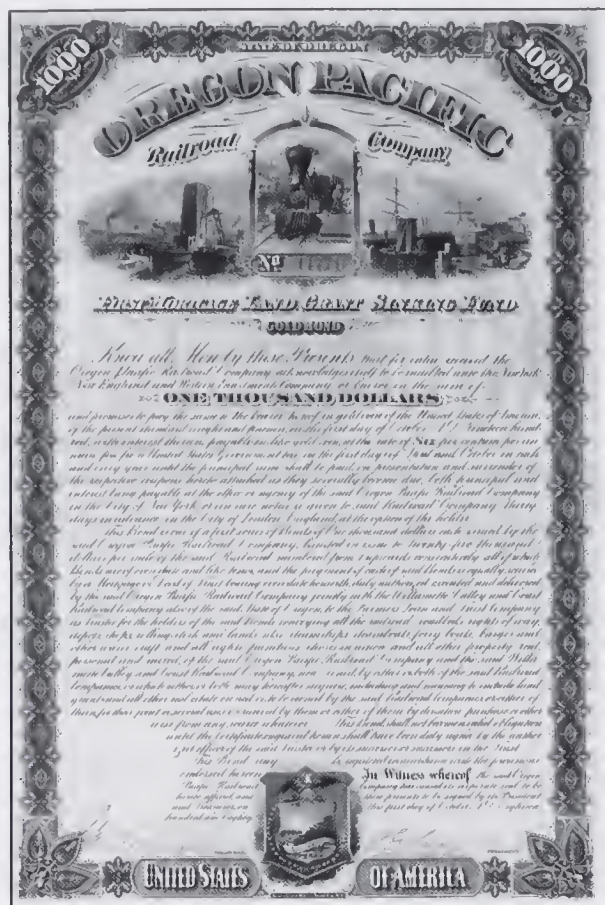
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- 2063 Ogdenburgh & Lake Champlain RR (NY) 1880. #2884. \$100. Income Mortgage Bond. Cs. Red seal. Train passes switchman. Signed by William Averell as president. ABN. VF.* (\$200-300)

William J. Averell was the father in law of E.H. Harriman. Averell appointed Harriman a director of the railroad and introduced him to railroad finance and organization.



- 2065 Oregon Pacific RR (OR) 1880. #14771. \$1000. First Mortgage Land Grant Sinking Fund Bond. Cs. Train at depot flanked by harbor scenes. State arms, bottom. ABN. VF+.* (\$200-300)



- 2064 Orange & Alexandria RR (VA) 1867. #151. \$500. 7% Bond. Cu. Brown "\$500". Train passes short pier. R46. VF+.* (\$300-500)

First we have seen. Not in Cox.

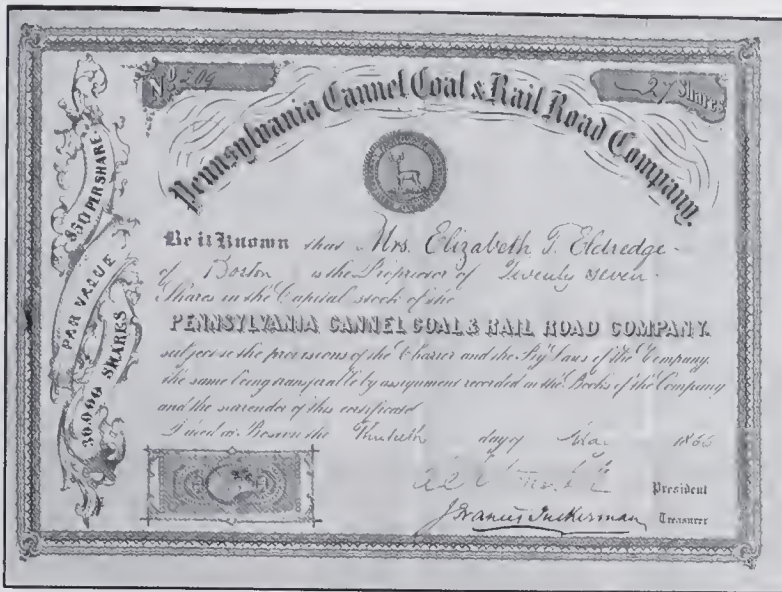


- 2066 Pacific RR of Missouri 1860. #3253. \$1000. Construction Bond. Train passes classical building, steamboat in distance. Signed on the reverse by R.M. Stewart, Governor of Missouri. Trimmed. Light toning, VF. (\$200-300)



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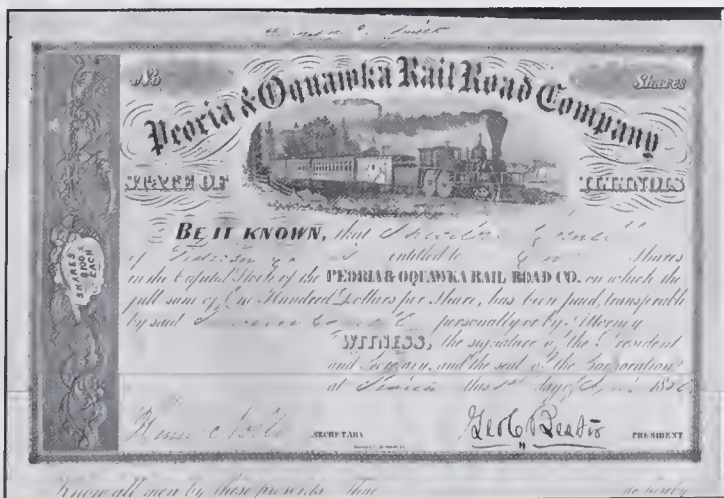


2067 Pennsylvania Cannel Coal & RR (PA) 1866. #209. 27 shs. Deer in circle. R50. VF.* (\$250-350)
Only example we know of.

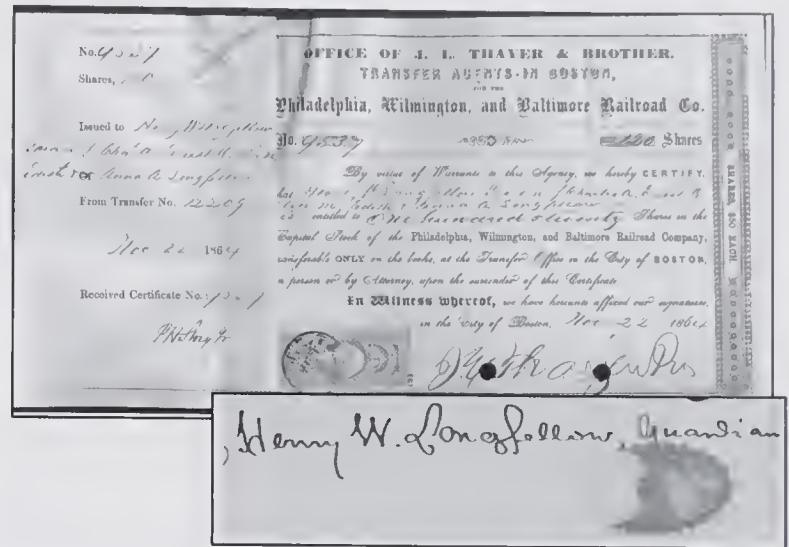


2068 Pennsylvania, New York & Long Island RR (NY) 1902. #4. 10 shs. Blue. Eagle at bottom. VF+ (\$200-300)

This line was part of the New York Tunnel Extension that gave the Pennsylvania Railroad a through route, under the North River to New England. Closely held and scarce.



2069 Peoria & Oquawka RR (IL) 1856. #534. One share. Train, sailboat in distance. VF.* (\$200-300)



2070 Philadelphia, Wilmington & Baltimore RR 1864. #9537. 120 shs. Blue. Ornate border. Issued to and boldly signed on the reverse by Henry W. Longfellow. VF. (\$600-900)

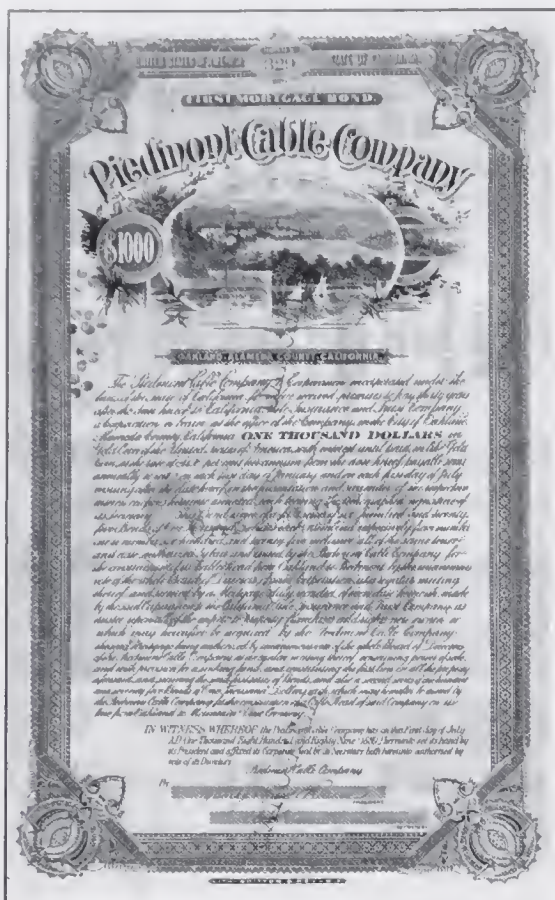
Henry Wadsworth Longfellow (1807-1882) was an important American poet. Some of his most remembered works are Evangeline (1847), The Song Of Hiawatha (1855) and The Courtship of Miles Standish (1858). Longfellow's later poetry reflects his interest in establishing a truly American mythology.



2071 Pickering Valley RR (PA) 1877. #124. 2 shs. Locomotive, train crosses bridge in distance. R112. VF. (\$175-250)



Please note that a 15% buyer's premium will be added to each lot purchased.



2072 Piedmont Cable (CA) 1889. #329. \$1000. First Mortgage Bond. Cs. Green. Town by a lake with sailboats. VF+. (\$250-350)

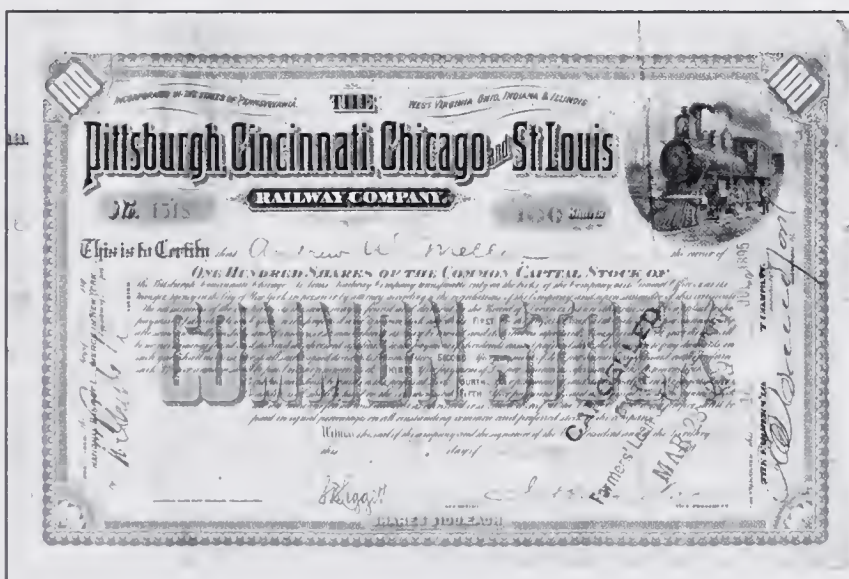


2074 Pittsburgh, McKeesport & Youghiogheny RR (PA) 1901. #1553. 61 shs. Green. Workmen with lamps inspect ore carts. Issued to Andrew Carnegie, but not signed by him. ABN. VF. (\$250-350)



2075 Pleasant Hill & De Soto RR (KS) 1877. Specimen. \$500. First Mortgage Bond. Cu. Green underprint and tan seal and "\$500". Train flanked by trains in circles. Henry Seibert. Pieces missing out of top border, otherwise VF. (\$200-300)

Unlisted and the first we have seen.



2073 Pittsburgh, Cincinnati, Chicago & St. Louis Rwy (PA-WV-OH-IN-IL) 1895. #1518. 100 shs. Green. Locomotive #15. Issued to and signed by Andrew Mellon on the reverse. ABN. Minor staple holes, VF. (\$750-1,250)

Andrew Mellon (1855-1937) inherited a fortune from his father, Judge Thomas Mellon. He became involved in the development of several iron, coal and coke operations. He was president of the Mellon National Bank of Pittsburgh, and in 1921, was appointed Secretary of the Treasury by President Harding. He held office during the succeeding Coolidge and Hoover administrations.



We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

Please be sure to follow up with a written confirmation if you phone your bids to our office.



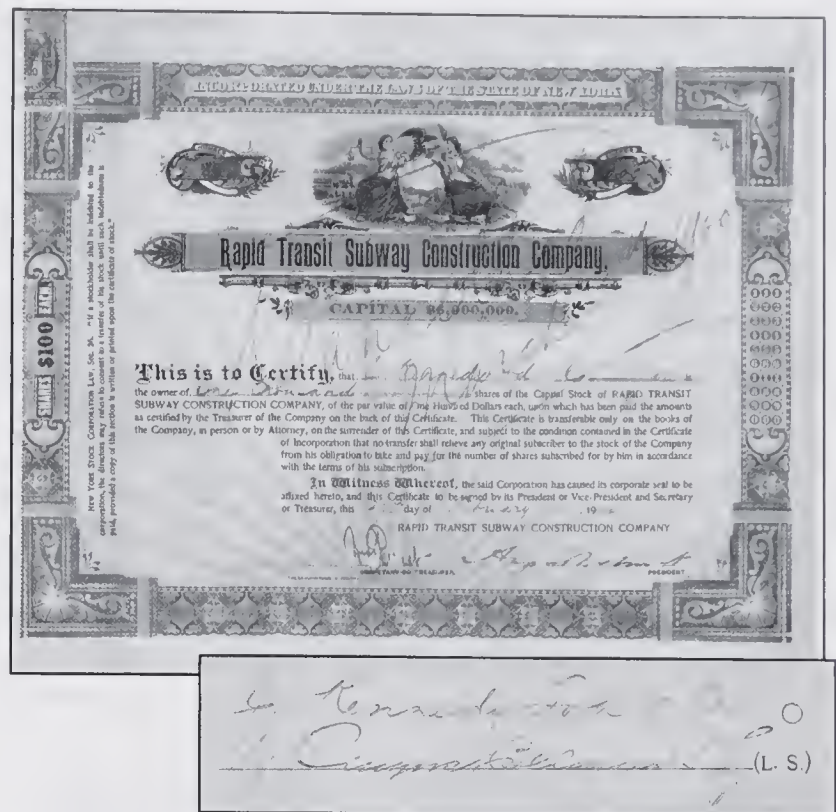
- 2076 Pullman's Palace Car (IL) 1882. Chicago Certificate.** #3969. **100 shs.** Orange. Cherub holds fruit basket flanked by Pancras Station, London and the Pullman Car Works, Detroit. Issued to and signed by **Marshall Field** on the reverse. A few small punches affect the "F" of his last name. **VF+.** (\$300-500)

1192 Marshall Field

Marshall Field (1834-1906) began his commercial career as a clerk in a dry goods store. Working his way up, Field became manager, partner and eventually director of the giant retail firm that became Marshall Field & Co. Field established many of today's modern department store practices and policies. His philanthropy made the Columbian Museum at the 1892 World's Fair possible. Its collections formed the nucleus of the Field Museum of Natural History to which Marshall Field bequeathed \$8,000,000. Field's fortune has earned him a place among the top ten most financially successful Americans.

- 2077 Rail Bond Coupons.** (\$200-300)

An entire shoebox filled with neatly bound railroad coupons. Literally thousands of individual coupons. Mostly from the Atchison, Topeka & Santa Fe RR and related lines. Most 1870-90's. An exact count is not guaranteed. Should be seen. **VF+.** (np)



- 2078 Rapid Transit Subway Construction (NY) 1900.** #26. **1000 shs.** Brown. State arms, ship and train in the distance. Signed by **August Belmont Jr.** as president. Four punches affect the signature. R178. Pen cancelled across the face, **VF+.** (\$250-350)



- 2079 Rhode Island & Massachusetts RR 1878.** Massachusetts Division. #72. **2 shs.** Train, steamboat in distance. **VF.*** (\$175-250)

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- 2080 Rio Grande, Mexico & Pacific RR (Territory of New Mexico) 1881. #8. One share. Train crosses bridge. VF+. (\$200-300)



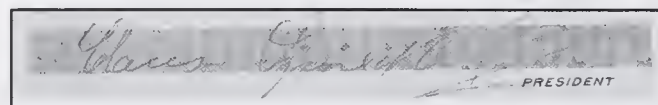
- 2081 Rio Grande Southern RR (CO) 1896. #364. Orange. Train. A voucher signed by Otto Mears is also included. HLBN. VF+. (\$200-300)

Otto Mears (1840-1931) was an important railroad developer and Colorado pioneer.



- 2082 Salt Lake & Ogden Rwy (UT) 1908. #10. 6000 shs. Electric streetcar, "Lagoon route". View of Salt Lake City. VF+. (\$150-250)

- 2083 San Juan Pacific Rwy (CA) 1908. #200. \$100. First Mortgage 6% Sinking Fund Gold Bond. Cb. Green. "The Old Mission Route". VF+. (np) (\$200-300)



- 2084 San Francisco & San Joaquin Valley Rwy (CA) 1895. #250. 10 shs. Green with an orange central underprint. Train. Signed by Claus Spreckels as president. VF+. (\$175-250)

Claus Spreckels (1828-1908) was a German-born American sugar manufacturer and refiner known as the "Sugar King". He once controlled over 40,000 acres of cane fields in Hawaii.

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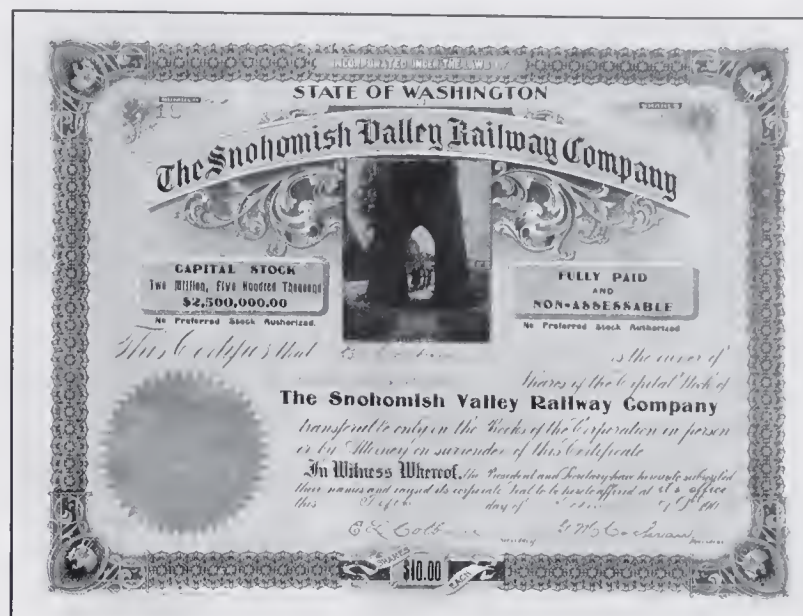


Nathan B. Forrest President

- 2085 Selma, Marion & Memphis RR (TN-MS-AL) 1871. #4162. \$1000/\$200.** First Mortgage 7% Bond. Cu. Brown with green undertint. Nearly horizontal view of train in front of a Victorian mansion. Eagle, top. Field hands pick and carry cotton. Farmer feeds wheat to horse. Signed twice by **Nathan B. Forrest**. This is the rare third variety, differing in several significant ways from the two types listed in Castenholz. Only five were discovered. RN-Q1 left, RN-W2 right. Henry Seibert. A two inch fold split at the top, **VF+**. **(\$2,000-3,000)**

This 1871 issue is denominated in both dollars and pounds, indicating that it was clearly designed to sell to European investors.

Nathaniel Bedford Forrest (1821-1877) was a Confederate cavalry leader. His success on the battlefield earned him the rank of lieutenant general and chief commander of the Confederate Cavalry in Tennessee. Forrest fought at Shiloh, Fort Donelson, Murfreesboro, Chickamauga, Nashville, Gainesville and Athens. After the War he became involved in the railroad business and in the activities of the Ku Klux Klan. Governor W.H. Smith of Alabama has also endorsed this bond. Guaranteed by the State of Alabama, which had to pay off these bonds when the railroad went into receivership.



- 2086 Snohomish Valley Rwy (WA) 1907. #18. 500 shs.** Green underprint. People walk through a tunnel cut into a sequoia. **VF+.** **(\$175-250)**
Rarely seen as shares.



- 2087 South Carolina Central RR (SC) 1870. Sumter. #5. 5 shs.** Stock for the construction of the South Carolina Central RR. R44. **VF+.** **(\$250-350)**
The only example we know of. Last realized \$300 in our Sale No.205.



Traveling the Pennsylvania Railroad: The Photographs of William H. Rau

\$50.00

Edited by John C. Van Horne
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2002. 260pp, hardcover.

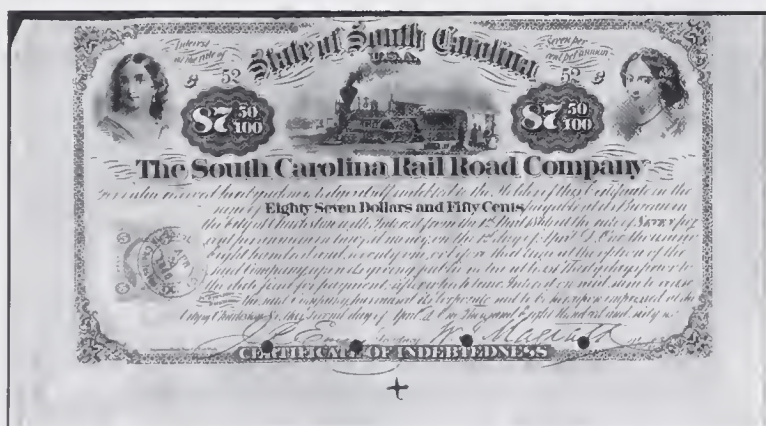
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- 2088 Southern Kansas & Western RR (KS) 1880. Specimen. \$1000. 7% First Mortgage Bond. Cs. Train, another train crosses bridge in distance. Farming and ranching scenes, bottom. ABN. VF+.*
 (\$250-350)

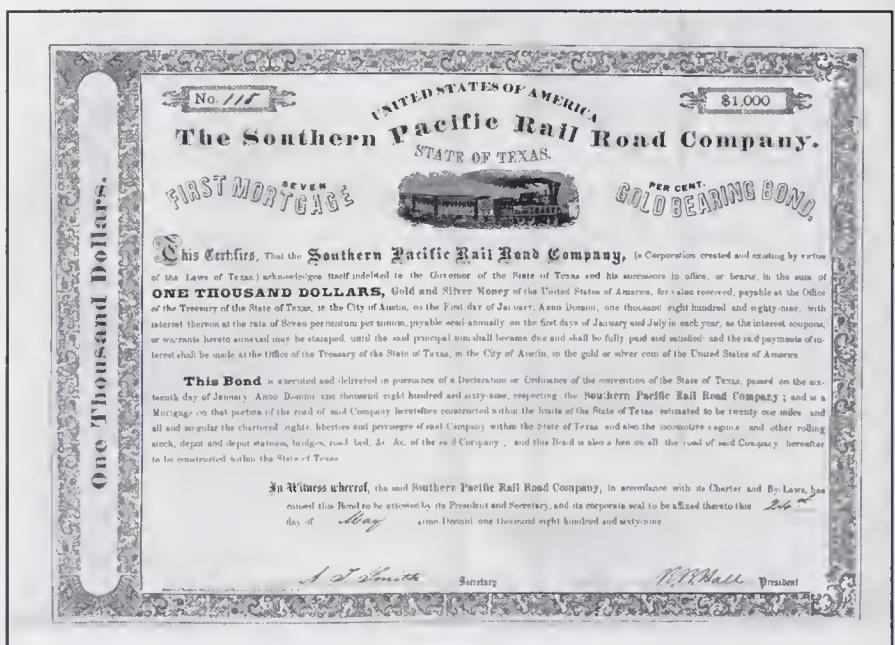
Unlisted as a bond.



- 2089 South Carolina RR (SC) 1866. #52. \$87.50. Certificate of Indebtedness. Green reverse. Train. Maidens at top corners. ABN. VF+.
 (\$100-200)
- 2090 South Carolina RR (SC) 1866. #52. \$175. Certificate of Indebtedness. Green reverse. Train at station. Maidens, top corners. VF+.
 (\$100-200)



- 2091 Southern Pacific RR (TX) 1891. Unissued. \$1000. Construction Bond. Cu. Train at depot. Small steamship, bottom. Light toning, VF.
 (\$175-250)

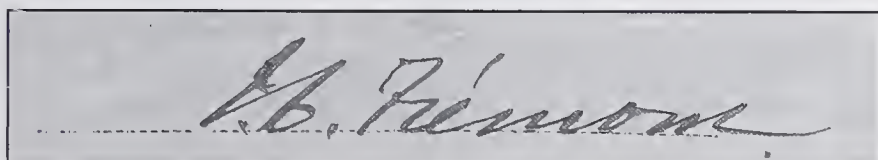


- 2092 Southern Pacific RR (TX) 1869. #118. \$1000. 7% First Mortgage Bond. Cu. Train. VF+.*
 (\$175-250)

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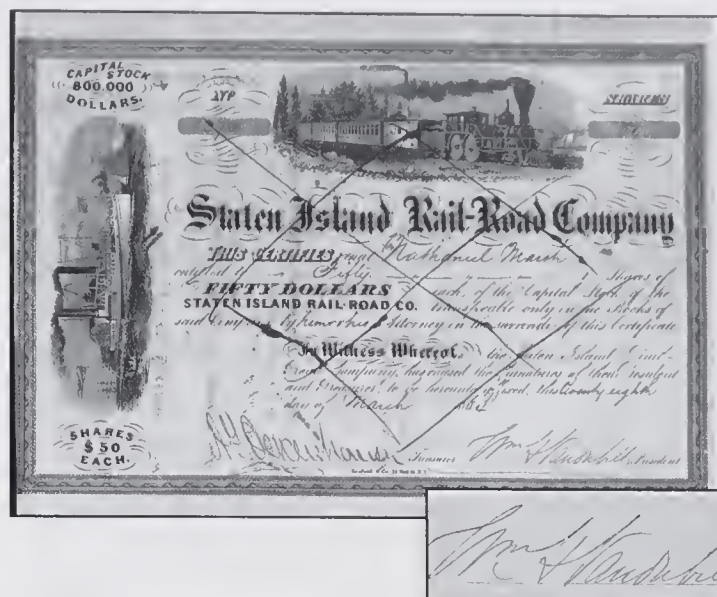


- 2093 Southern Trans-Continental Rwy 1872. #80. 500 shs. Scrip Stock. Train, left. Issued to and signed on the reverse by John Fremont. VF. (\$400-600)**

John Charles Fremont (1813-1890), was an army officer, explorer, and one-time candidate for President of the United States. He blazed paths to the West, including the famous "Oregon Trail". Fremont's huge estates and the discovery of gold in California made him rich. He served briefly as one of California's first senators, and in 1856 his wide popularity and anti-slavery opinions won him the Republican nomination. Questions about some of his ethical practices probably cost him the election.



- 2094 Spartanburg, Union & Columbia RR (SC) 1889. #107. 5 shs. Train, steamboat and factories in the distance. VF+.* (\$200-300)**



- 2095 Staten Island RR (NY) 1864. #275. 500 shs. Steam train. Steamboat, left. Signed by William H. Vanderbilt as president. Pen cancelled, else VF+.**

(\$750-1,250)

William H. Vanderbilt was the son of "Commodore" Vanderbilt. The Commodore at first thought little of William's sense, but allowed him to manage this small railroad in Staten Island. William succeeded and won the Commodore's confidence.

A similar example realized \$935 in our Sale No.217.



- 2096 Staten Island Rapid Transit RR (NY) 1885. Specimen. \$1000. 6% Income & Mortgage Bond. Train at depot. ABN. VF+.**

(\$175-250)



LOT VIEWING IN STRASBURG Netherlands Inn and Spa Neesly Hall

Thursday, February 5th	2 pm – 6 pm
Friday, February 6th	10 am – 6 pm
Saturday, February 7th	10 am – 6 pm



2097 St. Joseph & Denver City RR (KS-NE-CO) 1869. #283. \$1000. First Mortgage Bond. Cu. Gold seal. Train passes telephone poles, steamboat in distance. Eagle, bottom. Punch cancels, else VF. (\$200-300)



2099 St. Louis, Iron Mountain & Southern Rwy 1882. #2513. 114 shs. Orange. Train passes mountain. Signed by Jay Gould as president. Three punches affect his signature. VF+. (\$400-600)

Gould's signature is scarce on all rail stocks except the Missouri, Kansas and Texas.



2098 St. Louis, & Iron Mountain RR (MO) 1867. \$1000. First Mortgage Bond. Cu. Pink cartouche. Train exits tunnel. VF. (\$300-500)



2100 St. Louis, Iron Mountain & Southern Rwy 1880. #4235. 100 shs. Green. Train passes mountain. Issued to and signed on the reverse by Russell Sage. Signature has several punch holes affecting it. VF. (\$250-350)

This is a scarce stock and the only one of this type known to be signed by Sage.



We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

Please be sure to follow up with a written confirmation if you phone your bids to our office.

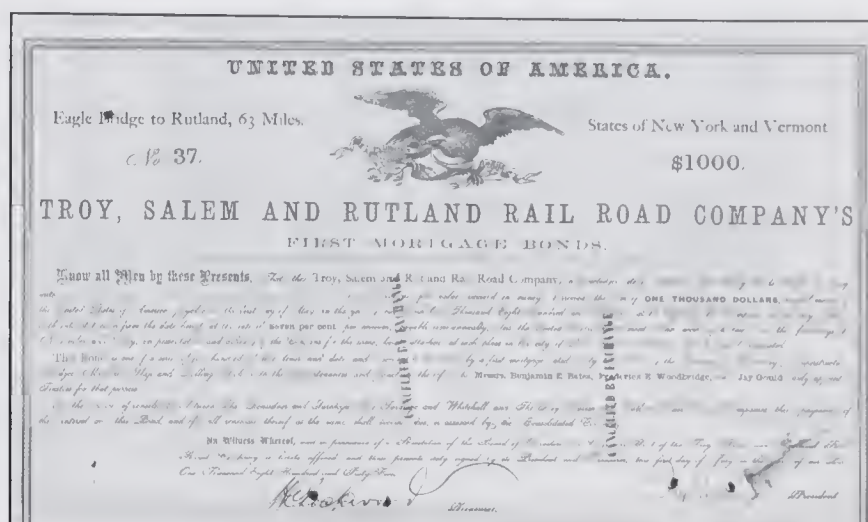


- 2101 Sumner County RR (KS) 1880. Specimen. \$1000.**
 First Mortgage Bond. Cs. Red seal. Rancher with cattle. FBN. VF+. (\$250-250)
 First we have seen. Not in Cox.



- 2102 Texas, Sabine Valley & Northwestern Rwy (TX) 1893. #499. \$1000.**
 General First Mortgage Gold Bond. Cb. Brown. Train at busy dock. NYBN. VF+. (\$300-500)

First we have seen of this variety. Only 50 of this type were issued, not to be confused with the more common 1888 variety.



signed.

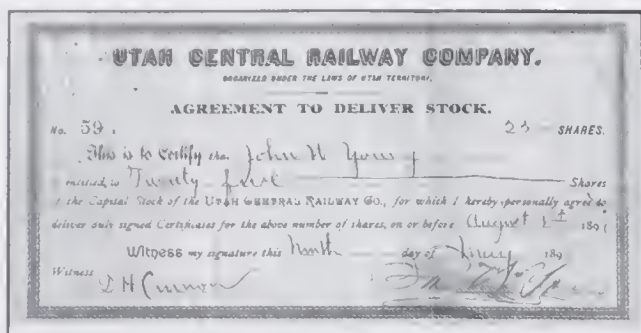
W. D. Rater
J. B. Woodbridge
Jay Gould

- 2103 Troy, Salem & Rutland RR (NY-VT) 1865. #37. \$1000.**
 First Mortgage Bond. Purple. Eagle with shield. Signed twice by **Jay Gould**; once as president and again on the reverse as trustee. The signature as president is affected by two small punches. VF. (\$500-750)

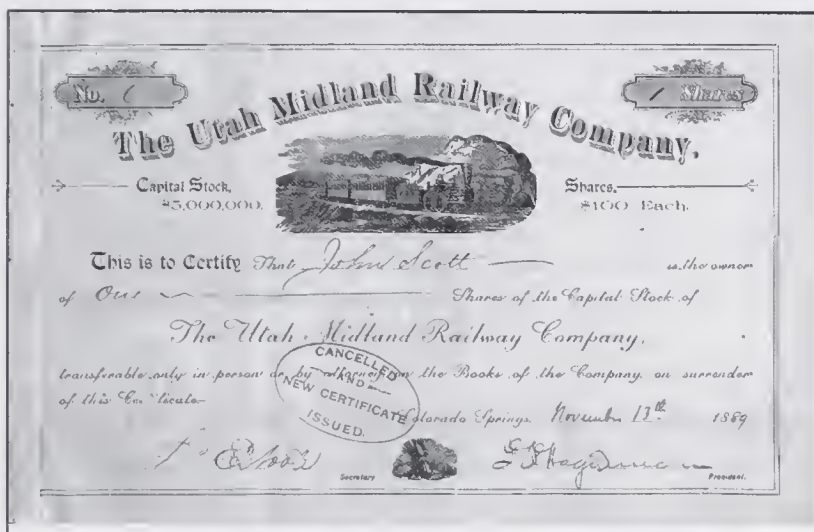


- 2104 Twenty Third Street Rwy (PA) 1892. #1057. 10 shs.**
 Purple underprint. State arms with Liberty and Justice. Small streetcar, bottom. Signed twice by **William Elkins**. Once as president and again on the reverse. Both signatures are lightly affected by small punches. VF+. (\$300-500)

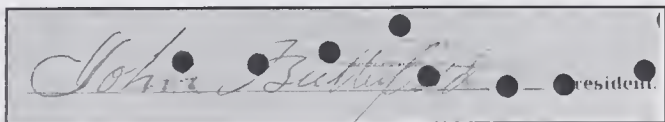
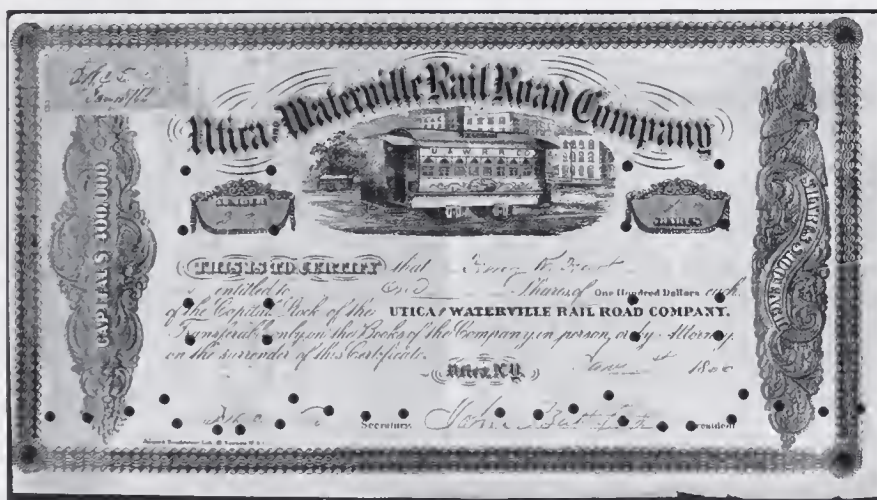
William Elkins (1832-1903) was an early oil developer. He was also one of the original Standard Oil partners. He became involved with Philadelphia railroads with Peter Widener.



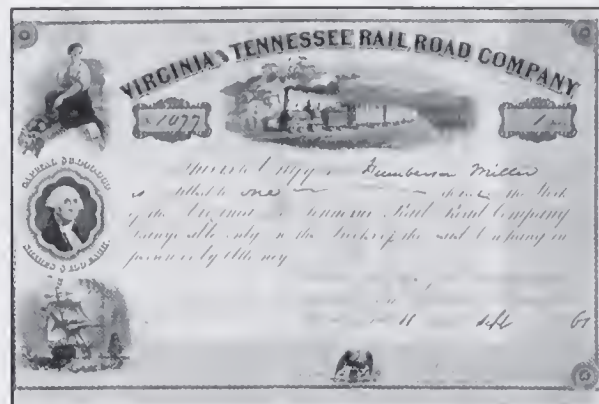
- 2105 Utah Central Rwy (Utah Territory) 1890. #59. 25 shares. Ornate border. Signed twice by John W. Young, son of Brigham Young. Pinholes, left. VF.*
(\$200-300)



- 2106 Utah Midland Rwy (UT) 1889. Colorado Springs. #6. One Share. Train rounds mountain curve. VF+.
(\$300-500)
Unknown to Cox.

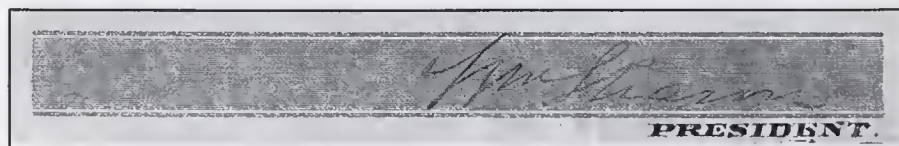
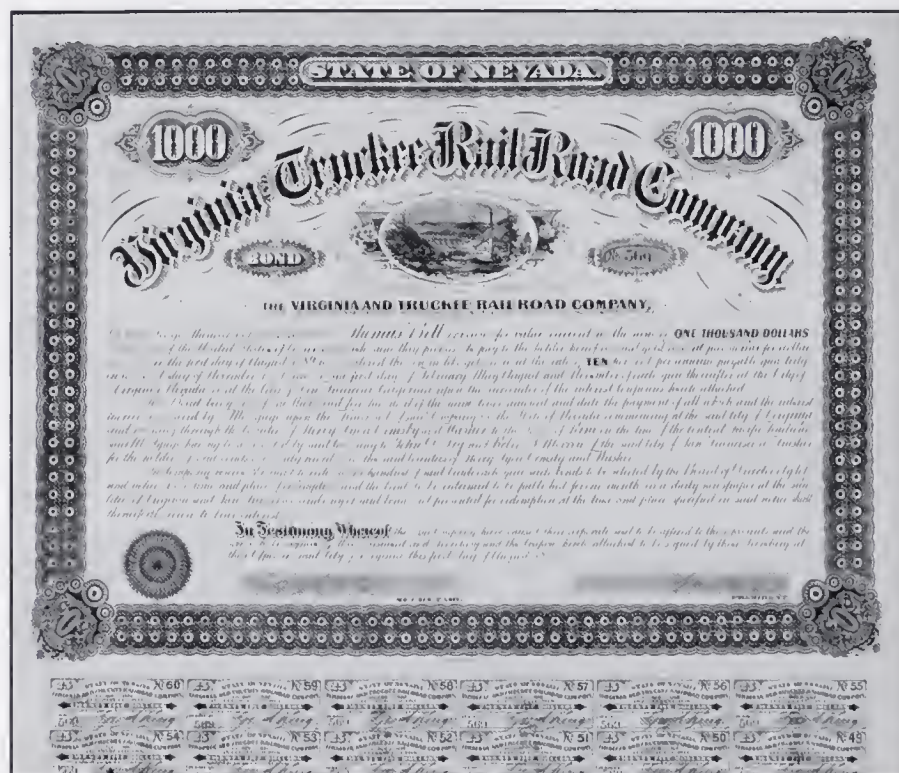


- 2107 Utica & Waterville RR 1866. #219. One share. Electric streetcar. Signed by John Butterfield as president. Butterfield founded Butterfield Express. In 1850 he merged his company with Wells & Fargo to form American Express. Light staining from stub, VF.
(\$250-350)



- 2108 Virginia & Tennessee RR 1864. #2860. One share. Train rounds bend. Ceres, Washington and tall ship, all left. Small eagle bottom. Danforth, Bald & Co. Two minor stains, upper right, else VF.
(\$250-350)

Another important Confederate railroad that directly served the theater of military operation in northern Virginia. By the end of the war the road had paid a heavy price for its involvement; only one station was left standing between Bristol and Lynchburg, Virginia.



- 2109 Virginia & Truckee RR (NV) 1874. #569. \$1000. 10% Bond. Cu. Train, mills, mountain beyond. Signed by William Sharon as president. VF+.
(\$300-500)

William Sharon (1821-85) was the agent of William C. Ralston's Bank of Nevada at Virginia City during the early days of the Comstock boom. Despite his connections he lost a bid for the U.S. Senate to James Fair, and after Ralston's death, Sharon acquired many of Ralston's properties.



2110 Walkill Valley Rwy (NY) 1872. #31. \$1000. First Mortgage Bond. Cu. Brown. Large train faces right. *RN-W5 (Unpriced in Scott) left, RN-Q2 (Scott \$2750).* Henry Seibert. Minor junction holes, **VF**.
(\$4,000-6,000)

Not long ago in philatelic history, the existence of a brown \$1 type W was seriously questioned, and the brown \$1 was removed from the Scott listings. The Scott catalogers had to restore the RN-W5 to the listings after a Wallkill bond was found. This extraordinary bond has not one, but two great imprinted revenue rarities - a brown Type Q (5 cents Madison) and a brown Type W (\$1 Washington). It is only the second bond of this type we have had the privilege to offer. A rare opportunity to obtain what may be the world's most elusive imprinted revenues on the same bond. The only other known example realized \$10,250 in our Sale No. 182.

R.M. SMYTHE AUCTION CALENDAR

March 15, 2004 – Coins – New York City

April 29, 2004 – Autographs – New York City

July 24, 2004 – Coins, Paper Money, Stocks & Bonds – New York City

October 21-24, 2004 – Paper Money, Stocks & Bonds – Strasburg, PA

June 2005 – Paper Money, Stocks & Bonds – Memphis, TN

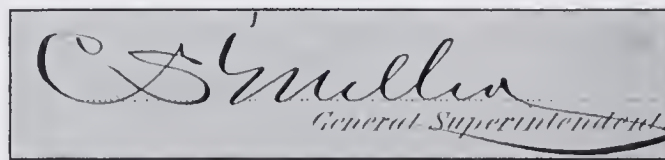
A Wonderful Collection of Autographed Railroad Passes

(Please see plate pages for images)

- 2111 **American Express** 1896. #3549. Pass. Orange. Dog with key. Signed by **William C. Fargo** on the reverse. VF. (\$200-300)

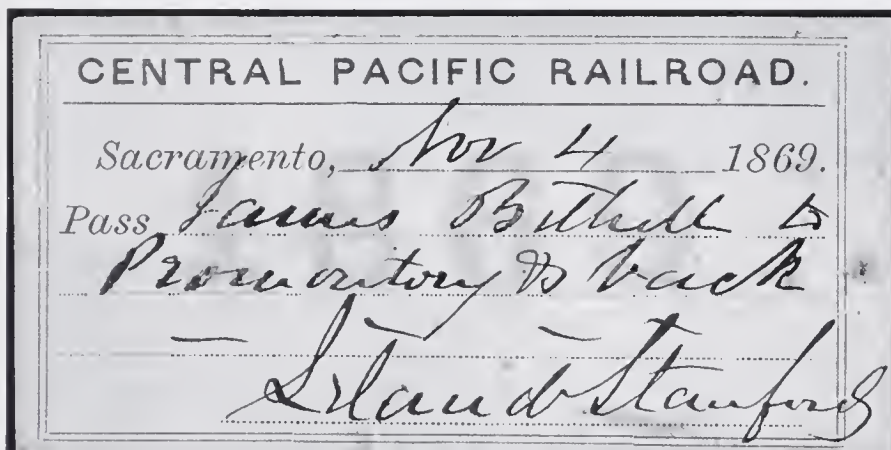
- 2112 **Assortment of Railroad Passes.** (np) [90] (\$175-250)

Large assortment of railroad passes from 1894-1924, most circa 1910. Many from the Baltimore & Ohio RR and related lines. VF+.



- 2113 **Boston & Lowell RR** 1885. #1221. Railroad Pass. Signed by **C.S. Mellen** as general superintendent. EF. (\$175-250)

Charles S. Mellen (1851-1927) was a close associate of J.P. Morgan in several railroad ventures. He won notoriety for his monopolistic practices and his neglect of equipment maintenance.




- 2114 **Central Pacific RR** 1869. Railroad Pass. Green "1869". Issued November 14, 1869 to James Bethell for transportation to Promontory & back. Signed by **Leland Stanford**. EF.

(\$3,000-5,000)

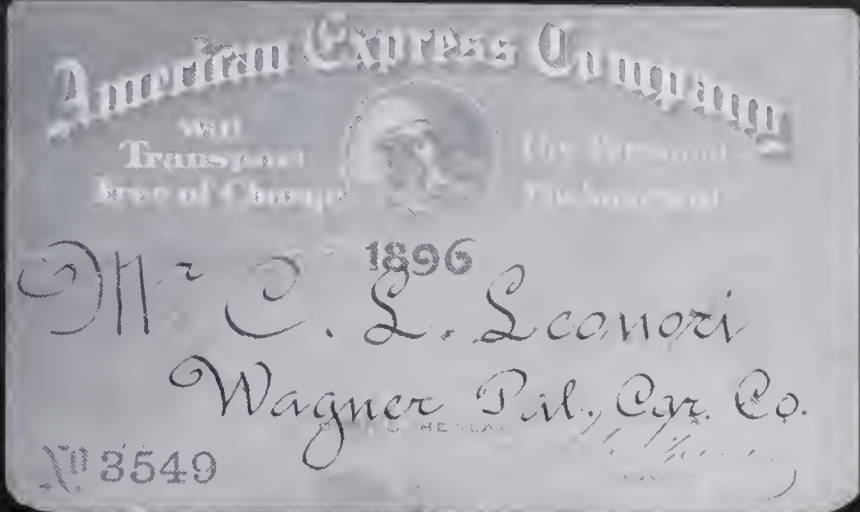
On May 10, 1869, at Promontory Point in Utah Territory, the first transcontinental railroad was completed. The golden spike was driven in the last tie plate to commemorate the Union Pacific Railroad and the Central Pacific Railroad at Promontory Summit as the completed first Transcontinental Railroad. The striking of the golden spike at Promontory Summit symbolized the joining of the nation with 3500 miles of Transcontinental Railroad extending from New York to California.

The Union Pacific Railroad and the Central Pacific Railroad contributed 2000 miles, which had been laid from Omaha to Sacramento. Transcontinental Railroad passenger train service began five days later from Omaha with the trip costing \$111 plush, first class and scheduled to take 4 days, 4 hours and 40 minutes; second class was \$80 with a few lesser defined amenities and the raw immigrant class was \$40 with no amenities. Transcontinental Railroad trips were lengthened due to washouts, buffaloes, train robberies and Indians.

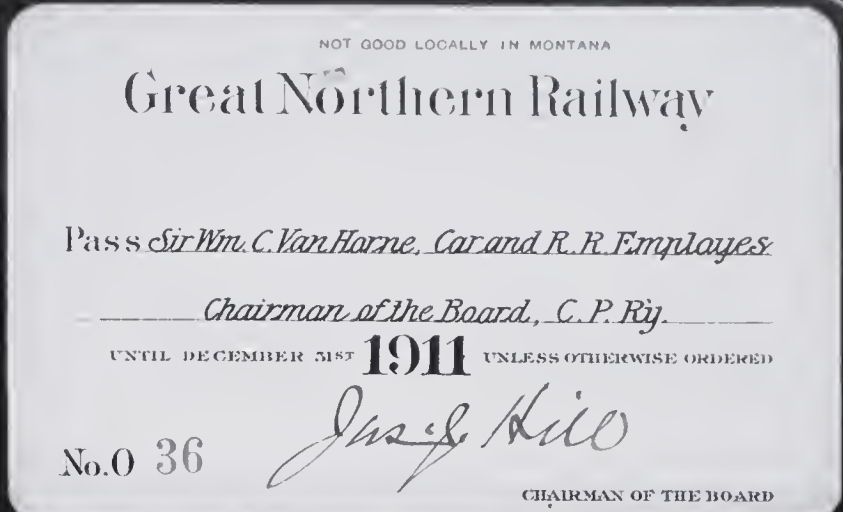
Leland Stanford (1824-1893) was the American railroad magnate, financier and politician who financed and promoted the Central Pacific Railroad, the western link of the transcontinental railroad. Stanford organized the Southern Pacific Railroad and later became Governor of California (1861-63), and U.S. Senator from 1885. He founded Leland Stanford Jr. University, now Stanford University, in memory of his son. Stanford was one of the most important railroad builders in U.S. history, and one of "The Big Four" among railroad kings.

 The person accepting this FREE PASS assumes all risks of accidents, and expressly agrees that the Company shall not be liable, under any circumstances, whether of neglect by their Agents or otherwise, for any injury to the person, or for any loss or injury to the property of the person using this ticket.

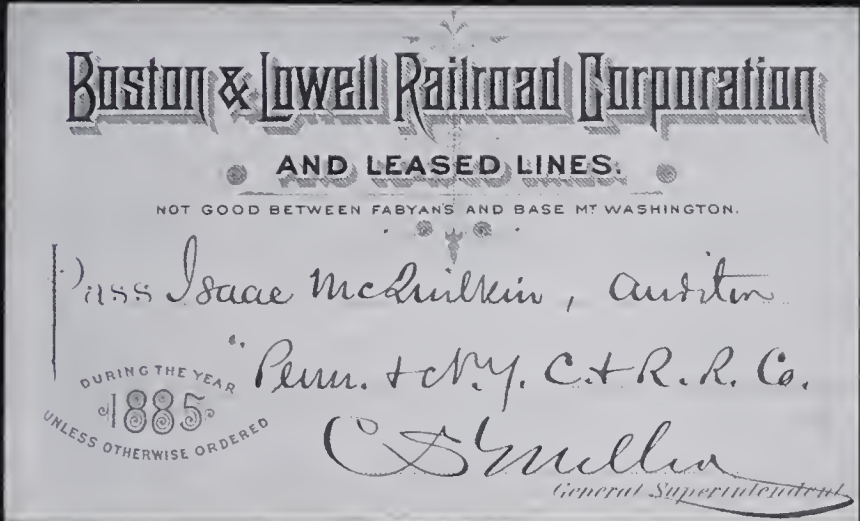
If presented by any person other than the individual named herein, Conductors will take up the Pass and collect fare.



2111



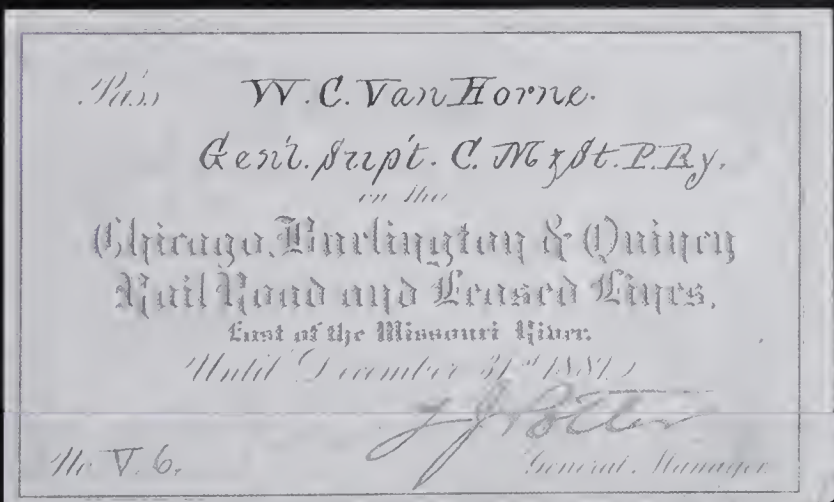
2118



2113



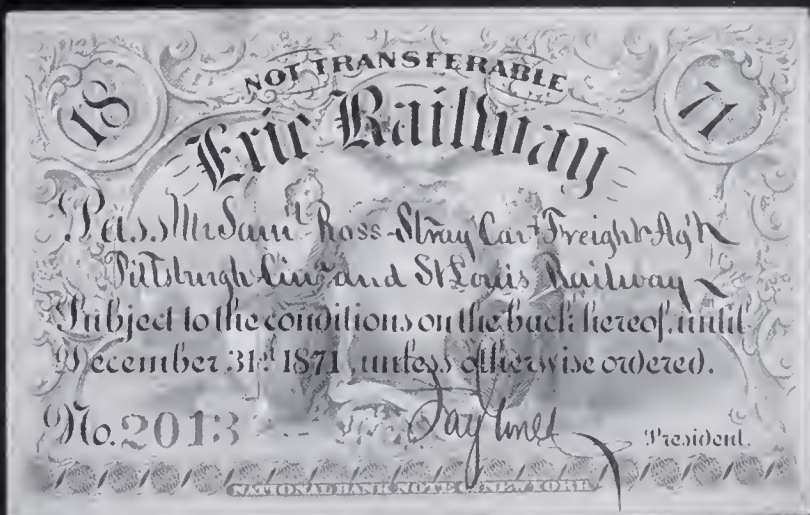
2119



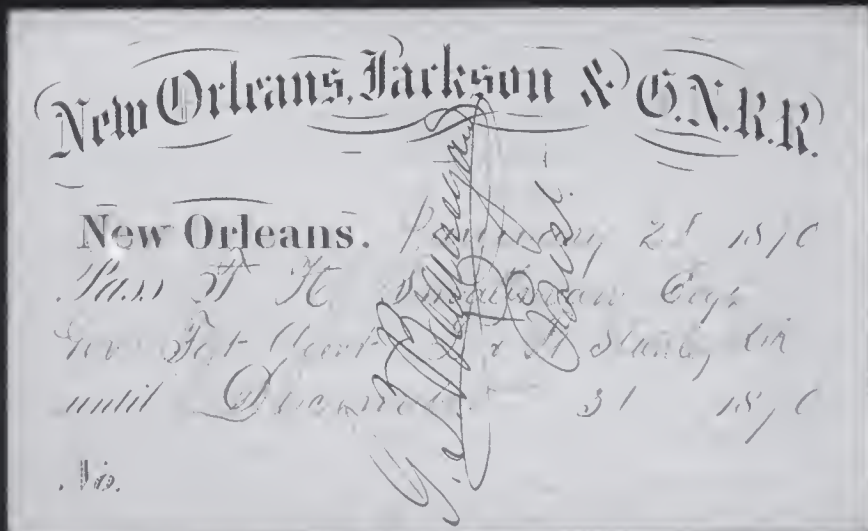
2115



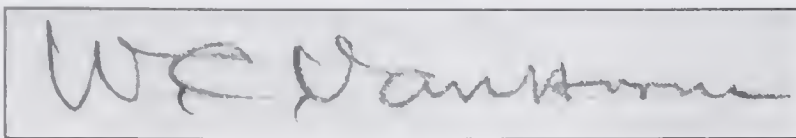
2120



2117



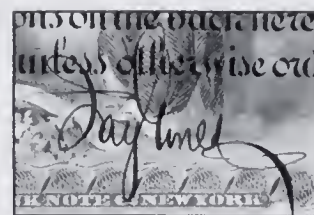
2121



- 2115 Chicago, Burlington & Quincy RR & Leased Lines**
1881. #V6. Blue with green lettering. Signed by
William C. Van Horne on the reverse. ABN. EF.

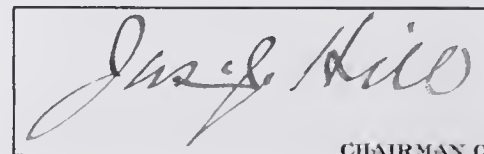
(\$150-250)

William Cornelius Van Horne (1843-1915) was an important railroad executive. He was responsible for the building of the Canadian Pacific as well as railroads in Cuba and Guatemala.



- 2117 Erie Rwy 1871. #2013. Railroad Pass. Green. New York state arms. Signed by Jay Gould as president. Issued to Sam Ross. EF.**

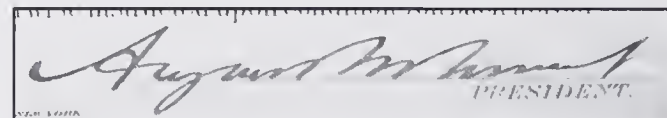
(\$3,000-5,000)



- 2118 Great Northern Rwy 1911. #36. Railroad Pass. Signed by James J. Hill as chairman. Issued to Sir William C. Van Horne. EF.**

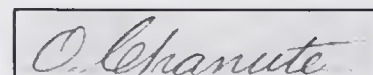
(\$1,500-2,500)

James J. Hill (1888-1916) was an American railroad promoter who became known as "The Empire Builder". With J.P. Morgan, Hill engaged in a stock market battle against E.H. Harriman and Jacob Schiff for control of the Northern Pacific Railroad, causing the Panic of 1901.



- 2119 Interborough Rapid Transit 1905. #17. Railroad Pass. Purple. Winged wheel. Signed by August Belmont Jr. as president. FLBN. VF+.**

(\$175-250)



- 2120 Leavenworth, Lawrence & Galveston RR 1872. #1223. Railroad Pass. Red. Signed by O. Chanute as general superintendent. Issued to William C. Van Horne. EF.**

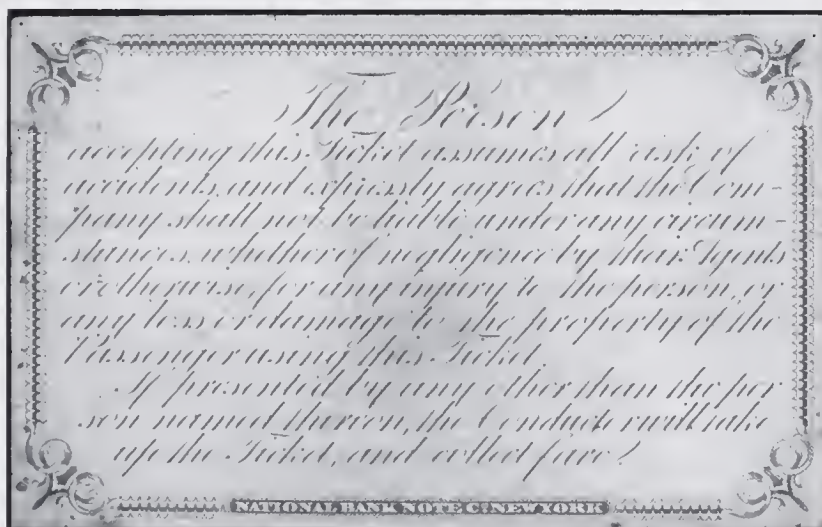
(\$200-300)

Octave Chanute (1932-1910) was an aerial navigator. He was born in Paris, and emigrated to America in 1838. He had a successful career as a civil engineer, building iron railroad bridges. His favorite pastime, however, was the study of aerial navigation. In 1896-97, he conducted the first scientific experiments in America on gliding and then built a biplane glider that the Wright Brothers used as a model for their first glider. In addition to actually flying gliders, he wrote pioneering works about the engineering and navigational problems of flight.



We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

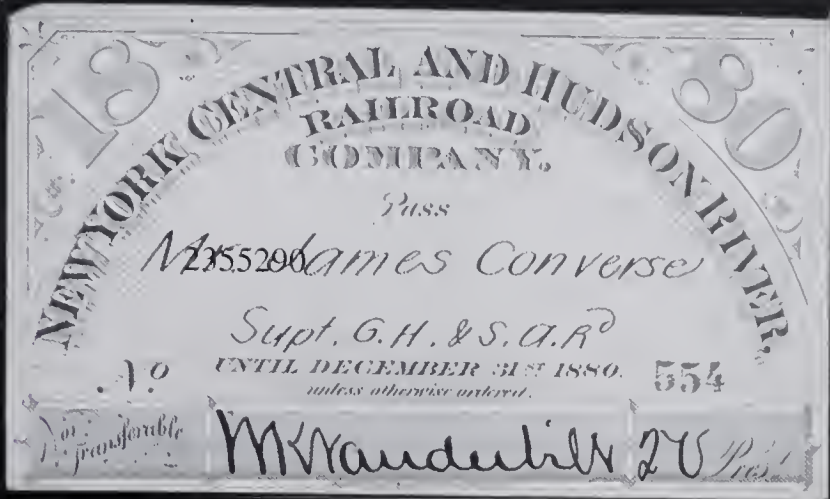
Please be sure to follow up with a written confirmation if you phone your bids to our office.



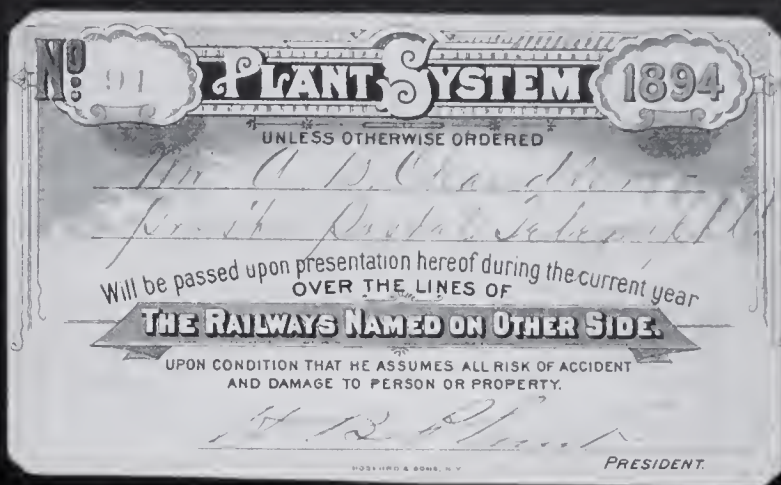
- 2116 Erie Rwy 1869. #1703. Railroad pass. Orange. New York State arms. Signed by Jay Gould as president. Issued to Capt. Charles B. Sanford. RN-P5. NBN. EF.**

(\$3,000-5,000)

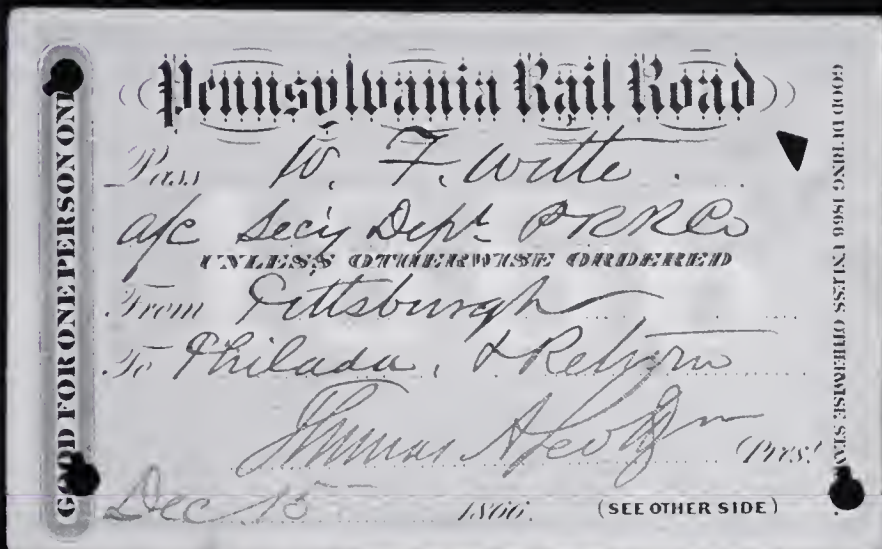
No railroad was more controversial than the Erie. It became involved in a tug of war between Commodore Vanderbilt, John S. Eldridge of the Boston, Hartford and Erie, and Daniel Drew, Jim Fisk and Jay Gould. The Commodore tried to corner the stock and push it up. Drew, Fisk and Gould secretly conspired to pillage the Erie and shorted the stock. Albany legislators were tampered with, judges corrupted, and in the end a stalemate prevailed. Gould eventually got control, rehabilitated the railroad, and made it profitable.



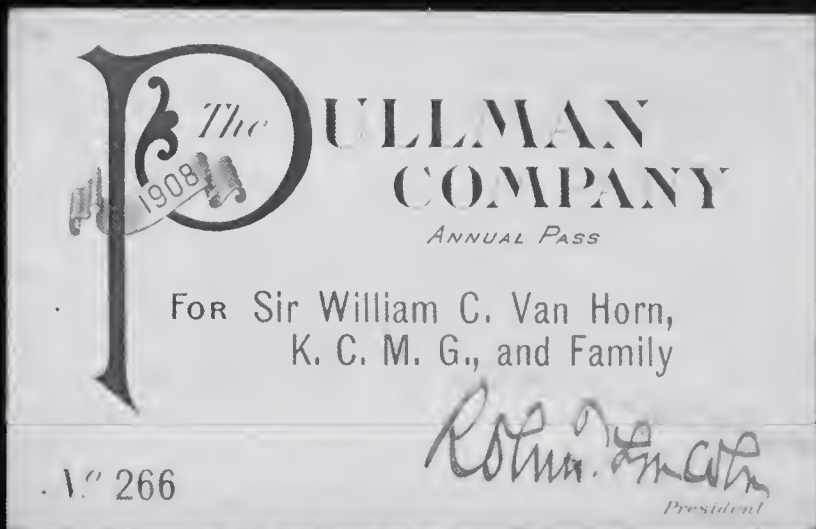
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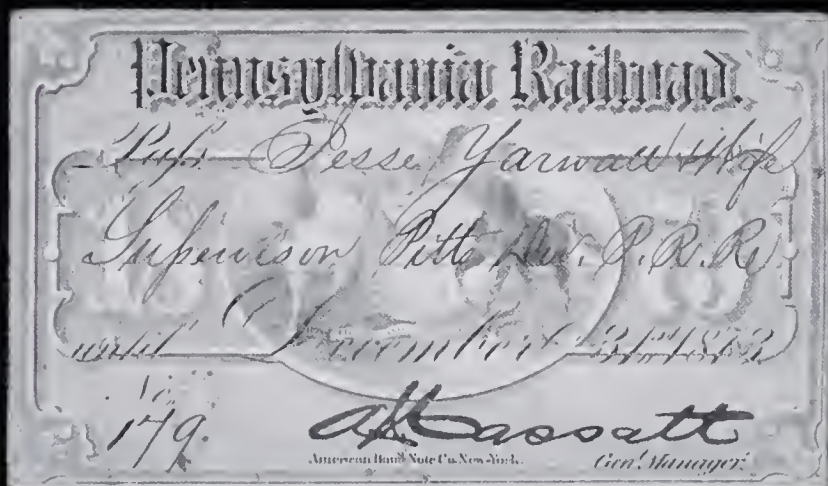
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2123



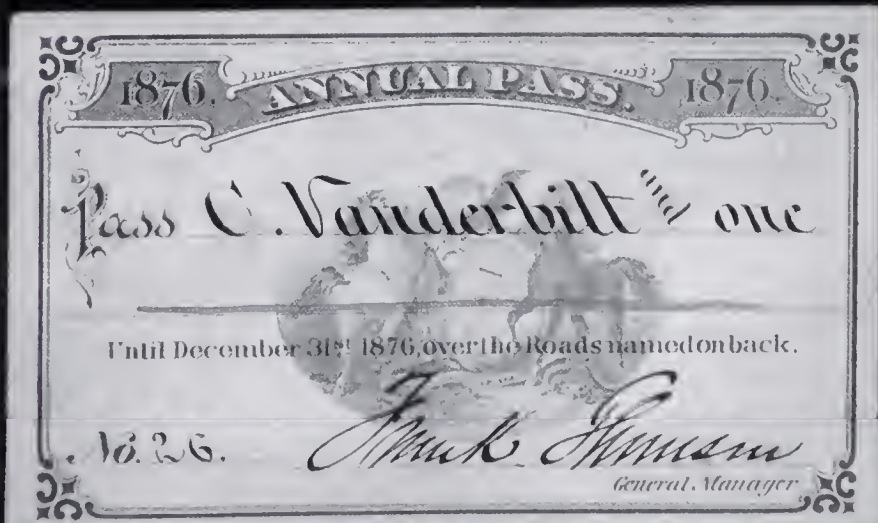
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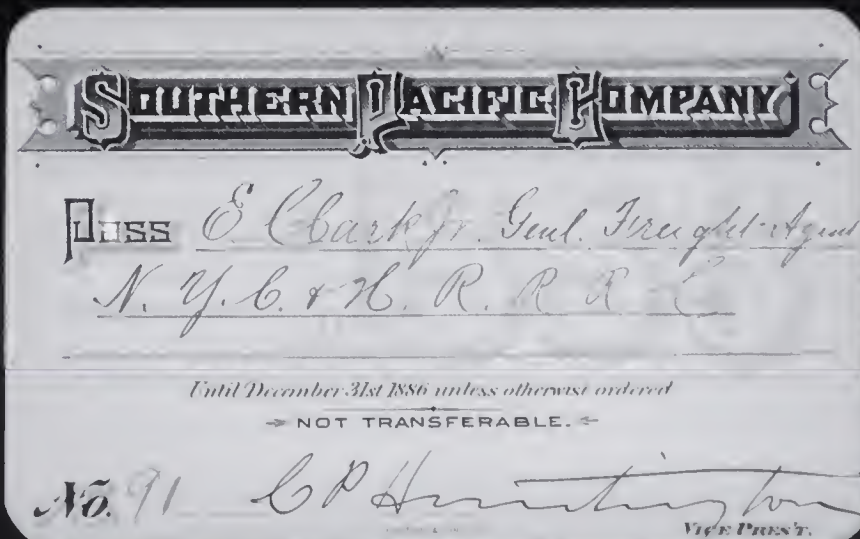
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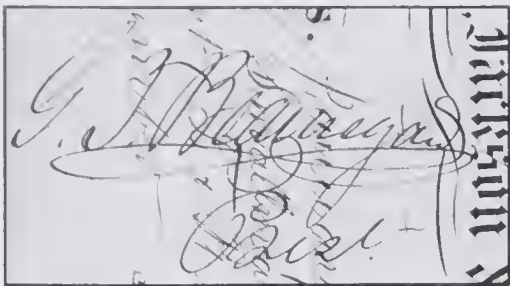
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2125



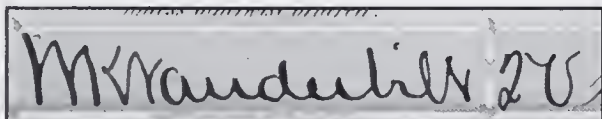
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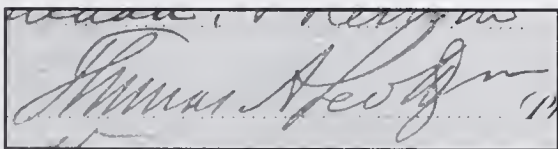
- 2121 New Orleans, Jackson & G.N. RR 1870.** Railroad Pass. Signed across the face by **P.G. T. Beauregard**. EF. (\$750-1,250)

Pierre Gustave Tousant Beauregard (1818-1893) was an important Confederate soldier. He graduated from West Point in 1838, and saw action in the Mexican War at Veracruz, Cerro Gordo, Conteras and Mexico City. In February 1861 he was commissioned a brigadier general in the Confederate Army. He was placed in command of the forces in Charleston, South Carolina. Beauregard demanded the surrender of Fort Sumter, and upon Major Anderson's refusal ordered the bombardment that began the Civil War.

Beauregard was on the field at the first victory of Manassas (Bull Run). He took command at Shiloh on the death of General Johnson. From 1863-64 he took charge of the coastal defense of Georgia and South Carolina, and successfully repelled all Union attacks. Beauregard was then sent to defend Richmond against General Butler and later Grant, against whom he set defenses at Petersburg. He turned that position over to Lee and served in the west. After the war, he was president of the New Orleans, Jackson & Great Northern RR for a period of five years.

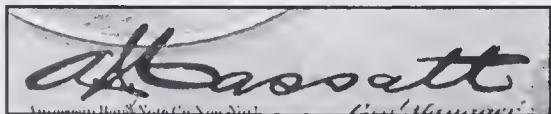


- 2122 New York, Central & Hudson River RR 1880. #554.** Railroad Pass. Orange with green title. Signed by **W.K. Vanderbilt** as president. EF. (\$175-250)



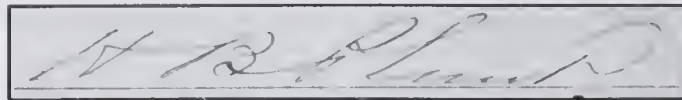
- 2123 Pennsylvania RR 1866.** Railroad Pass. Green. Signed by **Thomas A. Scott**. Four small punches in the corners. VF+. (\$175-250)

- 2124 Pennsylvania RR 1873. #179.** Railroad Pass. Olive. State arms. Signed by **A.J. Cassatt** as general superintendent. Mounting traces on the reverse, VF. (\$175-250)



Alexander Johnston Cassatt (1839-1906) was a two-time president of the Pennsylvania Railroad and was also the brother of impressionist painter Mary Cassatt.

- 2125 Pennsylvania RR 1876. #26.** Railroad Pass. State arms. Issued to but not signed by Cornelius Vanderbilt. Two tape hinge remnants on verso, VF. (\$300-500)

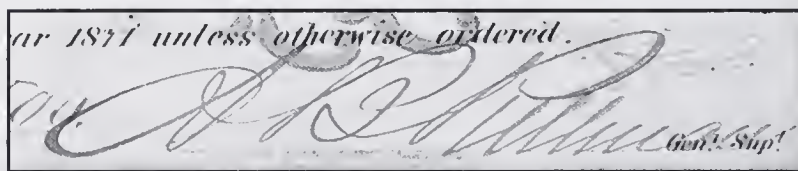
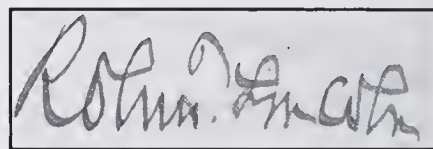


- 2126 Plant System 1894. #94.** Railroad Pass. Yellow. Signed by **H.B. Plant** as president. EF. (\$300-500)

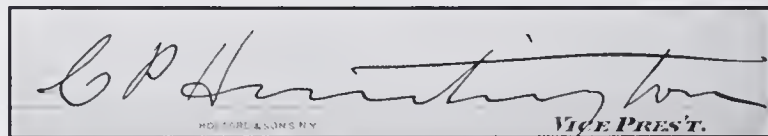
Henry B. Plant (1819-1899) founded the Plant system of railroads. After the Civil War, most railroads in the South were ruined. Convinced of the eventual economic revival of the South, Plant bought at foreclosure, in 1879 and 1880, the Atlantic & Gulf Railroads and the Charleston & Savannah Railroads. With this nucleus, he began building along the eastern seaboard a transportation system that twenty years later included 14 railways covering 2,100 miles.

- 2127 Pullman Company 1908. #266.** Annual Pass. Green. Signed by **Robert Todd Lincoln** as president. EF. (\$300-500)

Robert Todd Lincoln (1843-1926) was the son of Abraham Lincoln. He served on Grant's staff and was later the Secretary of War under Garfield. He succeeded Pullman as president of the Pullman Company.



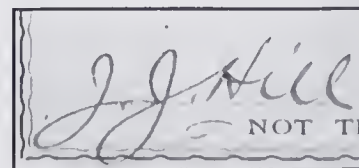
- 2128 Pullman Palace Car 1871. #500.** Railroad Pass. Signed by **A.B. Pullman**. EF. (\$200-300)

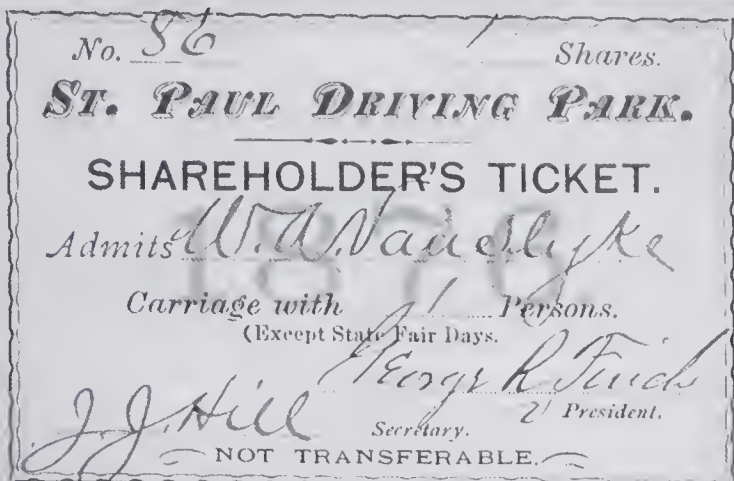


- 2129 Southern Pacific 1886. #91.** Railroad Pass. Signed by **C.P. Huntington** as president. EF. (\$400-600)

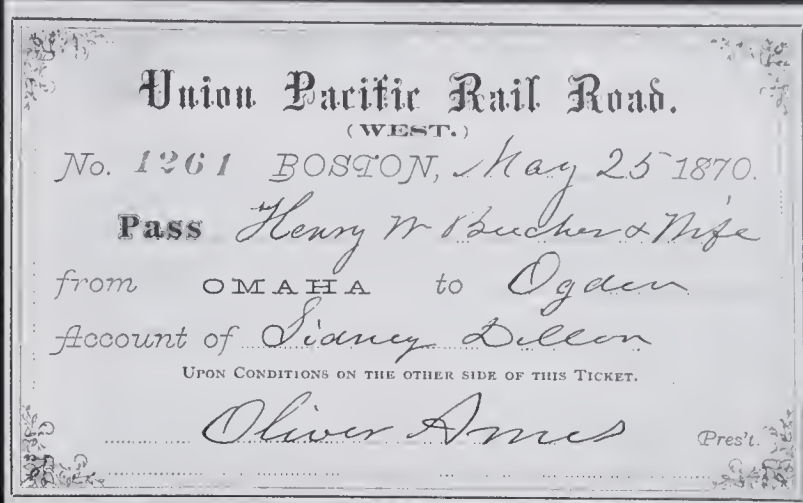
Collis P. Huntington (1821-1900) was an American railroad magnate and capitalist. He caught gold fever and moved to California in 1849 where he became involved with the building and successful completion of the transcontinental railroad. As a lobbyist in Washington, Huntington contributed to the development of Western railroads. He was associated with the Southern Pacific, the Chesapeake and Ohio Railroads, and the Pacific Mail Steamship Company, the Old Dominion Steamship Company and the United States and Brazil Steamship Company.

- 2130 St. Paul Driving Park 1876.** Shareholder's Ticket. Green. Signed by **James J. Hill** as secretary. EF. (\$1,500-2,500)

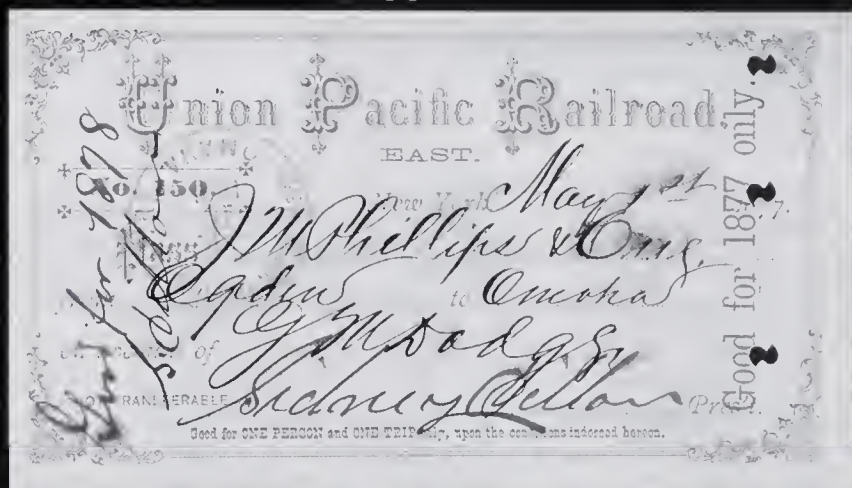
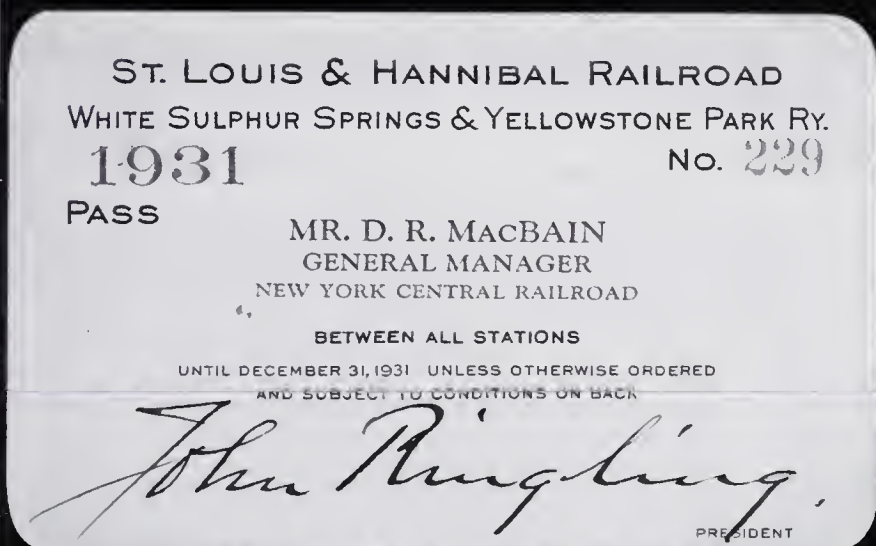




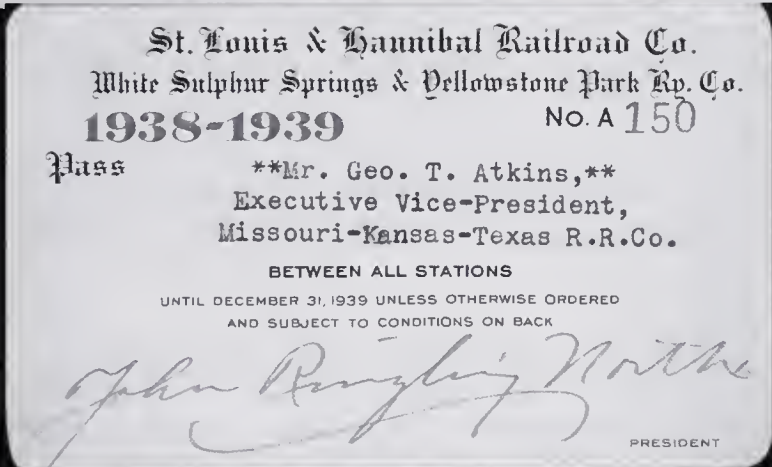
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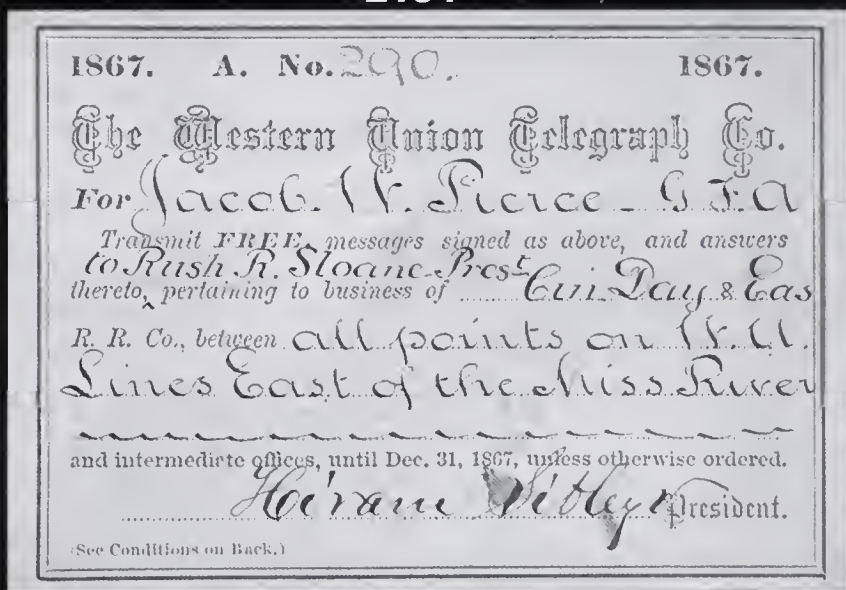
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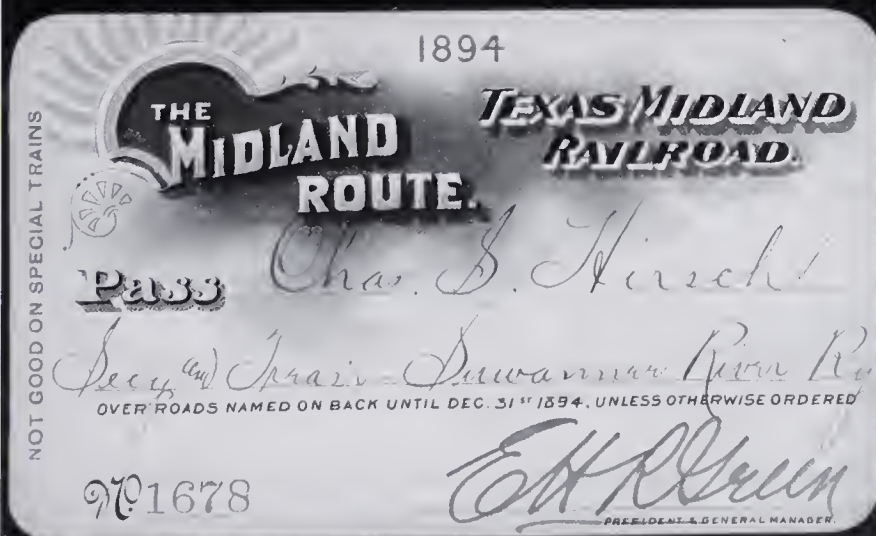
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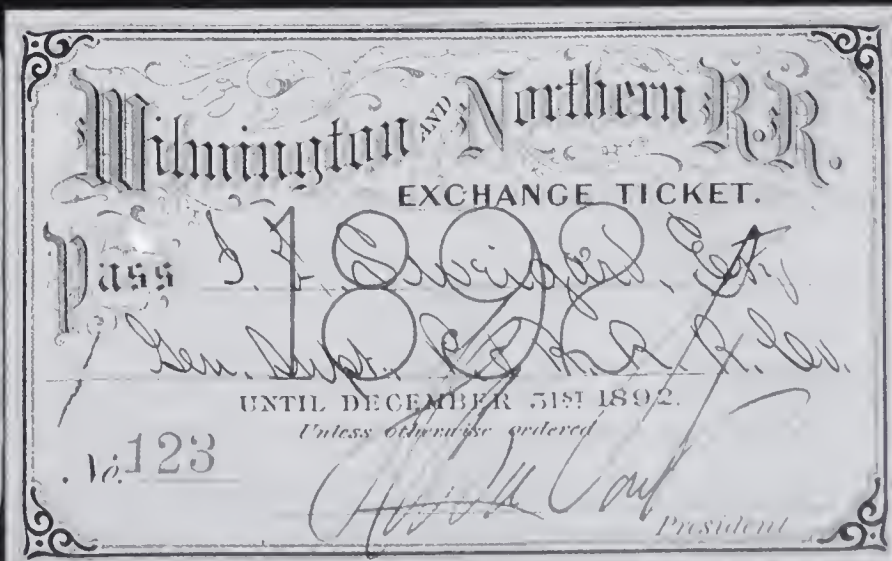
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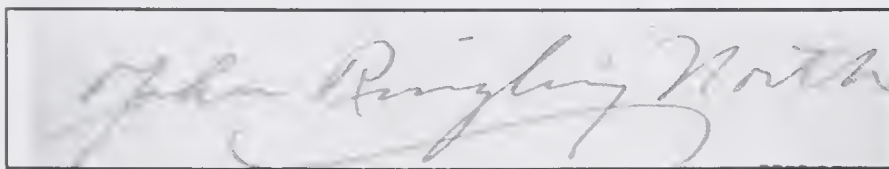
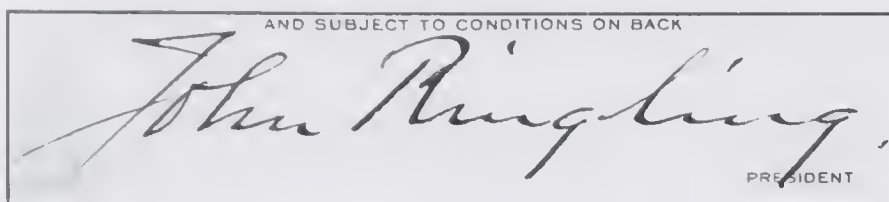
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2136



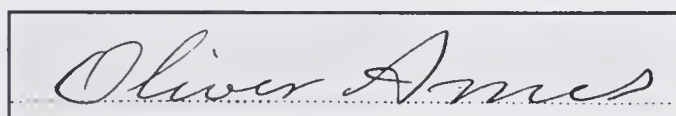
- 2131 St. Louis & Hannibal RR 1931 & 1938.** Railroad Pass. The first pass is signed by **John Ringling** and the second pass is signed by **John Ringling North**. Both were important circus promoters. **EF.** [2]
(\$200-300)

- 2132 Texas Midland RR 1894.** Midland Route. #1678. Railroad Pass. Blue. Signed by **E.H.R. Green** as president. **EF.**
(\$175-250)

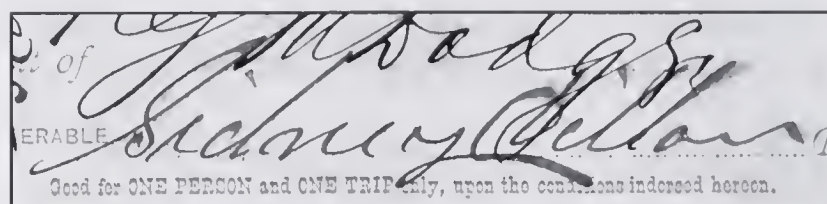


Edward H.R. Green (1868-1936) was an American capitalist who inherited the vast fortune of his mother, Hetty Green, known as the "Witch of Wall Street". His mother was known to distrust doctors so much and was known to be such a miser that she allegedly refused to have Edward's gangrene infected leg examined, leading to its amputation. With his vast wealth, Edward became a director of the Ohio & Mississippi RR at age 21 and by age 25 had acquired the Texas Midland RR and the Houston & Texas Central RR. A prominent figure in Texas during his time, he was three term chairman of the Texas Republican Committee. A famous numismatist, Green owned all five 1913 Liberty nickels at one time.

- 2133 Union Pacific RR 1870.** West Division. #1261. Railroad Pass. Signed by **Oliver Ames** as president. Minor stain in the top border, **VF+.** **(\$250-350)**

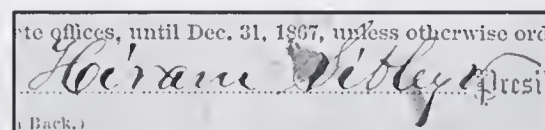


Oliver Ames (1807-1877) was an American manufacturer and railroad executive who, with his brother Oakes Ames, inherited a shovel manufacturing business from their father. Oliver became actively involved in the railroad and shipping industries. He was the president of Union Pacific from 1866 to 1871, while his brother Oakes focused his energies on keeping the Credit Moblier scandal from turning into a complete disaster.



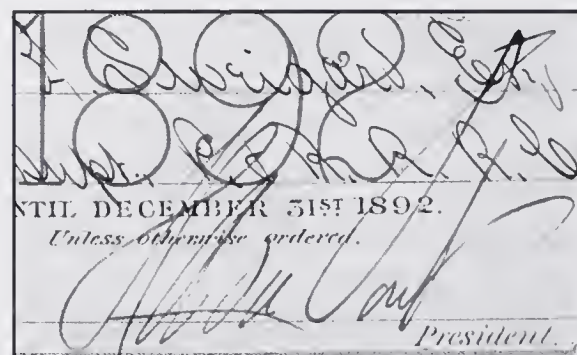
- 2134 Union Pacific RR 1877.** East Division. #150. Railroad Pass. Red. Signed by **Sidney Dillon** as president. **EF.** **(\$300-500)**

Sidney Dillon (1812-1892), financier and railroad builder, was President of the Union Pacific and directed the road during its transcontinental construction. He was a long-time ally of Jay Gould.



- 2135 Western Union Telegraph 1867.** #290. Permit for Messages. Blue. Signed by **Hiram Sibley**. **VF+.** **(\$300-500)**

Hiram Sibley was president of the colossal Western Union Telegraph Company. By the time the Civil War ended, Sibley owned 66,000 of the 73,000 miles of telegraphy lines. Under his direction the number of telegraph offices grew from 132 to 4,000.



- 2136 Wilmington & Northern RR 1892.** #123. Railroad pass. Green. Signed by **H.A. Du Pont** as president. **EF.** **(\$150-250)**

Henry Algernon du Pont (1838-1926) was a Union army officer and politician who was awarded a Medal of Honor for brilliant action at Cedar Creek during the Civil War. Du Pont was also U.S. Senator from Delaware, 1906-1917.

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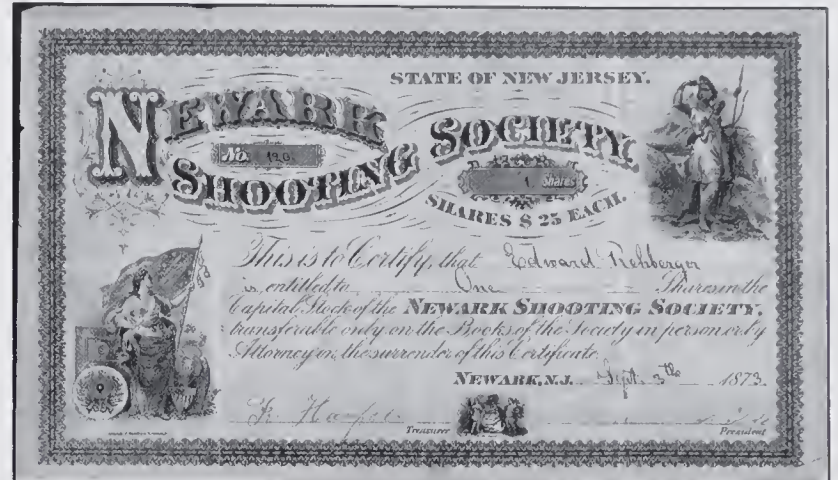
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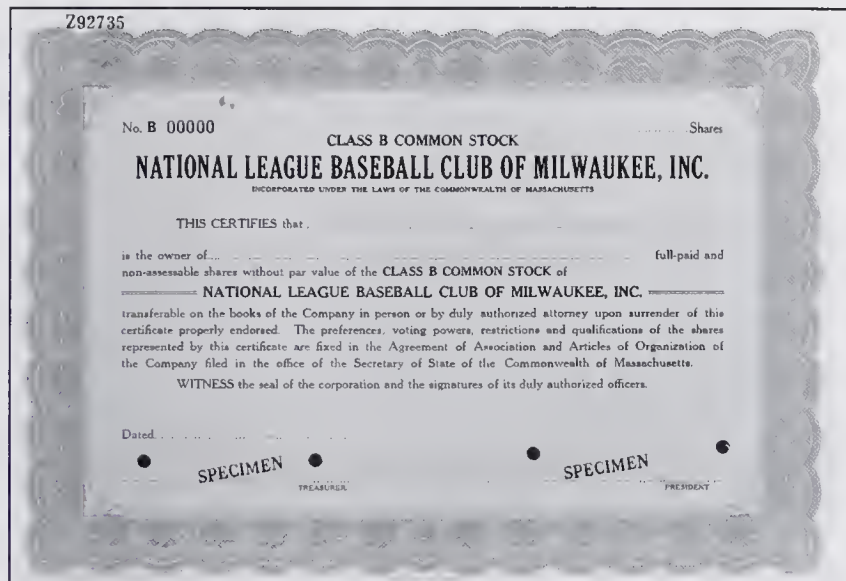
General Certificates II



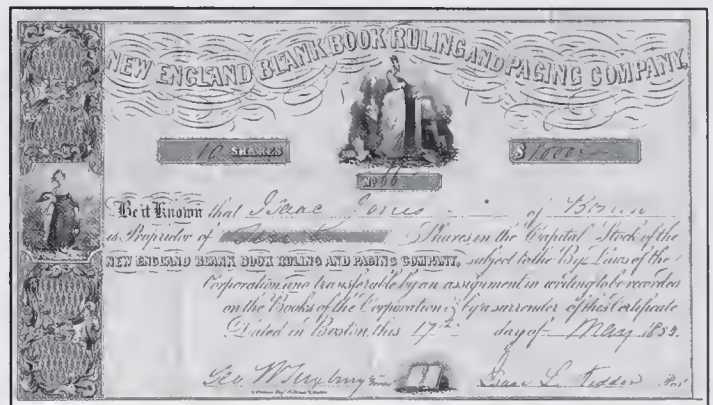
- 2137 **National Express & Transportation (VA) 1866.**
#129. 10 shs. Woman with shield, flag and eagle.
Hay wagon, left. Cotton wagon, right. Trimmed
margin, else VF+.* (\$300-500)



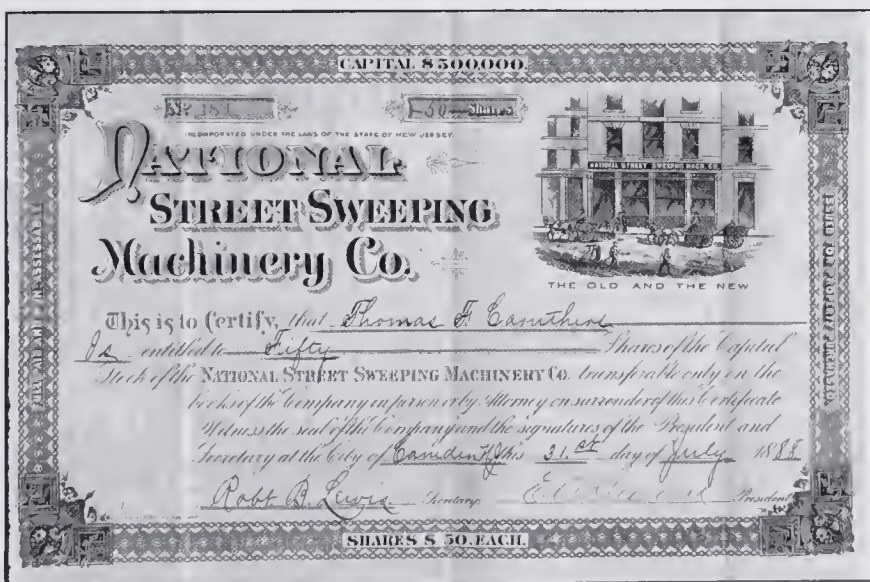
- 2140 **Newark Shooting Society (NJ) 1873. #120. One share.**
Indian princess right. Columbia, lower left.
Small state arms, bottom. VF.* (\$500-750)



- 2138 **National League Baseball Club of Milwaukee (MA)**
Specimen. Shares. All orange. EF. (\$250-350)



- 2141 **New England Blank Book Ruling & Paging 1855.**
#66. 10 shs. Brown. Woman leans on column.
Woman with wheat, left. Open ledger book, bottom.
VF.* (\$200-300)



- 2139 **National Street Sweeping Machinery (NJ) 1888.**
#183. 50 shs. Detailed view of street with various
street sweepers. "THE OLD AND THE NEW". VF+.* (\$300-500)



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- 2142 Newport News & Mississippi Valley 1889. #422. 100 shs. Cu. Green. Eagle with shield flanked by side wheel steamer and train. Signed by **C.P. Huntington** as president. ABN. EF.* (\$350-500)

Collis P. Huntington (1821-1900) was an American railroad magnate and capitalist. He caught gold fever and moved to California in 1849 where he became involved with the building and successful completion of the transcontinental railroad. As a lobbyist in Washington, Huntington contributed to the development of Western railroads. He was associated with the Southern Pacific, the Chesapeake and Ohio Railroads, and the Pacific Mail Steamship Company, the Old Dominion Steamship Company and the United States and Brazil Steamship Company.



- 2143 New York Cotton Exchange 189_. Specimen. Membership certificate. Cotton Exchange building. Field hands pick cotton, bottom. ABN. EF.

(\$200-300)



- 2144 New York Lake Erie & Western Docks & Improvement (NJ) 1884. #11. 10 shs. Busy dock scene with train and ships. Issued to and signed on the reverse by **Ogden Mills**. VF+.* (\$200-300)

Ogden Mills (1825-1910) was an important banker, financier and philanthropist. He founded the Bank of California and the Virginia and Truckee RR.

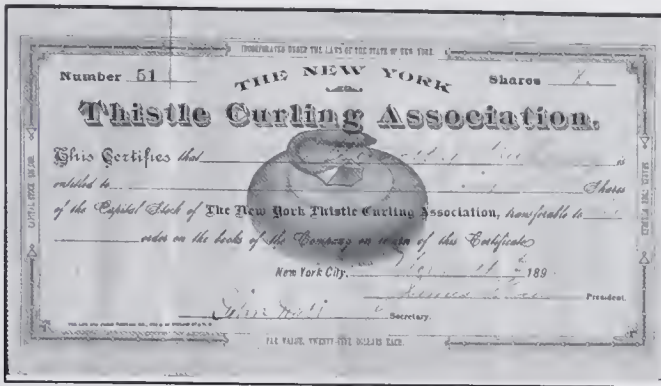


- 2145 New York Southern Society (NY) 189_. Specimen. Shares. City arms. George Washington, right. EF. (\$200-300)



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- 2146 New York Thistle Curling Association (NY) 1890. #51. 8 shs. Green. Underprint of a curling stone. VF.* (\$200-300)

The sport of curling originated in Scotland in the early 16th century and has often been referred to as "Chess on Ice." Scottish emigres brought the game to North America and the first club was founded here in 1807. The game is called curling because, when releasing the stone, the player turns the handle. This causes the stone to travel in a curved path down the ice. Curling was a full medal sport in the 1998 Olympic games at Nagano. Curling was however a demonstration sport at the Olympics back in the 1924 games at Chamonix, France.

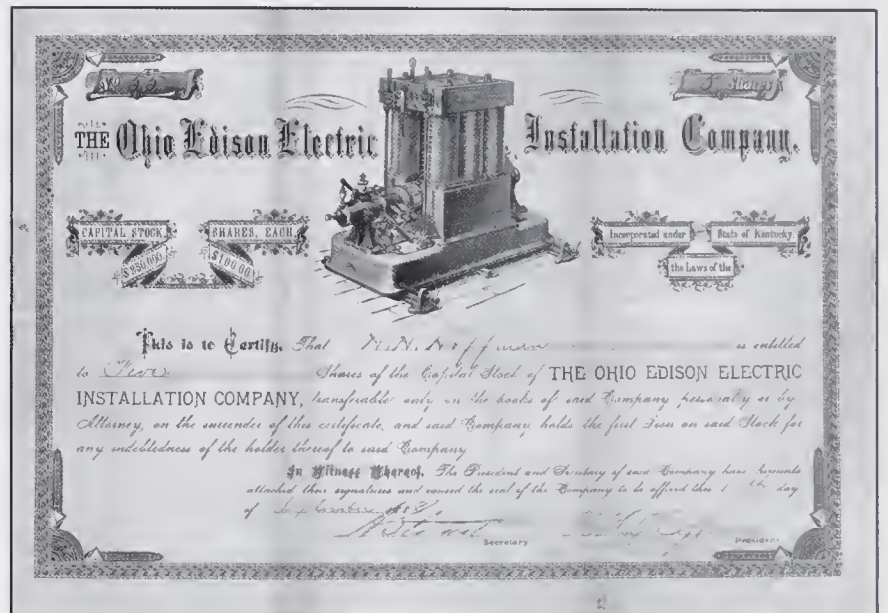


- 2147 Ogala & Silver Springs (NJ) 1892. 100 shs. Brown. Wagons and coaches pass detailed Victorian style building. Signed as president by Joshua Chamberlain. VF+.* (\$1,000-1,500)

Joshua Chamberlain (1828-1914) was a Union general who was awarded the Medal of Honor for gallantry for his defense of Little Round Top at the Battle of Gettysburg. He went on to become the governor of Maine.



- 2148 Odorless Excavating (NJ) 1878. #50. 5 shs. Red seal. Title flanked by sewage removal scenes. VF+.* (\$300-500)



- 2149 Ohio Edison Electric Installation (KY) 1883. #55. 5 shs. Large (3 1/2" x 3 1/2") highly detailed vignette of an electrical generating apparatus with a name plate that reads "Edison Machine Works, New York USA H.No.19". Issued to H.H. Hoffman, the president. Less than a dozen are known to us. VF+.* (\$500-750)
A picture postcard of Thomas Edison is also included.

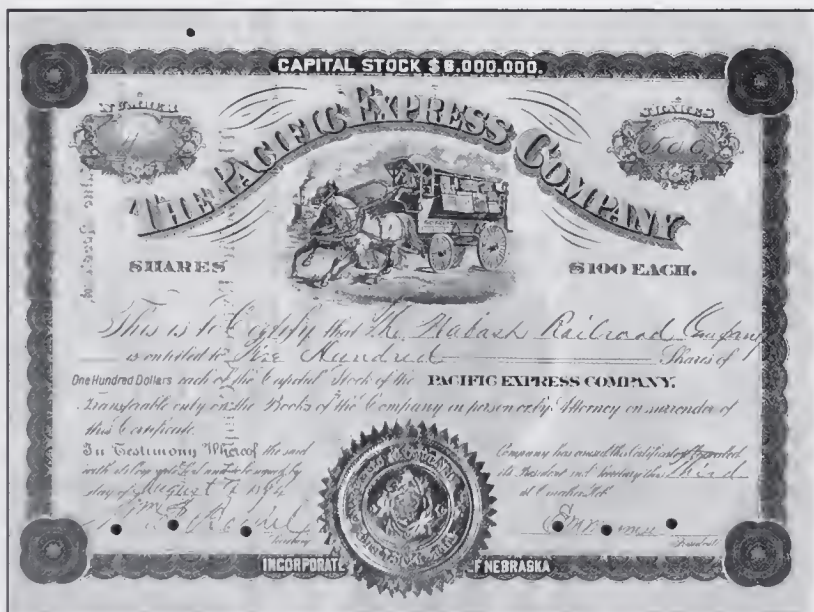


- 2150 Overland Telegraph (WV) 1899. #27. 1000 shs. Orange. Woman with telegraph and children, Capitol building in distance. ABN. VF+.* (\$200-300)



Frederick Pabst
PRESIDENT

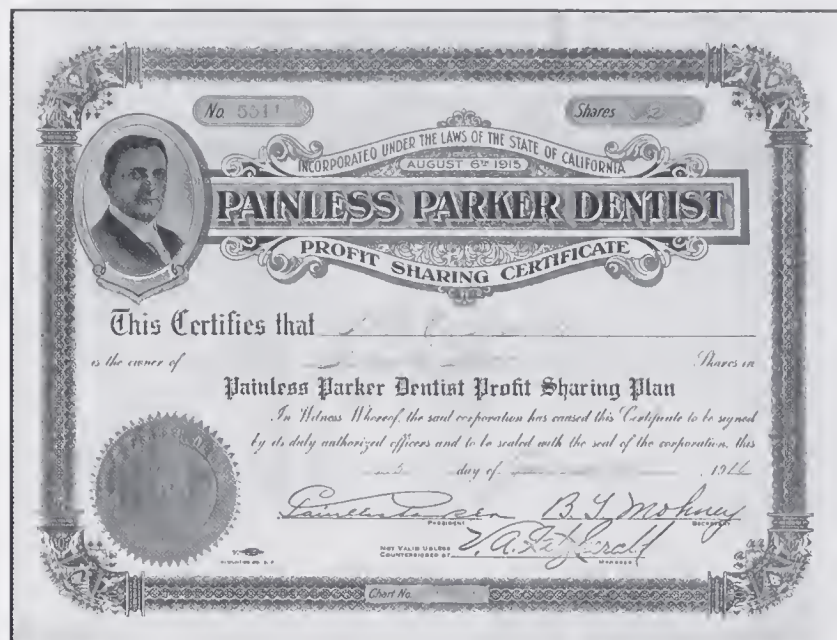
- 2151 Pabst Brewing (WI) 1889. Milwaukee. #772. 51 shs. Green. Vignettes of the Empire Brewery and South Side Brewery. Signed twice by Frederick Pabst, once as president and again on the reverse. VF+. * (\$250-350)



- 2152 Pacific Express (NE) 1894. #40. 500 shs. Gold seal. Pacific Express wagon races away from train at Omaha Station. VF+. (\$500-750)

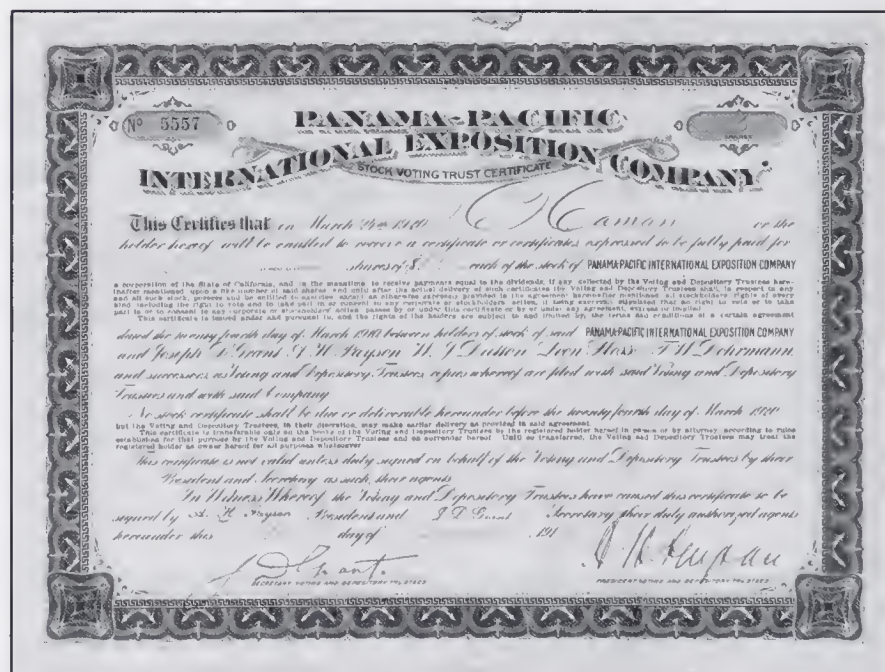


Lot 2152 - Vignette Inset



- 2153 Painless Parker Dentist Profit Sharing Plan (CA) 1916. #5511. 32 shs. Green with gold seal. Portrait of Painless Parker. VF+. * (\$400-600)

Canadian, New York and Californian dentists protested Parker using "painless" in his advertising, so Edgar Rudolff Parker legally changed his name to Painless Parker. The last we offered realized \$750 in our Sale No.213.



- 2154 Panama-Pacific International Exposition (CA) 1914. #5557. 5 shs. Stock Voting Trust Certificate. Small stain in top border, VF+. * (\$200-300)

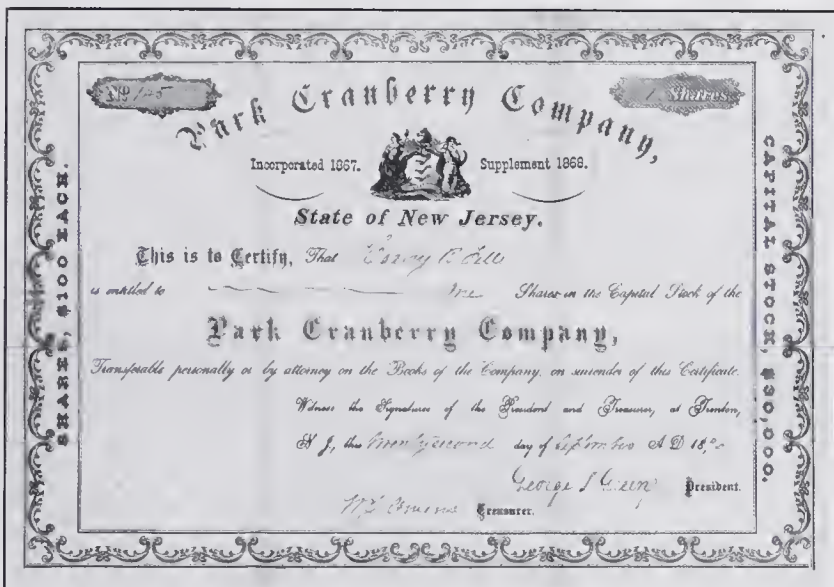
The exposition was the world's fair held in 1915 in San Francisco, California. The fair was of great economic importance for the city that had been almost destroyed by the great earthquake and fire of 1906. The exposition was a tremendous success, and did much to restore San Francisco. The exposition was a celebration of the completion of the Panama Canal, and it also commemorated the 400th anniversary of the discovering of the Pacific Ocean by the explorer Balboa.



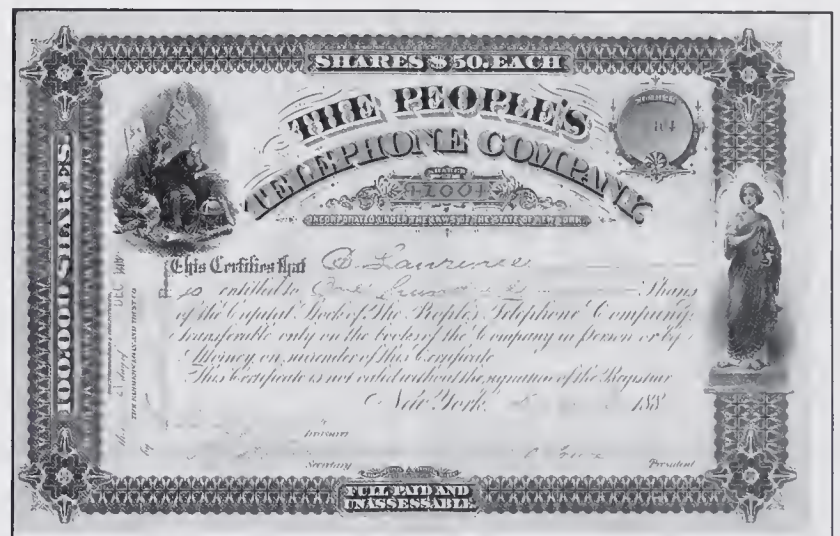
- 2155 Pan-American Exposition (NY) 1900. #4739. One Share.** Green with gold seal. Two women form continents of North and South America. Similar medallions form border. VF.* (\$200-300)



- 2158 Pendleton Baseball Association (OR) 1902. #43. 2 shs.** Green underprint. Allegorical woman. VF+.* (\$250-350)



- 2156 Park Cranberry (NJ) 1896. #145. One Share.** Maroon titles. State arms. Embossed cranberry bush within seal. VF+.* (\$175-250)



- 2159 People's Telephone (NY) 1887. #2404. 1001 shs.** Allegorical History, left and Science, right. ABN. VF.* (\$175-250)

- 2157 Peekskill Academy (NY) 1836. 10 shs.** Four story school building. Issued to but not signed by Cornelius Vanderbilt. Laid down on paper, F. (\$300-500)



The Peekskill Academy was originally founded in 1833. In 1852 the name was formally changed to the Peekskill Military Academy.



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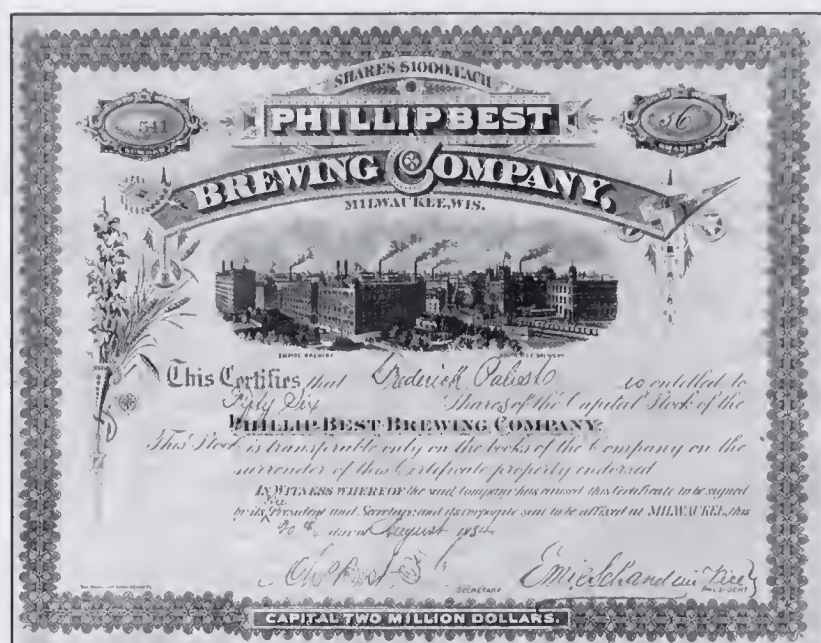


2160 Pepsi-Cola (VA) 1927. 5 shs. Preferred. Orange with gold embossed seal. Pepsi Cola logo with "Delicious-Delightful". **VF+.***

(\$1,500-2,500)

In 1920, Caleb Bradham, the owner of a drug store in New Bern, North Carolina, organized the original Pepsi-Cola Corporation. He was immediately faced with a difficult decision. In a short period of time the price of sugar climbed from six to twelve cents, and there seemed to be no end in sight. Bradham decided to lock in a good supply, right near the high of twenty-six cents a pound. Sugar prices collapsed to two cents a pound, and the fledgling company was severely pressed for cash and could not raise enough. On January 31, 1922, the stockholders entered into a reorganization agreement and formed a new corporation, but the public failed to support the new stock, and Bradham's dreams of financial success died for non-payment of taxes.

Today, an office building occupies the location that housed the drug store where the original Pepsi-Cola Company began, and coincidentally, there is a modern Pepsi-Cola sign on the roof.



2161 Phillip Best Brewing (WI) 1884. Milwaukee. #541. **56 shs. Green.**

Vignettes of the Empire Brewery and South Side Brewery. Issued to and signed on the reverse by **Frederick Pabst. VF+.***

(\$250-350)

Frederick Pabst married Best's daughter and when he became president of the company changed the name to Pabst Brewing Co.

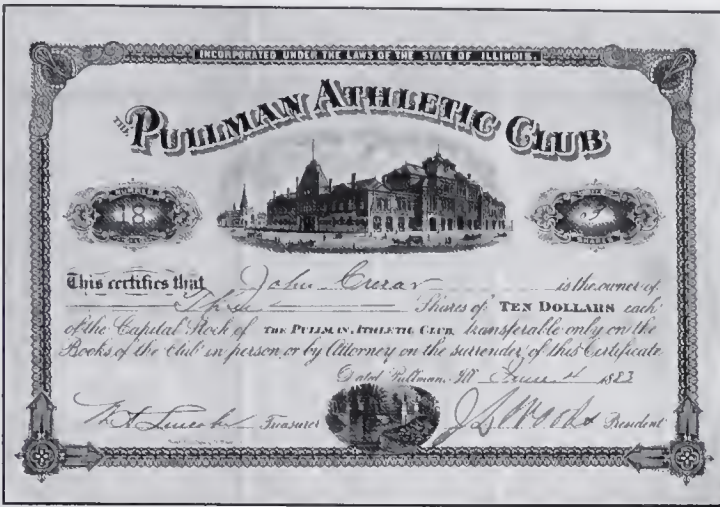


2162 Playboy Enterprises (DE) Specimen. Shares. Blue. Reclining female nude. Playboy bunny, bottom. **EF.**

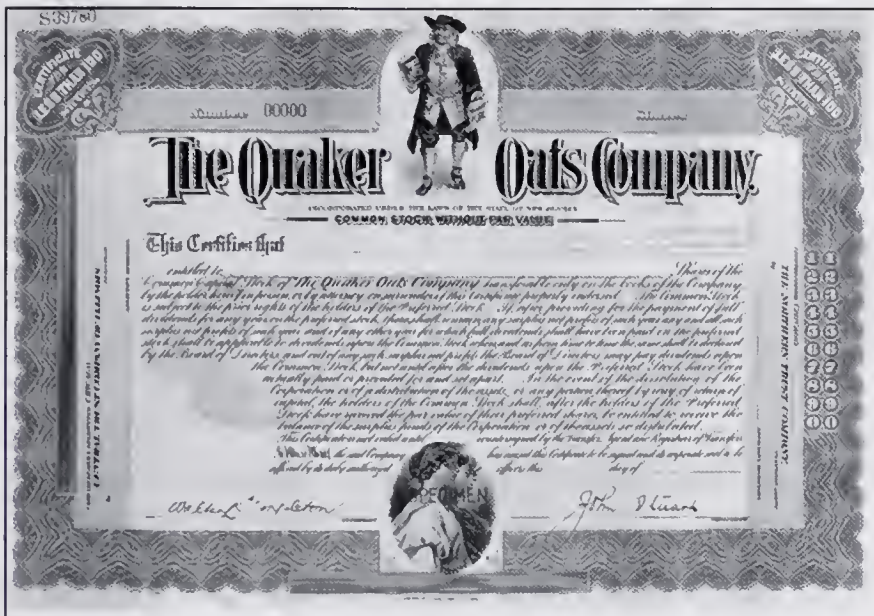
(\$200-300)

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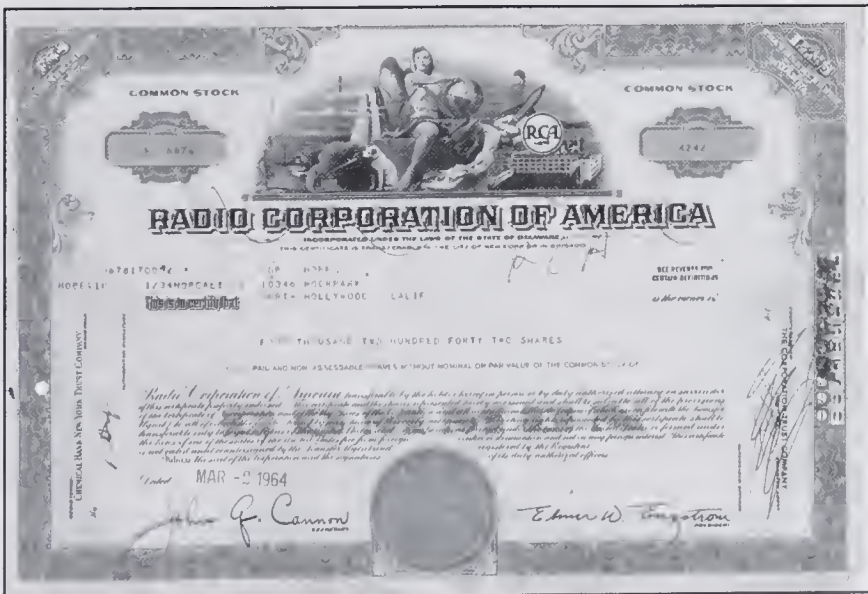
We now have our own online shop, with a large selection of stocks and bonds and currency, and we are adding more every day. Please go to **smytheononline.com** and visit our online shop. We invite your comments.



2163 Pullman Athletic Club (IL) 1883. #18. 3 shs. Large Victorian style building. Rowboat on stream, bottom. VF+.* (\$175-250)



2164 Quaker Oats (NJ) Specimen. Shares. Brown. Quaker gentleman with a box of "Rolled Oats". The "Reapers", bottom. ABN. EF. (\$200-300)



2165 Radio Corporation of America (DE) 1964. 4242 shs. Blue. Woman with globe flanked by dog with graphophone and RCA logo and headquarters; ship, skyscraper and radio tower in the distance. Issued to Bob Hope, the legendary comedian. Minor pen cancels and folds, VF. (\$200-300)



2166 Remington Arms (DE) Specimen. \$1000. Registered First Mortgage 6% Gold Bond. Blue. Woman reclines with lion. ABN. VF+. (\$250-350)



2167 Remington Phonograph (DE) 1920. #603. 25 shs. Eagle atop rock. Signed by P.E. Remington as president. VF+.* (\$250-350)

Philo E. Remington, president of the company and grandson of the founder of the Remington firearms and typewriter companies, organized this company to produce records. In 1920, he filed a trademark application for the Reminola brand. The company was marketed as a premium-priced label, and it offered primarily bland pop and light classical fare. Technical quality was mediocre at best, and with few stars on its roster, the company could not compete with Columbia, Victor, and other comparably priced labels. The Remington Phonograph Corporation failed in December 1921. Philo Remington attempted to reorganize as the Remington Radio Corporation in 1922, but suspended operations after being indicted for stock fraud.



2168 Ringling Bros.-Barnum & Bailey Combined Shows (DE) 1971. #6236. 100 shs. Multicolored circus figures all around green border. VF. (\$175-250)



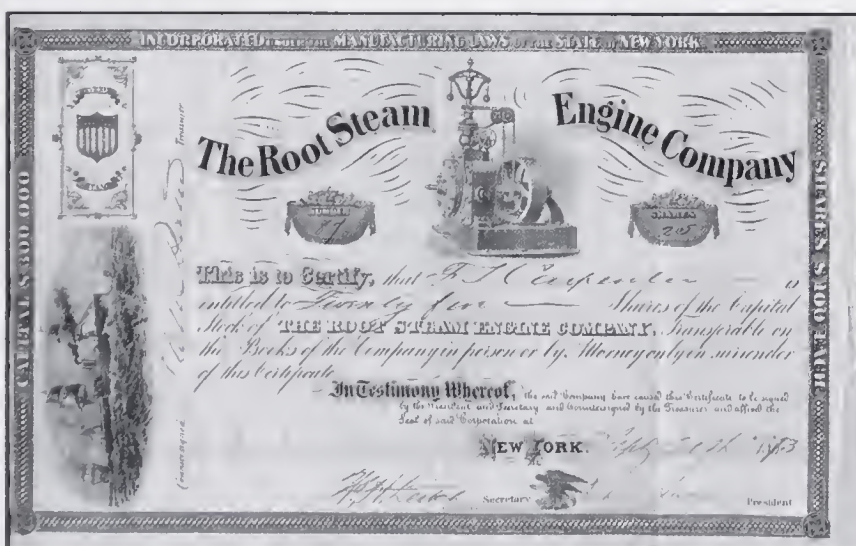
2171 Schoolcraft Iron (MI) 1868. #45. 50 shs. Riverside factory. RN-P4. VF.* (\$300-500)
Not in Castenholz.



2169 Ringling Bros.-Barnum & Bailey Combined Shows (DE) Specimen. Shares. Multicolored circus figures all around pink border. EF. (\$250-350)



2172 Schools of Michigan Monument Association (MI) To Her 13,000 Fallen Braves. 10 Cents. Contribution Certificate. Mourners with coffin draped with flag. Various Civil War scenes in corners. Calvert. Mounted to card, F.* (\$300-500)



2170 Root Steam Engine (NY) 1873. #87. 25 shs. Detailed steam engine. Steamship, left. Small eagle, bottom. VF.* (\$250-350)



2173 Sea Island (NY) 1866. #44. 50 shs. Eagle with shield, ship and train in distance. Farmers, bottom. Man with bale of cotton, left. Signed by Alfred Ely as president. VF+ (\$175-250)

Sea Island is a small island to the east of St. Simmons Island. The island is only 5 miles long and 2 miles wide and is part of Georgia. The island was purchased in 1926 by Howard Coffin. The island became home to the now famous resort, The Cloister Hotel.



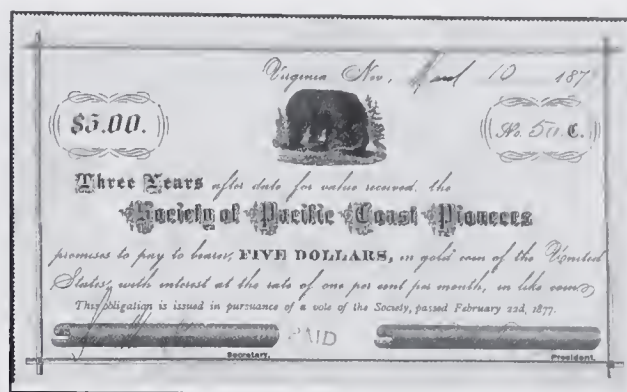
- 2174 Sea-View Hotel of Atlantic City (NJ) 1895. #110. One Share. Impressive ocean front hotel. VF+.*
(\$200-300)



- 2175 Shelburne Marble (VT) 1865. Chittenden County. #68. 500 shs. Allegorical figure with classical building in distance. Prospector with pick, left. R44. VF+.*
(\$200-300)



- 2176 Silverton, Ophir & San Miguel Toll-Road of Colorado (CO) 1884. #87. 10 shs. Stagecoach with passengers, covered wagon in distance. State arms, sides. VF+.*
(\$500-750)



- 2177 Society of Pacific Coast Pioneers (NV) 1877. Virginia City. \$5. Promissory Note. Grizzly bear. EF.
(\$250-350)

The Society was a prestigious fraternal organization whose membership was restricted only to those individuals who had arrived in the Far West prior to the end of the California gold rush. Housed in an imposing building on B Street in downtown Virginia City, a block north of the Storey County courthouse, the club maintained opulent meeting rooms, billiard and card rooms, a library and extensive museum. All this was lost in the tragic fire which swept through Virginia City in October 1875. These denominated promissory notes were issued in an attempt to raise money to reconstruct the society's hall, but as the Comstock mines began to decline in the late 1870s this organization slowly dwindled away.



- 2178 South Fork Canal (CA) 1852. Placerville. El Dorado County. #324. One Share. Dog guards safe. VF.*
(\$200-300)

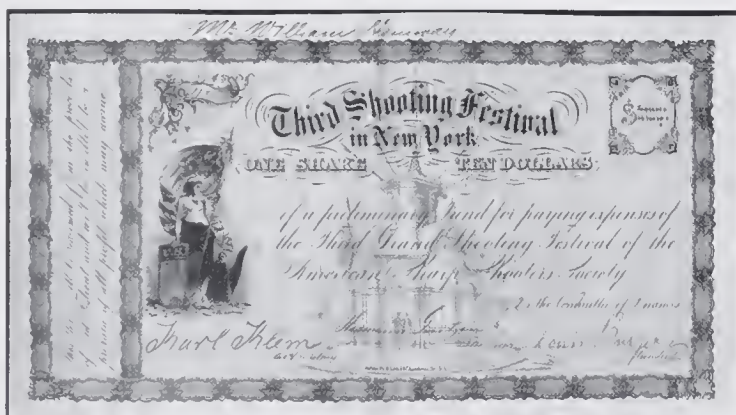


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- 2179 Speedway Park Association (IL) 1915. #515. 25 shs. Maroon. Aerial view of racetrack and grandstands. EF. (\$600-900)**

The Speedway Park was located in West Chicago. The two mile board track was completed in June 1915. The first derby on Speedway Racetrack was held on June 26, 1915. Twenty-one cars began the race at 10:30 A.M. Five hours, seven minutes and twenty-six seconds later, Dorio Resta raced across the finish line driving a French Peugeot. Resta set a world record, traveling 500 miles faster than any man ever had. His average speed was 97.58 miles per hour.

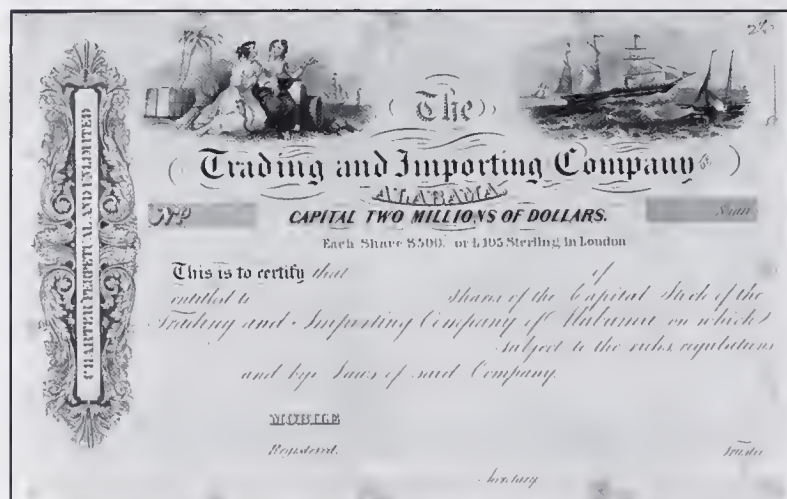


- 2180 Third Shooting Festival in New York (NY) Ca. 1870. #1188. One Share. Green underprint. Underprint of a Victorian style pavilion. Columbia with flag, eagle and shield, left. VF+. (\$300-500)**



- 2181 Tolu Rock & Rye of Chicago (IL) 1881. #815. One Share. Gold seal. Victorian woman in fancy dress holds a large glass next to a bottle of Tolu Rock & Rye. VF.* (\$300-500)**

Presumably this was a type of whiskey. The company's advertisements of the time said "The Great Cure for Coughs, Colds & Consumption and all Diseases of the Throat and Lungs."



- 2182 Trading & Importing Company (AL) Proof. Share certificate issued in the 1850's, mounted on card. Stains and punch holes around the edges. F. (\$250-350)**

There is no imprint, but the work is similar to that done by John Douglas of New Orleans. In the late 1850's, there was increasing talk (and some action in the South) to bypass New York and trade directly with Europe. It seems unlikely that this was a blockade running company because it was issued too early for that purpose.

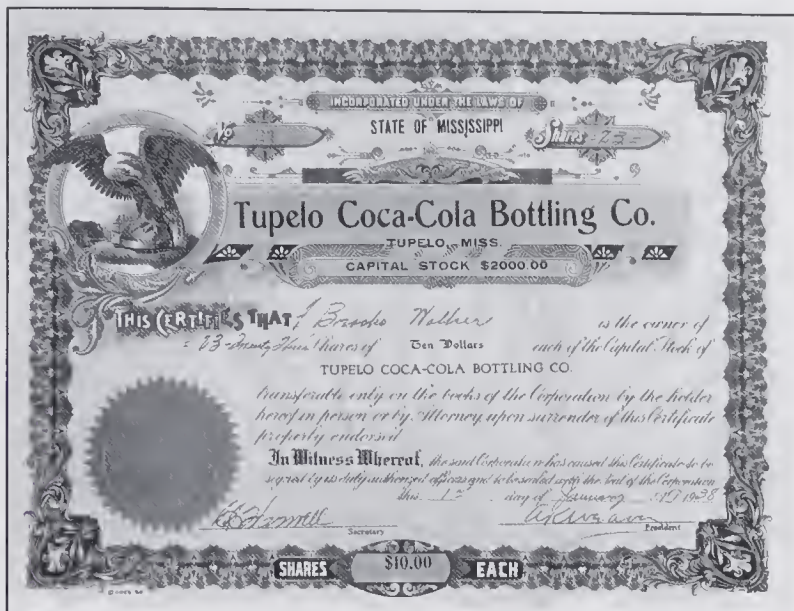


- 2183 Travelers Insurance Machine (Territory of Arizona) 1910. #828. 50 shs. Orange. Unusual insurance policy vending machine. VF+. (\$250-350)**

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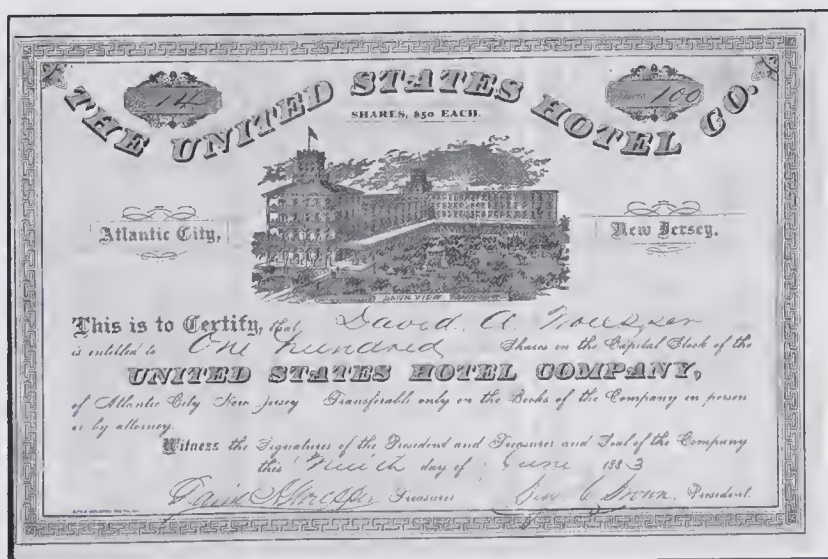
info@smytheononline.com



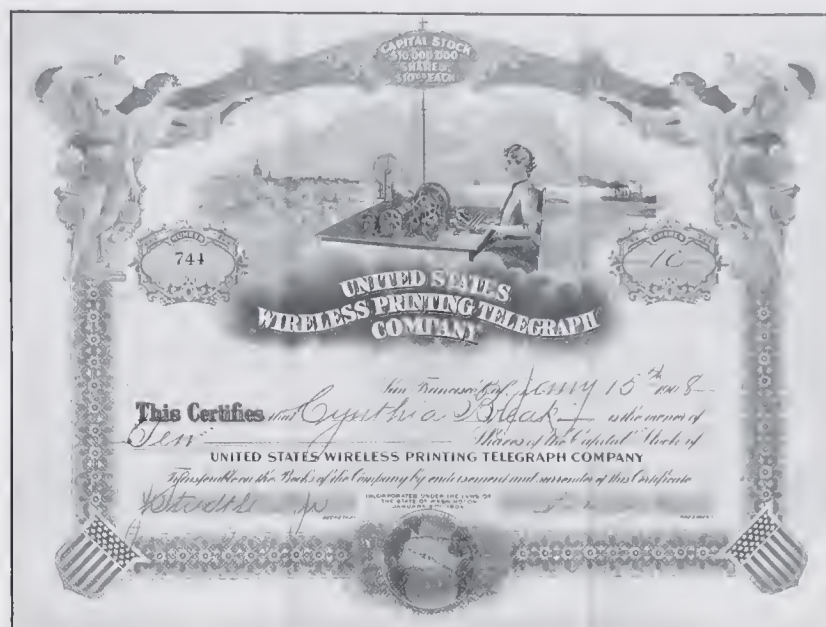
2184 Tupelo Coca-Cola Bottling (MS) 1938. #24. 23 shs. Gold seal and underprint. Eagle atop dome. Small tear in the bottom border, else VF+.* (\$200-300)



2185 United Globe Electric Light (NY) 1881. Proof on India paper. Shares. Green or brown. Mercury sends message around the world. Stephenson and Morse, sides. ABN. VF+.* (\$300-500)



2186 United States Hotel (NJ) 1883. Atlantic City. #14. 100 shs. Impressive "Lawn View" hotel. VF+.* (\$200-300)

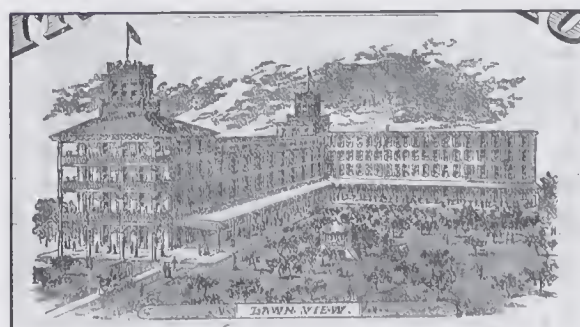


2187 United States Wireless Printing Telegraph (WA) 1908. San Francisco Office. #744. 50 shs. Brown. Operator sits at telegraph machine sending messages across the city and ocean. Globe below illustrates intercontinental wireless communications. Allegorical women in corners. VF+.* (\$750-1,250)
The last example we offered realized \$1210 in our Sale No.217.

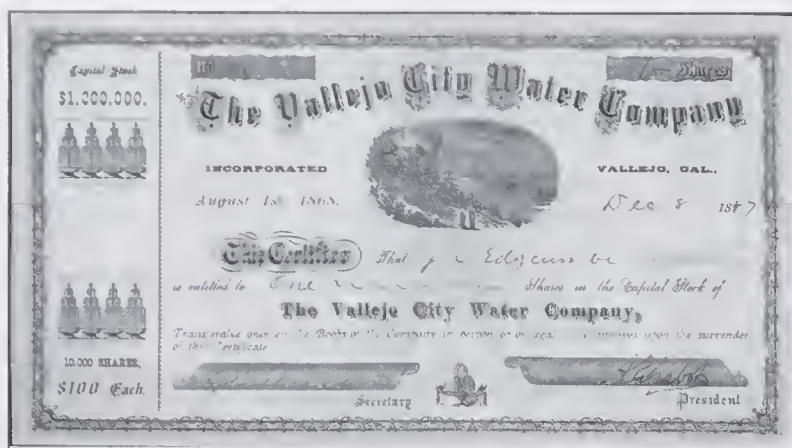


2188 Urban Motion Picture Industries (NY) 1921. 200 shs. Gold seal and underprint. Eagle atop rock. Signed by Charles Urban as president. VF+.* (\$175-250)

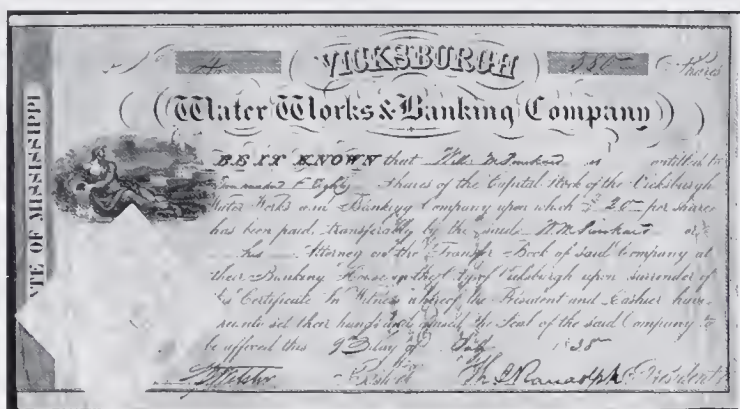
Charles Urban was one of the pioneers of the movie industry. In 1907 his London firm imported films to the United States through American agents. Urban, who acquired patents for an early color movie processor, introduced "Kinemacolor" in March of 1909. He later had his own U.S. production company.



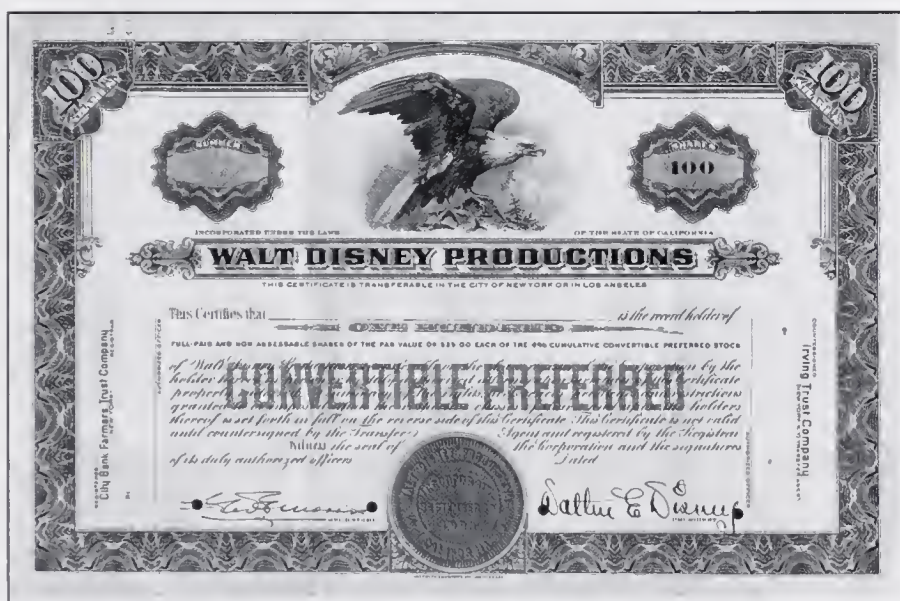
Lot 2186 -
Vignette Inset



2189 Vallejo City Water (CA) 1887. #24. One Share. River in a mountain valley. Fountain, side and bottom. VF+.* (\$200-300)



2190 Vicksburgh Water Works & Banking 1838. #4. 380 shs. Allegorical man pours water from a jug into a stream. VF. (\$250-350)



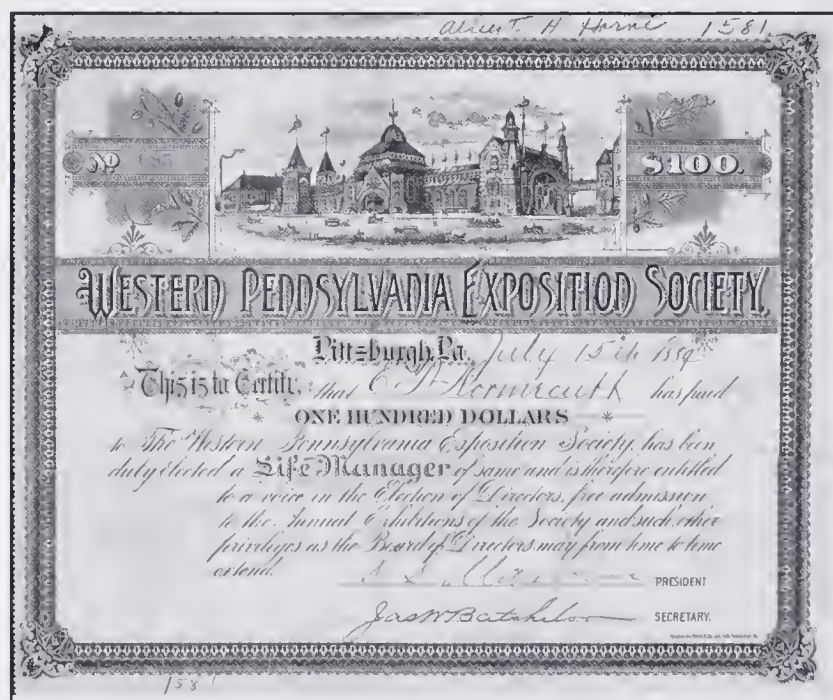
2191 Walt Disney Productions (CA) Specimen. 100 shs. Blue. Eagle atop rock. Facsimile signature of Walter E. Disney. Jeffries Banknote. VF+.* (\$1,000-1,500)



2192 Welaka Orange 1882. #6. 20 shs. Crocodile within logo. VF+.* (\$175-250)



2193 Western Manufacturing (CA) 1876. San Francisco. #38. 10 shs. Train approaches factory, left. Small size format. VF. (\$200-300)



2194 Western Pennsylvania Exposition Society (PA) 1889. Pittsburgh. #685. \$100. Life Manager. Large and ornate exhibition building. Small hole, upper left. VF+.* (\$250-350)

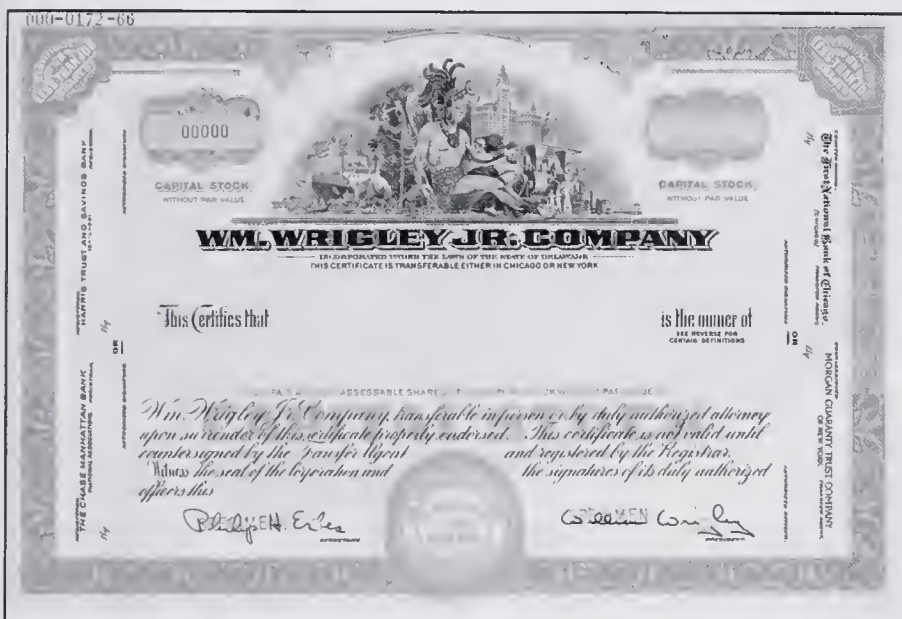
In 1754 a small band of pioneers, British soldiers, trappers and Indian guides, pushed through a trackless forest, over the Allegheny Mountains, under urgent orders, to a small plot of ground at the confluence of three rivers. The group stopped at the "The Point" and founded what would become Pittsburgh. On that spot the Western Pennsylvania Exposition Society was also founded to bring cultural, social and scientific events to the community. It achieved great success but eventually the exposition closed in 1919 as an indirect result of World War I.

Please note that a 15% buyer's premium will be added to each lot purchased.



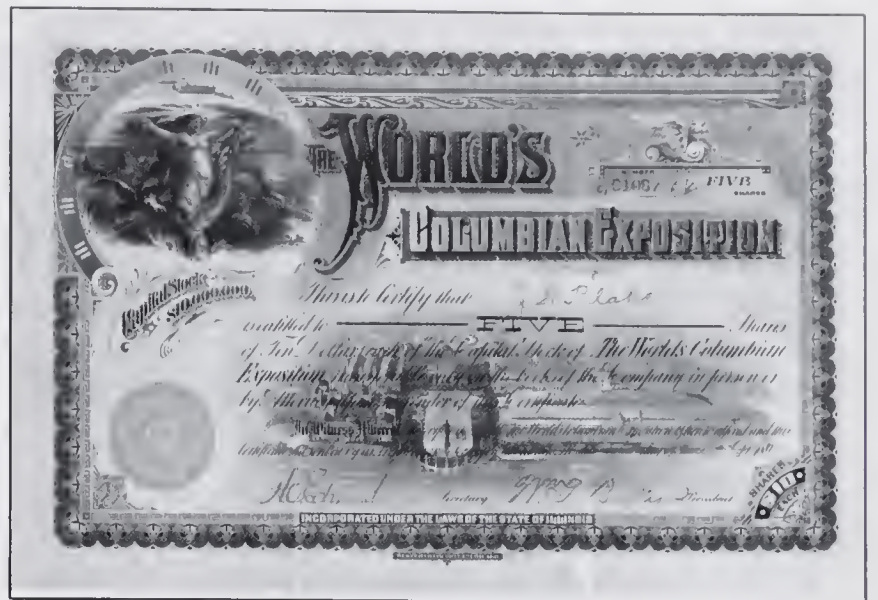
Vignette Inset

- 2195 Wilton Manufacturing (CT) 1842. 5 shs. Small boat loaded with bales, sailboat and buildings in distance. Banknote sized. VF+. (\$250-350)

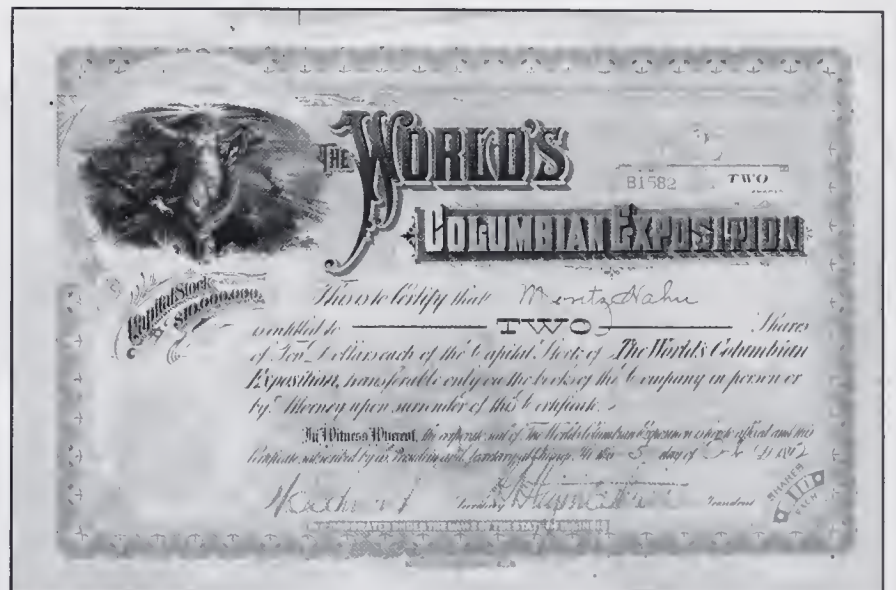


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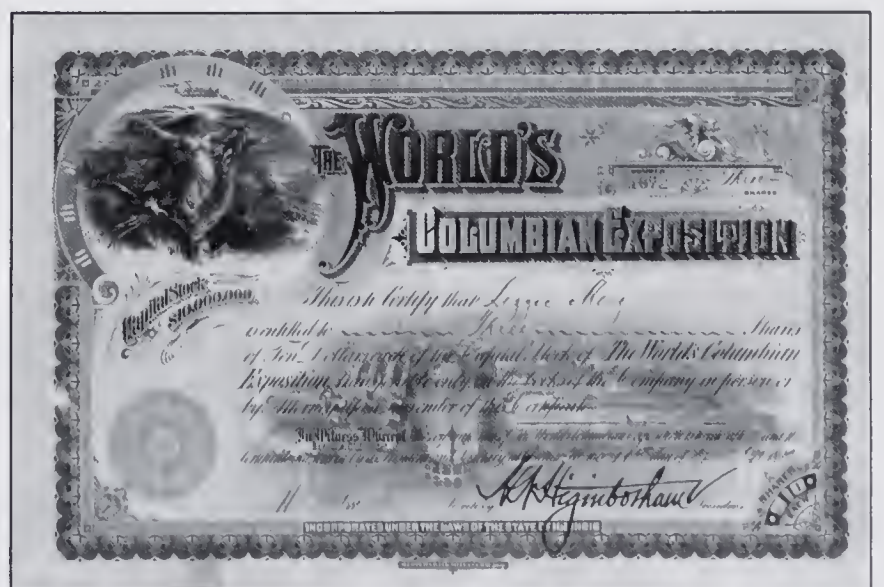
- 2196 Wm. Wrigley Jr. (DE) Specimen. Orange. Aztec Indian with parrot, ships, planes, train, elephant and the William Wrigley Jr. building in distance. This is the Chicago chewing gum manufacturer and the Wrigley after which the Chicago Cubs Wrigley field is named. ABN. EF. (\$175-250)



- 2197 World's Columbian Exposition (IL) 1892. #1087. 5 shs. Blue. Woman on wheel races an eagle, train and cars beneath. Fair grounds underprinted. VF+. (\$400-600)



- 2198 World's Columbian Exposition (IL) 1892. #1582. 2 shs. Orange. Woman on wheel races an eagle, train and cars beneath. Fair grounds underprinted. Minor fold splits, VF. (\$300-500)



- 2199 World's Columbian Exposition (IL) 1892. #1672. 3 shs. Brown. Woman on wheel races an eagle, train and cars beneath. Fair grounds underprinted. VF+. (\$400-600)

An Edison Home Phonograph!

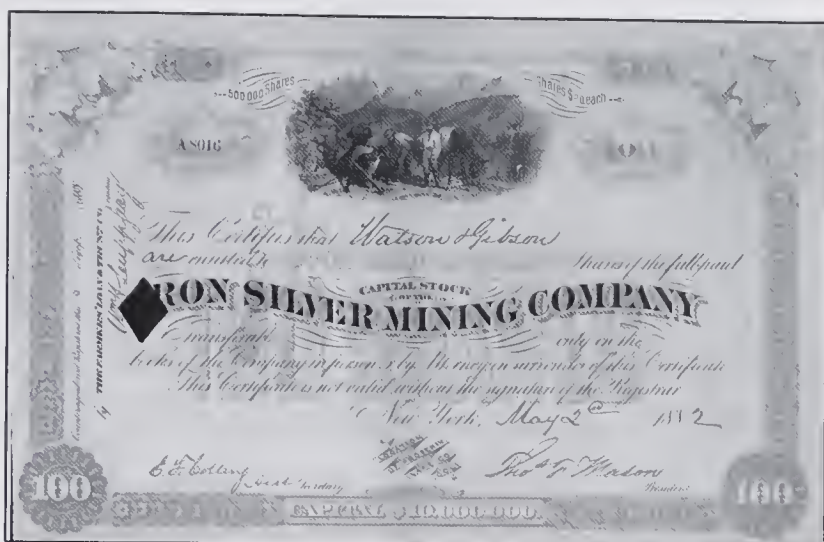
2200 Edison Home Phonograph. Patented November 17, 1903. Fitted solid oak case with a banner across the front that reads "Edison Home Phonograph." Hand cranked coil driven phonograph in good working order. Amplifies sound through a bell-shaped horn. An important historical device. Edison recorded "Mary had a Little Lamb" in 1877 and went on to patent the device in 1878. His invention was the beginning of sound recording and would eventually revolutionize entertainment. An excellent opportunity to obtain an original Edison Phonograph.

(\$750-1,250)

Also included are seven original cylinder recordings in their fitted cases plus two empty cylinder cases as well as various related ephemera.



Mining Certificates II



- 2201 Iron Silver Mining (NY) 1882.** Lake County, Colorado. #8016. **100 shs.** Orange. Group of prospectors. ABN. One triangular punch affects title, VF+.

The last we offered realized \$250 in our Sale No.214.



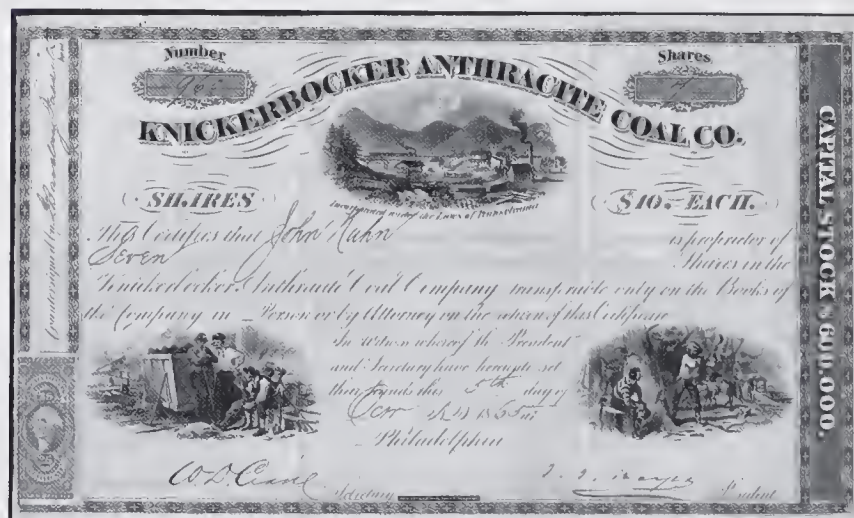
- 2204 Keystone Gold Mining (NJ) 1888.** #14. **85 shs.** Green. Stone archway with keystone. VF+.*

(\$200-300)



- 2202 Jackson Gold & Silver Mining (Nevada Territory) 1863.** Gold Hill Mining District. Storey Co., Nevada Territory. #48. **5 shs.** All blue. Small hand with quill, bottom. Small size format, VF.

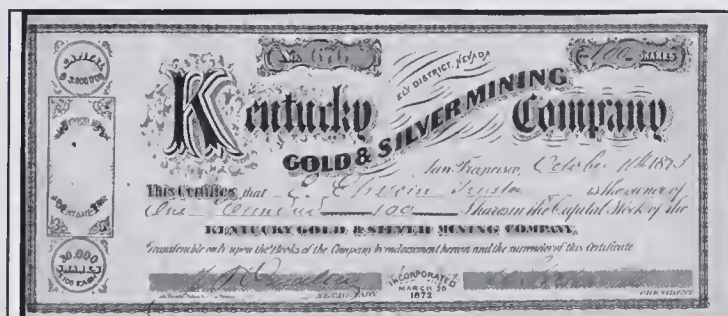
First we have offered.



- 2205 Knickerbocker Anthracite Coal (PA) 1865.** #960. **7 shs.** Mining camp. Miners rest, lower right. Lumberjacks, lower right. Signed by Isaac Israel Hayes as president. R44. VF.*

(\$300-500)

Isaac Israel Hayes (1832-1881) was a physician and arctic explorer. In 1853 he served as surgeon on the 2nd Arctic Expedition of Elisha Kent Kane. He explored the unknown coast of Ellismore Land, northwest of Cape Sabine. In 1860 he organized a new expedition to determine the extent of the Arctic Ocean.



- 2203 Kentucky Gold & Silver Mining 1873.** Elko District, Nevada. #676. **100 shs.** Ornate border. VF.*

(\$200-300)

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2206 Lake Copper (MI) 1924. #30522. 25 shs. Green.

Allegorical
woman
seated on
marble
monument
with miners

and mills in distance. Signed by William Paine as president. RBN. VF+. (\$200-300)

In 1880, Paine established the banking and investment house of Paine, Webber. He was also involved in financing the development of copper deposits in Northern Michigan, which proved to be very profitable.

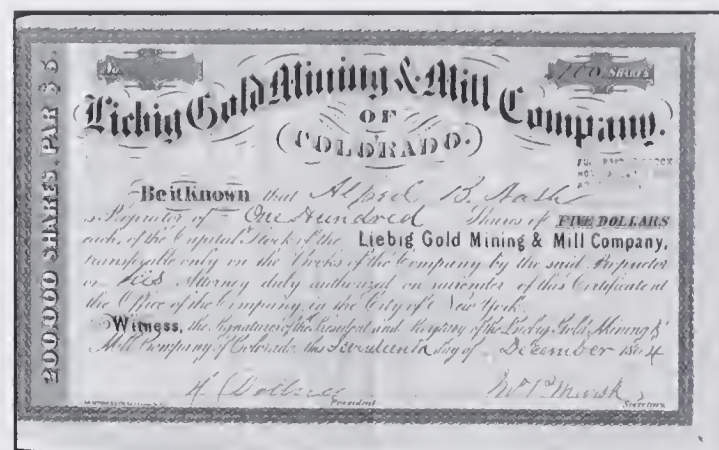


2207 Leadville Consolidated Mining (NY) 1880. #311. 62 shs. Frontiersman on horseback. State arms, bottom. NBN. VF+. (\$175-250)



2208 Leopard Mining (CA) 1874. Cornucopia District. Elko County, Nevada. #7036. Reclining leopard. Staining, F. (\$200-300)

Although this mine produced more than \$1 million in silver, both the mine and the town are completely abandoned today.

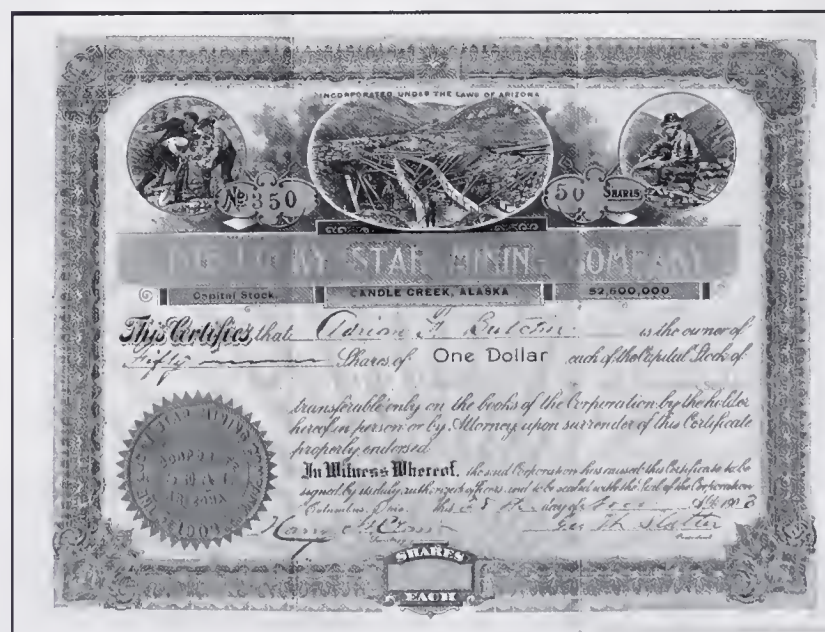


2209 Liebig Gold Mining & Mill of Colorado (CO) 1864. #34. 100 shs. Ornate border. VF+. (\$200-300)

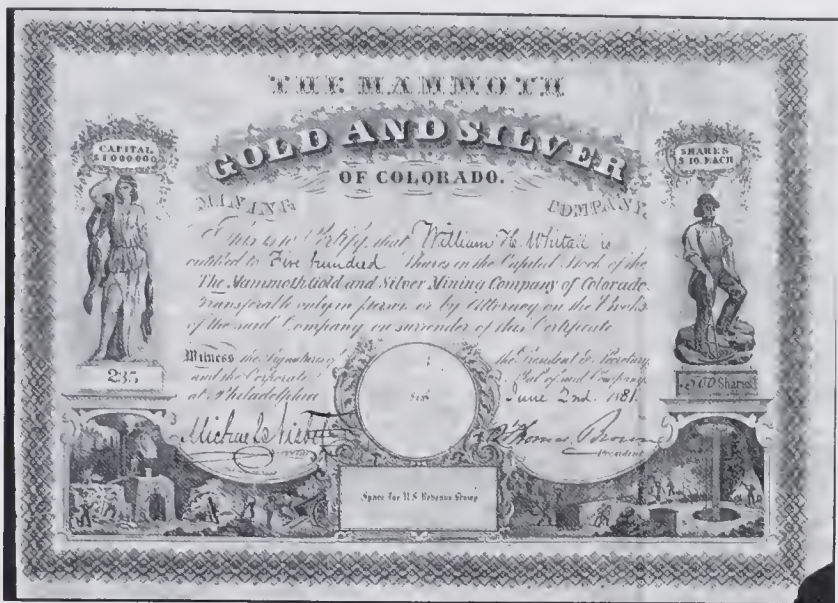
This is the only example we know of. A small map of Colorado is also included.



2210 Livingstone Silver Mining (CO) 1881. #12. 1000 shs. Miners underground. Colorado arms, bottom. VF+. (\$200-300)



2211 Lucky Star Mining (AZ) 1903. Candle Creek, Alaska. #350. 50 shs. Gold seal and underprint. Sluice flanked by prospectors. VF. (\$200-300)



- 2212 Mammoth Gold & Silver of Colorado (CO) 1881.**
 #235. 500 shs. Allegorical woman, left. Miner, right.
 Extensive mining works across the entire bottom of
 the certificate. Right corner tip, VF.* (\$500-750)
 First we have seen.



- 2213 Mariposa Land & Mining (NY) 1874. California.**
 #972. 100 shs. All red. Rare interior view of an ore
 processing mill. Seal with butterfly, below.
 Numerous assessments on the back. VF. (\$200-300)



- 2214 Marshall Gold Mining (VA) 1851. Rappahonnack River. #30. 50 shs. Blue paper. A very rare and early Virginia mining stock. VF.* (\$300-500)**

Located about 12 miles from Fredericksburg, this mine employed about twenty people in a 100 foot depth shaft, and by 1854 had reportedly produced close to \$300,000 in gold. This is only the third we have seen. The last realized \$325 in our Sale No.194.



- 2215 Maryland Copper of Carroll & Baltimore (MD) 1864. Carroll & Baltimore Counties. #1188. 34 shs. Miners underground. R44 revenue stamps obscures Indian woman, left. ABN. VF+.* (\$200-300)**



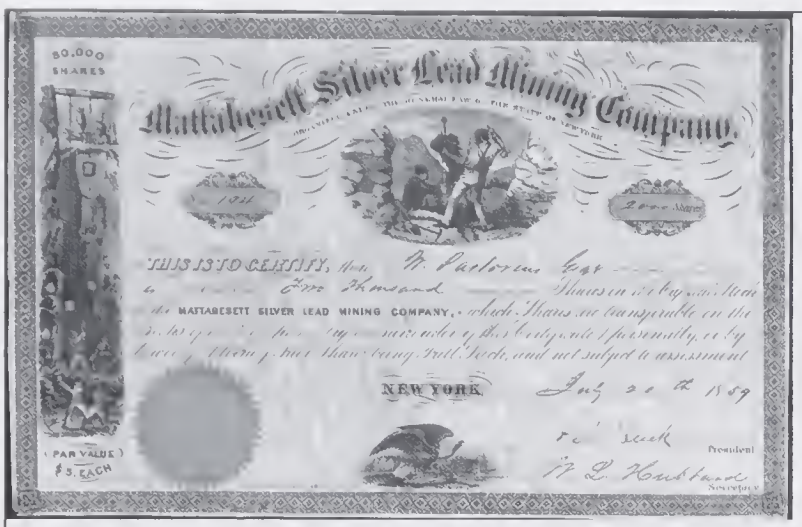
- 2216 Mastodon Gold Mining (NY) 1880. Sierra County, California. #36. 100 shs. Miners operate sluice. VF+.* (\$250-350)**

A Treasury of Mining Stocks from the Nevada Territory, 1861-1864\$ \$20.00
 published by Norrico Inc.

183pp, soft cover, with over 300 black and white illustrations.

The largest compendium of Nevada Territorial pieces.

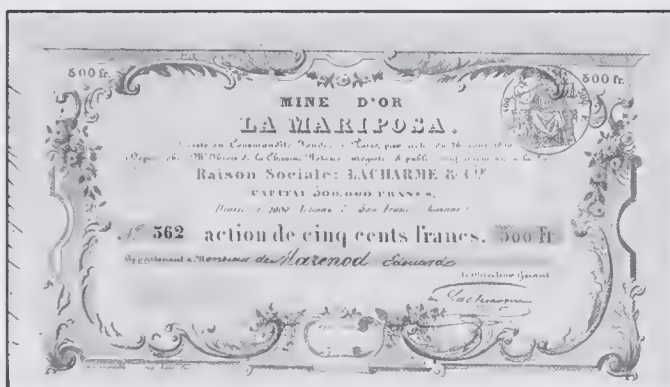
To order, call 800-622-1880.



- 2217 Mattabesett Silver Lead Mining (NY) 1859. #194. 2000 shs. Orange seal. Miners drive stake. Vertical mine shaft, left. Eagle atop shield, bottom. Trimmed, VF. (\$250-350)

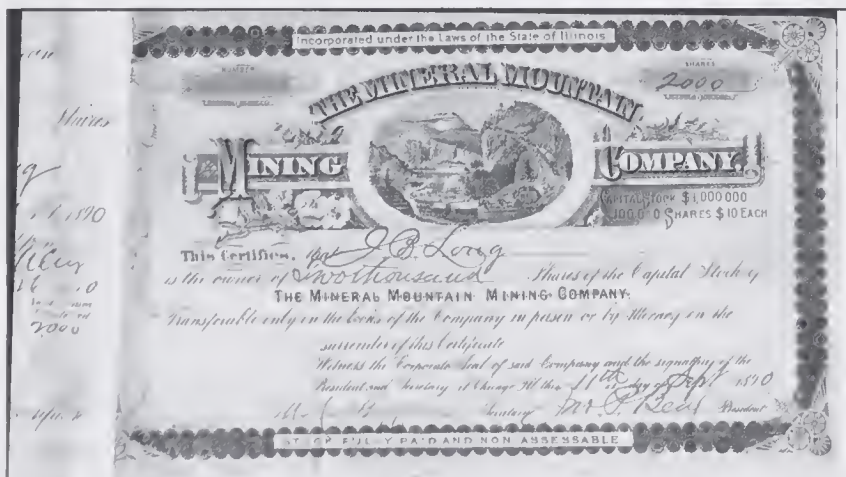


- 2218 Michigan Bluffs Gravel Mining 1878. #14. 2000 shs. Column with banner "Eldorado". VF.* (\$250-350)

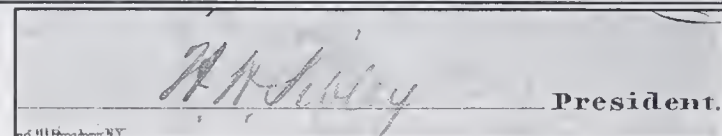


- 2219 Mine D'Or La Mariposa (France) 1850. #562. One share. Pink paper. Ornate border. EF.* (\$400-600)

An incredibly rare and early California Gold Rush associated piece.



- 2220 Mineral Mountain Mining (IL) 1890. #79. 2000 shs. Wagon train with the "Old Reliable Schuttler Wagon" comes down mountain road. VF+.* (\$175-250)



- 2221 Minnesota Gold Mining 1866. St. Paul, Minnesota. Miners work sluice. Prospector with dog, left. Signed by H.H. Sibley as president. Hatch & Co. VF+. (\$500-750)

Henry Hastings Sibley was an early promoter of the organization of Minnesota as a state. He was elected the first Governor of the State of Minnesota. In the Sioux uprising of 1862 he led state military forces against the Indians. He marched from St. Paul to the relief of the frontier posts. Sibley fought at the battle of Birch Coulee, and in 1863 and 1864 he ordered punitive expeditions against the Sioux; in 1865-66 he negotiated peace with the Sioux.



- 2222 Montezuma Consolidated Mining (CA) 1887. Gold Hill Mining District. Storey County, Nevada. #186. 30 shs. Ornate border. Corner tip, VF.* (\$175-250)

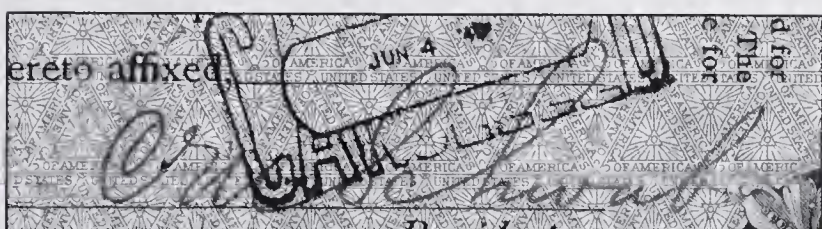
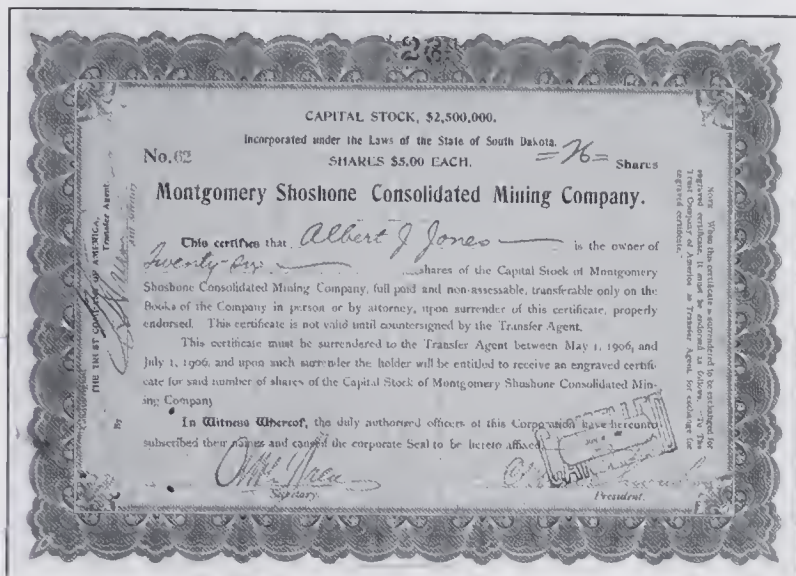
The Montezuma was in Six Mile Canyon just above the Gould & Curry Mill site.



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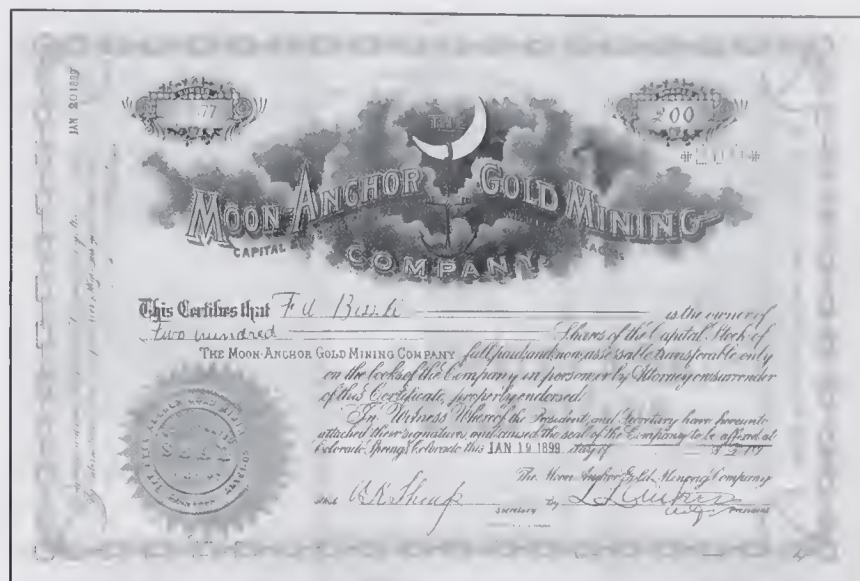


- 2223 Montgomery Shoshone Consolidated Mining (SD) 1906. #62. 26 shs. Brown. Signed by Charles Schwab as president. VF+.**

(\$1,000-1,500)

Charles Schwab (1862-1939) was an American industrialist who became president of the Carnegie Steel Company. He was perhaps the only man in the world reputed to have torn up a one million-dollar yearly salary contract. When the billion-dollar United States Steel Corporation was formed, Schwab became its first president. At the time, J.P. Morgan, the banker responsible for U.S. Steel's financing, did not know what to do with Schwab's million-dollar contract. The highest salary on record then was \$100,000. Summoning Schwab, Morgan reluctantly asked him what he should do about the contract. Schwab reportedly tore up the contract, implying that money was the least of his concerns. Impressed by Schwab's magnanimity, Morgan promptly sent Schwab bonds reflecting the full amount of his unexpired contract.

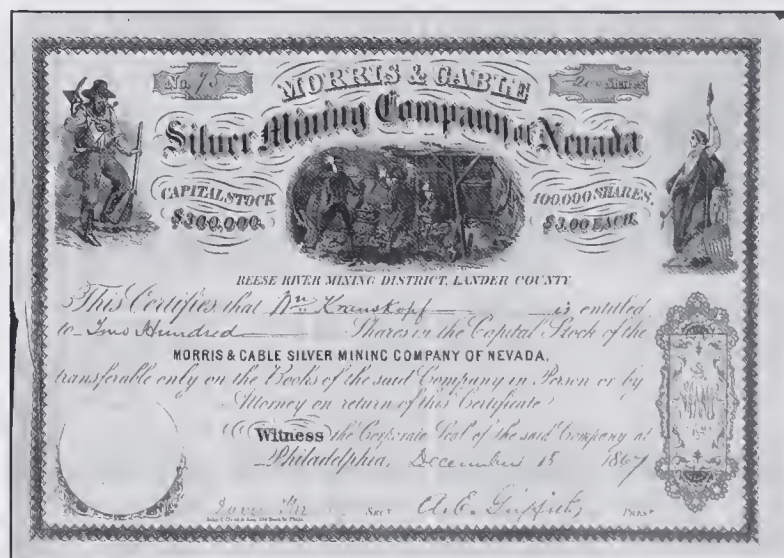
Schwab ably led U.S. Steel to a good share of the world market once dominated only by Europeans. After only three challenging and strenuous years Schwab had to resign for health reasons. Schwab's business acumen and achievements had earned him such titles as "The World's Greatest Steel-Maker", "The Originator of the Steel Trust", "The Creator of the American Krupp's", "The Bethlehem Miracle Worker", etc. He is ranked as one of the greatest creative forces in American industry.



- 2224 Moon-Anchor Gold Mining (CO) 1899. Cripple Creek. #3577. 200 shs. Title and seal in gold, and golden chain as frame. Anchor hangs from crescent moon, clouds behind. Anchors at four corners. VF+.***
- (\$250-350)



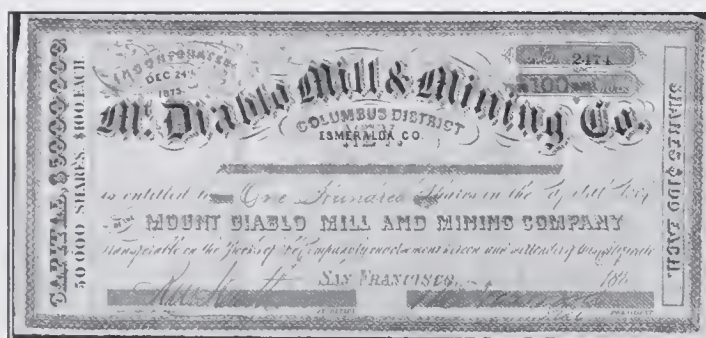
- 2225 Moonlight Mining (CA) 1881. Globe Mining District. Pinal County, Arizona. #202. 300 shs. Silver moon surrounded by clouds. VF+.***
- (\$400-600)



- 2226 Morris & Cable Silver Mining of Nevada 1867. Reese River Mining District. Lander County, Nevada. #75. 200 shs. Miners underground flanked by prospector and Liberty. VF+.***
- (\$250-350)



- 2227 Mt. Davidson Consolidated Gold & Silver Mining 1878. Washoe Mining District, Nevada. #354. 100 shs. Mountain with mine entrance and mill at base. Minor edge tears in top border, VF.* (\$200-300)



- 2228 Mt. Diablo Mill & Mining 1881. Columbus District. Esmeralda County, Nevada. #2474. 100 shs. Ornate border. VF.* (\$175-250)



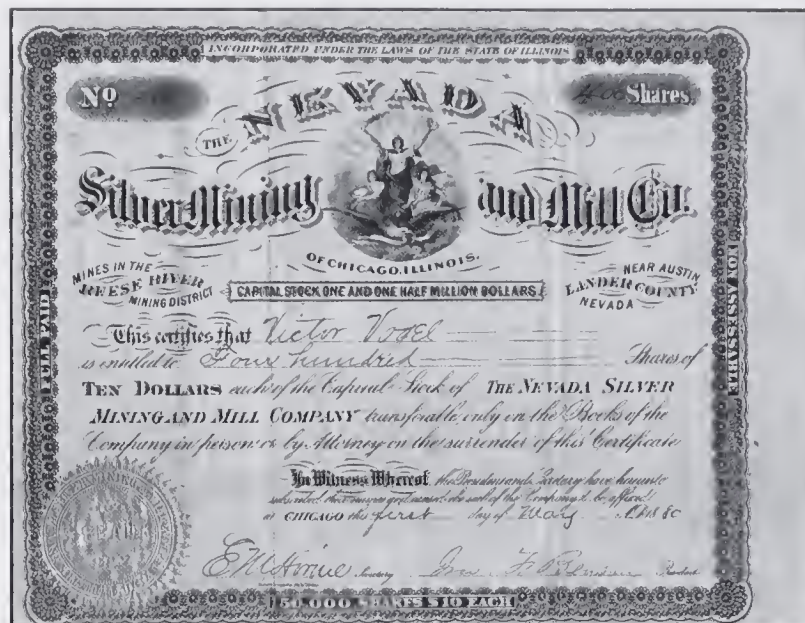
- 2229 Nevada Ore Extracting (CA) 1875. Gold Hill, Storey Co. #62. 100 shs. "Nevada Block" left. VF+.* (\$200-300)

The Nevada Block was a large building in San Francisco by Flood & O'Brien which was completed by 1875. One of its occupants was George Hearst.

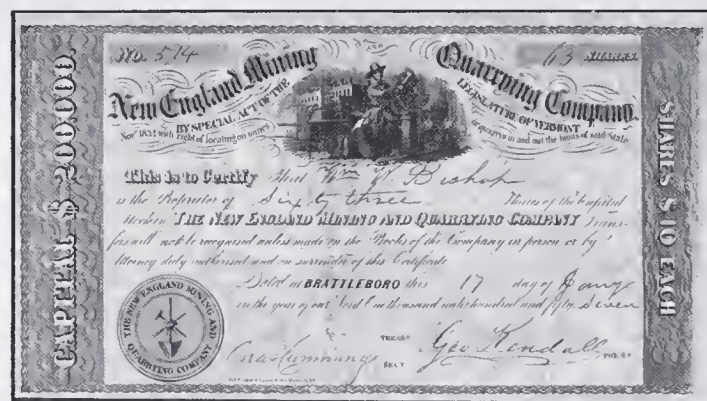


Is there anything special you would like us to bring to Strasburg?

Call Smythe at 800-622-1880 and ask for Marie Alberti.



- 2230 Nevada Silver Mining & Mill (IL) 1880. Reese River Mining District. Lander County, Nevada. #86. 400 shs. Silver seal. Trio of allegorical figures atop eagle. VF+.* (\$200-300)



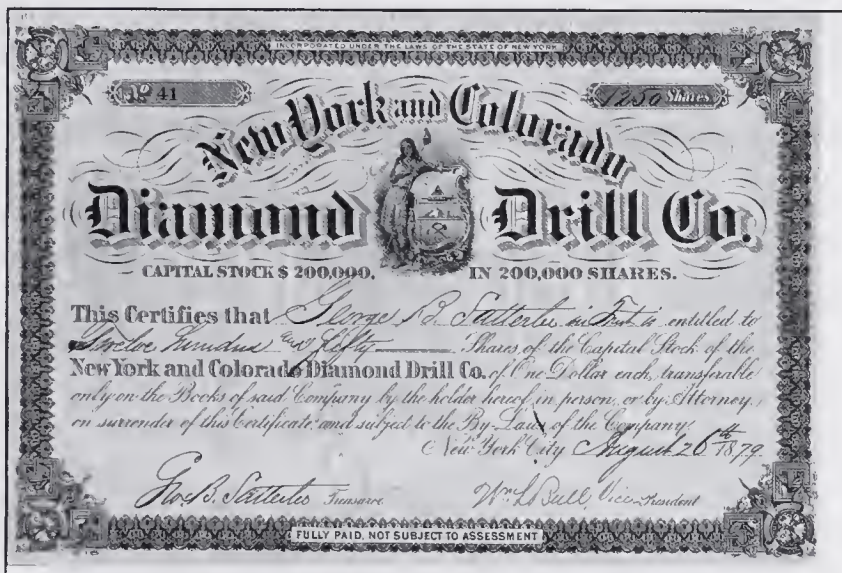
- 2231 New England Mining & Quarrying (VT) 1857. #514. 63 shs. Firefighter. VF+.* (\$200-300)



- 2232 New Granada Mineral Land (PA) 1858. #819. 100 shs. Lumberjack. Woman with chickens, Indian warrior, both left. Vertical mine shaft, right. Eagle, bottom. Small ink burn in the right border, VF+.* (\$200-300)



- 2233 New Philadelphia Silver Mining (NY) 1879. #955.
1000 shs. Miners underground. VF+.* (\$175-250)
First we have seen.

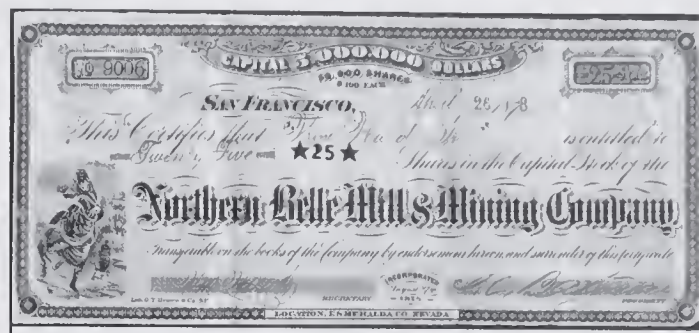


- 2234 New York & Colorado Diamond Drill (NY) 1879.
#41. 1250 shs. State shield with Liberty. VF+.* (\$200-300)

The last we offered realized \$200 in our Sale No.173.



- 2235 New York Silver Mining (NY) 1867. #131. \$500. First Mortgage Bond. Cu. Green "\$500". Coal train leaves mine. Vertical mine shaft, right. R44. VF.* (\$250-350)



- 2236 Northern Belle Mill & Mining (CA) 1878. Esmeralda County, Nevada. #9006. 25 shs. Victorian female ice skater. Pinholes, VF.* (\$175-250)



- 2237 North Fresno Mining (CA) 1880. Fresno County, California. #26. 100 shs. Miners underground. VF+.* (\$175-250)



- 2238 North Star Consolidated Mining (CO) 1881. #128. 10 shs. Group of prospectors. VF.* (\$200-300)

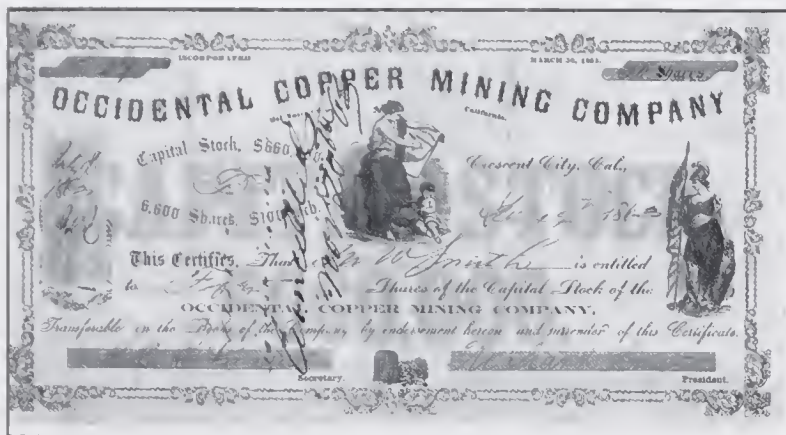


Need A Table?

For information regarding table sales at this show contact Stephen Goldsmith

Phone: 800-622-1880

Email: sgoldsmith@smytheononline.com



- 2239 Occidental Copper Mining (CA) 1863. Del Norte County. #37. 50 shs. Red "CAPITAL STOCK \$660,000". Woman teaches child. Columbia, right. Dog guards safe, bottom. R44. Lightly pen cancelled across the face. VF. (\$200-300)



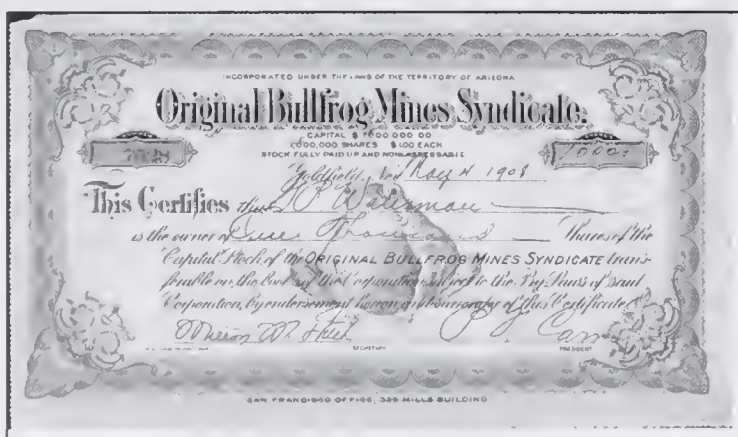
- 2242 Oro Fino Gold & Silver Mining (CA) 1865. #145. \$1000. 7% Bond. Cu. Green "\$1000". State arms. Columbia, left. Miner underground, right. R59. Minor tape on margin splits, VF. (\$250-350)



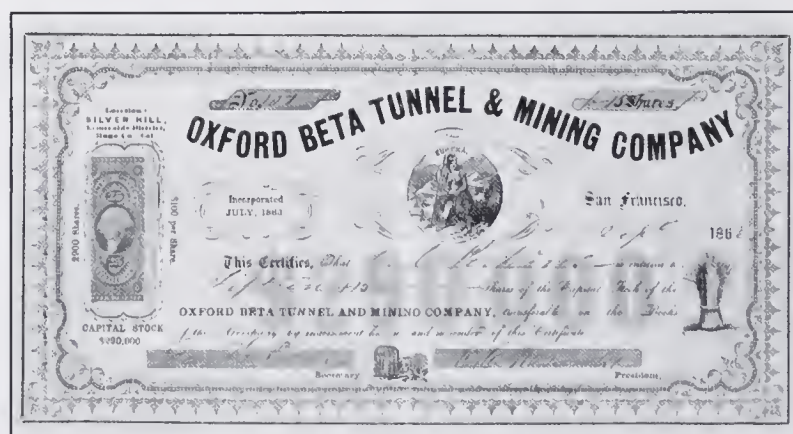
- 2240 Old Dominion Mining of Nevada (PA) 1867. #39. 370 shs. Red corporate seal. Horsecarts approach mine entrance and mill. Pennsylvania arms, lower left. VF. (\$250-350)



- 2243 Oro Mining (CA) 1881. Bodie Mining District, Mono Co. #2270. 80 shs. Yellow paper. Ornate border. VF+. (\$200-300)



- 2241 Original Bullfrog Mines Syndicate (Territory of Arizona) 1908. #7528. 1000 shs. Green. Large green bullfrog underprint. A real favorite, and rarely seen this nice. VF+. (\$300-500)



- 2244 Oxford Beta Tunnel & Mining (CA) 1863. Silver Hill, Esmerelda District. Mono County, California. #107. 15 shs. Red "\$250,000". California state arms. Wheat, lower right. Dog guards safe, bottom. Stamp cut out and replaced, VF.* (\$225-300)

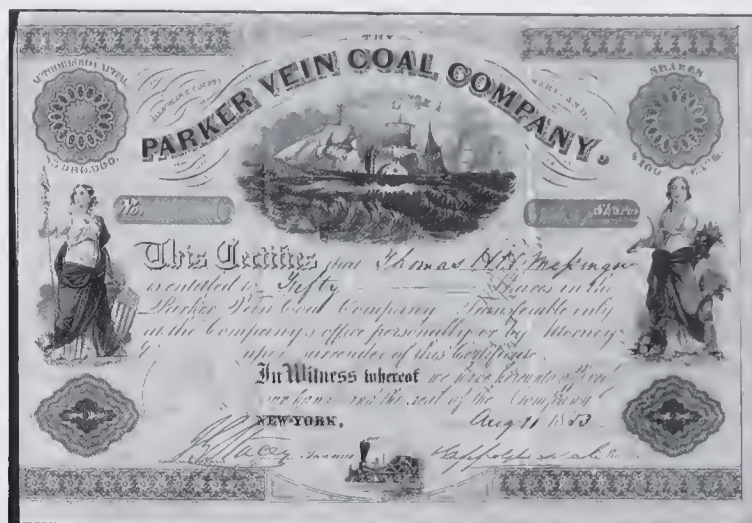


- 2245 **Pacific Tunnel Mining (CA) 1874.** Ely Mining District. Lincoln County, Nevada. #132. **1000 shs.** Ornate border. Signed by expressman **Hill Beachy** as president and **William Knight** as secretary. **VF+.***

(**\$175-250**)

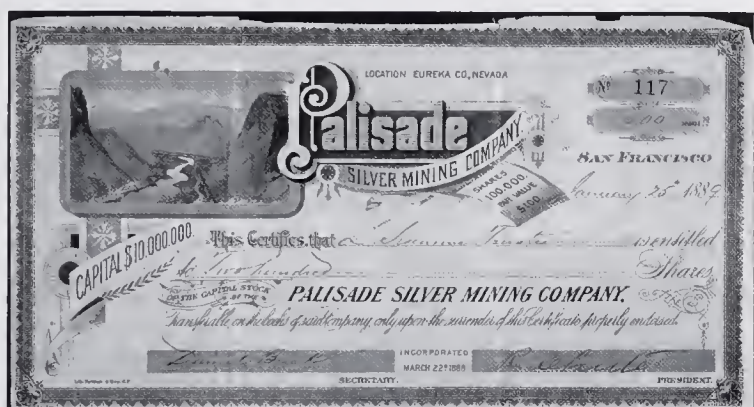
This example realized \$360 in our Sale No.172.

Beachy successfully operated an express service in the Idaho gold mines in the early 1860's. In the Treasure Hill/Hamilton boom of 1868-69, Beachy bought 100 horses to stock an express line from the Central Pacific rail head at Elko to Hamilton and Treasure Hill, and he bought out the Wells Fargo route. He controlled the stage routes from Idaho to Central Nevada.



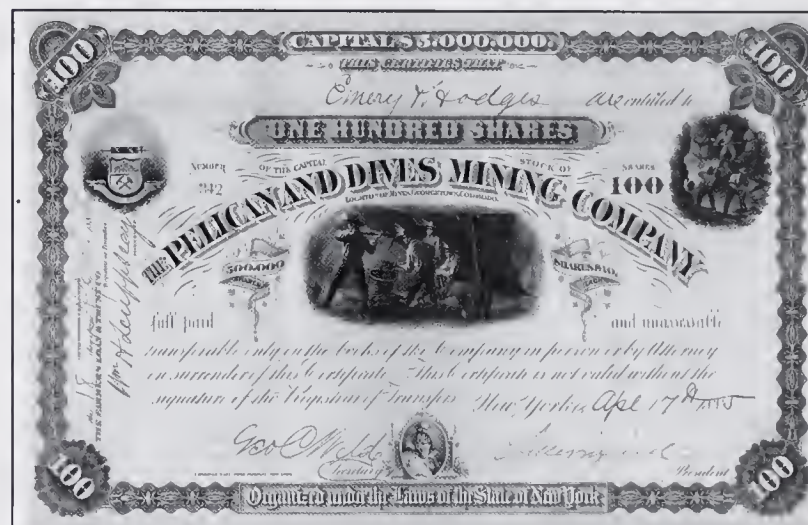
- 2248 **Parker Vein Coal (MD) 1853.** #1480. **50 shs.** Side-wheel steamship on rough waters. Liberty and Ceres, sides. Small locomotive, bottom. **VF.**

(**\$175-250**)



- 2246 **Palisade Silver Mining 1889.** Eureka Co., Nevada. #117. **200 shs.** The Humboldt River passes through the Palisade Canyon. Rough top edge, else **VF.***

(**\$250-350**)



- 2249 **Pelican & Davis Mining (NY) 1885.** Georgetown, Colorado. #342. **100 shs.** Green. Miners underground. Small vignette of woman in a hat with plumes, bottom. **ABN. VF+.***

(**\$250-350**)

This company owned six patented lodes on Sherman Mountain, three miles from Georgetown.



- 2247 **Papago Chief Consolidated Mining (IA) 1880.** Baboquivari Mining District. Pima County, AZ. #963. **1000 shs.** Silver underprint. Indian chief. Mining scenes, sides. **WBN.** Minor stains, bottom. **VF.***

(**\$250-350**)

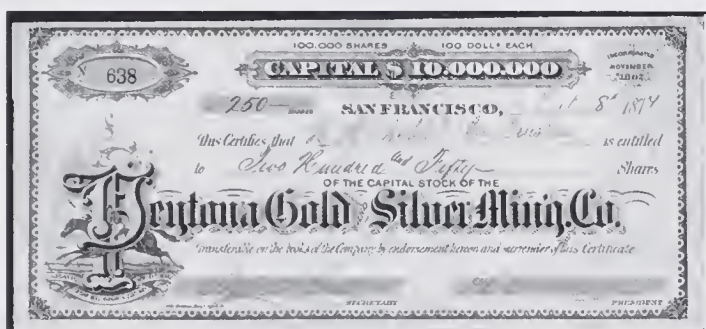
A nice graphic piece with two additional vignettes on the reverse.



Lot 2246 - Vignette Detail



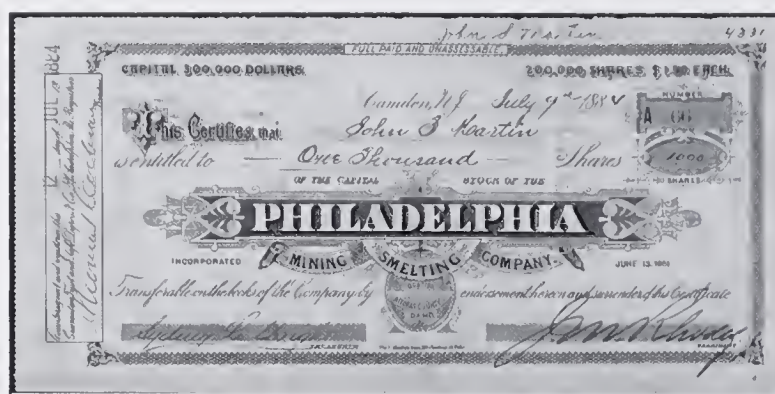
- 2250 Penn-Breckenridge Consolidated Mining (CO) 1881. #46. 1312 shs. A large and dramatic vignette of Penn's Treaty. William Penn's agents kneel and present the Indians with merchandise in payment for land. Vertical shaft, left. VF+.* (\$500-750)



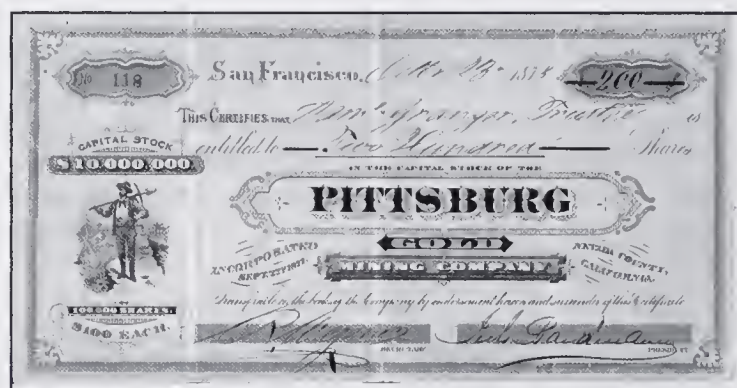
- 2251 Peytona Gold & Silver Min'g (CA) 1874. Cedar Hill, Virginia District. Nevada. #638. 250 shs. Racehorse with jockey jumps through first letter of the title. VF+.* (\$200-300)



- 2252 Philadelphia & California Mining 1852. #1473. 100 shs. Group of five miners. Dog guards safe, bottom. Trimmed, VF. (\$175-250)



- 2253 Philadelphia Mining & Smelting (CA) 1884. Alturas County, Idaho. #66. 1000 shs. Blue paper. Ornate border. VF+.* (\$175-250)



- 2254 Pittsburg Gold Mining (CA) 1878. Nevada County. #118. 200 shs. Prospector, left. VF+.* (\$250-350)
A similar example realized \$400 in our Sale No.183.



- 2255 Planet Consolidated Drift Gravel Mining (CA) 1903. Grass Valley. Nevada County, California. #169. 100 shs. Miners operate waterhose. VF+.* (\$200-300)



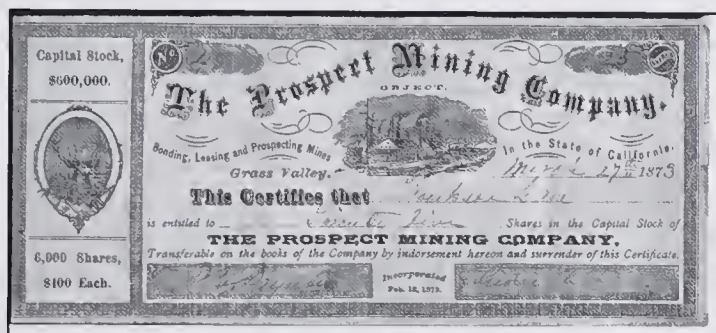
Arizona Mines and Mining Companies, 1854-1954 \$45.00

by James H. Garbani
1993. 300pp, soft cover, some illustrations.
An excellent mining reference with tables showing mines and geographical locations. Also a list of all the known Arizona mining companies.

To order, call 800-622-1880.



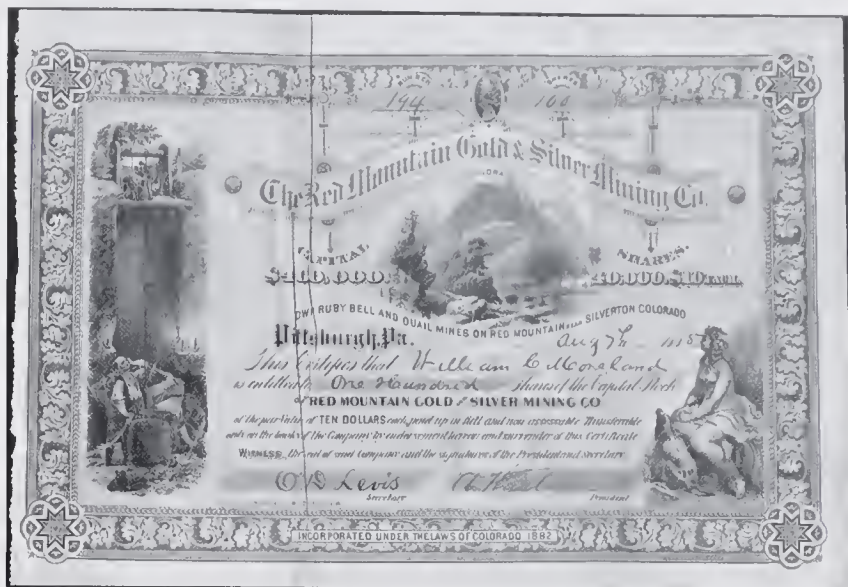
- 2256 **Poorman Gold & Silver Mining 1875.** Owyhee County, Idaho Territory. #462. 50 shs. Prospectors examine discovery. VF.* (\$250-350)



- 2257 **Prospect Mining (CA) 1873.** Grass Valley. #48. 25 shs. Millsite. Deer, left. Small size format. Light staining. VF.* (\$250-350)



- 2258 **Queen Bee Mining (CA) 1880.** Bodie Mining District. #928. 100 shs. Ornate border. Light staining. VF.* (\$175-250)



- 2259 **Red Mountain Gold & Silver Mining of Colorado (CO) 1885.** Ruby Bell & Quail Mines. Red Mountain near Silverton, CO. #194. 100 shs. Green underprint. Red title and a red tint to the central mining vignette and a red tint to the semi-nude woman, lower left. Vertical mine shaft, right. A fantastic piece of which this is the first we have seen that uses shading. VF+.* (\$300-500)

The nicest of only two found.



- 2260 **Rex Gold Mining (CO) 1895.** #56. 10000. Green. Miners underground. Issued to D.H. Moffat. Signed by J.J. Brown as president. VF+.* (\$300-500)

James Joseph Brown was an important western miner and the husband of Maggie Tobin, or the "Unsinkable Molly Brown". Known as J.J., he went west for opportunity, working in mines along the way in Pennsylvania, North Dakota and Colorado. He worked in Leadville as a day miner and studied geology. Maggie finally decided she would rather marry a poor man she loved like J.J. than a wealthy one she did not. The two were married in 1886. J.J. became knowledgeable in mining and became superintendent of Ibex Mines, eventually striking it rich on his own. More was written about the Browns on the social pages of the Denver papers than any other one family of the same era. The Browns traveled to Europe and sent their children to France to attend school. They had homes in Newport, Denver and abroad. Maggie became famous after booking passage on the maiden voyage of the Titanic and encouraged other women to help row to keep warm and shared her fur coat with survivors.



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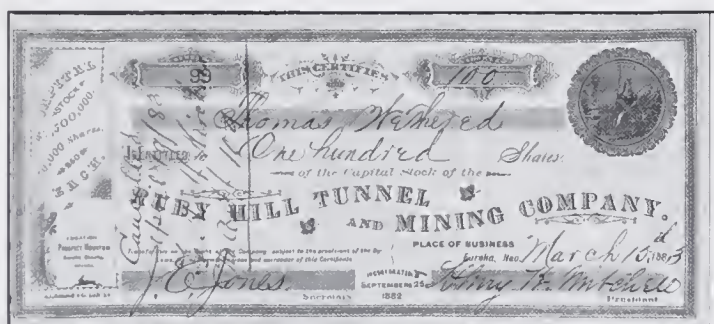
(NY Residents call: 212-943-1880).



- 2261 Rockdale Gold Mining Archive. [10] (\$300-500)**
 1-2) Shares 1865-1867. 3) Shares, unissued. 4) Certificate of Incorporation. 5) By-Laws. 6-7) Mine leases. 8-9) Property Tax bill and receipt. 10) Trustees Deed. An historic assortment. **VF+**



- 2262 Rough & Ready Consolidated Gold Mining (CA) 1879. Bodie Mining District. Mono County, California. #282. 100 shs. Prospector reclines on "R" of title. Small size format. VF+. (\$200-300)**

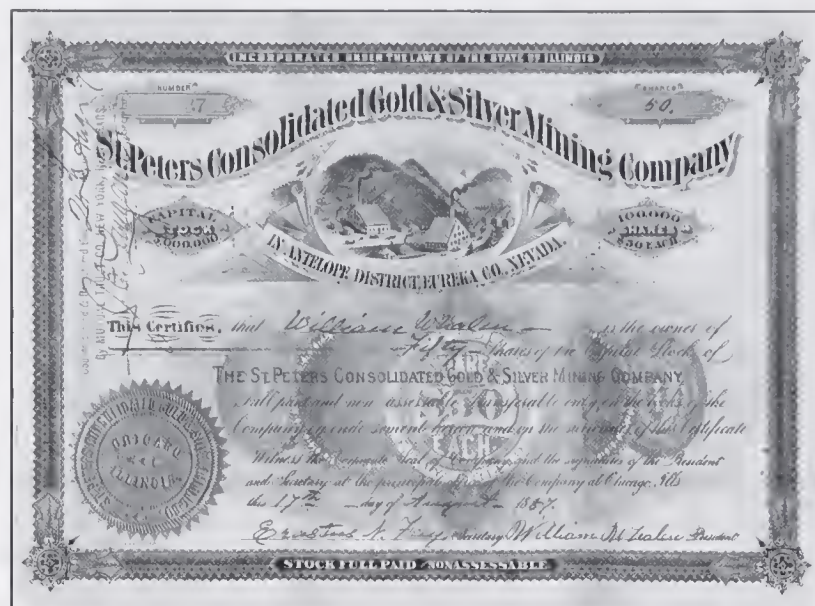


- 2263 Ruby Hill Tunnel & Mining (CA) 1883. Eureka, Nevada. #99. 100 shs. Yellow paper. California seal, right. Signed by John E. Jones as secretary. Jones was elected as Governor of Nevada in 1894. VF+. (\$200-300)**



We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

Please be sure to follow up with a written confirmation if you phone your bids to our office.



- 2264 St. Peters Consolidated Gold & Silver Mining (IL) 1887. Antelope District. Eureka County, Nevada. #37. 50 shs. Silver seal. Gold cartouche. Mining camp. VF+. (\$175-250)**



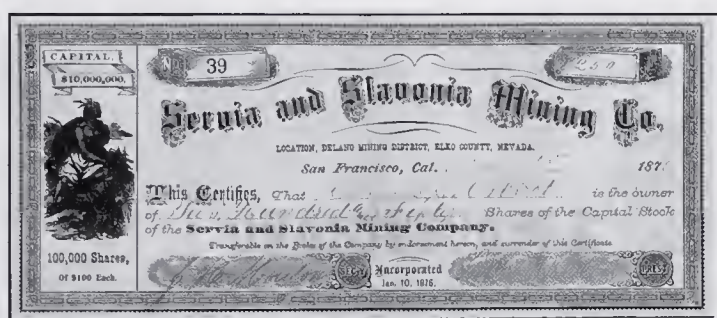
- 2265 San Miguel Gold Placers (CO) 1890. Denver. #1871. 8 shs. Light brown underprint. Miners operate sluice. VF+. (\$200-300)**



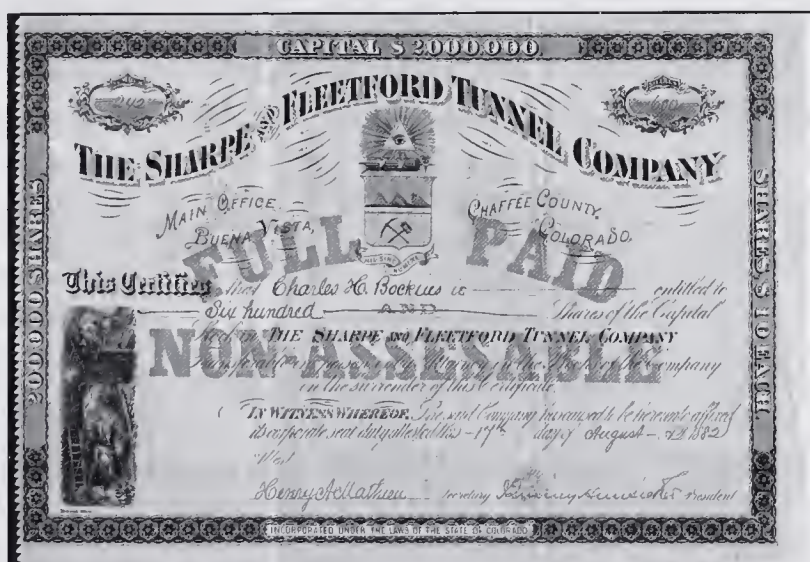
- 2266 San Pedro Consolidated Mining & Milling (NJ) 1881. Saddle Mountain Mining District. Pinal County, Arizona. #473. 1000 shs. Red cartouche. Miners underground. Vertical mine shaft, left. A couple small holes in the seal, VF+. (\$200-300)**



- 2267 **Senator Silver Mining (CA) 1874.** Storey County, Nevada. #878. 5 shs. Portrait of a man, probably William Sharon, San Francisco real estate and mining magnate. VF+.* (\$300-500)



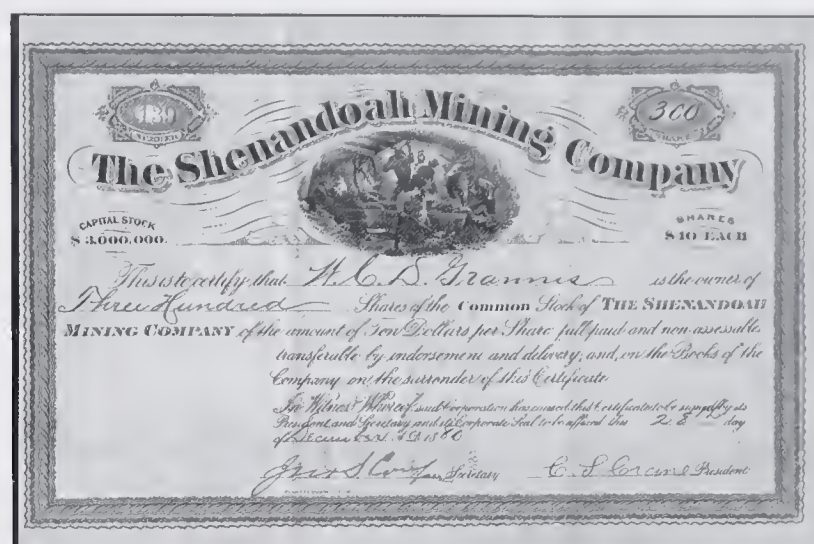
- 2268 **Servia & Slavonia Mining (CA) 1876.** Delano Mining District. Elko County, Nevada. #39. 250 shs. Indian warrior, left. VF+.* (\$250-350)



- 2269 **Sharpe & Fleetford Tunnel (CO) 1882.** Buena Vista, Colorado. #242. 600 shs. All seeing eye and faces above the state seal. Vertical mine shaft, left. VF+.* (\$300-500)



- 2270 **Shawneetown Coal Mining & Manufacturing (IL) 1856.** #55. 100 shs. Indian reclines on ledge overlooking river. Blacksmith, left. Woman, right. Coal train, bottom. TCC. VF+.* (\$200-300)



- 2271 **Shenandoah Mining 1880.** Shenandoah District, California. #139. 300 shs. Miners underground. VF+.* (\$175-250)



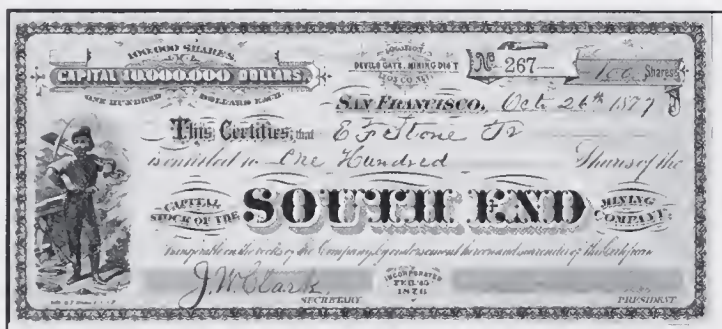
- 2272 **Sigourney Gold & Silver Mining (CA) 1878.** Bodie Mining District. Mono County, California. #106. 50 shs. State arms. Allegorical woman with flag, left. VF.* (\$300-500)



- 2273 **Silver Valley Mining (NC) 1860.** #162. 100 shs. All green. Mining camp. Vertical mine shaft, left. VF.* (\$200-300)



- 2274 Slate Hill Gold Mining (VA) 1854. #356. 50 shs.**
 Woman reclines with chest and shield. Eagle, state arms and Washington, all left. Miners underground, bottom. DB. VF.*
 (\$250-350)



- 2275 South End Mining 1877. Devil's Gate Mining District. Lyon County, Nevada. #267. 100 shs. Prospector, left. Small size format. Light toning, right. VF.***
 (\$200-300)

First we have offered.



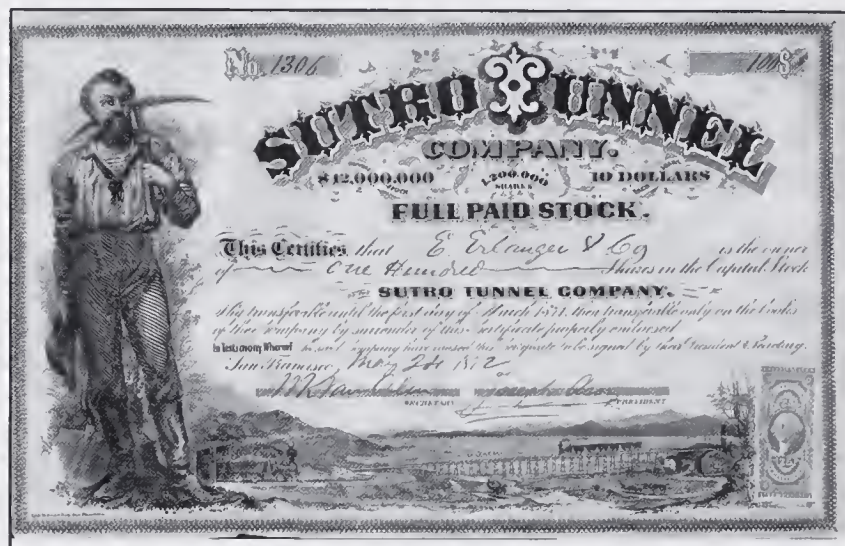
- 2276 Stanislaus Water (CA) 1873. Stanislaus County. #63. 10000 shs. Green. Mining scene. Woman carries wheat, left. VF+.***
 (\$300-500)



Please note that a 15% buyer's premium
 will be added to each lot purchased.



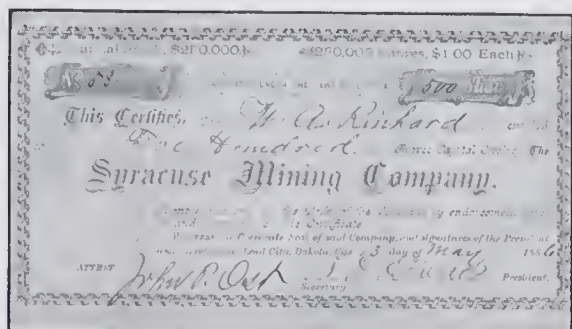
- 2277 Sterling Silver Mining (Arizona Territory) 1889. Tombstone District. #44. 2400 shs. Miners underground, left. VF+.***
 (\$200-300)



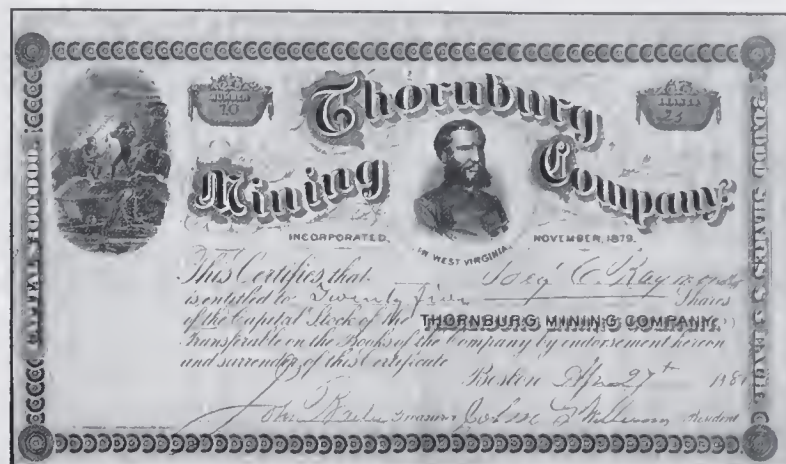
- 2278 Sutro Tunnel 1872. #1306. 100 shs. An impressive, 6 1/4 inch high prospector with a pick ax occupies the entire left side, while a train pulls cars filled with ore to a mill on the Carson River. Wonderful graphics and an important piece of Western mining history. Britton & Rey, San Francisco. VF+.***
 (\$1,250-1,750)

By 1864 the owners of the fabulous Comstock Lode silver mines were at an impasse. The Ophir, the Gould and the Curry had yielded riches almost beyond belief, but the antiquated mining methods employed all but killed the golden goose. The shafts and tunnels were now deep in the heart of the mountain where the owners expected to find even greater treasures. Digging came to a halt when rising water levels overwhelmed the pumps, which simply could not push water back up to the surface. At this point Adolph Sutro came to the rescue.

Adolph Sutro (1830-1898) was a Prussian Jew who came to America in 1850. Lured by the California Gold Rush, Sutro engaged in mercantile pursuits in the Stockton area. In 1860 he went to Nevada and set up a quartz-reducing mill. He conceived of the idea of driving a three-mile-long tunnel into the base of the mountain to drain and ventilate the mines from below. At first the idea was met with a great deal of skepticism, and local support was not forthcoming. Sutro turned to Europe, where mining methods were more advanced and financial backers more understanding. He secured enough funds to begin the project. In 1872, when this certificate was issued, the tunnel was still under construction. Six more years of Herculean labor was necessary to bring the project to completion. Sutro sold his interest, invested it in San Francisco real estate, and devoted the rest of his life to politics and philanthropy.

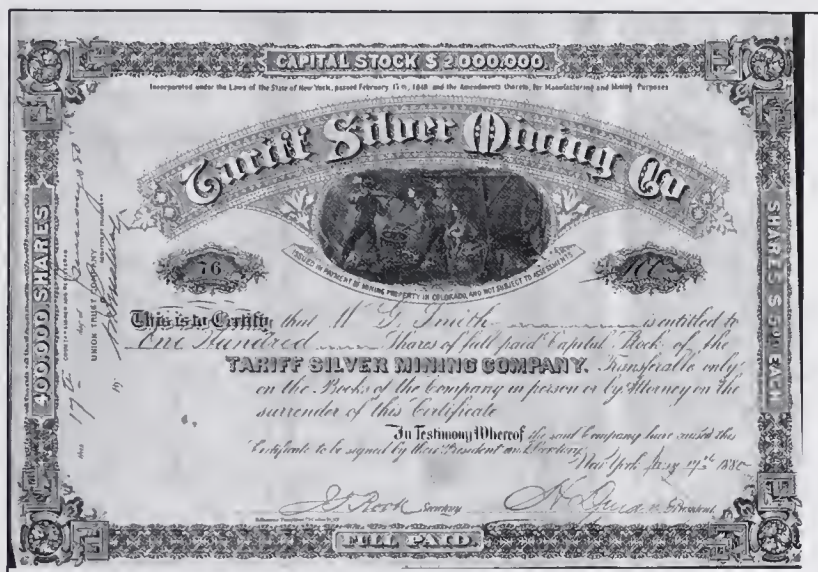


- 2279 Syracuse Mining (Dakota) 1886. Lead City. #58. 500 shs. Red and green. Ornate border. VF.*
(\$200-300)



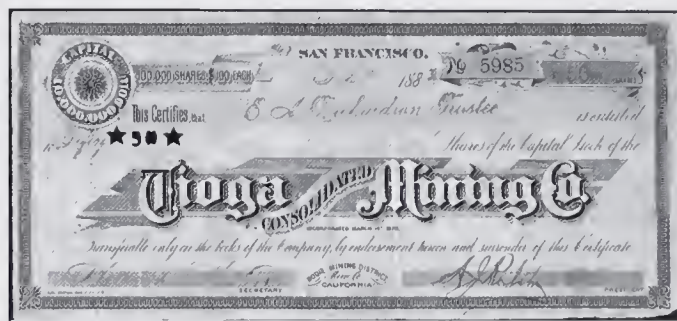
- 2282 Thornburg Mining (WV) 1881. #10. 25 shs. Portrait of a Civil War officer. Miners underground, left. VF.*
(\$200-300)

First we have seen.



- 2280 Tariff Silver Mining (NY) 1880. Colorado. #76. 100 shs. Miners underground. Trimmed, VF+.
(\$175-250)

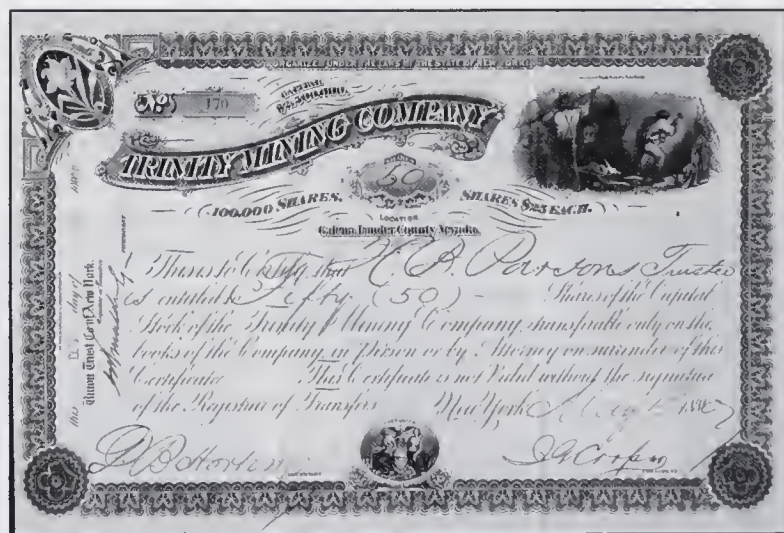
Nicest of only two found.



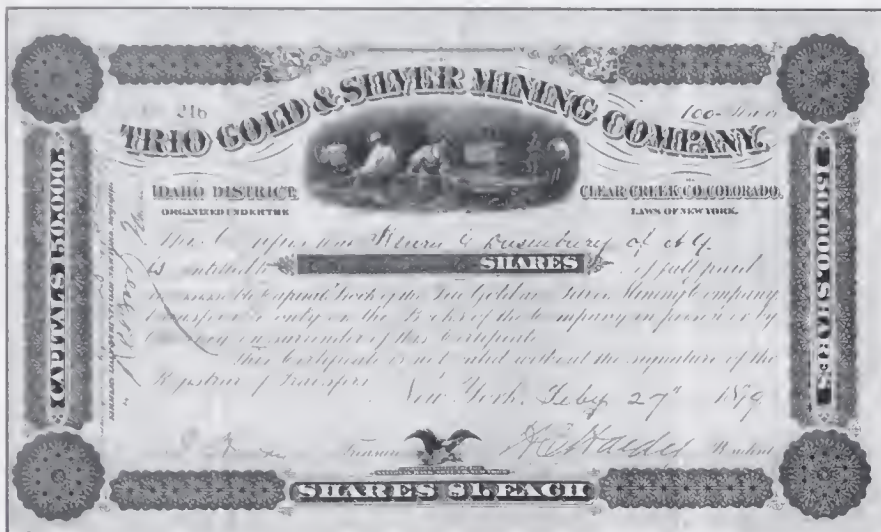
- 2283 Tioga Consolidated Mining (CA) 1881. Bodie Mining District. Mono County, California. #5985. 50 shs. Ornate border. Small size border. Minor staining in the center, F.
(\$200-300)



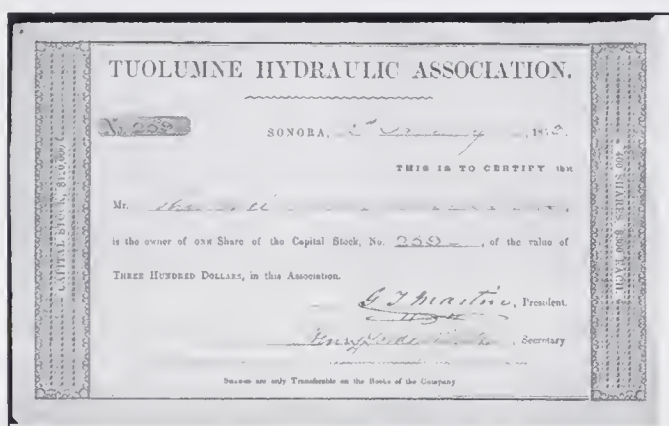
- 2281 Tem Pahute Land, Mining & Improvement 1878. Tem Pahute District. Lincoln County, Nevada. #324. 25 shs. Gold corporate seal. Train passes farmer with wheat, steamboat in distance. Light stain in bottom margin, VF+.*
(\$250-350)



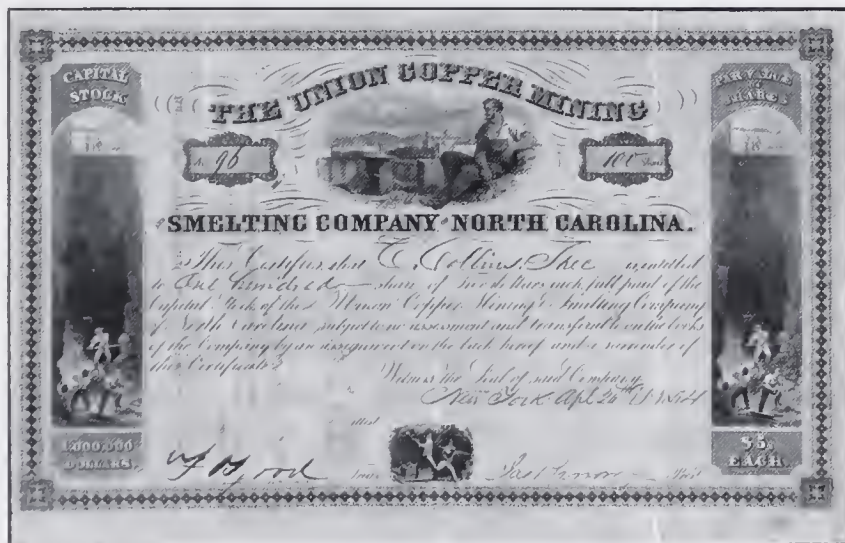
- 2284 Trinity Mining (NY) 1880. Galena. Lander County, Nevada. #170. 50 shs. Miners underground. State seal, bottom. ABN. VF+.*
(\$200-300)



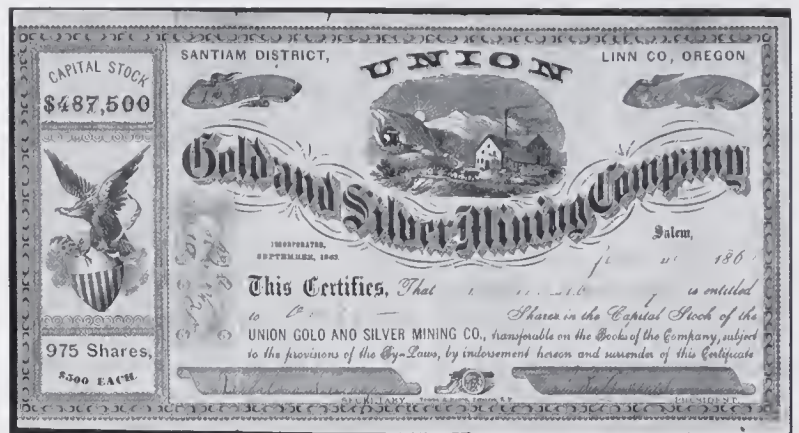
- 2285 Trio Gold & Silver Mining** (NY) 1879. Idaho District. Cleer Creek, Colorado. #246. **100 shs.** Miners underground. NBN. **VF.** **(\$250-350)**
First we have seen.



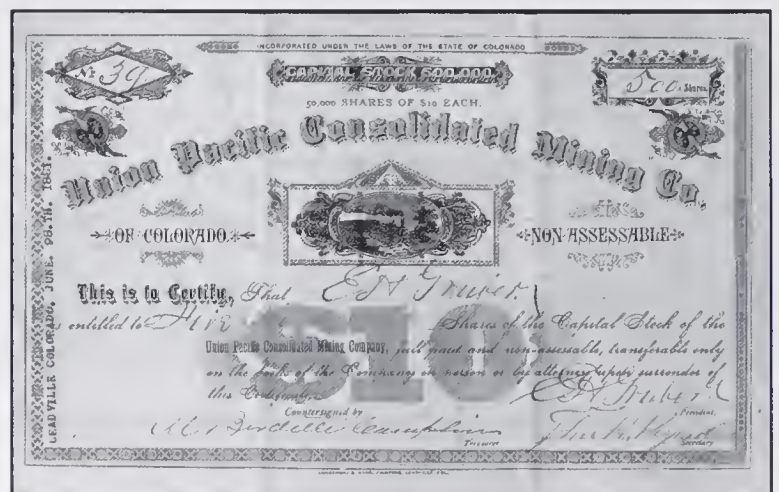
- 2286 Tuolumne Hydraulic Association (CA) 1853.**
Sonora County. #259. **One share.** Ornate border.
Very early and rare for this Sonora County location.
VF+.* (\$300-500)



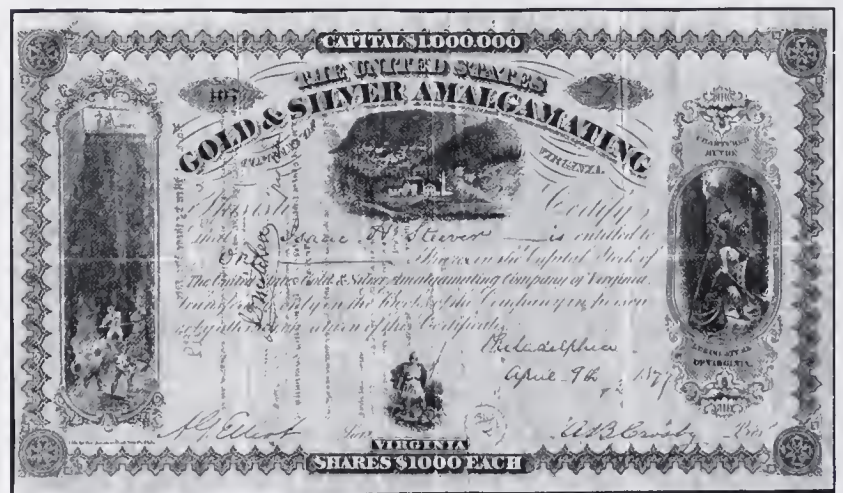
- 2287 Union Copper Mining & Smelting of North Carolina** 1854. #96. **100 shs.** Blacksmith. Vertical mine shafts, sides. Miners underground, bottom. Danforth, Wright & Co. Small stain in lower right corner. **VF+.*** **(\$200-300)**



- 2288 Union Gold & Silver Mining (OR) 1864.** Santiam District. Linn County, Oregon. #328. **One Share.** Horse drawn cart passes mill, mine entrance in the distance. Eagle with shield, left. Cannon, bottom. Light staining, top border. **VF.* (\$300-500)**
A similar example realized \$400 in our Sale No.194.



- 2289 Union Pacific Consolidated Mining (CO) 1881.**
Leadville. #39. **500 shs.** Green. Oncoming train.
VF+.* **(\$300-500)**



- 2290 United States Gold & Silver Amalgamating (VA)**
1877. #407. **One share.** Trains at mill. Mine shafts,
sides. State symbol, bottom. Light toning, **VF.***
(\$250-350)



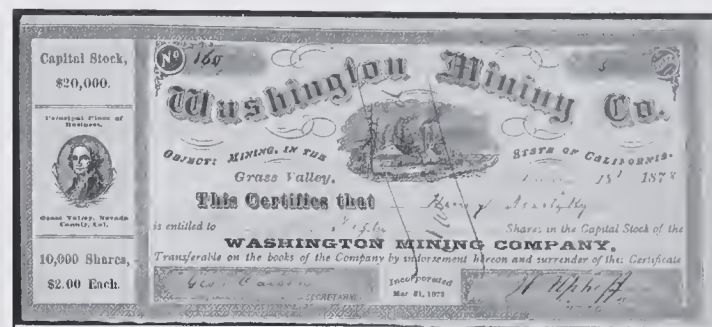
- 2291 United States Gold & Silver Mining of Colorado (IL) 1881. #655. 1000 shs. Full green underprint and gold seal. Eagle with shield. Columbia, left. Pair of prospectors, right. VF.*
Only example known. (\$200-300)



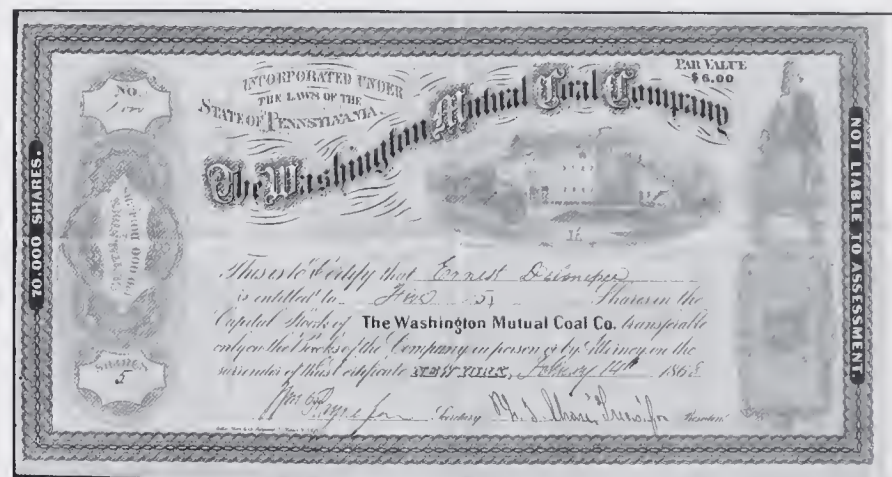
- 2292 Wabash Mining & Smelting (NY) 1881. Ten Mile. Leadville, Colorado. #336. 500 shs. Horsecarts approach mine entrance and mill. VF+.* (\$200-300)



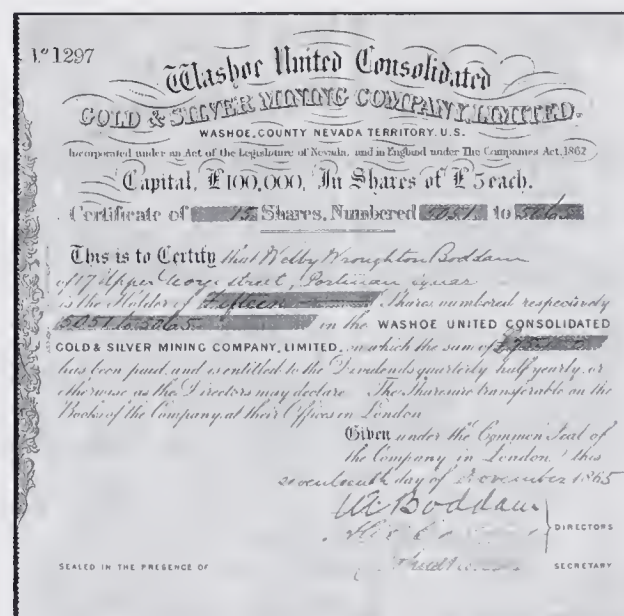
- 2293 War Eagle Gold & Silver Mining (OR) 1865. War Eagle Lode, Owyhee County. Idaho Territory. #185. 5 shs. Indian with rifle on ledge. Prospector, right. Repaired splits, VF.* (\$200-300)



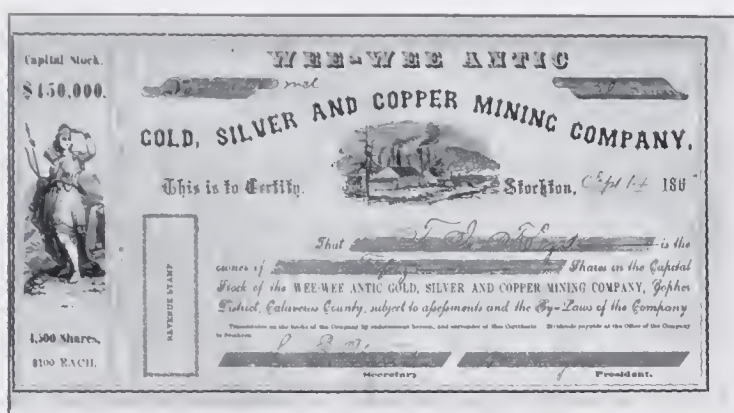
- 2294 Washington Mining (CA) 1878. Grass Valley. #160. 50 shs. Millsite. George Washington, left. Small size format. Lightly pen cancelled, VF. (\$250-350)



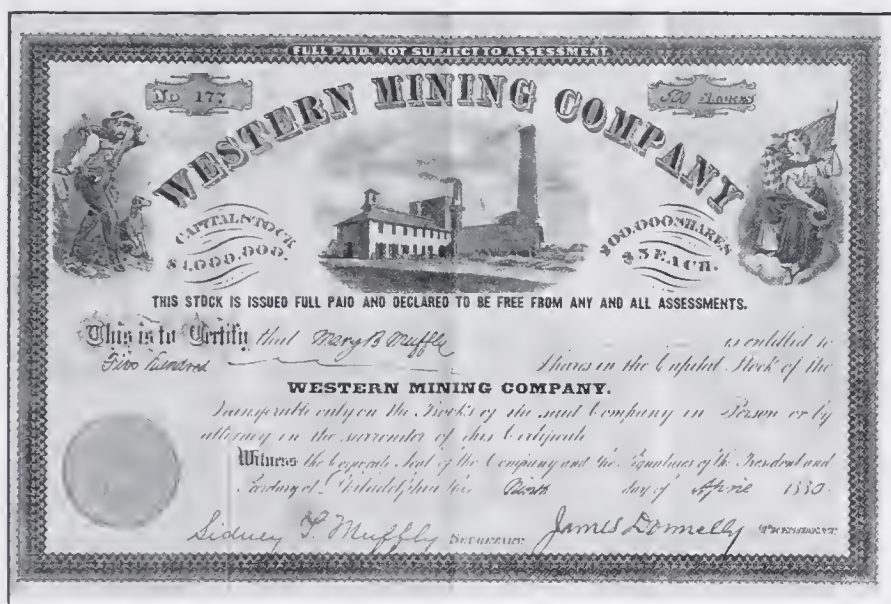
- 2295 Washington Mutual Coal (PA) 1868. #1000. 5 shs. Red vignettes. Coal train leaves mill. Columbia, right. VF.* (\$200-300)



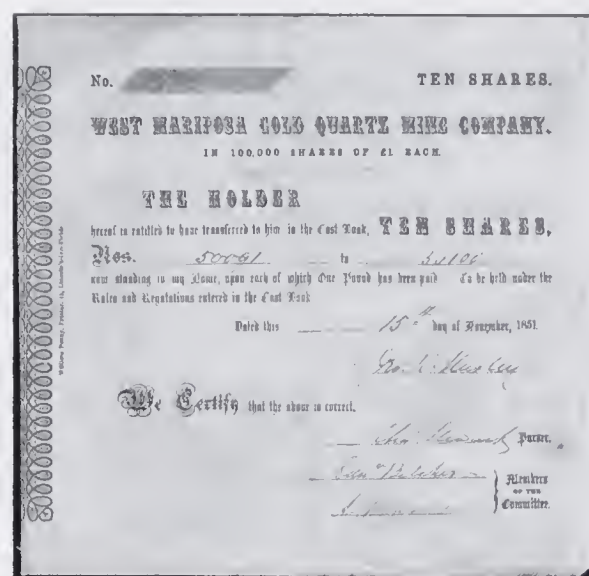
- 2296 Washoe United Consolidated Gold & Silver Mining (Nevada Territory) 1865. #1297. 15 shs. One of the earliest British mining efforts in Nevada. VF+.* (\$300-500)



- 2297 Wee-Wee Antic Gold, Silver & Copper Mining (CA) 1863. Gopher District, Calaveras County. Stockton, California. 50 shs. Indian princess, left. VF+.
(\$400-600)



- 2298 Western Mining 1880. #177. 500 shs. Factory. Prospector, left. Columbia, right. VF+.*
(\$200-300)



- 2299 West Mariposa Gold Quartz Mine (England) 1851. Mariposa District, California. #1510. 10 shs. Printed on linen. VF.*
(\$300-500)



- 2300 West Side Silver Mining (CA) 1875. Reville Mining District. #237. 10 shs. Miners push ore cart, left. VF+.*
(\$200-300)

"How to Collect" Booklets

\$2.00 each

published by The Professional Currency Dealers Association.

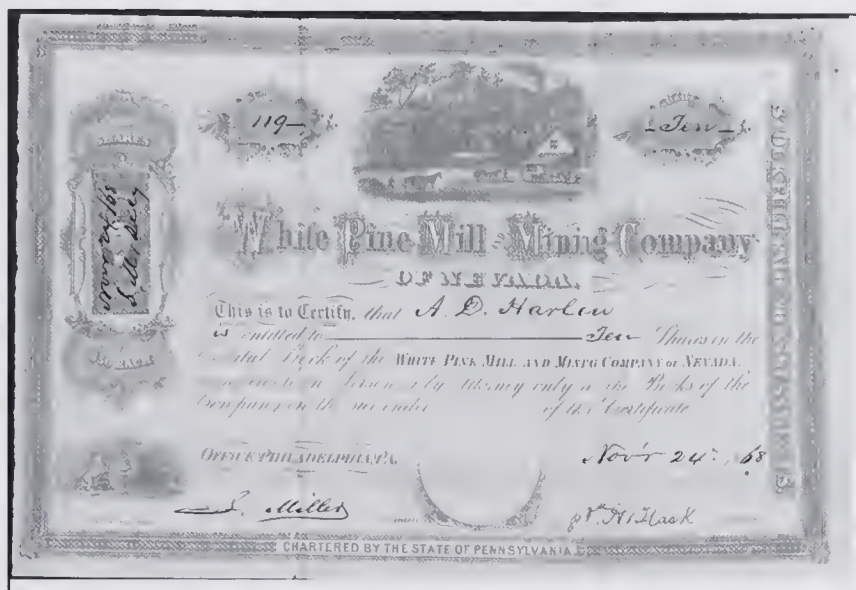
A beginning collector in any field is typically faced with the challenge of locating introductory information to help in forming a collection and learning more about the subject in question. The Professional Currency Dealers Association, a trade group whose membership includes many of the leading dealers in collectible paper money, has addressed this need by publishing a series of "How to Collect" books.

The initial booklet, The Wonderful World of Paper Money, serves as an overview of the subject. Additional texts cover specific specialty areas. Pamphlets are \$2.00 each postpaid. The following are currently available:

The Wonderful World of Paper Money
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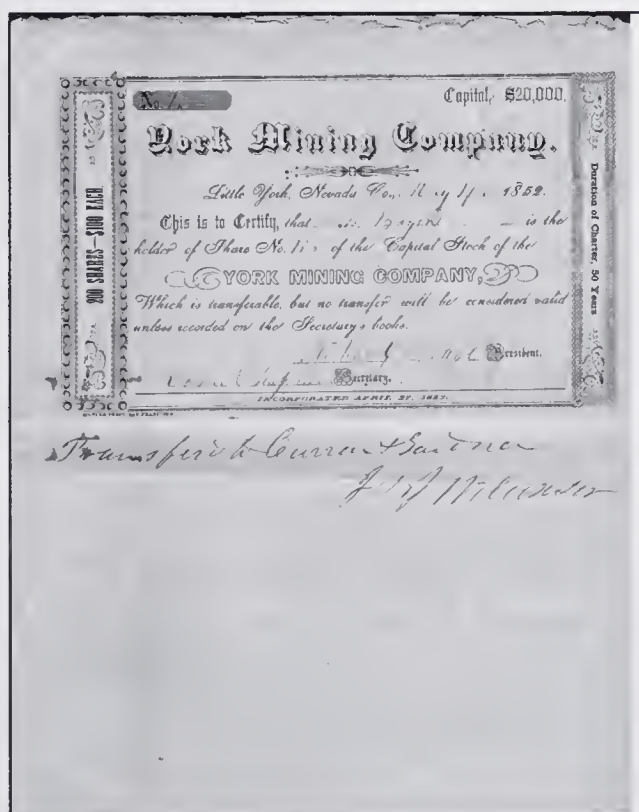
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Collecting U.S. Large Size Type Notes
Collecting Military Payment Certificates
Collecting World Paper Money

To order, call 800-622-1880.



- 2301 White Pine Mill & Mining of Nevada (PA) 1868.** White Pine District. #119. **10 shs.** All light purple. Mine and mill. Pennsylvania arms, lower left. Light staining on the folds, **VF.*** **(\$250-350)**

The last example we handled realized \$400 in our Sale No.182.



- 2302 York Mining (CA) 1852.** Little York, Nevada County. #136. **One share.** Blue paper. Ornate border. **VF.** **(\$300-500)**

Named after New York, where many of the camp's miners came from. The area was worked as early as 1849, and the town of Little York was organized in 1852. The site became a ghost town soon after hydraulic mining was banned in 1884.



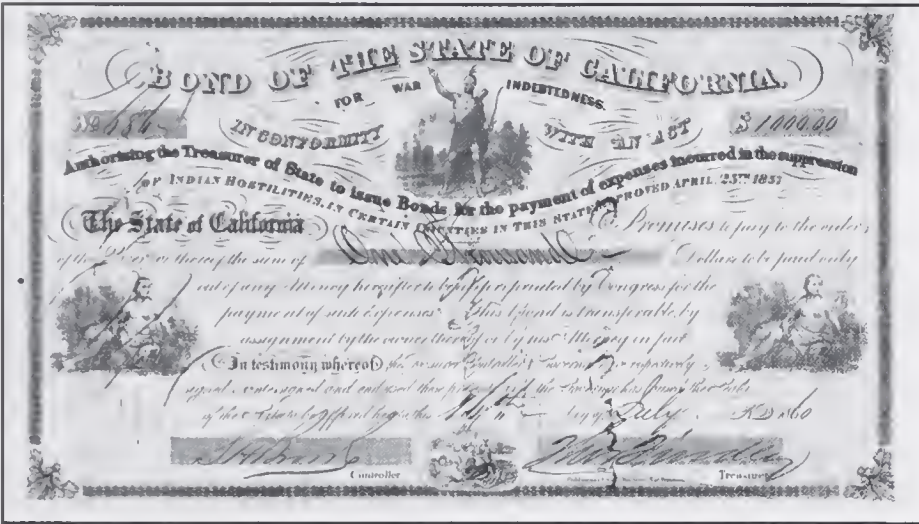
- 2303 Zephyr Mining (NY) 1884.** Colorado. #254. **100 shs.** All bronze with eagle. Mine shaft entrance. **VF+.*** **(\$175-250)**

Booth Holders Strasburg Stock & Bond Show February 6-8, 2004 The Netherlands Inn and Spa

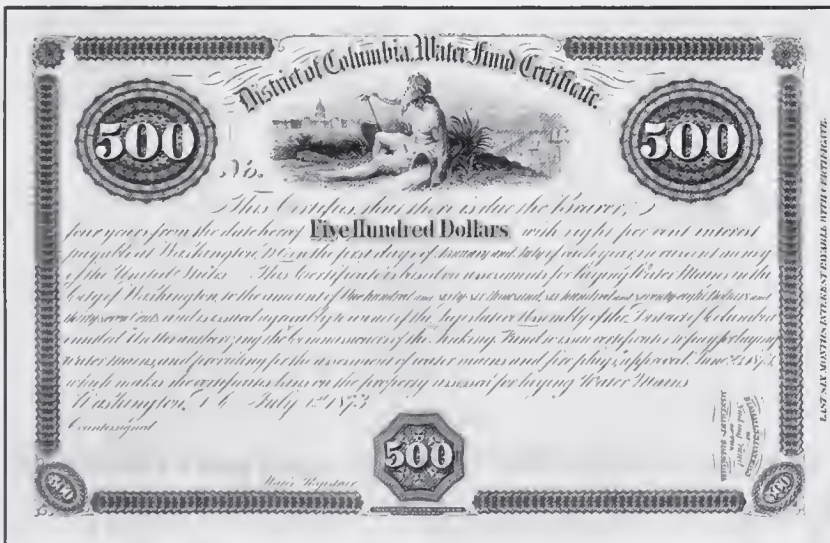
American Vignettes	Roselle Park, NJ
Americana Enterprises	Colorado Springs, CO
Antique Stocks and Bonds	Williamsburg, VA
David Beach	Lake Monroe, FL
Archives International	New York, NY
Frost and Robinson	Richboro, PA
Clinton Hollins	Springfield, VA
Malcolm Kurin	Wilmington, DE
Tom Lareau	Annandale, VA
Stuart MacKenzie	Chinook, MT
Miracles	Mantua, NJ
Norrico, Inc.	Flushing, NY
Scripophily.com	Fairfax, VA
Transportiques	Alexandria, VA
Treasure Trove, Ltd.	Ellicott City, MD
Lawrence Falater	Allen, MI
George H. LaBarre Galleries	Hollis, NH
Claud & Judith Murphy	Winston-Salem, NC
K. Clifford Priest	Elgin, IL
Bob Schell Enterprises	Fairfax Station, VA
Barry A. Smith	Greensboro, NC
Smythe & Co	New York, NY
Scott J. Winslow Associates, Inc.	Bedford, NH
Sam Withers	St. Louis, MO
Main Line Coin and Stamp	Ardmore, PA
John Heleva	Fair Oaks, CA
Terry Cox	Arvada, CO
WHACO!	Washington, D.C.
International Bond and Share Society	

State, County & City Bonds

- 2304 State of Alabama (AL) 1870. #17. Cr.70A. \$1000.**
8% Bond. Cu. Green "1000" underprint. Red seal.
Train. Blacksmith, left. Eagle, right. Minor edge splits,
VF. (\$175-250)



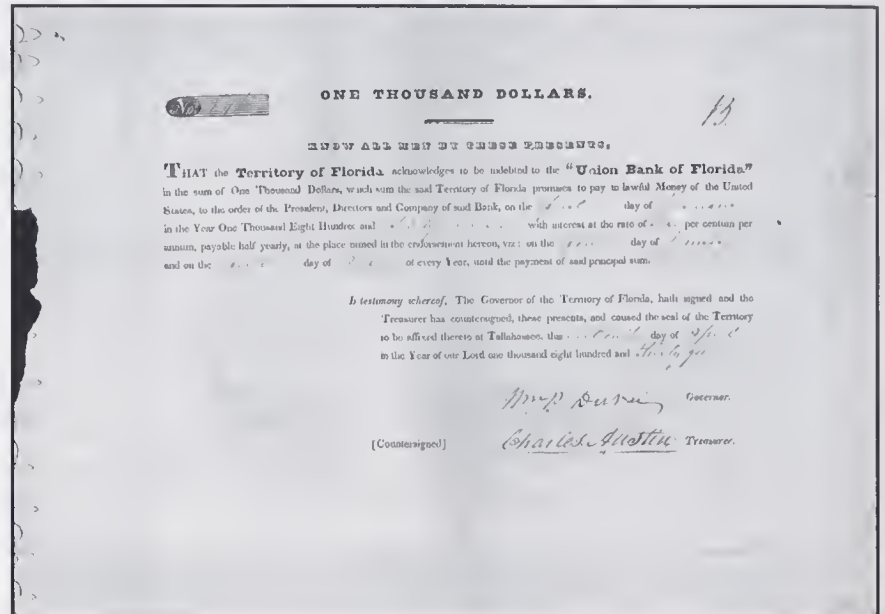
- 2305 State of California (CA) 1860. #686. \$1000. 7% Bond.** Indian with bow. Allegorical females, sides. State seal, bottom. Issued for "Expenses Incurred in the Suppression of Indian Hostilities in Certain Counties in this State". VF. (\$1,000-1,500)



- 2306 District of Columbia (DC) 1873. Proof on India paper. \$500.** Water Fund Certificate. Neptune pours jug of water, Capitol building in distance. CBN. Minor repaired tear in lower border, VF+. (\$200-300)



Please note that a 15% buyer's premium will be added to each lot purchased.



- 2307 Territory of Florida Bonds of 1834. [2]**

(\$500-750)

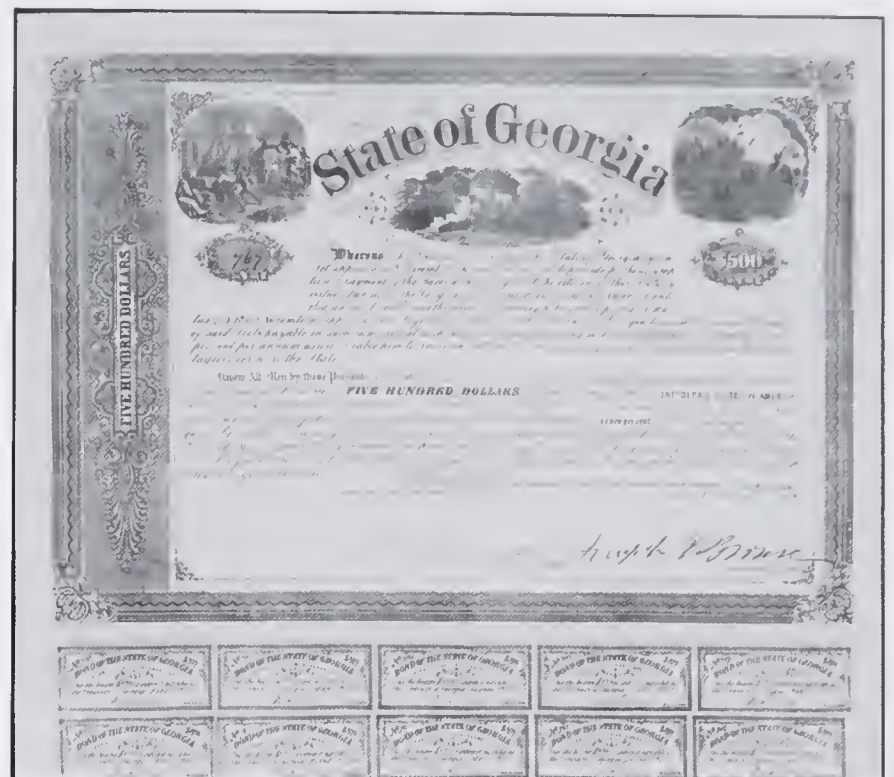
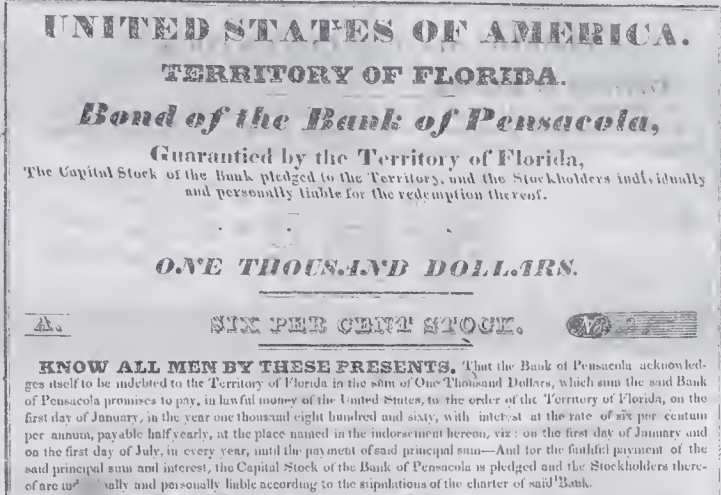
- 1) \$1000. #296. Letter B. Typeset form. The Territory of Florida acknowledges its indebtedness to the Union Bank of Florida. Signed by Governor Duval. Light toning, VF. 2) \$1000. #296. Letter B. Interest payable at the Phenix Bank in New York. Ornate border, coupons below. Light toning, VF.

The Union Bank was a scheme to render liquid the lands and slaves of the planters. They could pledge their property and receive loans. Values collapsed in 1837 and the bank became insolvent. The bonds were repudiated by the Territory and the Federal Congress went along with this action.

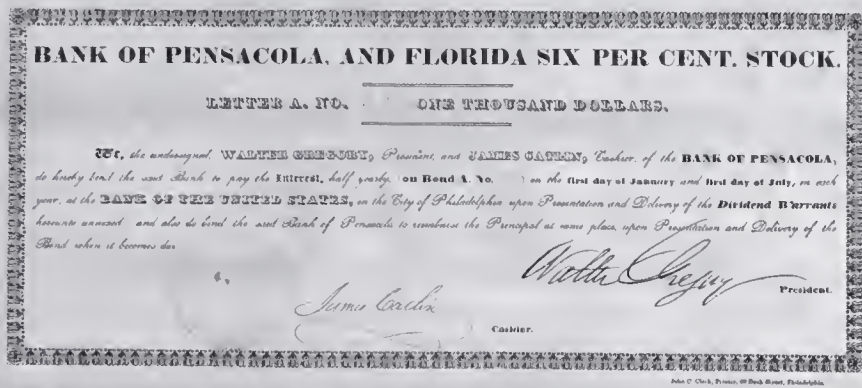


- 2308 Territory of Florida 1836. #2052. Series C. \$1000.** 6% Bond. Early train. Steamboats, top corners. Farmers in lower corners. RWE. Wide side and bottom margins, VF+. (\$300-500)

This bond was issued to raise further funds for Florida's development. A sheet of coupons is included.



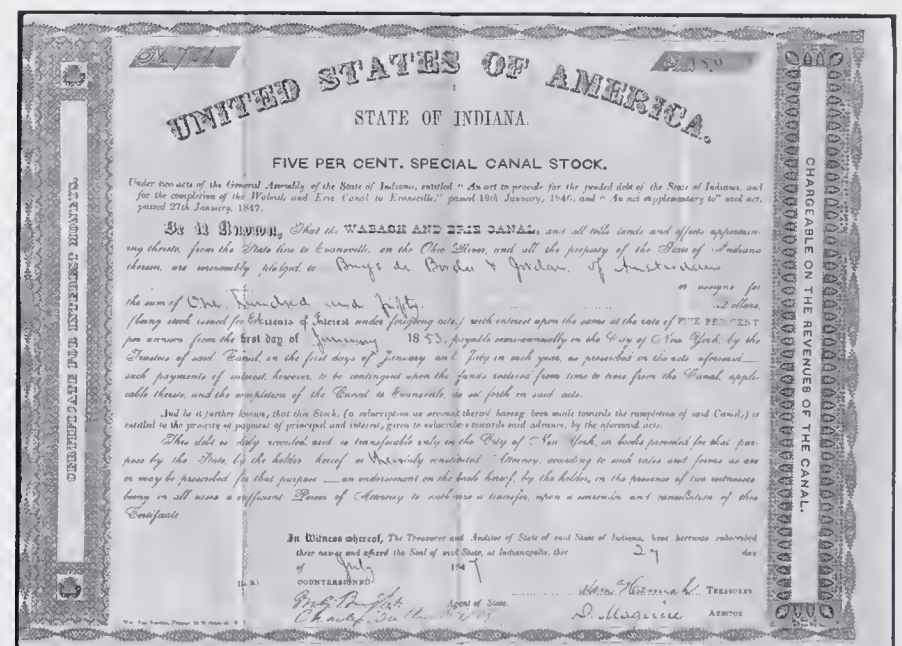
2310 State of Georgia (GA) 1862. #767. Cr.61A. \$500. 7% Bond. Cu. State arms flanked by dock and battle scenes. R.H. Howell. VF+. * (\$150-250)



2309 Bank of Pensacola & Florida Trio. [3] (\$1,000-1,500)

1) \$1000. 1835. #28. Letter A. 6% Stock. Pink seal. Signed by Governor Eaton on the reverse. VF+. 2) \$1000. 1836. #28. Letter A. 6% Stock. VF+. 3) \$1000. 1836. #28. Letter A. 6% Stock. VF+.

The Bank of Pensacola was chartered in 1831 and in 1835, in order to raise capital for it, the Territory agreed to lend its credit for that purpose. Accordingly, the bank printed, signed and numbered \$500,000 worth of bonds possible in series A to E, numbered 1-100 each. On the back of each bond, Territorial Governor John Eaton (former Secretary of War and center of the Peggy Eaton Affair) signed the bank's bonds guaranteeing on behalf of Florida the principal and interest. The bank then placed these bonds in their own vault and issued a new bond payable to a consortium of Second Bank of the United States officers at Philadelphia both for principal and interest. The Bank of the United States, urgently needing funding, then sold these bonds together with one drawn up by their own bank, payable in London at the offices of Gowan & Marx.



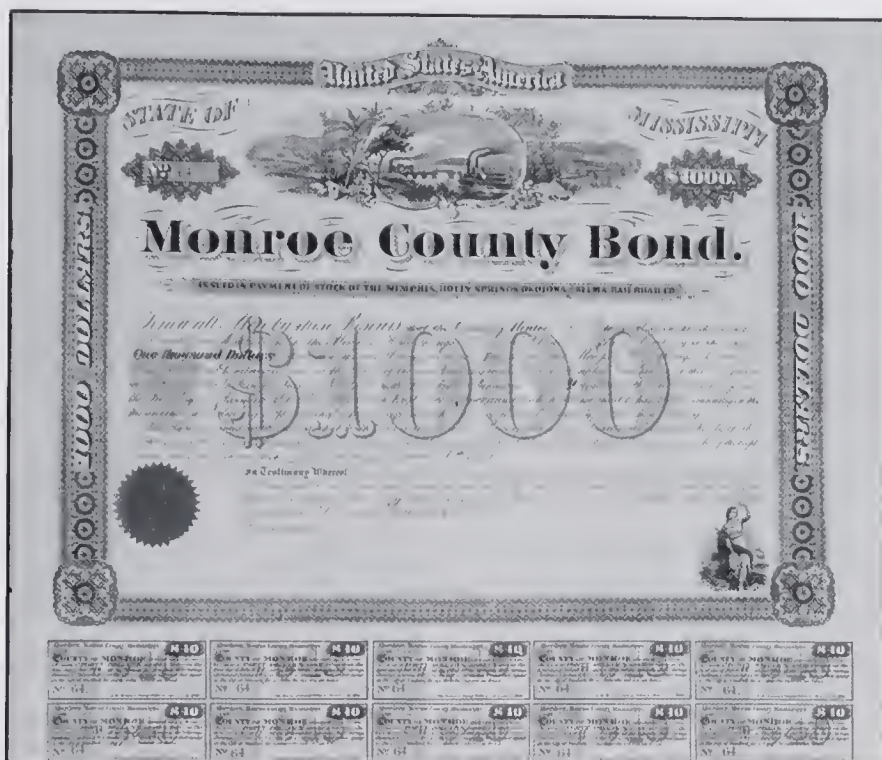
2311 State of Indiana (IN) 1847. #731. \$150. 5% Special Canal Stock. Blue paper. Ornate border. Spotting, VF. * (\$200-300)

For the completion of the Wabash and Erie Canal to Evansville.



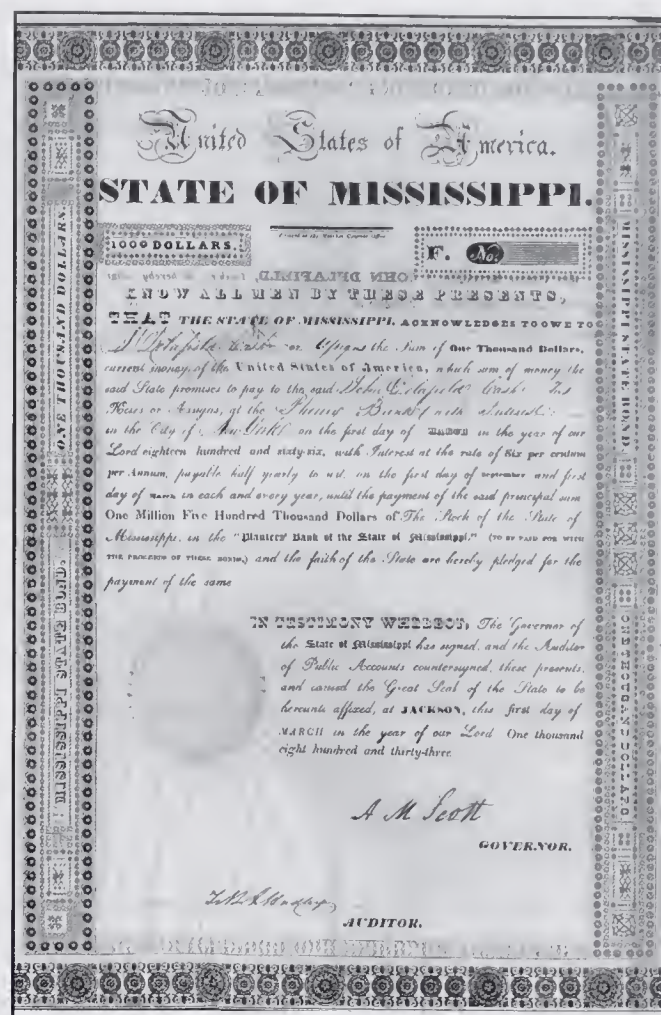
Do You Have Stocks & Bonds For Sale?

If you are thinking of selling your stocks & bonds, please remember that we are active buyers. We will gladly make serious offers on single items, estates, collections, and corporate or institutional archives.



- 2316 Monroe County Bond (MI) 1869. #64. \$1000. 8% Bond. Cu. Brown \$1000. Train and steamboat flanked by cities. Justice, lower right. Issued in payment of stock of the Memphis, Holly Springs, Okolona and Selma Railroad. RN-T4. Henry Seibert. Minor junction holes, VF.

Unlisted in Castenholz.



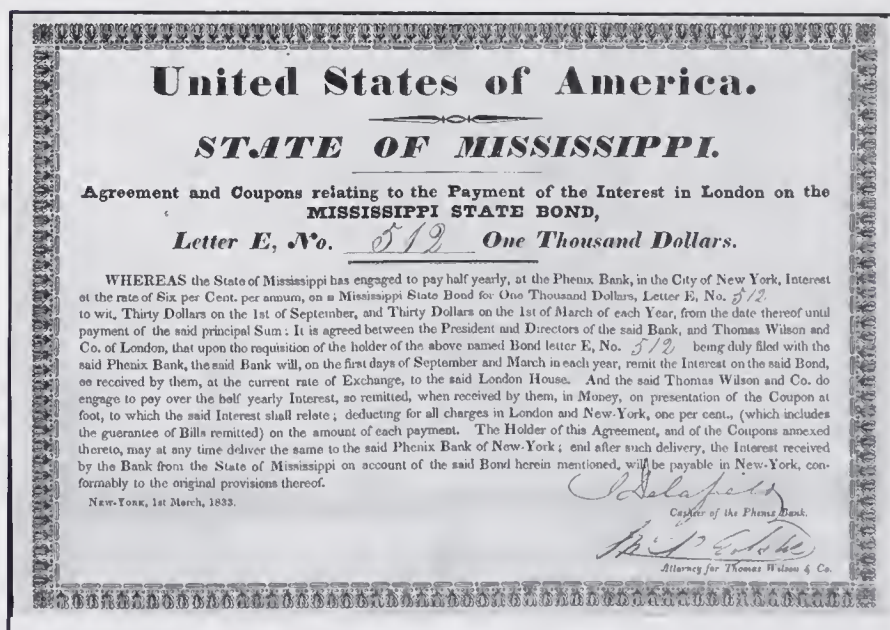
- 2318 State of Mississippi (MS) 1833. #1260. Letter F. \$1000. 6% Bond. Cs. Ornate border. Signed by A.M. Scott as governor. VF+.*

(\$300-500)



- 2317 State of Missouri (MO) 1872. #198. \$1000. 6% Bond. Brown. State arms flanked by miners underground and a dog with an anvil. ABN. Trimmed, VF+.

Issued for the benefit of the State University, the Agricultural and Mechanical College and the School of Mines and Metallurgy.



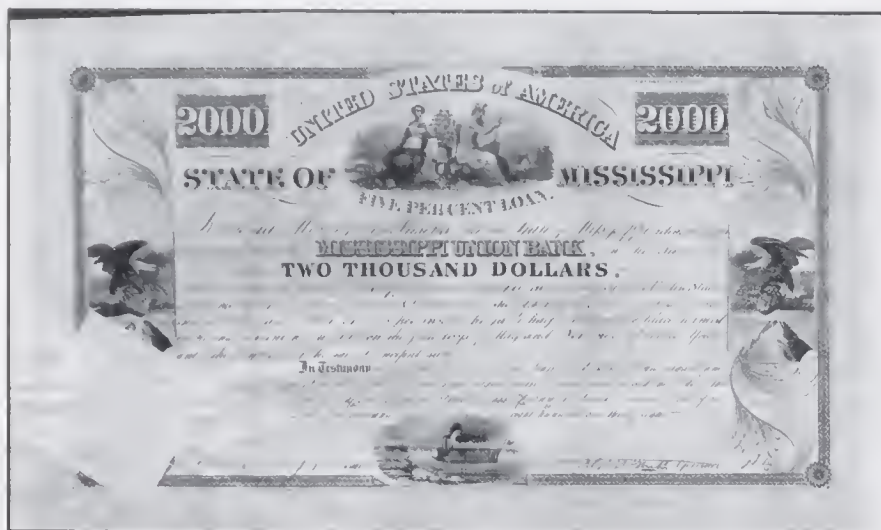
- 2319 State of Mississippi (MS) 1833. Phenix Bank. #512. Plate E. \$1000. 6% Bond. Cu. Ornate border. VF+.*

(\$250-350)

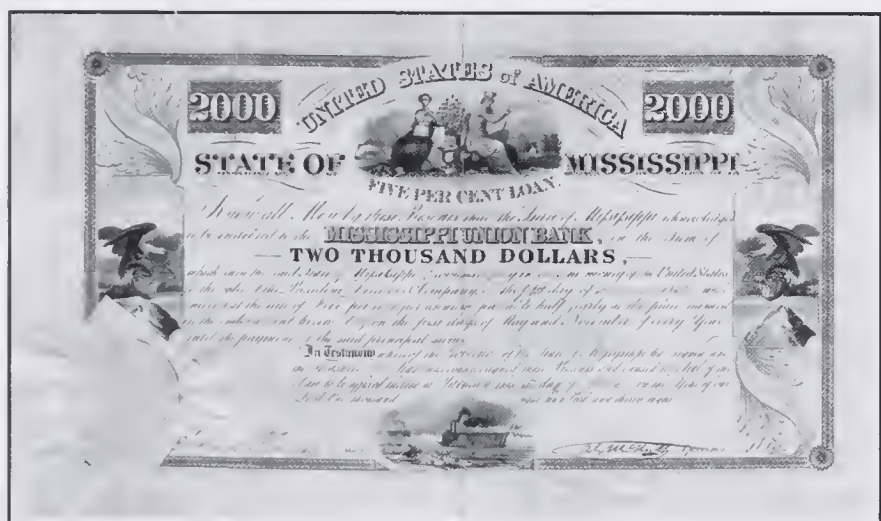
We accept EMAIL, PHONE, FAX or MAIL bids on any lot in this sale.

Please be sure to follow up with a written confirmation

if you phone your bids to our office.



- 2320 State of Mississippi (MS) 1838. Mississippi Union Bank. #279. (Cr.38A). \$2000. 5% Loan. Cu. Yellow paper seal. Allegorical women. Eagles, sides. Steamboat, bottom. DTL. VF.* (\$300-500)**



- 2321 Mississippi Union Bank (MS) 1838. #123. \$2000. 5% Bond. Cu. Pair of allegorical women. Eagle with shield above mountain, sides. Steamboat, bottom. Draper, Toppan & Longacre. Rejoined splits, appears VF. (\$150-250)**



A. L. de Santa Anna

- 2322 United States of America (NY) 1866. New Granada. \$500. First Mortgage Bond. Spanish Colonial style mansion. Palace of Turbaco, Portrait of Santa Ana and Palace of St. Thomas, all left. Signed by Antonio Lopez de Santa Anna. VF. (\$750-1,250)**

Issued by the former Mexican strongman (five times president) in 1866 for the purpose of raising funds for a comeback in Mexico. His agents embezzled most of the proceeds, and Santa Anna was arrested and prevented from returning to Mexico. Later, elderly and blind, he was finally allowed to return.



Comprehensive Catalog and History of Confederate Bonds

\$45.00

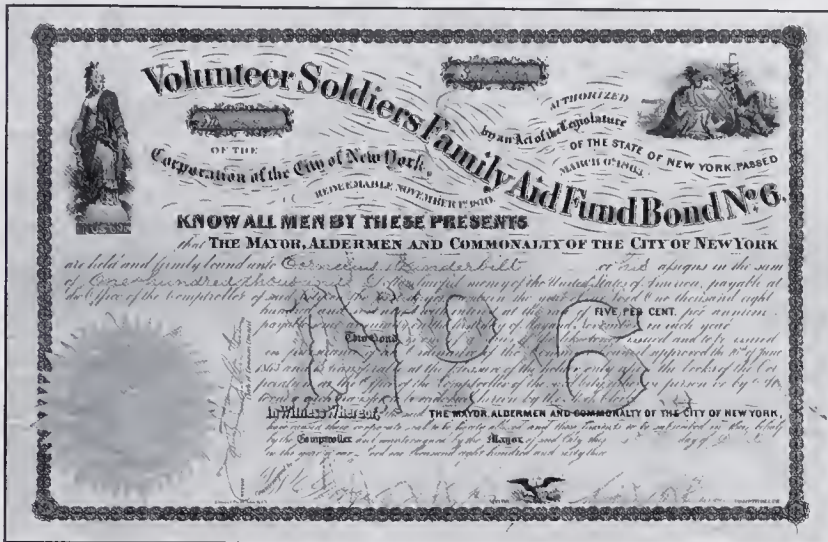
By Douglas B. Ball, Ph.D.

1998. 288pp, hard cover.

In the book that renders all previous Confederate Bond references obsolete, Ball identifies close to 400 different Confederate Bond varieties including several great rarities unlisted until now. Hundreds of illustrations help even the most inexperienced collector match a bond to its catalogue listing. In addition, the book gives an in-depth history of the bond signers, the printers, the legislation, the Coutts Bank Hoard, and more, such as new, up to date rarity listings for every bond; prices for two different grades; number range information not available in any similar publication; and comprehensive "Ball" numbers for all listed bonds (plus a cross-reference to Criswell numbers). This is far and away the best book on the subject of Confederate Bonds. Order today and reap the benefit of Dr. Ball's many years of dedicated research.

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Vanderbilt Comes to the Aid of New York City



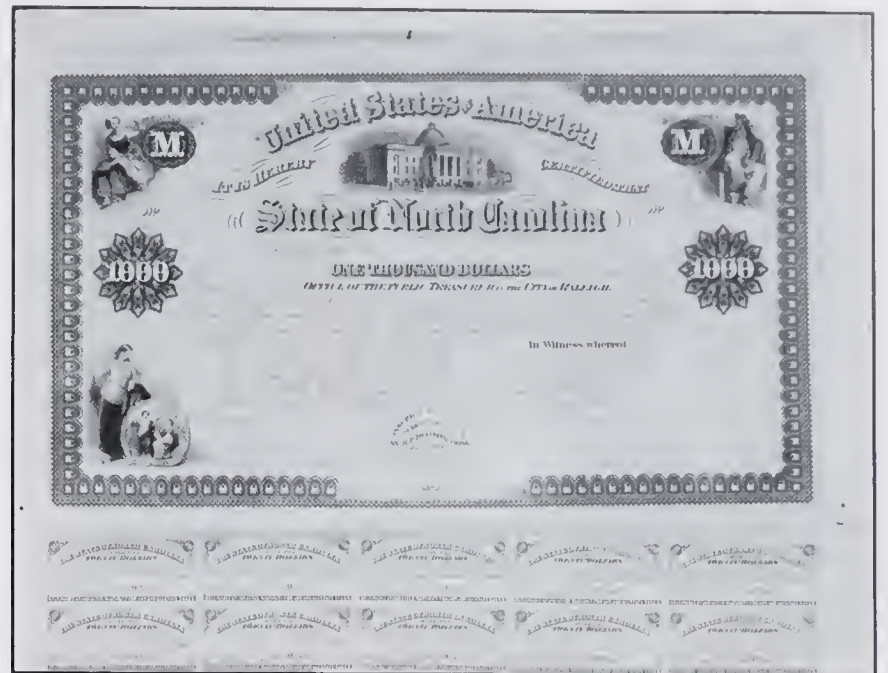
Cornelius Vanderbilt

- 2323 Volunteer Soldiers Family Aid (NY) 1863. #4. \$100,000. 8% Bond. Green corporate seal. Purple "No. 6". Liberty, upper left. Small eagle at bottom. Issued to and signed on the reverse by Cornelius Vanderbilt. Uneven center age toning, otherwise VF. (\$12,500-17,500)**

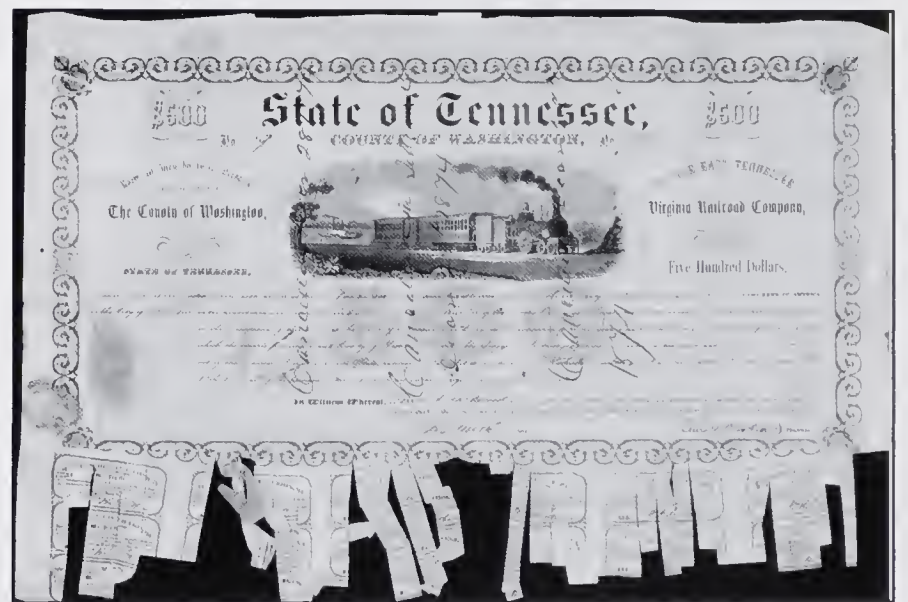
Cornelius "The Commodore" Vanderbilt (1794-1877) was one of America's greatest financiers. At the age of 16 he bought a small sailboat and ferried passengers and goods between Staten Island and Manhattan. He went on to make his fortune in the steamship business, earning himself the nickname "Commodore". In 1862 Vanderbilt sold his ships and turned to financing railroads, where he amassed a greater fortune, estimated at \$100,000,000, making him one of the richest men of his time.

In 1863 the War Between the States placed a large burden on New York. The city had to either supply the Federal government with an allotment of troops, or with funds to help get troops from other states to volunteer. Commodore Vanderbilt had divested himself of his steamboat holdings and his financial condition was liquid enough to enable him to purchase this bond. He was just beginning to make railroad acquisitions that would eventually increase his fortune to an estimated \$100,000,000.

Though this bond represents a mere thousandth of Vanderbilt's eventual net worth, it was an enormous amount of money in 1863. The fact that this bond bears serial number six tells us that when the city made its needs known, Vanderbilt was among the first New Yorkers to subscribe.



- 2324 State of North Carolina (NC) 1880. Office of the Public Treasurer. City of Raleigh. Proof. \$1000. Act of March 4, 1879. Cu. State House. Woman and man near "M" in corner. Woman with spear leans on shield with North Carolina state arms. "An Act to Compromise, Commute and Settle State Debt". Issued after Reconstruction to consolidate and pay off the valid state debt. The bond is on one sheet and the coupons are printed separately. Paper wrinkling, VF. (\$175-250)**

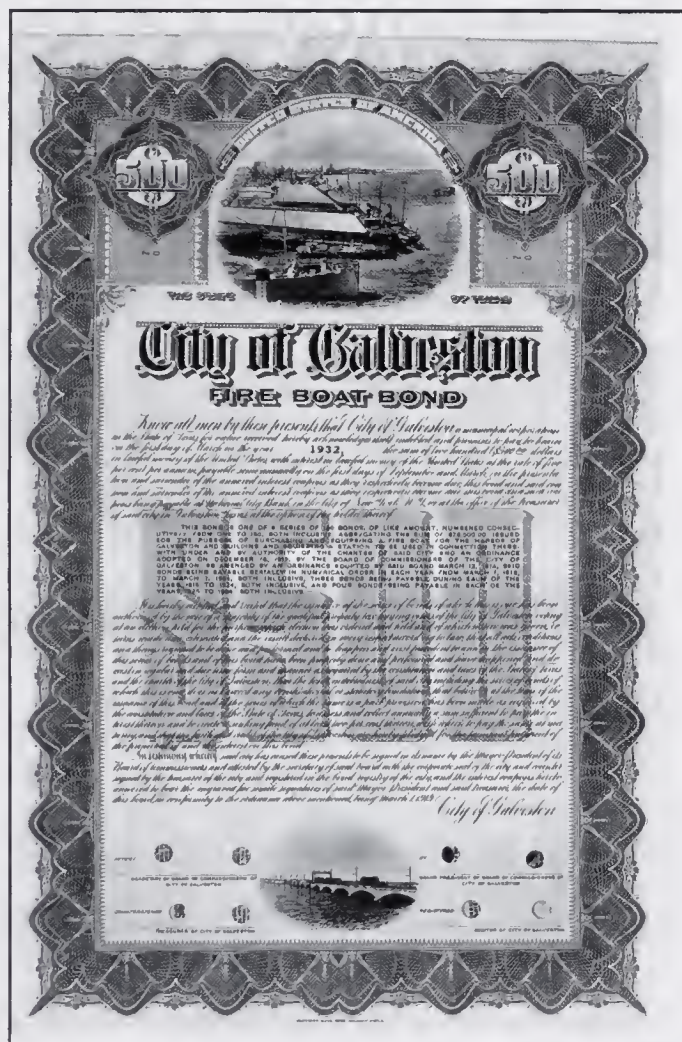


- 2325 State of Tennessee (TN) 1853. County of Washington. #19. \$500. 6% Bond. Orange "500". Payable to the East Tennessee & Virginia RR. Train, steamboat in distance. Light staining. Pen cancelled. Coupons shredded. F. (\$400-600)**

First we have seen and perhaps unique.



2326 Republic of Texas 1840. #1686. Cr. 40A. \$100. 8% Fund. Cu. Vulcan. Cattle, left. Cow and steamboat, right. Star underprint. CC. VF. (\$250-350)



2327 City of Galveston (TX) 1914. Specimen. \$500. Fire Boat Bond. Cb. Brown. Harbor scene. Bridge, bottom. SBN. VF+. (\$175-250)



2328 State of Vermont (VT) 1862. Specimen. \$500. 6% Bond. Cu. Red "500". State capitol at Montpelier, Revolutionary War scenes, bottom. State arms on coupons. Issued under the Act of 1862 to support the Union War effort. Possibly unique, as the bond is listed as issued in December 1862 and is due in December 1878, whereas the listed bonds due at that time reported in Standard and Poors are only for \$58,500. The bonds in 1872 were listed as being in rapid course of retirement. This bond may well be an essay. VF. (\$200-300)

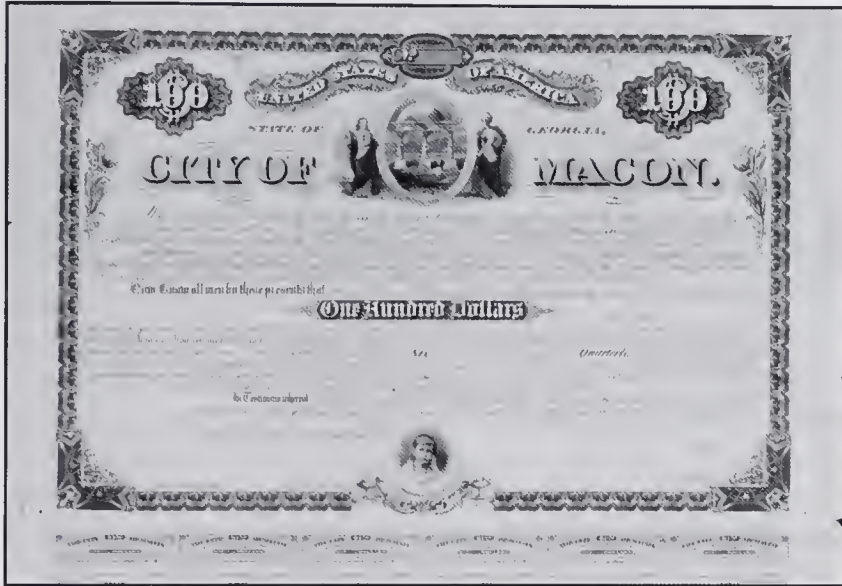


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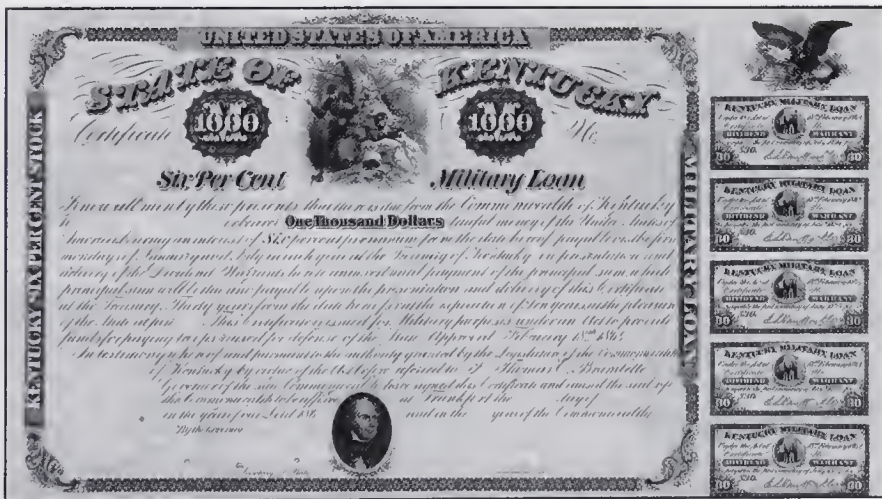
Final Selections from The Douglas Ball Collection of State Bonds

- 2329 City & County of San Francisco (CA) 1923. #9928. \$1000. 5% School Bond. Yellow. The Thinker. H.S. Crocker. VF+.** **(\$50-100)**



- 2330 City of Macon (GA) 1880. Proof on India paper. \$100. 6% Bond. Cu. State seal. Portrait of a young girl, bottom. VF.*** **(\$200-300)**

- 2331 Kansas Bond Pair. [2] (\$100-200)**
1) Plymouth War Debt Bond 18_. Unissued. Splits. F.
2) County of Lawrence 1879. \$500. VF+.



- 2332 State of Kentucky (KY) 1864. Specimen. \$1000. Six Per Cent Military Loan. Cu. Civil War riflemen. ABN. VF.*** **(\$300-500)**

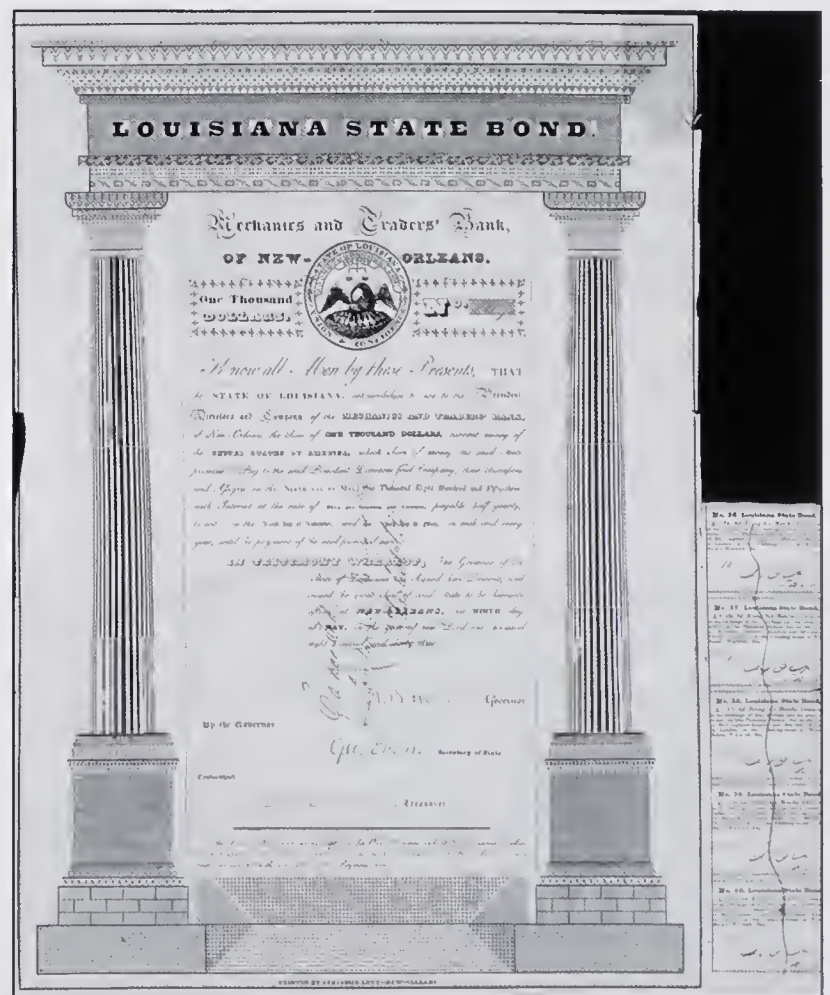
- 2333 County of Scott (IL) 1857. Rock Island & Alton RR. #9. \$500. 7% Bond. Cu. Purple counters and "\$500". Train. Train cross bridge, sides. Farmers, Justice and a milkmaid, bottom. Punch cancelled and light toning, VF.** **(\$100-150)**

- 2334 State of Louisiana (LA) 1828. #(CR28C). \$1000. 5% Bond. No coupons. Large eagle. Cherubs with bales, bottom. Aged, light ink corrosion. F.*** **(\$200-300)**

Issued for the benefit of the Consolidated Association of Planters of Louisiana. This organization was supposedly capitalized by planters buying stock and mortgaging their lands as security for payment. In fact the real capital was furnished by the State with bond issues such as this. Despite putting millions into this enterprise it failed. The planters over valued their lands and the crash of 1837-1841 ruined even those who had been more conservative in their evaluations. In addition, a great deal of money was lost trying to prop up the price of cotton. The state was still liquidating the bank and its bonds in the 1870's.

- 2335 Citizen's Bank of New Orleans (LA) 1836. #2352. £100/\$444.44. 5% Bond. Cu. Ornate border. VF+.* [2]** **(\$250-350)**

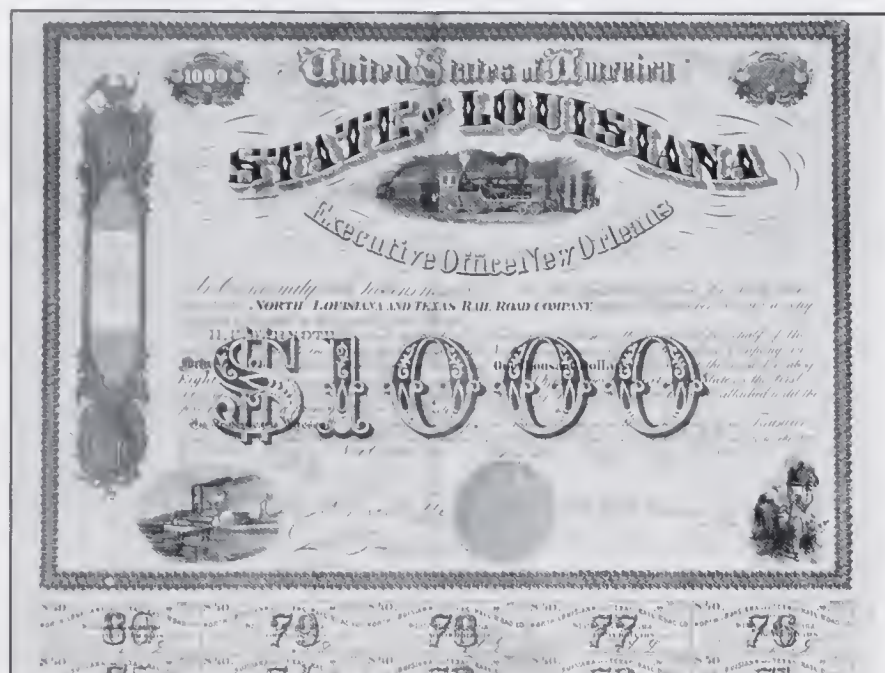
Also included is the annex to a Louisiana State 5% Bond dated 1836.



- 2336 Louisiana State Bond (LA) 1833. Mechanics & Traders' Bank. New Orleans. #104. (Cr.33A). \$1000. 5% Bond. Cs. State seal. Torn and taped top right corner, otherwise VF.** **(\$250-350)**

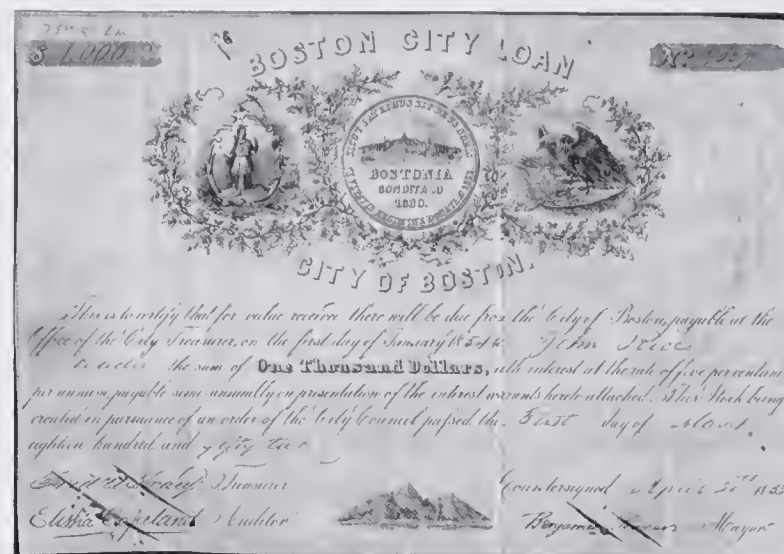
Part of a small issue (only 150 bonds) issued in support of the Mechanics and Traders' Bank to furnish it with capital. Although a 20 year 5% bond, the bonds were paid off ahead of time by the bank. We have seen or heard of only 5 of these bonds which came out in 1962. A great rarity.

- 2337 Citizens' Bank of Louisiana (LA) 1848. #15.**
£100/\$444.44. 5% Bond. Cs. Ornate border. VF+.*
(\$200-300)



- 2338 State of Louisiana (LA) 1869. North Louisiana & Texas RR. #245. \$1000. 8% Bond. Cu. Green seal and red "\$1000". Train at station. Steamboat, lower left. Cotton pickers, lower right. Repaired splits and filled holes, F.***
(\$200-300)

- 2339 Louisiana State Bond Trio. [3] (\$300-500)**
 1) Consolidated Bond 1874. \$100. Brown. 2) Consolidated Bond 1874. \$500. Blue. 3) Consolidated Bond 1874. \$1000. Green. All VF+.



- 2340 Boston City Loan (MA) 1852. #7037. \$1000. 5% Bond. Seal of Boston flanked by the Massachusetts seal and an eagle with a shield. Mountains, bottom. VF.**
(\$250-350)

- 2341 Louisiana Bond Group. [3] (\$75-125)**
 1) \$100. New Consolidated Bond 1892. Brown. 2) \$500. New Consolidated Bond 1892. Orange. 3) \$1000. New Consolidated Bond 1892. Green. Punch cancelled. VF.

- 2342 Better Louisiana Assortment. [3] (\$300-500)**
 1) \$1000. (Cr.70B). Unissued. Floating Debt Bond. 1870. Punch cancelled. 2) \$1000. (Cr.71A). 8% Bond. 1871. 3) \$1000. (Cr. 36C). 5% Bond. VF. Also included is various paperwork related to Louisiana bonds as well as a damaged example of proof (Cr.80K).

- 2343 County of Harrison (MS) 1887. Gulf & Ship Island RR. \$100. 6% Bond. Cu. Red "\$100". Train at station. Light toning, VF.**
(\$100-150)

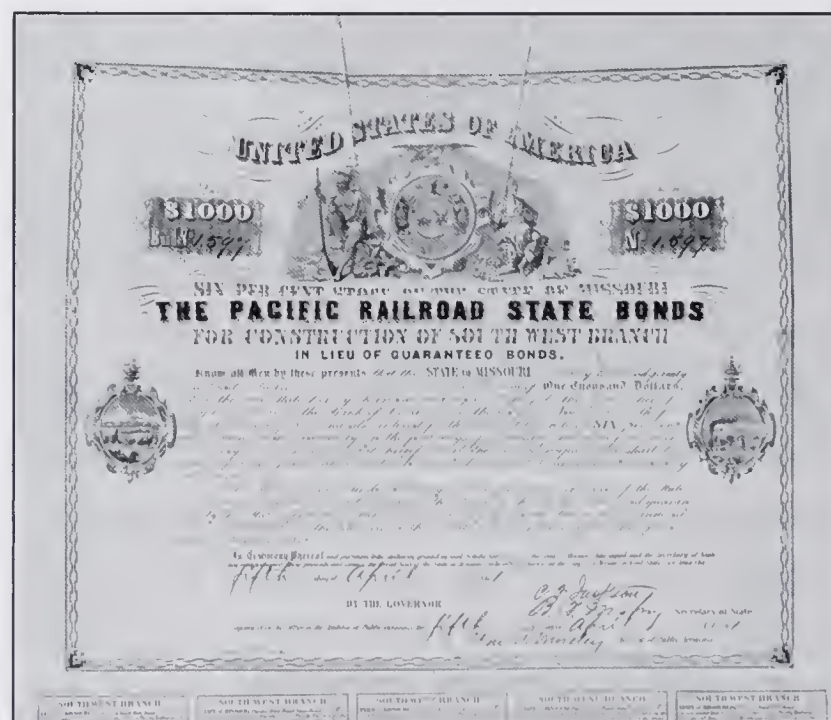


Register of the Confederate Debt
 By Raphael P. Thian
 1983. 180pp, hard cover, some illustrations.

\$35.00

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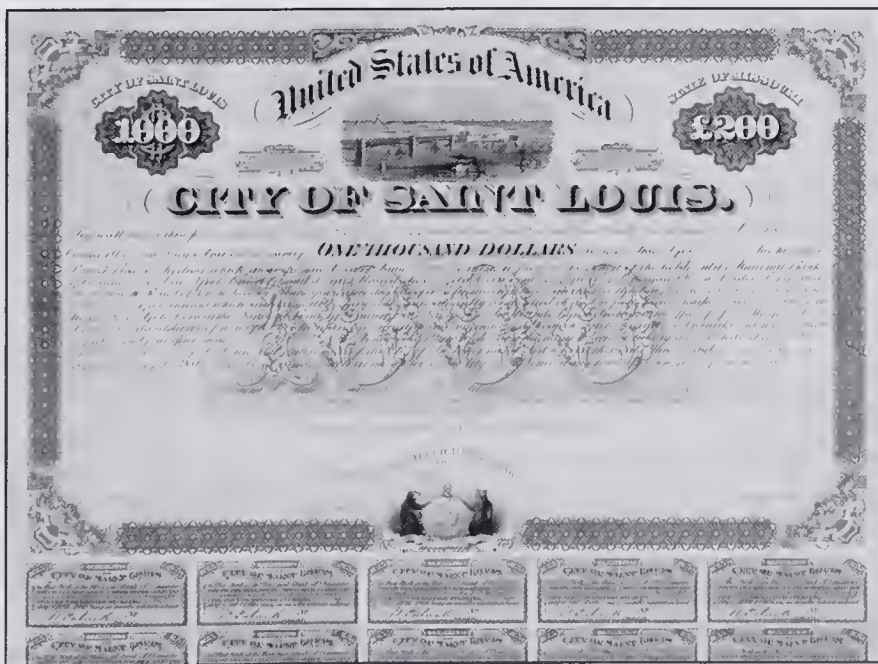


- 2344 Pacific RR (MO) 1861. #1597. \$1000. For the Construction of the Southwest Branch. Cu. State seal flanked by an Indian and a Chinese scholar. Covered wagon and train at sides. Light paper wrinkling, VF.***
(\$300-500)



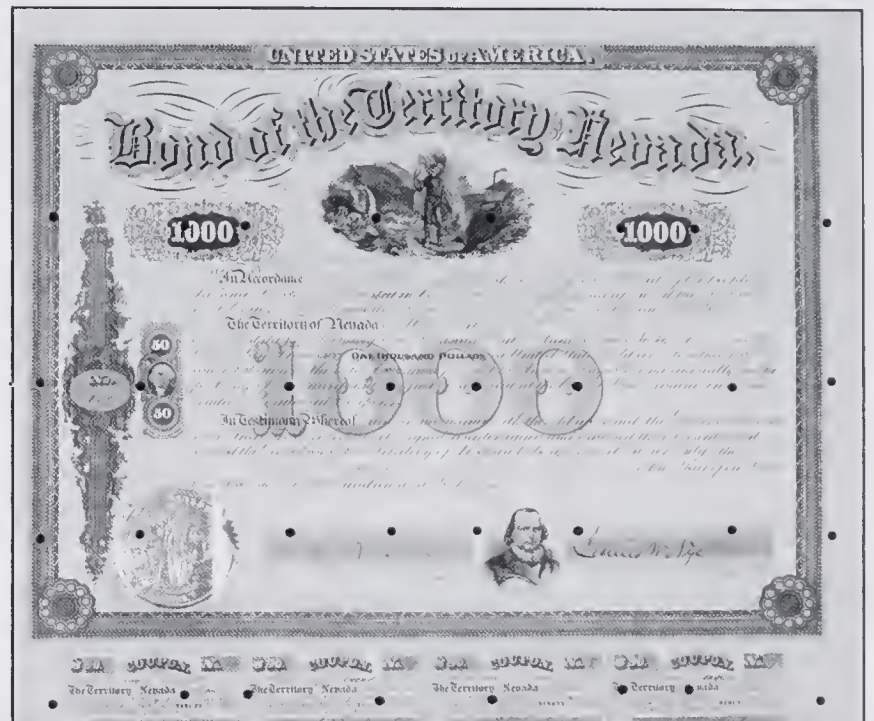
- 2345 State of Missouri (MO) 1868. Unlisted. #3156. \$1000. 6% Bond. Brown. Portrait of a gentleman, bottom. Signed by Thomas Fletcher as Governor. Trimmed, traces of glue staining on the reverse, VF. (\$250-350)**

A **Very Rare** unlisted bond. Note that the bond was payable at the National Bank of Commerce in New York City. **Thomas Fletcher** (1827-1899) supported Lincoln for the presidential nomination in 1860. In the Civil War, Fletcher commanded a Missouri regiment and served in the Vicksburg, Chattanooga, and Atlanta campaigns. He was breveted brigadier general of volunteers for his service in repulsing Sterling Price at Pilot Knob, MO. (Oct., 1864). He was the Republican gubernatorial nominee in 1864 and was elected by a large majority. As governor in the difficult postwar Reconstruction period, he proved an exceptionally able administrator when the state was confronted by numerous problems, including amnesty for those who fought against the United States, the reorganization of public education, and the distribution of railroad company lands which came to the state through foreclosure.



- 2346 City of Saint Louis (MO) 1880. Proof on India paper. \$1000. 5% Bond. Cu. Brown. Bridge spans river with steamboat. State arms, bottom. VF+. [2] (\$250-350)**
A second black and white proof is also included.

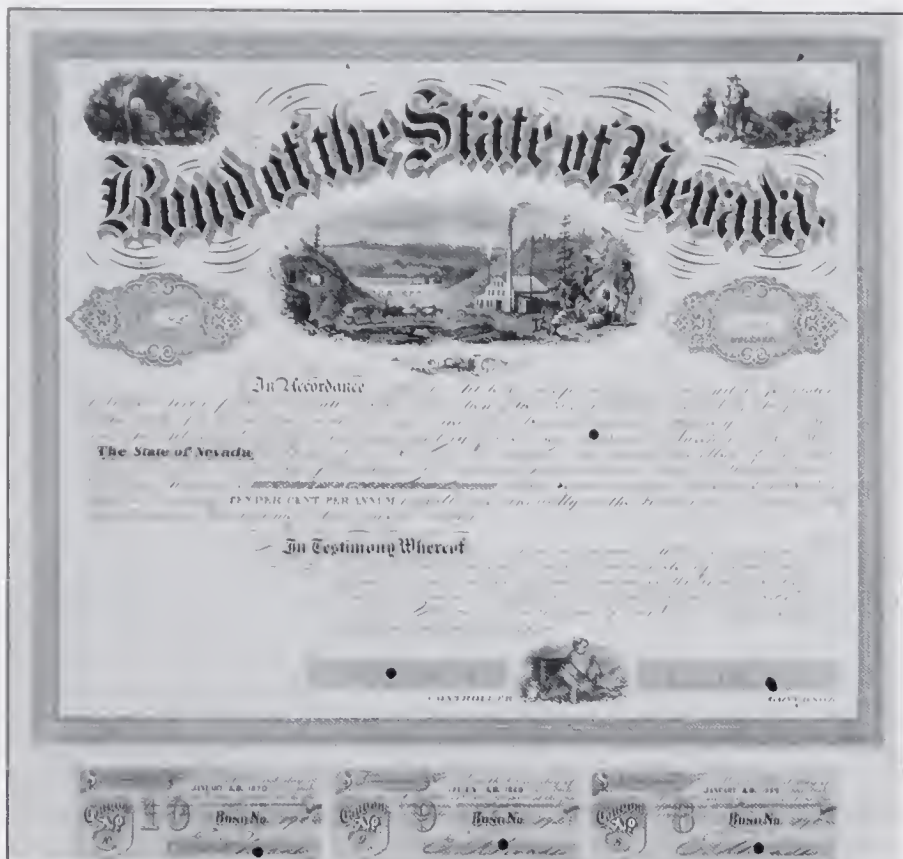
- 2347 Missouri Bond Trio. [3] (\$200-300)**
1) \$1000. Specimen. Funding Bond 1888. Green. VF+
2) \$1000. 6% Bond. 1870. Signed by Governor Fletcher. Mounted on card. F. 3) \$1000. 6% Bond. Signed by Governor Fletcher. Damaged and mounted on card. Fair.
- 2348 State of Missouri (MO) 1886. Specimen. \$1000. Funding Bond. Cs. Green. Statehouse. State seal, upper right. FBN. VF+. (\$75-125)**
- 2349 Montana Bond Pair. [2] (\$150-250)**
1) Territory of Montana. \$100. 12% Bond. 2) Madison County Bond. Unissued. 187_. Gold. Both VF+.



- 2350 Bond of the Territory of Nevada (NV) 1864. #110. \$1000. 18% Bond. Cu. Silver seal and red "\$1000". Prospector with American flag, mining camp in distance. Portrait of Brigham Young. VF+. (\$300-500)**



- 2351 Bond of the State of Nevada (NV) 1865. #31. \$1000. 24% Bond. Pair of allegorical figures. VF+. (\$300-500)**



- 2352 Bond of the State of Nevada (NV) 1865. #192. \$100. 10% Bond. Cu. Red. Wagon approaches mill, mine entrance and train in the distance. Miner, upper left. Frontiersman, upper right. Cherub with dog and safe, bottom. VF+. (\$300-500)**

- 2353 Bond of the State of Nevada (NV) 1865. #250. \$500. 10% Bond. Cu. Red. Wagon approaches mill, mine entrance and train in the distance. Miner, upper left. Frontiersman, upper right. Cherub with dog and safe, bottom. VF+. (\$300-500)**

- 2354 Bond of the State of Nevada (NV) 1865. #23. \$500. 10% Bond. Cu. Red. Wagon approaches mill, mine entrance and train in the distance. Miner, upper left. Frontiersman, upper right. Cherub with dog and safe, bottom. VF+. (\$300-500)**

- 2355 Nevada Bond Pair. [2] (\$400-600)**
 1) Bond of the State of Nevada 1866. \$1000. 10% Bond, red. VF+. 2) Bond of the State of Nevada 1872. \$1000. 9 1/2% Bond, purple. Punch cancelled, VF.



- 2356 Bond of the State of Nevada (NV) 18771-72. #272. \$1000 or \$100. 10% Bond. Cu. Green. Wagon approaches mill, mine entrance and train in the distance. VF+. [4] (\$750-1,250)**



- 2357 Bond of the State of Nevada (NV) 1867. \$1000. 15% Bond. Cu. Green. One with a gold seal, the other with a silver seal. Wagon approaches mill, mine entrance and train in the distance. Prospector, lower right. VF+. [2] (\$300-500)**



Please note that a 15% buyer's premium will be added to each lot purchased.

- 2358 Essex County Volunteer War Bond (NY) 1865.**
#462. \$25. 7% Bond. Eagle with shield. VF.*

(\$75-150)



- 2359 State of New York (NY) 1866. Payment of Bounties to Volunteer. #6313. \$41,000. 7% Loan. Columbia, train in distance. Signed on the reverse by George F. Baker. CC, VF.**

(\$300-500)

George F. Baker was later the President of the City Bank and a close ally of J.P. Morgan. As indicated on the back, the bank turned in certificates for a total of \$253,000 and took \$1000 coupon bonds Nos.692-944 in exchange. Baker endorsed this transaction to the right on the back.

- 2360 New York Bond Group. [5] (\$300-500)**

1) State of New York 1912. Specimen. Bureau of Canal Affairs. 2) County Revenue Bond of 1868. 3) Canal Department 1851. POC. 4) Canal Department 1857. POC. 5) State of New York World War Bonus Bond 1924. Issued to and signed on the transfer by Harold and William Vanderbilt. VF.

- 2361 State of North Carolina (NC) 1862. #77. (Cr.62E). \$500. 8% Bond. Cu. Moneta seated. Reclining woman upper left. Agriculture and Liberty, upper right. Minor staining, repaired splits. F.* (\$100-200)**

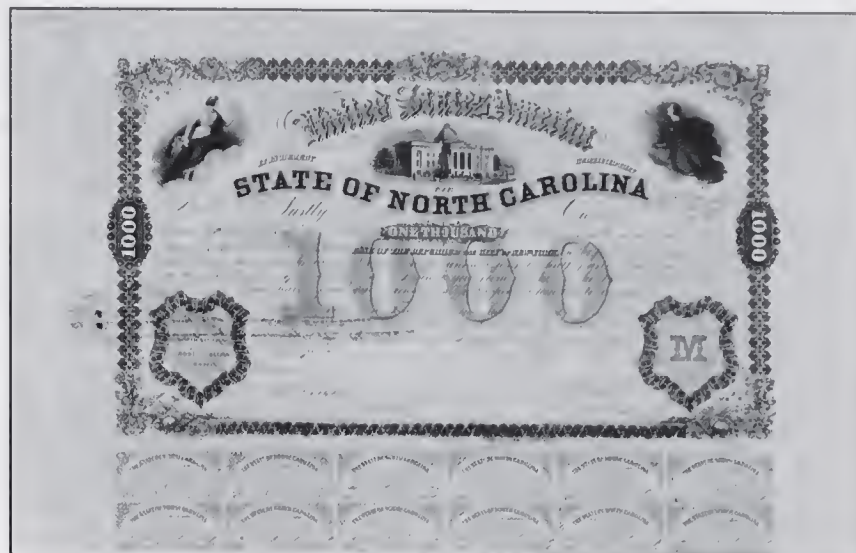


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- 2362 State of North Carolina (NC) 1858. #410. (Cr.58A). \$1000. 6% Bond. Cu. Red "1000". State Capitol with allegorical women in top corners. Light staining in the bottom border. VF.* (\$400-600)**

The Criswell Plate Bond. Listed as Extremely Rare. Only 50 certificates were issued.

- 2363 State of North Carolina (NC) 1866. #670. (Cr.66C). \$1000. 6% Bond. Cu. Red "1000". State Capitol with allegorical figures in upper corners. Allegorical figures, lower left. Tape repairs else, F.* (\$150-250)**

- 2364 North Carolina Bond Pair. [2] (\$250-350)**

1) \$1000. Proof on India. 6% Bond. 1866. Unlisted. Small piece out of right border. VF. 2) \$1000. 6% Bond. October 1868. Minor losses and tape repairs, F.

- 2365 North Carolina Trio. [3] (\$150-250)**

1) \$1000. 6% Bond. 1868. Piece out of top border. Splits. Fair. 2) \$1000. 6% Bond. 1869. Splits. F. 3) \$1000. 6% Bond. 1868. Damaged. Fair.

- 2366 North Carolina Proof Grouping. [13] (\$500-750)**

1) \$1000. Proof. 6% Bond. 1870. Small hole. 2) \$1000. Proof. 6% Bond. 1869. Splits. 3) \$1000. Proof. 6% Bond. 1869. 4) \$1000. Proof. 6% Bond. 1869. Lower right corner off. 5) \$1000. Proof. 6% Bond. 1869. Small piece out of right border. 6) \$1000. Proof. 6% Bond. 1868. 7-13) \$1000. Proof. 6% Bond. 1869. A couple are mock ups and one is split in half. This lot also includes various other related items. Probably unique. F-VF.

- 2367 North Carolina Special Tax Bonds. [3] (\$150-250)**

1) North Carolina Special Tax Bond 1887. 2) North Carolina Special Tax Bonds 1905. 3) State of North Carolina. Specimen. 4% Registered Bond. VF+.

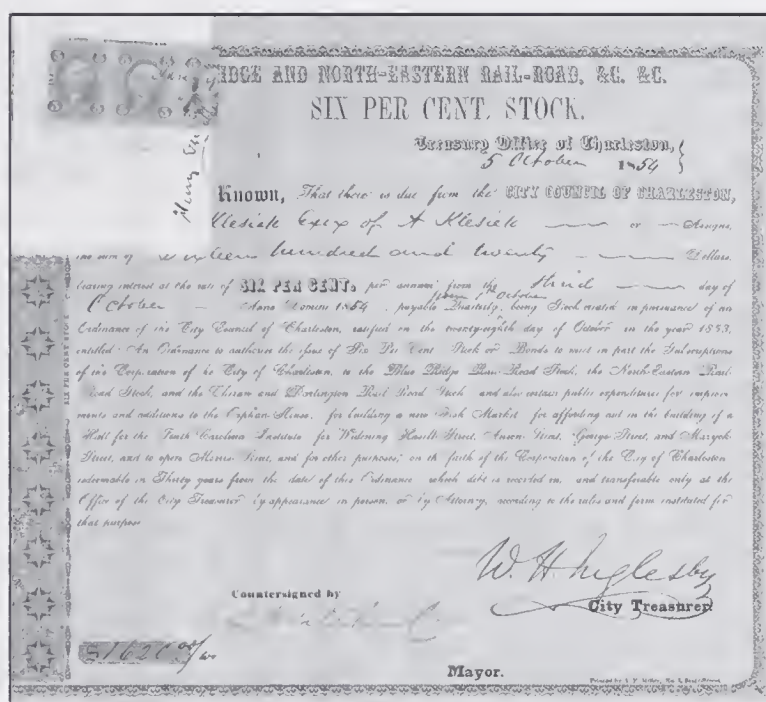
- 2368 County of Ohio (WV) 1877. #7. \$1000. 6% Bond. Cu. Green with red seal. State seal. Mounted on card. Coupons partially separated. F.* (\$100-200)**

- 2369 Early Ohio Bonds. [4] (\$250-350)**
 1) Ohio Canal Stock 1842. 2) Ohio Canal Stock 1842. Diamond shaped cut out. 3) Ohio Canal Stock 1850. Small diamond cut out. 4) Ohio Canal Stock 1852. Missing upper left corner. Average VF.

- 2370 State of Ohio (OH) 1851. Logan County. Rush Creek Township. #30. \$100. 7% bond. Cu. Ornate border. VF.* (\$75-150)**

- 2371 Pittsburgh Proofs & Specimens. [4] (\$200-300)**
 1) City of Pittsburgh 1881. Proof. Funded Debt Improvement Bond. 2-3) City of Pittsburgh 1881. Specimen. Funded Debt Improvement Bond. 4) City of Pittsburgh 1885. Proof. Improvement Bond. VF.

- 2372 Rhode Island Bond Trio. [3] (\$150-250)**
 1) City of Providence 1897. \$20,000. 3 1/2% Bond. 2) City of Providence. Unissued. 3) City of Providence 1895. \$100. 4% Bond. VF+.



- 2373 Blue Ridge & North Eastern RR (SC) 1854. Charleston. #263. \$1620. 6% Bond. Ornate border. Transfer affixed upper left. VF.* (\$300-500)**

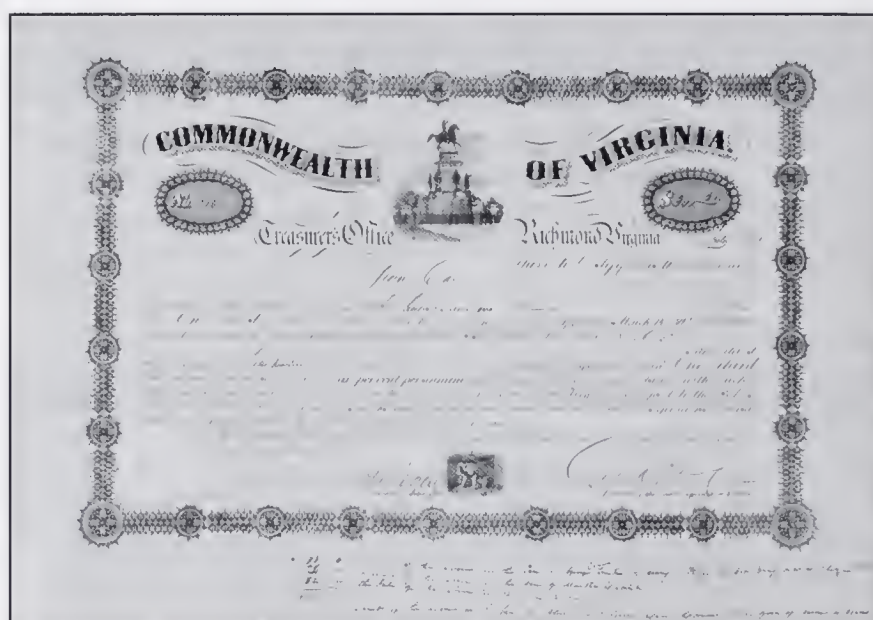


- 2374 State of South Carolina (SC) 1838. #173. (Cr.38A). £250. 5% Bond. Cs. Commerce seated at center with Phoenix, upper left; ships, upper right. Farmer and cotton, lower corners. Pieces out of bottom border, VF. (\$300-500)**

- 2375 Blue Ridge RR (SC) 1869. #1714. \$1000/£200. Mortgage Loan. Cu. Purple. Train. Various state seals in the corners. ABN. CC. VF+. (\$100-150)**

- 2376 South Carolina Bond Pair. [2] (\$200-300)**
 1) State of South Carolina 1912. Specimen. Issue of Refunding Bonds & Stocks 2) State of South Carolina 1869. Unissued. (Cr.69B1). Error variety with coupons printed as "\$35". VF+.

- 2377 Tennessee Bond Group. [7] (\$400-600)**
 1) \$5000. Specimen. 3 1/2% Registered Settlement Bond. 2) \$1000. Specimen. 4 1/2% Redemption Bond. 3) \$500. Specimen. Compromise Bond. 4) \$1000. Specimen. Compromise Bond. 5) \$50. Proof. Compromise Bond. Minor splits. 6) \$500. Specimen. Compromise Bond. 7) \$100. Specimen. Compromise Bond. VF-VF+.



- 2378 Commonwealth of Virginia (VA) 1871. #2161(Cr.71A). \$301.60. 6% Bond. Green. Equestrian statue of Washington. Dog guards safe, bottom. VF.* (\$400-600)**

- 2379 County of Patrick (VA) 1869. Norfolk & Western RR. #124. \$2000. 6% Bond. Cu. State arms. Transfer beneath. VF+.* (\$100-200)**

- 2380 Commonwealth of Virginia (VA) 1879. Proof on India paper. £20. Funded Debt. Cu. Brown. State seal flanked by farmers. Washington and Jefferson, sides. ABN. Tape repairs, F.* (\$75-125)**

- 2381 Virginia Proof Grouping. [3] (\$200-300)**
 1) Commonwealth of Virginia 1879. Proof. 100 Pounds. Funded Debt. Losses in top border. 2) Commonwealth of Virginia 1879. Proof. 200 Pounds. Funded Debt. Rough right edge. Splits. 3) Commonwealth of Virginia. Mock up proof. Missing upper right corner. All rare but with defects, Fair.

- 2382 County of Fluvanna (VI) 1872. #15. \$500. 10% Bond. Green seal. Ornate border. Tape stains, sides. Fair.* (\$50-100)**

2383 Virginia Proofs & More. [3] (\$100-200)

1) Commonwealth of Virginia 1879. Proof. \$500. Funded Debt. F. 2) Commonwealth of Virginia 1879. Proof. \$1000. Funded Debt. Small hole at the top. F. 3) Commonwealth of Virginia. Proof cut out on card. Also included are various ABN related note sheets.

2384 Virginia Proof Trio. [3] (\$100-200)

1) Commonwealth of Virginia 1879. Proof. \$1000. Funded Debt. Rough edges. 2) Commonwealth of Virginia 1879. Proof. \$100. Funded Debt. Split in half. 3) Commonwealth of Virginia 1879. Proof. \$100. Funded Debt. Roughness in center. Average G.

2385 Better Virginia Pair. [2] (\$200-300)

1) Commonwealth of Virginia 1882. Specimen. 3% Bond. Minor splits. VF. 2) Commonwealth of Virginia 1882. Proof. 3% Bond. Mounting traces on reverse VF.



2386 Commonwealth of Virginia (VA) 1882. Specimen. \$1000. 3% Bond. Brown. Reclining female. Portrait of a man, left. State arms, right. ABN. Minor splits, VF.* (\$150-250)



2387 Commonwealth of Virginia (VI) 1891. Specimen. \$1000. 5% Bond. Cu. Green or orange. Portrait of a man. Equestrian statue of Robert E. Lee. VF+.* [3] (\$300-500)

Also included is a cancellation proof on card.

2388 Mixed Specimen Bonds. [4] (\$200-300)

1) State of Connecticut. 2) State of Kentucky 1885. 3) Territory of Arizona 1898. 4) State of Tennessee 1892. VF+.

2389 Bonds & Others. [6] (\$200-300)

1) Wabash & Erie Canal 1863. 5% Bond. 2) Commonwealth of Massachusetts 1896. 7% Bond. 3) City of Vergennes Water Loan (VT) 18_. Unissued. 4) Republican Valley RR 1879. Progress proof. Hole in right border. 5) Slave Registration Form from Puerto Rico 1871. Worm holes. 6) CSA \$20 note. 1864. Losses in left border. ABN Text for a State of Alabama bond. Condition varies, a few with defects, most VF.



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Confederate States of America Bonds

All items are not illustrated unless illustration appears above lot:

- 2390 1) **Feb. 28, 1861. \$100.** T-2. B-4. No.5827. Commerce and Agriculture, ship and train beyond. **VF-EF**, light age toning. 2) **Mar. 25, 1863. \$1000.** Bond. T-142. B-265. No.9848. Customs House. **VF-EF**. 3) **Feb. 20, 1863. \$500.** Bond. T-133. B-192. No.35527. Memminger. **VF-EF**. 4) T-137. B-221. No.17809. Soldier warms hands. Pink. **VF-EF**. [4]

(\$200-300)

- 2391 **Aug. 19, 1861. \$100.** Bond. T-21. B-30. No.167. Liberty, CSA flag on shield. **VF-EF**, bright, neatly hammer cancelled.

(\$200-300)

- 2392 **Aug. 19, 1861. \$1000.** Bond. T-22. B-32. No.360. Liberty, CSA flag on shield. **VF-EF**, neatly hammer cancelled.

(\$200-300)

- 2393 1) **Aug. 19, 1861. \$500.** Bond. T-97. B-120. No.641. Watts. **VF-EF**. 2) **Feb. 20, 1863. \$500.** Bond. T-137. B-221. No.23129. Pink. Soldier warms hands over fire. **VF-EF**. 3) **Mar. 23, 1863. \$1000.** Bond. T-142. B-264. Customs House. **VF-EF**, lightly aged. 4) **Feb. 17, 1864. \$1000.** T-159. B-327. No.4671. Washington equestrian statue. Fourth Series. **EF**. [4]

(\$200-300)

- 2394 1) **Aug. 19, 1861. \$1000.** Bond. T-101. B-124. No.2178. Benjamin. **VF**, but there is a 1 1/2" split at top. 2) **Feb. 20, 1863. \$500.** T-137. B-221. No.19218. Pink. Soldier warms hands on fire. **VF-EF**, splits between coupons. 3) **Feb. 17, 1864. T-157. B-303. No.1905.** Equestrian statue of Washington. Unreported signature (signed by Apperson). **VF-EF**, fold junction splits. Full coupons. 4) **Feb. 17, 1864. \$500.** T-158. B-313. No.8383. Similar. Dark red tint plate. **VF-EF**, small junction hole. [4]

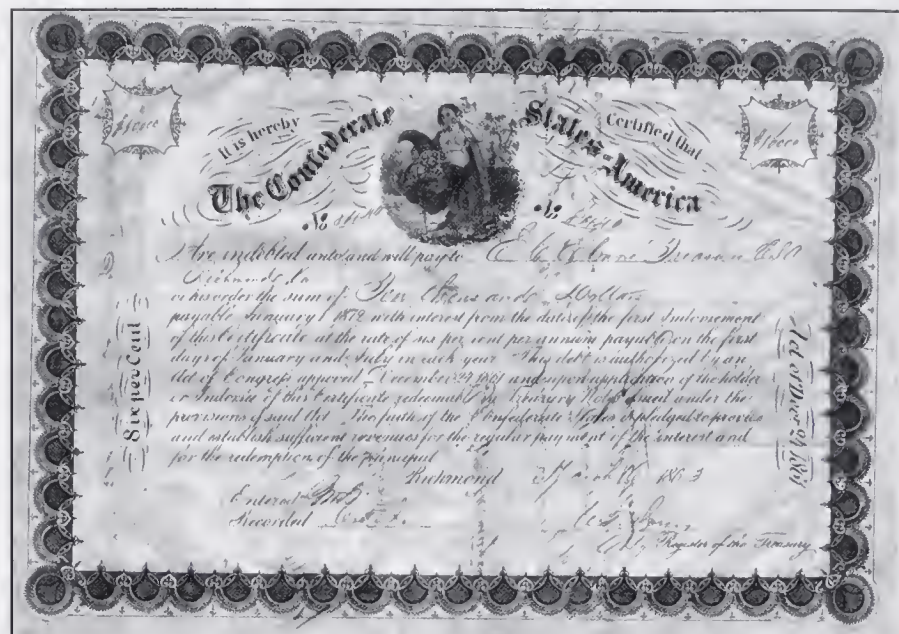
(\$250-350)

- 2395 **Dec. 24, 1861. \$10,000. Bond. T-117. B-143. No.433.** Commerce with cornucopia. Border variety 1. Rectangular interest paid stamp (Charleston, January 1, 1863) on verso. Issued to B. C. Presley, Assistant Treasurer, Charleston. **VF-EF**.

(\$200-300)

- 2396 **Dec. 24, 1861. \$5,000. Bond. T-117. B-143. No.1217.** Commerce with cornucopia. Border variety 1. Rectangular interest paid stamp (Montgomery, January 1, 1863) on verso. Issued to T. Sanford, Depository, Mobile, AL. **VF-EF**, trimmed just into the right border.

(\$200-300)



- 2397 **Dec. 24, 1861. \$10,000. Bond. T-119. B-146. No.8440.** Commerce with cornucopia. Border variety 3. Issued to Elmore, Treasurer of the CSA. Signed by Jones as Acting Register of the Treasury (most are signed by Tyler). Signed by Elmore on the verso. Made payable in notes dated "December 2, 1862". **VF-EF**.

(\$400-600)



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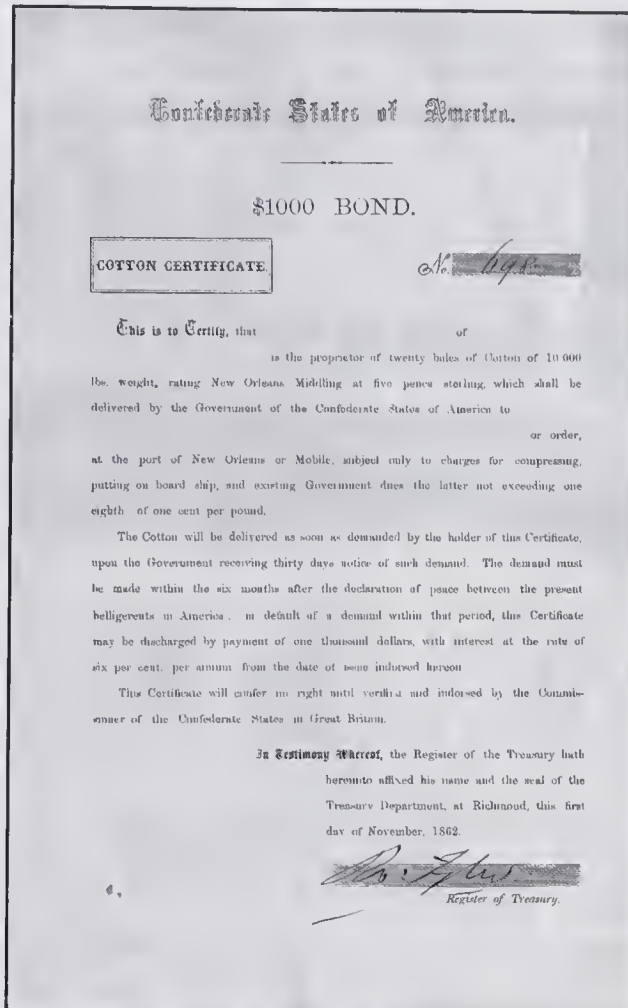
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Rare Cotton Certificate



- 2398 \$1000.** T-124. B-151. No.698. payable at New Orleans or Mobile. Signed by **Robert Tyler** as Register of the Treasury, and **J. M. Mason, the Commissioner of the Confederate States of America at London**, who signs on the verso. **VF-EF**, bright, with full margins. **(\$2,000-3,000)**

In Autumn of 1861, **James Murray Mason** was selected to be the Commissioner of the Confederate States of America at London. Mason, and James Sliddell, the CSA Commissioner to France, ran the blockade to Cuba, where they took passage aboard a British ship, The Trent, which was stopped on the high seas by Captain Wilkes of the U. S. S. San Jacinto. Wilkes removed the two Commissioners, an act that enraged the British, who threatened to declare war on the Union. Lincoln and Seward backed down, and the Trent Affair ended peacefully.

- 2399 T-127. B-156. No.2272. 100 Pounds, 2,500 Francs, 4,000 lbs. of cotton.** Woman representing the Confederacy, with flag, cotton bales, watches ship in distance. This example will frame up nicely. **F-VF**, with small fold junction holes. **(\$300-500)**

Scarce \$500 March, 23, 1863 Ball 272

- 2400 Mar. 25, 1863. \$500.** T-145. B-272. Equestrian statue of Washington. Rarity 6+. Ball lists this issue at \$1,250 in Fine. **VF**, moderate age toning, some light age spots, edge splits. Unissued. **(\$750-1,250)**
- 2401 Mar. 23, 1863. \$10,000. Bond.** T-147. B-275. No.1705. Sailing ship. **VF-EF**, some bleed through from endorsements on the verso, pinholes. **(\$200-300)**
- 2402 Mar. 23, 1863. \$10,000. Bond.** T-147. B-275. No.2298. Sailing ship. **F-VF**, some bleed through from endorsements on the verso, and a body hole. Close trim, left. **(\$150-250)**
- 2403 \$100.** Bond. T-156. B-286. No.3387. **EF**, trimmed just to the border at left. Very bright and crisp. **(\$175-225)**
- 2404 Feb. 17, 1864. \$100.** Bond. T-156. B-288. No.1073. CSS Virginia sinks USS Cumberland. **Fine**, moderate age toning, and endorsements bleed through from the verso. **(\$100-150)**



- 2405 \$500.** Bond. T-156. B-289. No.205. CSS Virginia sinks the USS Cumberland. 2nd Series. Rarity 7. **VF**, light age toning throughout. Trimmed close all around. **(\$500-750)**

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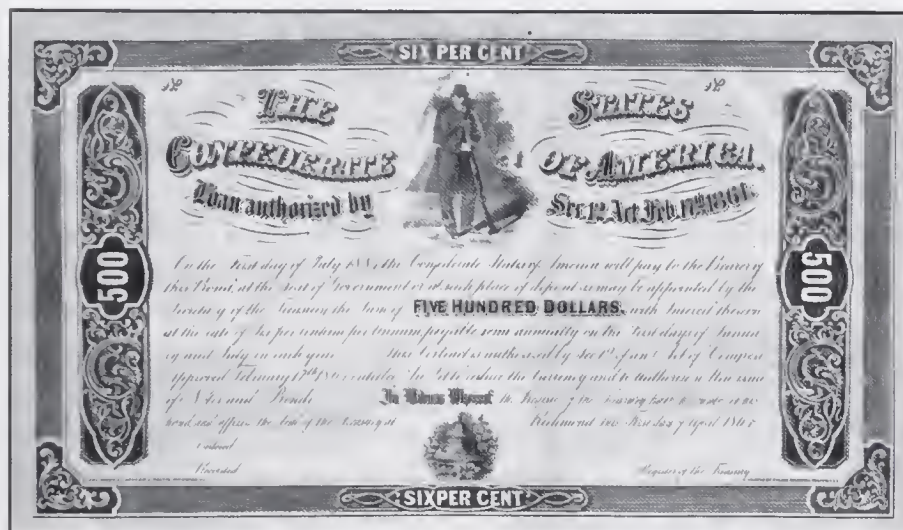
By Douglas B. Ball, Ph.D. 1991. 320pp, hard cover.

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To order, call 800-622-1880.

2406 Act of Feb. 17, 1864 Bonds. Washington on horseback. 1) \$500. T-158. B-312. No.230. VF-EF, some very minor waterstains. Full coupons. 2) \$1000. T-159. B-325. No.422. VF-EF. 3) \$1000. T-158. B-312. VF-EF, small edge splits. Full coupons. [3]
(\$150-250)

2407 \$1000. Bond. T-159. B-335. No.7349. Washington on horseback. Eleventh Series. AU, full coupons. Unissued.
(\$300-500)



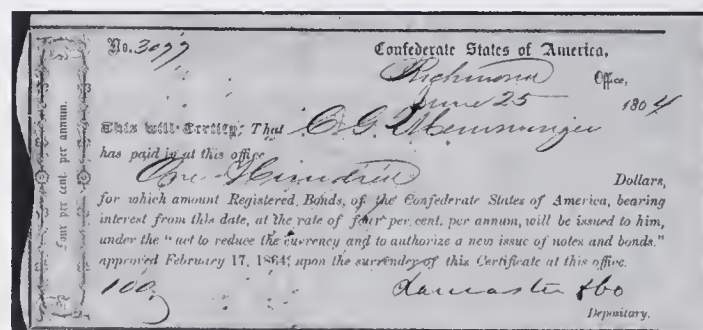
2408 \$500. Bond. T-176. B-359a. CSA sergeant in front of tent. Rarity 7-. AU, light age toning and water stains. Full coupons. Unissued.
(\$500-750)

2409 \$1000. Bond. T-177. B-361. CSA sergeant in front of tent. Rarity 7-. AU, light age toning. Full coupons. Unissued.
(\$100-150)

2410 Slavery in Maryland. 1845. ALS to the Clerk of Alleghany County. John G. Lynn, in conformity to the Act of March 5th, 1840, lists the slaves he has brought with him from Virginia, and swears they are for his own use, and not for sale. Minor fold splits, else EF.
(\$100-150)

2411 1850's Slavery Document. List of accounts by S. B. Jackson, Guardian of Minor Heirs of Mary W Thompson. No location is given, but a "Hotel in Camden" is noted, and Sumter County, Alabama is also mentioned. Costs incurred include \$5 for "Medical Service for Negroes", and \$4.20 for "Taxes on Negroes for 1850". The other side of the ledger page shows money earned by hiring out the slaves. Large, 21" x 16" format on blue lined paper. EF.
(\$200-300)

2412 \$100 Promisory Note for the Hire of a Slave. January 1, 1838. Joshua Morris promises to pay John W. Jones \$100 for the hire of a Negro man named Meriday. Morris also provide clothing, but will pay no medical expenses. Corner off at the bottom, otherwise VF+.
(\$100-150)



2413 No.3077. Interim Depository Receipt. Pink. Richmond. June 25, 1864. \$100. Issued to C. G. Memminger, who was to be replaced by George Trenholm on July 16th. VF-EF.
(\$200-300)

2414 Greenville and Columbia Railroad Company Freight Bills. 1867-1869. Donnalds Depot. Part printed bills of lading printed on the backs of portions of 1864 CSA bonds and coupons. VF-EF . [3]
(\$100-150)

Comprehensive Catalog and History of Confederate Bonds

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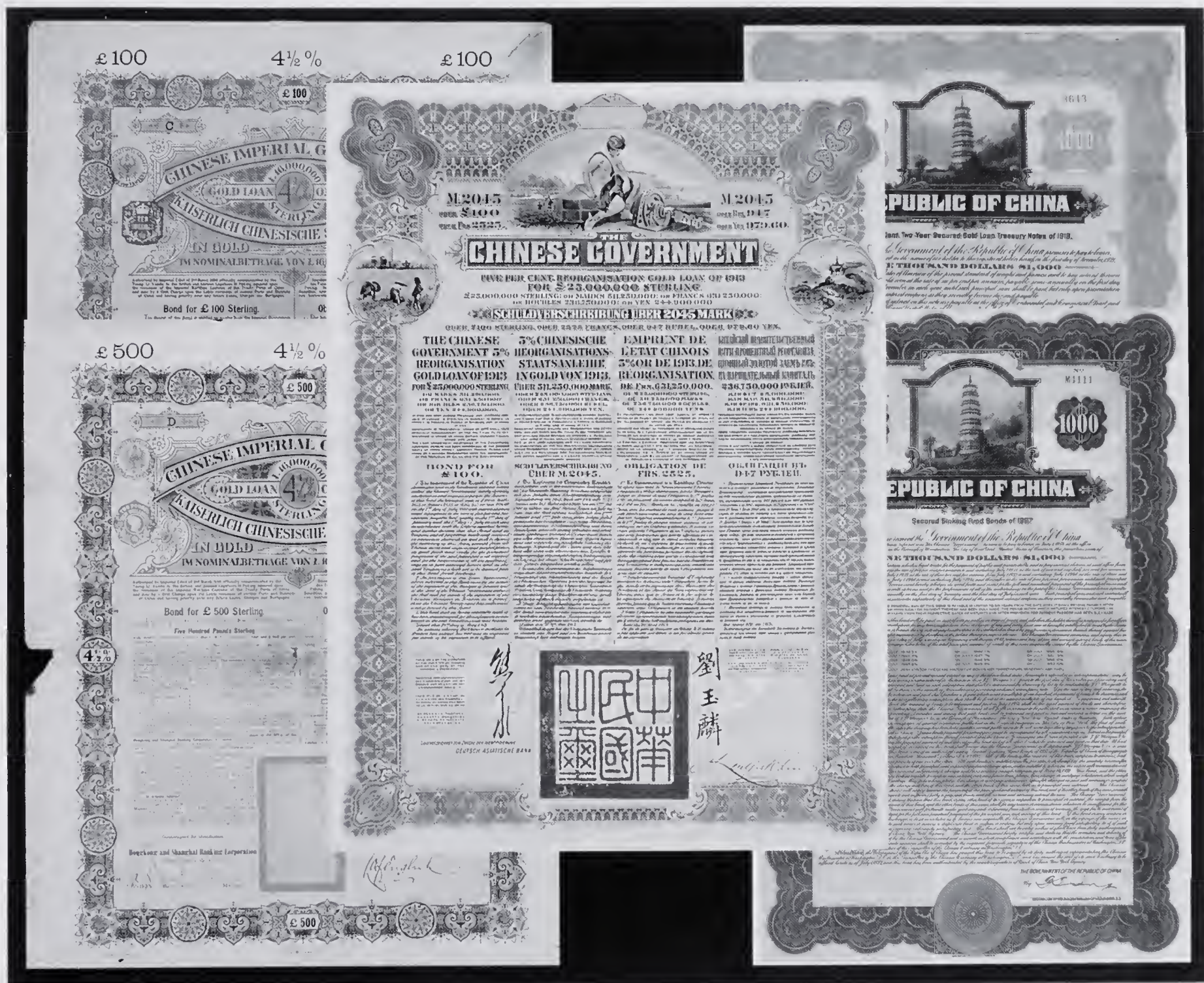
By Douglas B. Ball, Ph.D. 1998. 288pp, hard cover.

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International Certificates

China



2415 Kaiserlich Chinesische Staatsanleihe (China)
1898. Series C. Kuhlman 84. #004898. £100. 4 1/2% Sterling Gold Loan. Cs. Brown with light red underprint. Ornate border. HSBC. With red ten shilling tax stamp. Light soiling in outer edges, VF.*

(\$300-500)

2416 Kaiserlich Chinesische Staatsanleihe (China)
1898. Kuhlman 86. #002165. £500. 4 1/2% Sterling Gold Loan. Cs. Lilac with red underprint. Ornate border. With red 2 pound ten shilling tax stamp. A great rarity and typically not encountered this nice. HSBC. Minor edge tears and junction holes repaired with tape. F.*

(\$750-1,250)

2417 Chinese Government (China) 1913. Reserve Stock. 2045 Marks. 5% Reorganization Gold Loan. Cb. Blue. Mercury with generator. Chinese scenes, sides. Waterlow & Sons. EF.

(\$250-350)

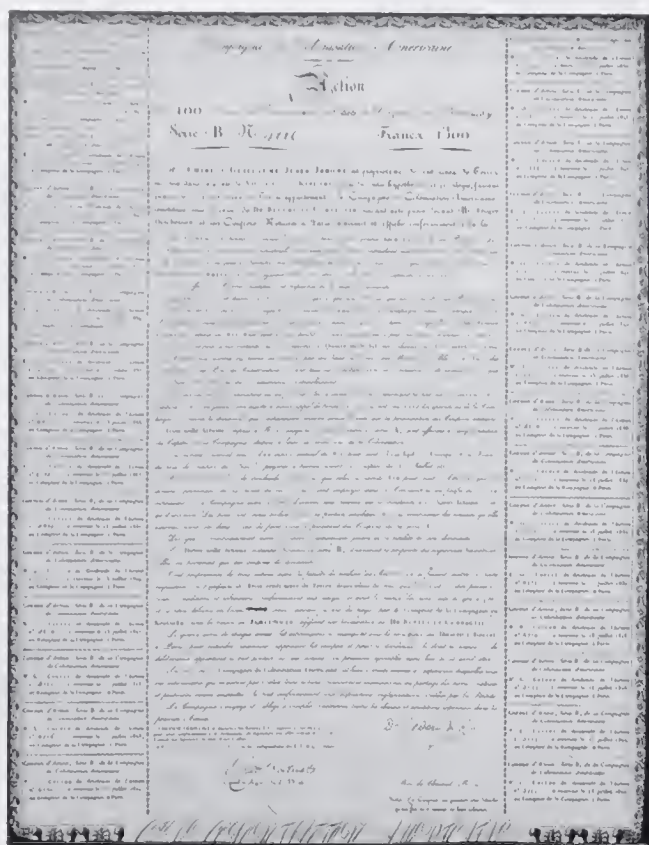
2418 Republic of China (China) 1919. Kuhlman 530S. #3643. \$1000. 6% 2-Year Secured Gold Loan Treasury Note. Cb. Orange. Multi-tiered pagoda on hillside. ABN. VF.

(\$400-600)

2419 Republic of China (China) 1937. Kuhlman 952. #3643. \$1000. Secured Sinking Funds of 1937. Cs. Blue. Multi-tiered pagoda on hillside. ABN. VF+.

(\$400-600)

France



- 2420 Compagnie de Colonisation Americaine** (Paris, France) 1820. #4226. 100 Acres. Land in Virginia & Kentucky. Cs. Large certificate. Ornate border. Pinholes, VF. (\$350-500)

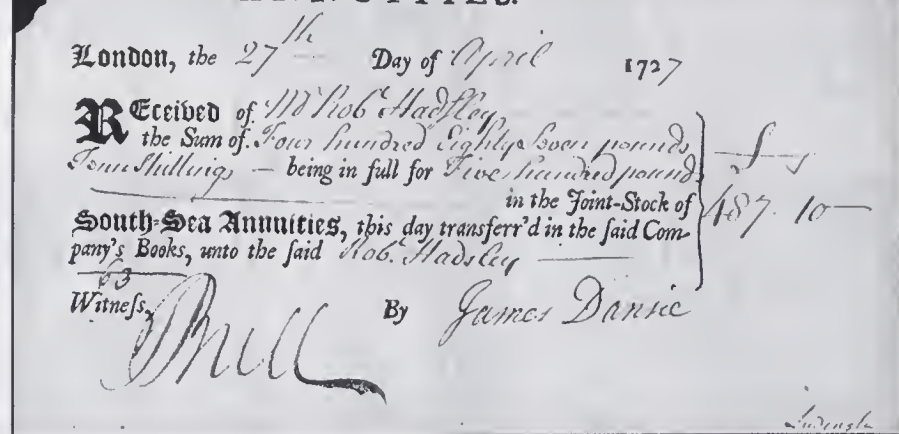
The beginning of the 19th century brought a number of European speculators to America in hopes of buying land for development and promotion to potential immigrants. The Compagnie de Colonisation Americaine was one such attempt and controlled a vast tract of land of almost 2 million acres in Virginia and Kentucky. An unusual certificate related to the early settlement and expansion of the United States.

England



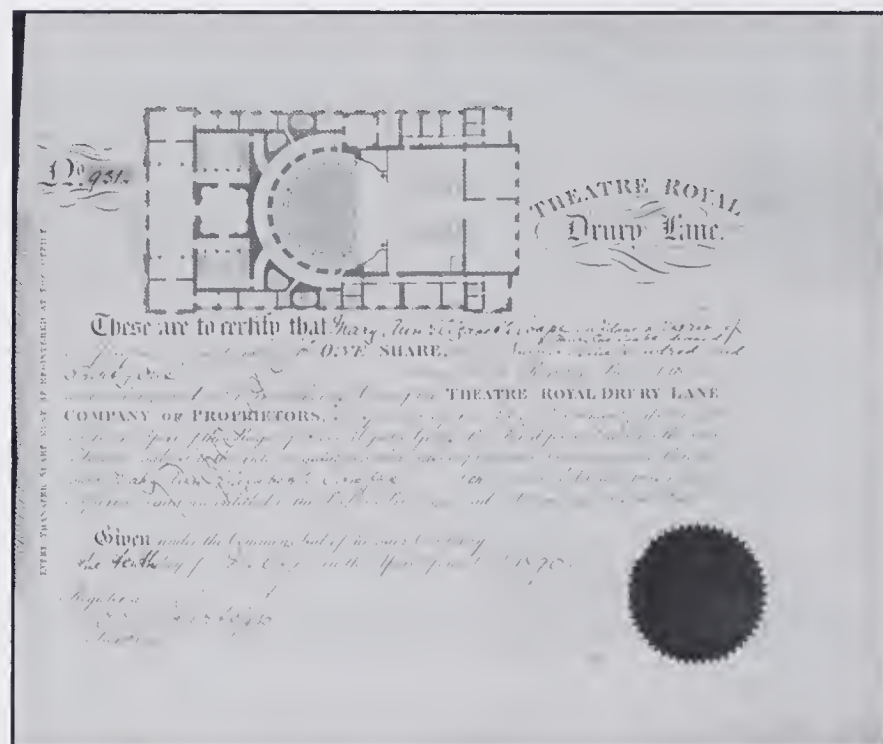
- 2421 Colt Gun & Carriage** (London) 1904. #1991. 2000 shs. Blue with gold seal. Excellent vignette of British soldiers in the field with early automatic machine guns and rifles in desert British uniforms; bullets, mounted carriages, etc. at top and side. Light toning on the reverse, VF. (\$200-300)

ANNUITIES.



- 2422 South Sea Annuities** (London) 1727. £487.10. Receipt for joint stock in the South Sea Annuities. On laid paper. Corner tip off. VF. (\$250-350)

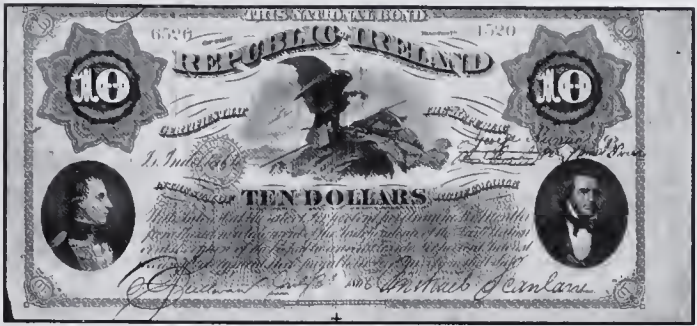
The South Sea Company was founded to support the already existing East India Company in trade with overseas colonies. Shortly after the formation of the new company, speculators moved in on the shares and drove the price up to many times their normal value. Rumors of great discoveries of gold by the first ships underpinned the speculation which later became known as the "South Sea Bubble". The bursting of this bubble led to a whole new structure of the English economy. The South Sea Bubble was the first great speculation in joint-stock company history.



- 2423 Theatre Royal Drury Lane** (Great Britain) 1890. #931. One Share. Red seal. Detailed floor plan of the theatre. VF+. (\$250-350)

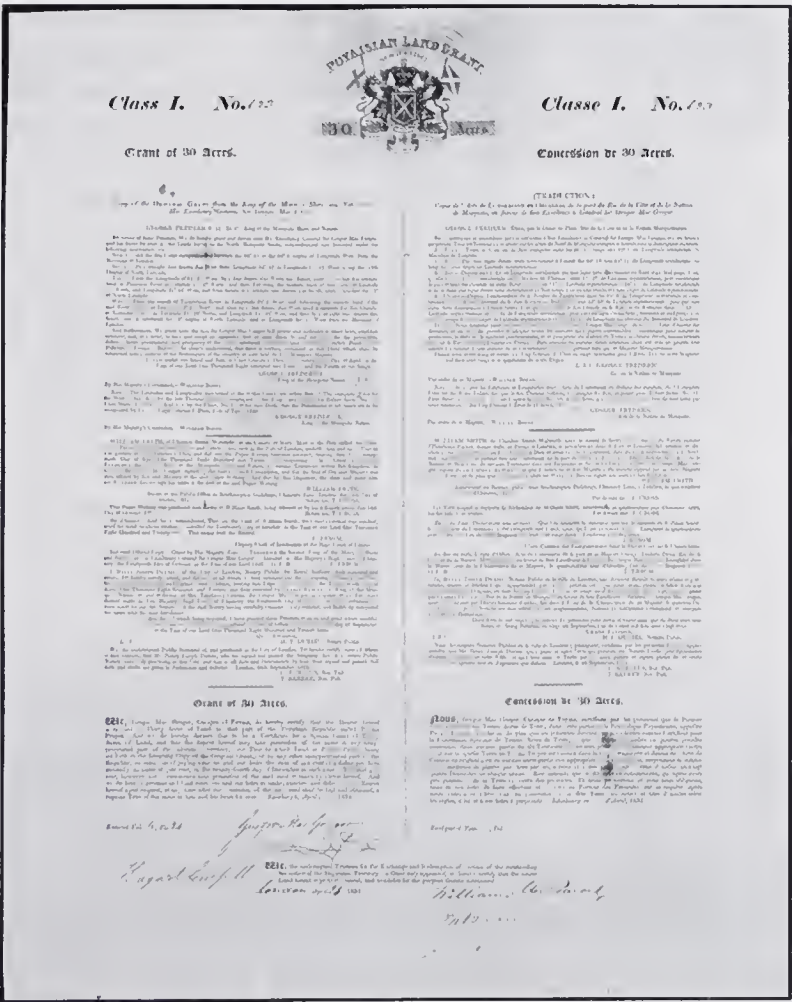
The Theatre Royal Drury Lane opened originally in 1663. Four buildings have been on its present site in Covent Garden. The fourth and present building was designed and built in 1812 by Benjamin Wyatt. It was opened October 10, 1812 with a performance of Hamlet.

Ireland

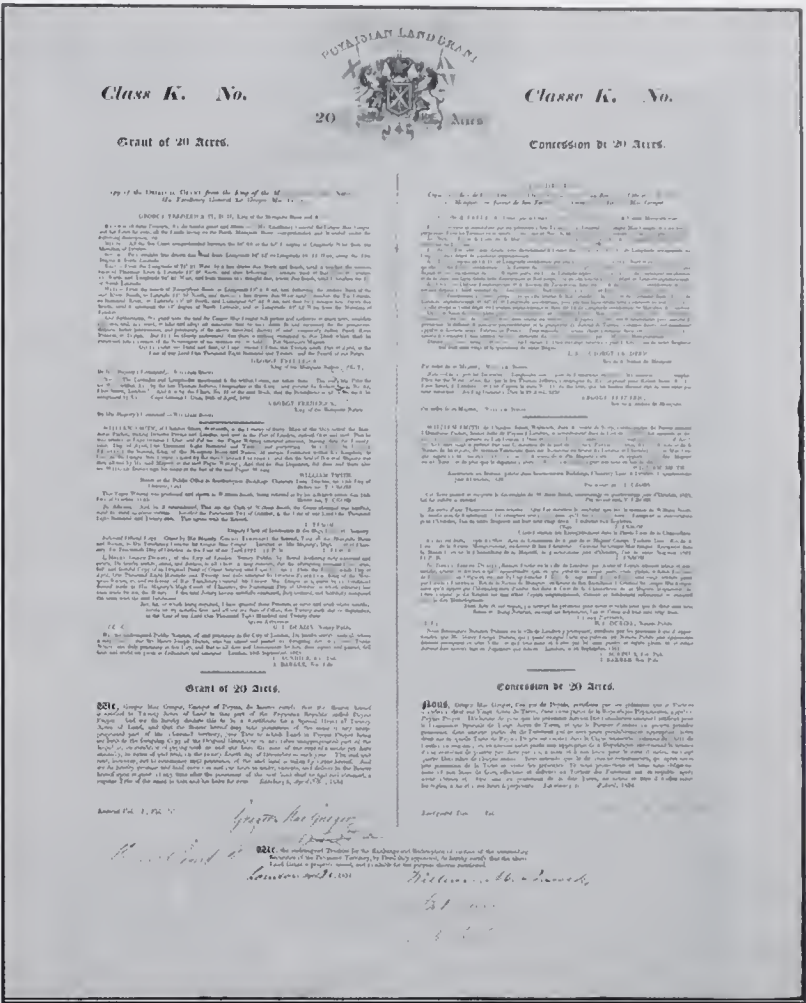


2424 Republic of Ireland (Ireland) 1866. #6520. \$10. National Bond. Green. Eagle clutches sword. Wolfe Tone, left. Davis, right. CBN. VF. [2] (\$250-350)
The second item in this lot is a Republic of Ireland \$10 bond dated 1920.

Nicaragua

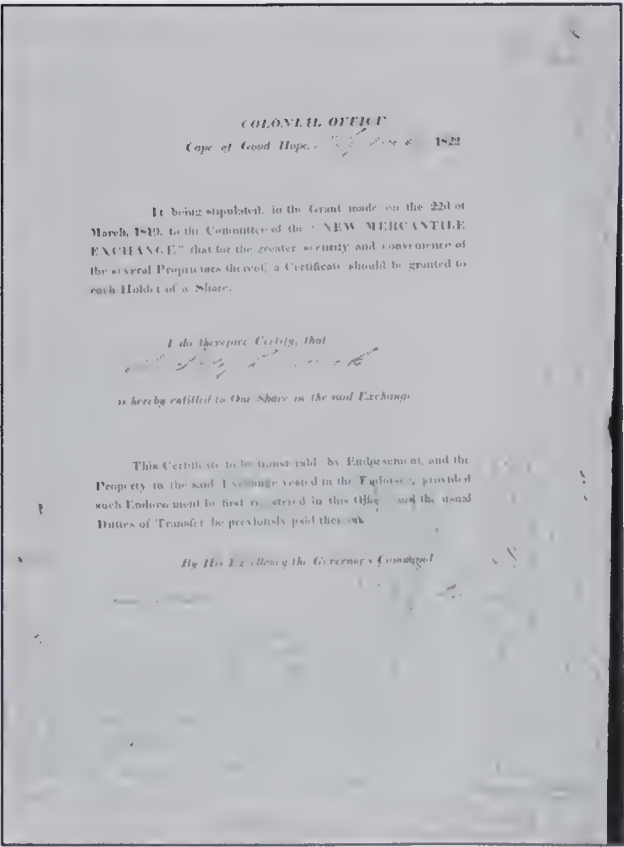


2425 Poyaisian Land Grant (Edinburgh) 1834. Class I. #623. Grant of 30 Acres. Crest flanked by unicorns. Signed by Gregor McGregor, Cacique of Poyais, present day Honduras and Nicaragua; undersigned in London by the Trustees for the Exchange and Redemption. A few light stains, VF.* (\$300-500)



2426 Poyaisian Land Grant (Edinburgh) 1834. Class K. #271. Grant of 20 Acres. Crest flanked by unicorns. Signed by Gregor McGregor, Cacique of Poyais, present day Honduras and Nicaragua; undersigned in London by the Trustees for the Exchange and Redemption Minor splits, VF.* (\$300-500)

South Africa

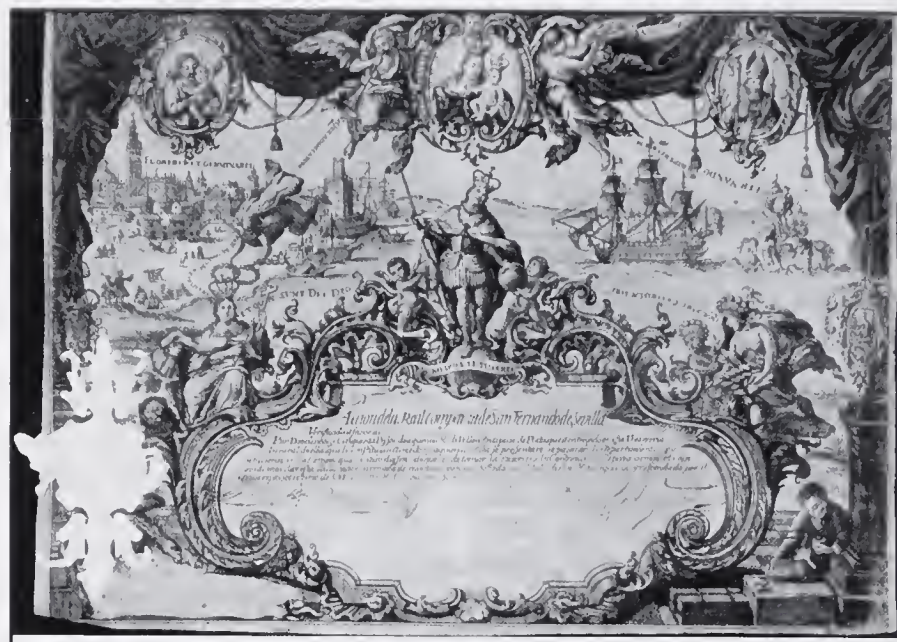


2427 New Mercantile Exchange (South Africa) 1822. Colonial Office. Cape of Good Hope. One Share. On laid paper. VF+.

Spain



- 2428 La Hispano Suiza (Spain) 1918. Barcelona. #12468. Green underprint of an automobile. Woman in fancy dress. Rubber stamped across face, VF. (\$300-500)

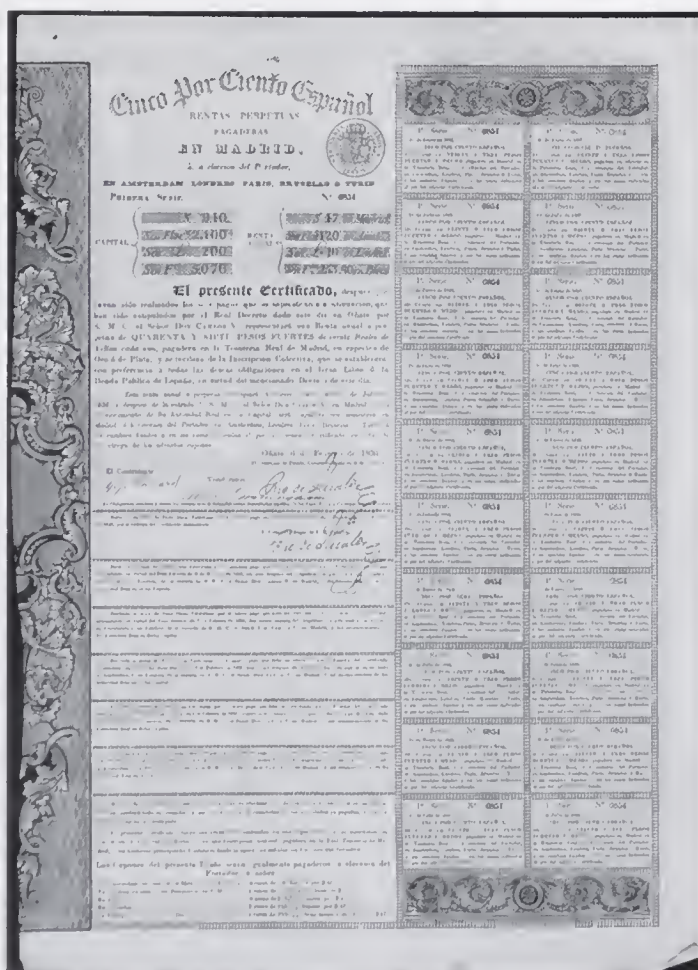


- 2430 Real Compania de San Fernando de Sevilla (Seville) #2543. One Share (250 Pesos) . On vellum. Heavily engraved with city scene, biblical figures, ship, Neptune with sea horses, and other decorative accents. Trimmed, otherwise VF. (\$2,500-3,500)

This company was chartered by King Fernando VI (1712-1759) in 1749. Its purpose was to promote colonial trade with Seville. The company was also to manufacture cloth. Unfortunately, this company did not prosper, mostly due to competition from the British. One of the most beautiful of the 18th century certificates, it was reported engraved by Pedro Tortolero, who is depicted at the lower right, engraving his name in stone. Only about 39 certificates are known to exist, and most are tied up in institutions or are heavily trimmed at the borders.

End of Session B

End of Public Sale No.235



- 2429 Rentas Perpetuas Pagaderas En Madrid (Spain) 1836. \$940. 5% Perpetual Annuity. Cs. Coat of arms. VF. (\$175-250)

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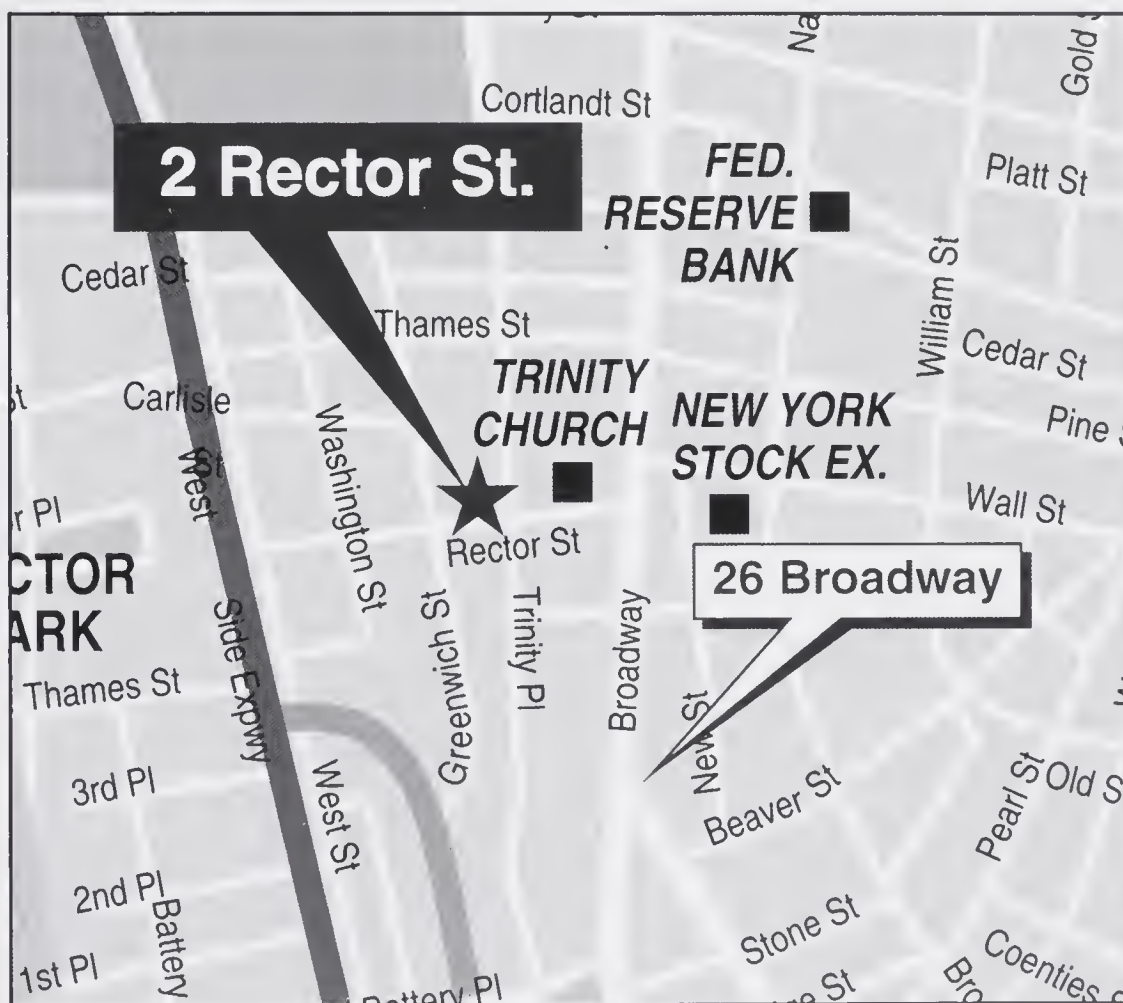
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Exterior Views of 2 Rector Street



no place in the 1871

1872

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Subscribed 17 1871

Amount to be paid in advance

of 1000

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March 17 1871

and paid on account of

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1872

25 Shares

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STANDARD OIL COMPANY

CAPITAL STOCK
\$3,500,000
ALL PAID



35,000 SHARES
\$100 EACH

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John D. Rockefeller
Secretary

John D. Rockefeller
President

1871



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